

Multiple solutions for nonlinear discontinuous strongly resonant elliptic problems

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Abstract. We consider quasilinear strongly resonant problems with discontinuous right hand side. To develop an existence theory we pass to a multivalued problem by, roughly speaking, filling in the gaps at the discontinuity points. We prove the existence of at least three nontrivial solutions. Our approach uses the nonsmooth critical point theory for locally Lipschitz functionals due to Chang and a generalized version of the Ekeland variational principle. At the end of the paper we also show that the nonsmooth (PS)-condition implies the coercivity of the functional, extending this way a well known result of the “smooth” case.

1. Introduction.

In two recent papers we studied quasilinear elliptic problems at resonance and near resonance with discontinuous right hand side (see Kourogenis-Papageorgiou [18], [19]). In the first paper [18] we investigated the resonant problem and using a variational approach, we proved the existence of a nontrivial solution. In the second paper [19], we considered problems near resonance with the parameter λ approaching from the left the first eigenvalue λ_1 of the p -Laplacian. For such problems we proved the existence of at least three nontrivial solutions. At the end of that paper we mentioned as an open problem the existence of multiple nontrivial solutions for the resonant equation. The aim of this work is to give a solution to this open problem. Contrary to what we had in the first paper, here we assume that the potential function $F(z, x) = \int_0^x f(z, r) dr$ has a finite limit for almost all $z \in Z$ as $x \rightarrow \pm\infty$. In this respect our work is similar to that of Thews [23], Bartolo-Benci-Fortunato [8] and Ward [25]. In Bartolo-Benci-Fortunato this case was termed “strongly resonant”. The case where $\lim_{x \rightarrow \pm\infty} F(z, x)$ is infinite for all $z \in E_{\pm}$ with $|E_{\pm}| > 0$ (here by $|\cdot|$ we denote the Lebesgue measure on \mathbf{R}^N) was considered by Ahmad-Lazer-Paul [3] and Rabinowitz [21] while a

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mixed situation with $\lim_{x \rightarrow \infty} F(x) = 0$ and $\lim_{x \rightarrow -\infty} F(x) = +\infty$ was studied by Costa-Silva [13]. All the aforementioned works deal with semilinear equations which have a continuous right hand side and prove the existence of one nontrivial solution. Multiple solutions for semilinear resonant problems with a continuous f , were proved by Ahmad [2], Goncalves-Miyagaki [14], [15] and Landesman-Robinson-Rumbos [17]. The first multiplicity result for the quasilinear resonant problem was obtained recently by Alves-Carriao-Miyagaki [4], who studied an equation with the p -Laplacian and a continuous right hand side. To our knowledge, our theorem is the first multiplicity result for strongly resonant quasilinear problems with discontinuities. We hope that our work here will motivate further research on the problem which will improve our result by relaxing some of our hypotheses and by obtaining new multiplicity results.

Our approach combines the critical point theory for nonsmooth locally Lipschitz functionals due to Chang [11] with a recently obtained extension of the Ekeland variational principle due to Zhong [26]. We make use of a weak form of the nonsmooth Palais-Smale condition, originally due to [10] (for smooth problems) which has been exploited by Bartolo-Benci-Fortunato [8] (again in the context of smooth problems). We show that the quasilinear discontinuous resonant problem has at least three nontrivial solutions. Concerning the usual nonsmooth Palais-Smale condition, introduced by Chang [11], at the end of the paper we show that it implies the coercivity of the functionals, extending this way to the present nonsmooth setting a result well-known in the “smooth” context.

2. Preliminaries.

Let X be a Banach space and X^* its topological dual. A function $f : X \rightarrow \mathbf{R}$ is said to be “locally Lipschitz”, if for every $x \in X$ there exists a neighbourhood U of x and a constant $k > 0$ depending on U such that $|f(z) - f(y)| \leq k\|z - y\|$ for all $z, y \in U$. From convex analysis we know that a proper, convex and lower semicontinuous function $g : X \rightarrow \bar{\mathbf{R}} = \mathbf{R} \cup \{+\infty\}$ is locally Lipschitz in the interior of its effective domain $\text{dom } g = \{x \in X : g(x) < +\infty\}$. For a *locally Lipschitz* f , we define the “generalized directional derivative” at $x \in X$ in the direction $h \in X$ by

$$f^0(x; h) = \overline{\lim}_{\substack{x' \rightarrow x \\ \lambda \downarrow 0}} \frac{f(x' + \lambda h) - f(x')}{\lambda}.$$

It is easy to check that $h \rightarrow f^0(x; h)$ is sublinear, continuous (in fact $|f^0(x; h)| \leq k\|h\|$, hence $f^0(x; \cdot)$ is Lipschitz continuous). So from the Hahn-Banach theorem, we know that $f^0(x; \cdot)$ is the support function of a nonempty,

convex and w^* -compact set defined by

$$\partial f(x) = \{x^* \in X^* : (x^*, h) \leq f^0(x; h) \text{ for all } h \in X\}.$$

The set $\partial f(x)$ is called the “generalized (or Clarke) subdifferential” of $f(\cdot)$ at x . If $f, g : X \rightarrow \mathbf{R}$ are locally Lipschitz functions, then $\partial(f + g)(x) \subseteq \partial f(x) + \partial g(x)$ and $\partial(\lambda f)(x) = \lambda \partial f(x)$ for all $\lambda \in \mathbf{R}$. Moreover, if $f : X \rightarrow \mathbf{R}$ is also convex, then the generalized subdifferential and the subdifferential in the sense of convex analysis coincide. If f is strictly differentiable at x (in particular if f is continuously Gateaux differentiable at x), then $\partial f(x) = \{f'(x)\}$. A point $x \in X$ is a “critical point” of f if $0 \in \partial f(x)$. It is easy to see that if $x \in X$ is a local minimum or maximum, then $0 \in \partial f(x)$. For details we refer to Clarke [12].

It is well-known that the classical critical point theory for smooth functions, uses a compactness condition known as the “Palais-Smale condition” ((PS)-condition). In the present nonsmooth context this condition takes the following form: We say that f satisfies the “nonsmooth (PS)-condition”, if any sequence $\{x_n\}_{n \geq 1} \subseteq X$ along which $\{f(x_n)\}_{n \geq 1}$ is bounded and $m(x_n) = \min\{\|x^*\| : x^* \in \partial f(x_n)\} \xrightarrow{n \rightarrow \infty} 0$, has a strongly convergent subsequence. If $f \in C^1(X)$, then since $\partial f(x_n) = \{f'(x_n)\}$, we see that the above definition coincides with the classical (PS)-condition (see Rabinowitz [21]).

A weaker form of the Palais-Smale condition was introduced for smooth functions by Cerami [10]. Cerami’s condition for a locally Lipschitz functional $R : X \rightarrow \mathbf{R}$ in the present nonsmooth setting has the following form: “Any sequence $\{x_n\}_{n \geq 1} \subseteq X$ such that $|R(x_n)| \leq M$, $n \geq 1$, and $(1 + \|x_n\|)m(x_n) \xrightarrow{n \rightarrow \infty} 0$, has a strongly convergent subsequence”. We call this condition “nonsmooth C-condition”. It was proved in the smooth case by Bartolo-Benci-Fortunato [8] (theorem 1.3), that this weaker compactness condition suffices in order to have the deformation theorem and from that derive minimax principles. The same can be done in the nonsmooth case, where we can obtain the deformation theorem of Chang [11] (theorem 3.1), by simple modifications of the proof of Bartolo-Benci-Fortunato [8] based on lemmata 3.1 \rightarrow 3.4 of Chang [11] and then have the nonsmooth minimax principles. An alternative approach, avoiding the deformation theorem, can be based in the recent generalization of the Ekeland variational principle due to Zhong [26] (see also theorem 2 below). Evidently the nonsmooth (PS)-condition implies the nonsmooth C-condition. We say that a locally Lipschitz functional $R : X \rightarrow \mathbf{R}$ satisfies the “nonsmooth C-condition at level c ” (resp. the “nonsmooth (PS)-condition at level c ”), if any sequence $\{x_n\}_{n \geq 1} \subseteq X$ satisfying $R(x_n) \xrightarrow{n \rightarrow \infty} c$ and $(1 + \|x_n\|)m(x_n) \xrightarrow{n \rightarrow \infty} 0$ (resp. $m(x_n) \xrightarrow{n \rightarrow \infty} 0$) has a convergent subsequence. If these are true for every $c \in \mathbf{R}$, then we have the previously introduced “global” definitions.

The next theorem is due to Chang [11] and extends to a nonsmooth setting the well-known ‘‘Mountain Pass Theorem’’ due to Ambrosetti-Rabinowitz [5].

THEOREM 1. *If X is a reflexive Banach space, $R : X \rightarrow \mathbf{R}$ is locally Lipschitz, there exist $y \in X$ and $r > 0$ with $\|y\| > r$ such that $\max\{R(0), R(y)\} < \inf[R(x) : \|x\| = r]$, $c = \inf_{\Gamma} \max_{0 \leq t \leq 1} R(\gamma(t))$ where $\Gamma = \{\gamma \in C([0, 1], X) : \gamma(0) = 0, \gamma(1) = y\}$ and $R(\cdot)$ satisfies the nonsmooth C-condition at level c , then $c \geq \inf[R(x) : \|x\| = r]$ and there exists $x \in X$ such that $0 \in \partial R(x)$, $R(x) = c$.*

Let $Z \subseteq \mathbf{R}^N$ be a bounded domain. Consider the following nonlinear eigenvalue problem

$$\begin{cases} -\operatorname{div}(\|Dx(z)\|^{p-2} Dx(z)) = \lambda |x(z)|^{p-2} x(z) \text{ a.e. on } Z \\ x|_{\Gamma} = 0. \end{cases} \quad (1)$$

The least real number for which problem (1) has a nontrivial solution, is the first eigenvalue of the negative p -Laplacian $-\Delta_p x = -\operatorname{div}(\|Dx\|^{p-2} Dx)$ with Dirichlet boundary conditions (i.e. of $(-\Delta_p, W_0^{1,p}(Z))$) and is denoted by λ_1 . This first eigenvalue λ_1 is positive, isolated and simple (i.e. the associated eigenfunctions are constant multiples of each other). Moreover, we have the following variational characterization of $\lambda_1 > 0$ via a Rayleigh quotient, namely

$$\lambda_1 = \min \left[\frac{\|Dx\|_p^p}{\|x\|_p^p} : x \in W_0^{1,p}(Z), x \neq 0 \right]. \quad (2)$$

This minimum is realized at the normalized eigenfunction u_1 . Note that if u_1 minimizes the Rayleigh quotient, then so does $|u_1|$ and so we infer that the first eigenfunction u_1 does not change sign on Z . In fact we can show that $u_1 \neq 0$ a.e. on Z and so we may assume that $u_1(z) > 0$ a.e. on Z (note that when the boundary of Z is smooth, by nonlinear elliptic regularity theory, $u \in C_{loc}^{1,\beta}(Z)$, $0 < \beta < 1$; see Tolksdorf [24]). The first work on the properties of λ_1 when $Z \subseteq \mathbf{R}^N$ is a bounded domain of Holder class $C^{2,a}$ was obtained by Anane [6]. His result was extended to general bounded domains by Lindqvist [20].

The Ljusternik-Schnirelmann theory gives, in addition to λ_1 , a whole strictly increasing sequence of positive real numbers $0 < \lambda_1 < \lambda_2 < \lambda_3 < \dots < \lambda_k < \dots$ for which there exist nontrivial solutions of the nonlinear eigenvalue problem (1). In other words the spectrum $\sigma(-\Delta_p)$ of $(-\Delta_p, W_0^{1,p}(Z))$ contains at least these points $\{\lambda_k\}_{k \geq 1}$. Nothing is known about the possible existence of other points in $\sigma(-\Delta_p) \subseteq [\lambda_1, \infty) \subseteq \mathbf{R}_+$. However, if $X = \langle u_1 \rangle = \mathbf{R}u_1$ and V is a topological complement (i.e. $W_0^{1,p}(Z) = X \oplus V$), then because $\lambda_1 > 0$ is isolated we have

$$\hat{\lambda}_{2V} = \inf \left[\frac{\|Dv\|_p^p}{\|v\|_p^p} : v \in V, v \neq 0 \right] > \lambda_1, \hat{\lambda}_2 = \sup_V \hat{\lambda}_{2V}. \quad (3)$$

Concerning $\hat{\lambda}_2$ we have the important recent work of Anane-Tsouli [7], who proved that $\hat{\lambda}_2$ is the second eigenvalue of $(-\Delta_p, W_0^{1,p}(Z))$. Finally let us recall the following generalization of the Ekeland variational principle due to Zhong [26] which we will need in the sequel.

THEOREM 2. *If $h : \mathbf{R}_+ \rightarrow \mathbf{R}_+$ is a continuous nondecreasing function such that $\int_0^\infty 1/(1+h(r)) dr = +\infty$, (Y, d) is a complete metric space, $x_0 \in Y$ is fixed, $\phi : Y \rightarrow \bar{\mathbf{R}} = \mathbf{R} \cup \{+\infty\}$ is a lower semicontinuous function not identically $+\infty$ which is bounded from below, then for any given $\lambda > 0$, $\varepsilon > 0$ and $y \in Y$ such that $\phi(y) \leq \inf_Y \phi + \varepsilon$, we can find $x \in Y$ such that*

$$(a) \quad \phi(x) \leq \phi(y);$$

$$(b) \quad \phi(x) \leq \phi(u) + \frac{\varepsilon}{\lambda(1+h(d(x_0, x)))} d(u, x) \text{ for all } u \in Y; \text{ and}$$

$$(c) \quad d(x, x_0) \leq d(y, x_0) + \bar{r}, \text{ where } \bar{r} > 0 \text{ is such that } \int_{d(y, x_0)}^{d(y, x_0) + \bar{r}} \frac{1}{1+h(r)} dr \geq \lambda.$$

REMARK. If $h(r) \equiv 0$ and $x_0 = y$, then we recover Ekeland's variational principle (see for example Hu-Papageorgiou [16]).

Now we are ready to start studying our problem. So let $Z \subseteq \mathbf{R}^N$ be a bounded domain with a C^1 -boundary Γ . We consider the following quasilinear resonant problem:

$$\left\{ \begin{array}{l} -\operatorname{div}(\|Dx(z)\|^{p-2} Dx(z)) - \lambda_1 |x(z)|^{p-2} x(z) = f(z, x(z)) \text{ a.e. on } Z \\ x|_\Gamma = 0, 2 \leq p < \infty. \end{array} \right\} \quad (4)$$

We do not assume that $f(z, \cdot)$ is continuous and so problem (4) need not have a solution. To be able to develop a reasonable existence theory, we pass to a multivalued version of (4) by, roughly speaking, filling in the gaps at the discontinuity points of $f(z, \cdot)$. More precisely we introduce the following two functions:

$$f_1(z, x) = \underline{\lim}_{x' \rightarrow x} f(z, x') = \lim_{\delta \downarrow 0} \operatorname{ess\,inf}_{|x'-x| < \delta} f(z, x')$$

and

$$f_2(z, x) = \overline{\lim}_{x' \rightarrow x} f(z, x') = \lim_{\delta \downarrow 0} \operatorname{ess\,sup}_{|x'-x| < \delta} f(z, x').$$

Using them we define the multifunction $\hat{f}(z, x) = \{y \in \mathbf{R} : f_1(z, x) \leq y \leq f_2(z, x)\}$. Then instead of (4) we study the following quasilinear resonant elliptic inclusion:

$$\left\{ \begin{array}{l} -\operatorname{div}(\|Dx(z)\|^{p-2}Dx(z)) - \lambda_1|x(z)|^{p-2}x(z) \in \hat{f}(z, x(z)) \text{ a.e. on } Z \\ x|_{\Gamma} = 0, 2 \leq p < \infty. \end{array} \right\} \quad (5)$$

By a solution of (5) we mean a function $x \in W_0^{1,p}(Z)$ such that $\operatorname{div}(\|Dx(\cdot)\|^{p-2}Dx(\cdot)) \in L^1(Z)$ and $-\operatorname{div}(\|Dx(z)\|^{p-2}Dx(z)) - \lambda_1|x(z)|^{p-2}x(z) = u(z)$ a.e. on Z with $u \in L^1(Z)$, $f_1(z, x(z)) \leq u(z) \leq f_2(z, x(z))$ a.e. Z . We will show that (5) has at least three distinct nontrivial solutions. For this purpose we introduce the following hypotheses on $f(z, x)$.

$H(f)$: $f : Z \times \mathbf{R} \rightarrow \mathbf{R}$ is a measurable function such that

(i) f_1, f_2 are N -measurable functions (i.e. for every $x : Z \rightarrow \mathbf{R}$ measurable function, $z \rightarrow f_1(z, x(z))$ and $z \rightarrow f_2(z, x(z))$ are measurable functions; superpositional measurability);

$$(ii) \quad |f(z, x)| \leq \begin{cases} a_1(z) & \text{for almost all } z \in Z, \text{ all } x < 0 \\ a_2(z) + c_2|x|^{\sigma-1} & \text{for almost all } z \in Z, \text{ all } x \geq 0, \end{cases}$$

where $a_1, a_2 \in L^\infty(Z)$, $c_2 > 0$ and $1 \leq \sigma \leq p^*$, $p^* = Np/(N-p)$;

(iii) there exist $F_\pm \in L^1(Z)$ such that $F(z, x) \xrightarrow{x \rightarrow -\infty} F_-(z)$ and $F(z, x) \xrightarrow{x \rightarrow \infty} F_+(z)$ uniformly for almost all $z \in Z$;

(iv) for almost all $z \in Z$ and all $x \in \mathbf{R}$, $pF(z, x) \leq (\hat{\lambda}_2 - \lambda_1)|x|^p$;

(v) there exist constants $\eta, \gamma > 0$ such that for almost all $z \in Z$ and all $x \geq \eta > 0$ we have $(xu^* - pF(z, x))/|u^*|^{1+1/r} \geq \gamma > 0$ for all $u^* \in \hat{f}(z, x)$ and with $1 \leq r \leq p^* - 1$. Further if $\{x_n\}_{n \geq 1} \subseteq W_0^{1,p}(Z)$ is such that $|x_n(z)| \xrightarrow{n \rightarrow \infty} \infty$ a.e. on Z , then $\int_Z f_i(z, x_n(z))x_n(z) dz \xrightarrow{n \rightarrow \infty} 0$ for $i = 1, 2$;

(vi) $\overline{\lim}_{x \rightarrow 0} (pF(z, x))/|x|^p < -\lambda_1$ uniformly for almost all $z \in Z$;

(vii) there exist $\xi_- < 0 < \xi_+$ such that $\int_Z F(z, \xi_\pm u_1(z)) dz > 0$ and $\int_Z F(z, \xi_\pm u_1(z)) dz > \int_Z F_\pm(z) dz$.

REMARK. Hypothesis $H(f)(i)$ is satisfied if f is independent of $z \in Z$ or if for almost all $z \in Z$, $f(z, \cdot)$ is monotone nondecreasing. Indeed, in the first case the N -measurability of f_1 and f_2 follows from the fact that f_1 is lower semicontinuous, while f_2 is upper semicontinuous. In the second case note that $f_1(z, x) = \lim_{n \rightarrow \infty} f(z, x - 1/n)$ and $f_2(z, x) = \lim_{n \rightarrow \infty} f(z, x + 1/n)$, hence both functions f_1 and f_2 are measurable, thus N -measurable too. Hypothesis $H(f)(iii)$ is the ‘‘strong resonance’’ condition since for almost all $z \in Z$, F_\pm are finite. Evidently by virtue of hypothesis $H(f)(vi)$, the growth condition imposed in $H(f)(iv)$ is automatically satisfied in a neighbourhood of zero. Note that hy-

pothesis H(f)(iv) is analogous to hypothesis H_∞ of Goncalves-Miyagaki [15] and hypothesis (g_5) of Costa-Silva [13]. The first part of hypothesis H(f)(v) is a variant of the well-known Ambrosetti-Rabinowitz condition (see Ambrosetti-Rabinowitz [5] or Rabinowitz [21]). It is consistent with hypotheses H(f)(ii), (iii) and (iv) and it implies that for $x \geq \eta > 0$, $F(x) < 0$. If for $i = 1, 2$, $f_i(z, x)x \xrightarrow{|x| \rightarrow \infty} 0$ uniformly for all $z \in Z$, the second part of hypothesis H(f)(v) is satisfied. Because of hypothesis H(f)(vii), we do not need the second part of hypothesis H(f)(v) for the proof of the existence of two solutions. Hypothesis H(f)(vi) is needed in order to be able to apply theorem 1 and have a third nontrivial solution. Without it we can not guarantee that the third solution (which in this case is obtained via the Mountain Pass theorem) is nontrivial.

3. Auxiliary results.

Let $R : W_0^{1,p}(Z) \rightarrow \mathbf{R}$ be the energy functional defined by

$$R(x) = \frac{1}{p} \|Dx\|_p^p - \frac{\lambda_1}{p} \|x\|_p^p - \int_Z F(z, x(z)) dz.$$

Because of hypothesis H(f)(iii) and since $W_0^{1,p}(Z)$ is embedded continuously in $L^\sigma(Z)$ (Sobolev embedding theorem), from Chang [11] we have that $R(\cdot)$ is locally Lipschitz. In this section we prove a series of auxiliary results which determine the properties of $R(\cdot)$.

PROPOSITION 3. *If hypotheses H(f) hold, then $R(\cdot)$ is bounded below.*

PROOF. Using hypothesis H(f)(iii), we can find $M > 0$ such that for almost all $z \in Z$ we have

$$|F(z, x) - F_-(z)| \leq 1 \quad \text{for all } x \leq -M \quad \text{and}$$

$$|F(z, x) - F_+(x)| \leq 1 \quad \text{for all } x \geq M.$$

Also by virtue of H(f)(ii), we see that for almost all $z \in Z$ and all $|x| < M$, $|F(z, x)| \leq \hat{a}(z)$ with $\hat{a} \in L^\infty(Z)$. Then for every $x \in W_0^{1,p}(Z)$ we have

$$\begin{aligned} R(x) &= \frac{1}{p} \|Dx\|_p^p - \frac{\lambda_1}{p} \|x\|_p^p - \int_{\{|x(z)| < M\}} F(z, x(z)) dz \\ &\quad - \int_{\{x(z) < -M\}} F(z, x(z)) dz - \int_{\{x(z) > M\}} F(z, x(z)) dz \\ &\geq -\|\hat{a}\|_1 - \|F_-\|_1 - \|F_+\|_1 - 2|Z|. \end{aligned}$$

Recall that $W_0^{1,p}(Z) = X \oplus V$ with $X = \langle u_1 \rangle = \mathbf{R}u_1$ and V a topological complement. \square

PROPOSITION 4. *If hypotheses $H(f)$ hold, then $R|_V \geq 0$.*

PROOF. Using hypothesis $H(f)(iv)$ and (3), for every $v \in V$ we have

$$\begin{aligned} R(v) &= \frac{1}{p} \|Dv\|_p^p - \frac{\lambda_1}{p} \|v\|_p^p - \int_Z F(z, v(z)) dz \\ &\geq \frac{1}{p} \|Dv\|_p^p - \frac{\lambda_1}{p} \|v\|_p^p - \frac{1}{p} (\hat{\lambda}_2 - \lambda_1) \|v\|_p^p \\ &\geq \frac{1}{p} \|Dv\|_p^p - \frac{\lambda_1}{p} \|v\|_p^p - \frac{1}{p} \|Dv\|_p^p + \frac{\lambda_1}{p} \|v\|_p^p = 0 \end{aligned} \quad \square$$

Recalling that $\|Du_1\|_p^p = \lambda_1 \|u_1\|_p^p$ (see section 2) and using hypothesis $H(f)(vii)$, we have:

PROPOSITION 5. *If hypotheses $H(f)$ hold, then $R(\zeta_{\pm} u_1) < 0$.*

PROPOSITION 6. *If hypotheses $H(f)$ hold, then $R(\cdot)$ satisfies the nonsmooth C -condition at level $c \neq -\int_Z F_{\pm}(z) dz$.*

PROOF. Let $\{x_n\}_{n \geq 1} \subseteq W_0^{1,p}(Z)$ be a sequence such that $R(x_n) \xrightarrow{n \rightarrow \infty} c$, $c \neq -\int_Z F_{\pm}(z) dz$ and $(1 + \|x_n\|_{1,p})m(x_n) \xrightarrow{n \rightarrow \infty} 0$ where for every $x \in W_0^{1,p}(Z)$, $m(x) = \inf\{\|x^*\| : x^* \in \partial R(x)\}$ (see section 2). Let $x_n^* \in \partial R(x_n)$, $n \geq 1$, be such that $\|x_n^*\| = m(x_n)$. Its existence follows from the fact that $\partial R(x_n)$ is weakly compact in $W^{-1,q}(Z)$ and from the weak lower semicontinuity of the norm functional. Since $x_n^* \in \partial R(x_n)$, we have

$$x_n^* = A(x_n) - \lambda_1 J(x_n) - u_n^*, \quad n \geq 1,$$

where $A : W_0^{1,p}(Z) \rightarrow W^{-1,q}(Z)$ ($1/p + 1/q = 1$) is defined by $\langle A(x), y \rangle = \int_Z \|Dx(z)\|^{p-2} (Dx(z), Dy(z))_{\mathbf{R}^N} dz$, $J(x_n)(\cdot) = |x_n(\cdot)|^{p-2} x_n(\cdot)$ and $u_n^* \in \partial \psi(x_n)$, where $\psi(x) = \int_Z F(z, x(z)) dz$ for all $x \in W_0^{1,p}(Z)$. Note that by $\langle \cdot, \cdot \rangle$ we denote the duality brackets for the pair $(W_0^{1,p}(Z), W^{-1,q}(Z))$. From Chang [11] we know that $f_1(z, x_n(z)) \leq u_n^*(z) \leq f_2(z, x_n(z))$ a.e. on Z . Also it is easy to check that A is monotone, demicontinuous, hence maximal monotone (see Papageorgiou [16]).

CLAIM. $\{x_n\}_{n \geq 1} \subseteq W_0^{1,p}(Z)$ is bounded.

Suppose not. Then by passing to a subsequence if necessary, we may assume that $\|x_n\| \xrightarrow{n \rightarrow \infty} \infty$, $\|x_n\| \neq 0$ for all $n \geq 1$. From the choice of the sequence $\{x_n\}_{n \geq 1}$, we have

$$pR(x_n) = \|Dx_n\|_p^p - \lambda_1 \|x_n\|_p^p - p\psi(x_n) \leq pM_1, \quad \text{for some } M_1 > 0 \quad (6)$$

$$\text{and } |\langle x_n^*, x_n \rangle| \leq \varepsilon_n \text{ with } \varepsilon_n \downarrow 0. \quad (7)$$

From (7) we have

$$-\langle A(x_n), x_n \rangle + \lambda_1 (J(x_n), x_n)_{qp} + (u_n^*, x_n)_{\sigma'\sigma} \leq \varepsilon_n,$$

where by $(\cdot, \cdot)_{qp}$ we denote the duality brackets for the pair $(L^q(Z), L^p(Z))$, $1/p + 1/q = 1$ and by $(\cdot, \cdot)_{\sigma'\sigma}$ the duality brackets for the pair $(L^{\sigma'}(Z), L^\sigma(Z))$, $1/\sigma + 1/\sigma' = 1$. Note that by the Sobolev embedding theorem $W_0^{1,p}(Z)$ is embedded continuously in $L^p(Z)$ and in $L^\sigma(Z)$, hence $x_n \in L^p(Z) \cap L^\sigma(Z)$ for all $n \geq 1$, while $J(x_n) \in L^q(Z)$ and $u_n^* \in L^{\sigma'}(Z)$ (see hypothesis H(f)(iii)). So we have

$$-\|Dx_n\|_p^p + \lambda_1 \|x_n\|_p^p + \int_Z u_n^*(z)x_n(z) dz \leq \varepsilon_n. \quad (8)$$

Adding (6) and (8), we obtain

$$\begin{aligned} -p\psi(x_n) + \int_Z u_n^*(z)x_n(z) dz &\leq pM_1 + c_3 \quad \text{for some } c_3 > 0, \\ \Rightarrow \int_{\{x_n < 0\}} (u_n^*(z)x_n(z) - pF(z, x_n(z))) dz \\ &+ \int_{\{x_n \geq 0\}} (u_n^*(z)x_n(z) - pF(z, x_n(z))) dz \leq pM_1 + c_3. \end{aligned} \quad (9)$$

Because of hypothesis H(f)(ii) we have

$$\left| \int_{\{x_n < 0\}} (u_n^*(z)x_n(z) - pF(z, x_n(z))) dz \right| \leq c_4 \|x_n\|_{1,p} \quad \text{for some } c_4 > 0. \quad (10)$$

Using (10) in (9) we obtain

$$\int_{\{x_n \geq 0\}} (u_n^*(z)x_n(z) - pF(z, x_n(z))) dz \leq c_5 + c_4 \|x_n\|_{1,p} \quad (\text{with } c_5 = pM_1 + c_3).$$

Dividing with $\|x_n\|_{1,p}^{1+1/r}$, we have

$$\begin{aligned} \int_{\{x_n \geq 0\}} \frac{u_n^*(z)x_n(z) - pF(z, x_n(z))}{\|x_n\|_{1,p}^{1+1/r}} dz &\leq \frac{c_5}{\|x_n\|_{1,p}^{1+1/r}} + \frac{c_4}{\|x_n\|_{1,p}^{1/r}} \\ \Rightarrow \lim_{n \rightarrow \infty} \int_{\{x_n \geq 0\}} \frac{u_n^*(z)x_n(z) - pF(z, x_n(z))}{\|x_n\|_{1,p}^{1+1/r}} dz &= 0. \end{aligned} \quad (11)$$

Note that in concluding the last equality (and not only the inequality ≤ 0), we have used hypothesis H(f)(v). Also from the same hypothesis, we see that for almost all $z \in Z$, if $x_n(z) \geq \eta > 0$, then $u_n^*(z) \neq 0$. So we can write that

$$\begin{aligned} & \int_{\{0 \leq x_n\}} \frac{u_n^*(z)x_n(z) - pF(z, x_n(z))}{\|x_n\|_{1,p}^{1+1/r}} dz \\ &= \int_{\{0 \leq x_n < \eta\}} \frac{u_n^*(z)x_n(z) - pF(z, x_n(z))}{\|x_n\|_{1,p}^{1+1/r}} dz \\ &+ \int_{\{x_n \geq \eta\}} \frac{u_n^*(z)x_n(z) - pF(z, x_n(z))}{|u_n^*(z)|^{1+1/r}} \frac{|u_n^*(z)|}{\|x_n\|_{1,p}^{1+1/r}} dz. \end{aligned}$$

Because of hypothesis H(f)(ii) we have for some $c_6 > 0$

$$\left| \int_{\{0 \leq x_n < \eta\}} \frac{u_n^*(z)x_n(z) - pF(z, x_n(z))}{\|x_n\|_{1,p}^{1+1/r}} dz \right| \leq \frac{c_6}{\|x_n\|_{1,p}^{1/r}} \xrightarrow{n \rightarrow \infty} 0, \quad (12)$$

$$\Rightarrow \int_{\{x_n \geq \eta\}} \frac{u_n^*(z)x_n(z) - pF(z, x_n(z))}{|u_n^*(z)|^{1+1/r}} \frac{|u_n^*(z)|^{1+1/r}}{\|x_n\|_{1,p}^{1+1/r}} dz \xrightarrow{n \rightarrow \infty} 0 \quad (\text{from (11) and (12)}),$$

$$\Rightarrow \int_{\{x_n \geq \eta\}} \frac{|u_n^*(z)|^{1+1/r}}{\|x_n\|_{1,p}^{1+1/r}} dz \xrightarrow{n \rightarrow \infty} 0 \quad (\text{see hypothesis H(f)(v)}).$$

Moreover, using once again hypotheses H(f)(ii) and the fact that $f_1(z, x_n(z)) \leq u_n^*(z) \leq f_2(z, x_n(z))$ a.e. on Z , we have

$$\int_{\{0 \leq x_n < \eta\}} \frac{|u_n^*(z)|^{1+1/r}}{\|x_n\|_{1,p}^{1+1/r}} dz \xrightarrow{n \rightarrow \infty} 0.$$

Finally since $|u_n^*(z)| \leq a_1(z)$ a.e. on $\{x_n < 0\}$ and $a_1 \in L^\infty(Z)$ (see hypothesis H(f)(ii)), we have

$$\begin{aligned} & \int_{\{x_n < 0\}} \frac{|u_n^*(z)|^{1+1/r}}{\|x_n\|_{1,p}^{1+1/r}} dz \leq \int_{\{x_n < 0\}} \frac{a_1(z)^{1+1/r}}{\|x_n\|_{1,p}^{1+1/r}} dz \xrightarrow{n \rightarrow \infty} 0, \\ & \Rightarrow \frac{u_n^*}{\|x_n\|_{1,p}} \xrightarrow{n \rightarrow \infty} 0 \quad \text{in } L^{1+1/r}(Z). \end{aligned}$$

If $\theta = 1 + 1/r$, then $\theta' = r + 1 \leq p^*$, ($1/\theta + 1/\theta' = 1$), and so by the Sobolev embedding theorem we have that $W_0^{1,p}(Z)$ is embedded continuously in $L^{\theta'}(Z)$, hence $L^\theta(Z) = L^{\theta'}(Z)^*$ is embedded continuously in $W^{-1,q}(Z) = W_0^{1,p}(Z)^*$. So $u_n^*/\|x_n\|_{1,p} \xrightarrow{n \rightarrow \infty} 0$ in $W^{-1,q}(Z)$.

Let $y_n = x_n / \|x_n\|_{1,p}$, $n \geq 1$. Since $\|y_n\|_{1,p} = 1$, $n \geq 1$, by passing to a subsequence if necessary, we may assume that $y_n \xrightarrow{w} y$ in $W_0^{1,p}(Z)$, $y_n \rightarrow y$ in $L^p(Z)$ (because $W_0^{1,p}(Z)$ is embedded compactly in $L^p(Z)$), $y_n \xrightarrow{w} y$ in $L^{\theta'}(Z)$ (because $W_0^{1,p}(Z)$ is embedded continuously in $L^{\theta'}(Z)$), $y_n(z) \rightarrow y(z)$ a.e. on Z as $n \rightarrow \infty$ and $|y_n(z)| \leq h(z)$ a.e. on Z with $h \in L^p(Z)$.

Recall that $m(x_n) \rightarrow 0$ and so

$$\langle A(x_n), y_n - y \rangle - \lambda_1(J(x_n), y_n - y)_{pq} - \langle u_n^*, y_n - y \rangle \leq \varepsilon_n \|y_n - y\|_{1,p}, n \geq 1.$$

Dividing by $\|x_n\|_{1,p}^{p-1}$ we obtain

$$\langle A(y_n), y_n - y \rangle - \lambda_1(J(y_n), y_n - y)_{pq} - \left\langle \frac{u_n^*}{\|x_n\|_{1,p}}, \frac{y_n - y}{\|x_n\|_{1,p}^{p-2}} \right\rangle \leq \frac{\varepsilon_n}{\|x_n\|_{1,p}^{p-1}} \|y_n - y\|_{1,p}.$$

We know that $u_n^* / \|x_n\|_{1,p} \xrightarrow{n \rightarrow \infty} 0$ in $W^{-1,q}(Z)$, so $\langle u_n^* / \|x_n\|_{1,p}, (y_n - y) / \|x_n\|_{1,p}^{p-2} \rangle \xrightarrow{n \rightarrow \infty} 0$. Moreover, $(J(y_n), y_n - y)_{pq} \xrightarrow{n \rightarrow \infty} 0$. Thus finally we have

$$\overline{\lim} \langle A(y_n), y_n - y \rangle \leq 0.$$

But A being maximal monotone, is generalized pseudomonotone (see for example Hu-Papageorgiou [16], definition III.6.2 and remark III.6.3, p. 365). So we have

$$A(y_n) \xrightarrow{w} A(y) \quad \text{in } W^{-1,q}(Z) \text{ as } n \rightarrow \infty$$

and $\langle A(y_n), y_n \rangle \rightarrow \langle A(y), y \rangle \Rightarrow \|Dy_n\|_p \rightarrow \|Dy\|_p$.

Since $Dy_n \xrightarrow{w} Dy$ in $L^p(Z, \mathbb{R}^N)$ and the latter is uniformly convex, from the Kadec-Klee property we infer that $Dy_n \rightarrow Dy$ in $L^p(Z, \mathbb{R}^N)$, hence $y_n \rightarrow y$ in $W_0^{1,p}(Z)$ and so $\|y\|_{1,p} = 1$; i.e. $y \neq 0$ (an alternative proof of this can be based on the continuity of A^{-1}).

Again from the choice of the sequence $\{x_n\}_{n \geq 1}$, we have that for every $u \in W_0^{1,p}(Z)$

$$\left| \langle A(x_n), u \rangle - \lambda_1(J(x_n), u)_{pq} - \int_Z u_n^*(z) u(z) dz \right| \leq \varepsilon_n \|u\|_{1,p}.$$

Dividing by $\|x_n\|_{1,p}^{p-1}$ we obtain

$$\left| \langle A(y_n), u \rangle - \lambda_1(J(y_n), u)_{pq} - \int_Z \frac{u_n^*(z)}{\|x_n\|_{1,p}} \frac{u(z)}{\|x_n\|_{1,p}^{p-2}} dz \right| \leq \frac{\varepsilon_n}{\|x_n\|_{1,p}^{p-1}} \|u\|_{1,p}$$

$$\Rightarrow \langle A(y), u \rangle = \lambda_1(J(y), u)_{pq} \quad \text{for all } u \in W_0^{1,p}(Z)$$

$$\Rightarrow \int_Z \|Dy(z)\|^{p-2} (Dy(z), Du(z))_{\mathbb{R}^N} dz$$

$$\begin{aligned}
&= \lambda_1 \int_Z |y(z)|^{p-2} y(z) u(z) dz \quad \text{for all } u \in W_0^{1,p}(Z) \\
&\Rightarrow \langle -\operatorname{div}(\|Dy\|^{p-2} Dy), u \rangle = \lambda_1 (|y|^{p-2} y, u)_{pq} \quad \text{for all } u \in W_0^{1,p}(Z)
\end{aligned}$$

(note that $\operatorname{div}(\|Dy\|^{p-2} Dy) \in W^{-1,q}(Z)$; recall the representation theorem for the elements in $W^{-1,q}(Z) = W_0^{1,p}(Z)^*$, see example Adams [1], theorem 3.10, p. 50). So we infer

$$\left\{ \begin{array}{l} -\operatorname{div}(\|Dy(z)\|^{p-2} Dy(z)) = \lambda_1 |y(z)|^{p-2} y(z) \text{ a.e. on } Z \\ y|_{\Gamma} = 0 \end{array} \right\}$$

$$\Rightarrow y = \pm u_1.$$

Suppose without any loss of generality that $y = u_1$ (the proof for the case $y = -u_1$ is similar). Since $u_1(z) > 0$ for all $z \in Z$, we have that $x_n(z) \xrightarrow{n \rightarrow \infty} \infty$ a.e. on Z . Recall that

$$\begin{aligned}
|\langle x_n^*, x_n \rangle| &\leq \varepsilon_n \quad (\text{see (7)}), \\
\Rightarrow -\varepsilon_n &\leq \langle A(x_n), x_n \rangle - \lambda_1 (J(x_n), x_n)_{pq} - \int_Z u_n^*(z) x_n(z) dz \leq \varepsilon_n \\
\Rightarrow -\varepsilon_n &\leq \|Dx_n\|_p^p - \lambda_1 \|x_n\|_p^p - \int_Z u_n^*(z) x_n(z) dz \leq \varepsilon_n.
\end{aligned}$$

By virtue of hypothesis H(f)(v) we have that $\int_Z u_n^*(z) x_n(z) dz \xrightarrow{n \rightarrow \infty} 0$. So we infer that $\|Dx_n\|_p^p - \lambda_1 \|x_n\|_p^p \xrightarrow{n \rightarrow \infty} 0$. From the choice of the sequence $\{x_n\}_{n \geq 1} \subseteq W_0^{1,p}(Z)$ we have that $R(x_n) \xrightarrow{n \rightarrow \infty} c$. So given $\varepsilon > 0$ we can find $n_0 = n_0(\varepsilon) \geq 1$ such that for $n \geq n_0$ we have

$$\begin{aligned}
c - \varepsilon &\leq R(x_n) \leq c + \varepsilon \\
\Rightarrow c - \varepsilon &\leq \frac{1}{p} \|Dx_n\|_p^p - \frac{\lambda_1}{p} \|x_n\|_p^p - \int_Z F(z, x_n(z)) dz \leq c + \varepsilon.
\end{aligned}$$

Passing to the limit as $n \rightarrow \infty$ we obtain

$$c - \varepsilon \leq - \int_Z F_+(z) dz \leq c + \varepsilon.$$

Let $\varepsilon \downarrow 0$ to conclude that $c = - \int_Z F_+(z) dz$, a contradiction. This proves the claim.

Because of the claim and by passing to a subsequence if necessary, we may assume that $x_n \xrightarrow{w} x$ in $W_0^{1,p}(Z)$ as $n \rightarrow \infty$. Also since $f_1(z, x_n(z)) \leq u_n^*(z) \leq$

$f_2(z, x_n(z))$ a.e. on Z , by virtue of hypothesis H(f)(ii) we have that $\{u_n^*\}_{n \geq 1} \subseteq L^{\sigma'}(Z)$ is bounded. Therefore

$$\begin{aligned} \langle A(x_n), x_n - x \rangle &= \langle x_n^*, x_n - x \rangle - \lambda_1 (J(x_n), x_n - x)_{pq} - (u_n^*, x_n - x)_{\sigma\sigma'} \\ &\Rightarrow \overline{\lim} \langle A(x_n), x_n - x \rangle = 0. \end{aligned}$$

But A being maximal monotone, is generalized pseudomonotone (see Papageorgiou [16], definition III.6.2 and remark III.6.3, p. 365). So we have $\langle A(x_n), x_n \rangle \xrightarrow{n \rightarrow \infty} \langle A(x), x \rangle \Rightarrow \|Dx_n\|_p \xrightarrow{n \rightarrow \infty} \|Dx\|_p$. On the other hand we know that $Dx_n \xrightarrow{w} Dx$ in $L^p(Z, \mathbf{R}^n)$ and $L^p(Z, \mathbf{R}^N)$ has the Kadec-Klee property (being uniformly convex). So $Dx_n \xrightarrow{n \rightarrow \infty} Dx$ in $L^p(Z, \mathbf{R}^N)$, hence $x_n \xrightarrow{n \rightarrow \infty} x$ in $W_0^{1,p}(Z)$. \square

The next proposition will allow the use of theorem 1 (see also proposition 3 of Kourogenis-Papageorgiou [18]).

PROPOSITION 7. *If hypotheses H(f) hold, then we can find $\beta_1, \beta_2 > 0$ and $p < v \leq p^*$ such that $R(x) \geq \beta_1 \|x\|_{1,p}^p - \beta_2 \|x\|_{1,p}^v$ for all $x \in W_0^{1,p}(Z)$.*

PROOF. By virtue of hypothesis H(f)(vi) we can find $\mu < -\lambda_1$ and $\delta > 0$ such that for almost all $z \in Z$ and all $|x| \leq \delta$, we have

$$F(z, x) \leq \frac{1}{p} \mu |x|^p.$$

On the other hand from hypothesis H(f)(ii) we have

$$|F(z, x)| \leq a_3(z)|x| + \frac{c_2}{p} |x|^\sigma \text{ a.e. on } Z \text{ for all } x \in \mathbf{R},$$

$$\text{with } a_3(z) = \max\{a_1(z), a_2(z)\} \in L^\infty(Z).$$

Therefore we can find $\gamma > 0$ large enough and $p < v \leq p^*$ such that the inequality

$$F(z, x) \leq \frac{1}{p} \mu |x|^p + \gamma |x|^v \text{ a.e. on } Z, \text{ for all } x \in \mathbf{R}$$

holds.

Then for every $x \in W_0^{1,p}(Z)$ we have

$$\begin{aligned} R(x) &= \frac{1}{p} \|Dx\|_p^p - \frac{\lambda_1}{p} \|x\|_p^p - \int_Z F(z, x(z)) dz \\ &\geq \frac{1}{p} \|Dx\|_p^p - \frac{\lambda_1}{p} \|x\|_p^p - \frac{1}{p} \mu \|x\|_p^p - \gamma \|x\|_v^v \end{aligned}$$

$$\begin{aligned}
&\geq \frac{1}{p} \|Dx\|_p^p - \frac{1}{p} (\lambda_1 + \mu) \|x\|_p^p - \gamma \|x\|_v^v \\
&\geq \frac{1}{p} \left(-\frac{\mu}{\lambda_1} \right) \|Dx\|_p^p - \gamma \|x\|_v^v.
\end{aligned}$$

Note that $(1/p)(-\mu/\lambda_1) = \hat{\beta}_1 > 0$. From Poincaré's inequality and since $W_0^{1,p}(Z)$ is continuously embedded in $L^v(Z)$ (recall that $v \leq p^*$), we can find $\beta_1, \beta_2 > 0$ such that

$$R(x) \geq \beta_1 \|x\|_{1,p}^p - \beta_2 \|x\|_{1,p}^v \quad \text{for all } x \in W_0^{1,p}(Z). \quad \square$$

4. Multiplicity result.

Now we have the necessary tools to state and prove a multiplicity theorem for problem (5).

THEOREM 8. *If hypotheses $H(f)$ hold, then problem (5) has at least three nontrivial solutions.*

PROOF. Let $U^\pm = \{x \in W_0^{1,p}(Z) : x = \pm tu_1 + v, t > 0, v \in V\}$. We will show that $R(\cdot)$ attains its infimum on both open sets U^+ and U^- . To this end let $m_+ = \inf[R(x) : x \in U^+] = \inf[R(x) : x \in \bar{U}^+]$ (since $R(\cdot)$ is locally Lipschitz). Let

$$\bar{R}(x) = \begin{cases} R(x) & \text{if } x \in \bar{U}^+ \\ +\infty & \text{otherwise.} \end{cases}$$

Evidently $\bar{R}(\cdot)$ is a lower semicontinuous function which is bounded below (see proposition 3). Apply theorem 2 with $x_0 = 0$, $h(r) = r$, $\varepsilon = \varepsilon_n^2$, where $\varepsilon_n \downarrow 0$ and $\lambda = \varepsilon_n$. Then noting propositions 4 and 5, we can produce a sequence $\{x_n\}_{n \geq 1} \subseteq U^+$ such that $R(x_n) \downarrow m_+$ (minimizing sequence) and

$$\bar{R}(x_n) \leq \bar{R}(u) + \frac{\varepsilon_n}{(1 + \|x_n\|_{1,p})} \|x_n - u\|_{1,p} \quad \text{for all } u \in W_0^{1,p}(Z)$$

$$\Rightarrow -\frac{\varepsilon_n}{(1 + \|x_n\|_{1,p})} \|x_n - u\|_{1,p} \leq \bar{R}(u) - \bar{R}(x_n).$$

Let $u = x_n + tw$, with $t > 0$ and $w \in W_0^{1,p}(Z)$. Because $x_n \in U^+$ and U^+ is open, we can find $\delta > 0$ such that for $0 \leq t \leq \delta$ we have $x_n + tw \in U^+$. Hence we have

$$\begin{aligned}
-\frac{\varepsilon_n}{(1 + \|x_n\|_{1,p})} \|w\|_{1,p} &\leq \frac{R(x_n + tw) - R(x_n)}{t} \quad \text{for } 0 < t \leq \delta \\
&\Rightarrow -\frac{\varepsilon_n}{(1 + \|x_n\|_{1,p})} \|w\|_{1,p} \leq R^0(x_n; w).
\end{aligned}$$

Let $\psi_n(w) = ((1 + \|x_n\|_{1,p})/\varepsilon_n)R^0(x_n; w)$. Then $\psi_n(\cdot)$ is sublinear, continuous with $\psi_n(0) = 0$ and $-\|w\|_{1,p} \leq \psi_n(w)$ for all $w \in W_0^{1,p}(Z)$. We can apply lemma 1.3 for Szulkin [22] and obtain $y_n^* \in W^{-1,q}(Z)$, $n \geq 1$, such that $\|y_n^*\| \leq 1$ and $\langle y_n^*, w \rangle \leq \psi_n(w)$ for all $w \in W_0^{1,p}(Z)$ and all $n \geq 1$. Then if $x_n^* = (\varepsilon_n / (1 + \|x_n\|_{1,p}))y_n^*$, we have $\langle x_n^*, w \rangle \leq R^0(x_n; w)$ for all $w \in W_0^{1,p}(Z)$, hence $x_n^* \in \partial R(x_n)$, $n \geq 1$. We have $(1 + \|x_n\|_{1,p})m(x_n) \leq (1 + \|x_n\|)\|x_n^*\| \leq \varepsilon_n \|y_n^*\| \leq \varepsilon_n \xrightarrow{n \rightarrow \infty} 0$. Note that because of hypothesis H(f)(vii), $m_+ < -\int_Z F_+(z) dz$. So we can use proposition 6 and assume that $x_n \rightarrow y_1$ in $W_0^{1,p}(Z)$ with $y_1 \in \overline{U^+}$. Then $R(x_n) \xrightarrow{n \rightarrow \infty} R(y_1) = m_+$. If $y_1 \in \partial \overline{U^+} = V$, by propositions 4 and 5 we have

$$0 \leq R(y_1) = m_+ < 0,$$

a contradiction. So $y_1 \in U^+$, hence is a local minimum of $R(\cdot)$. This means that $0 \in \partial R(y_1)$. Similarly working on $\overline{U^-}$ we obtain $y_2 \in U^-$ such that $0 \in \partial R(y_2)$. Clearly $y_2 \neq y_1$.

By virtue of proposition 7 we can find $0 < \rho < \min\{\xi_+, \xi_-\}$ such that $R|_{\partial B_\rho} > 0 > m_\pm$. Thus we can apply theorem 1 (with $y = \xi_+ u_1$ or $y = \xi_- u_1$) and obtain $y_3 \neq y_1$, $y_3 \neq y_2$, $y_3 \neq 0$ such that $0 \in \partial R(y_3)$.

Finally let $y = y_k$, $k = \{1, 2, 3\}$. Since $0 \in \partial R(y)$, we have

$$A(y) - \lambda_1 |y|^{p-2} y - u^* = 0,$$

for some $u^* \in \partial \psi(y)$ (hence $f_1(z, y(z)) \leq u^*(z) \leq f_2(z, y(z))$ a.e. on Z). Thus for every $u \in C_0^\infty(Z)$ we have

$$\begin{aligned}
\langle A(y), u \rangle - \lambda_1 (J(y), u)_{pq} - (u^*, u)_{\sigma\sigma'} &= 0 \\
&\Rightarrow \int_Z \|Dy(z)\|^{p-2} (Dy(z), Du(z))_{\mathbf{R}^N} dz = \int_Z (u^*(z) + \lambda_1 |y(z)|^{p-2} y(z)) u(z) dz.
\end{aligned}$$

Using the definition of the distributional derivative and since $\text{div}(\|Dy\|^{p-2} Dy) \in W^{-1,q}(Z)$ (see Adams [1], theorem 3.10, p. 50), we have

$$\langle -\text{div}(\|Dy\|^{p-2} Dy), u \rangle - \lambda_1 (J(y), u)_{pq} - (u^*, u)_{\sigma\sigma'} = 0 \quad \text{for all } u \in C_0^\infty(Z).$$

Since $C_0^\infty(Z)$ is dense in $W_0^{1,p}(Z)$, we conclude that

$$\left\{ \begin{array}{l} -\text{div}(\|Dy(z)\|^{p-2} Dy(z)) - \lambda_1 |y(z)|^{p-2} y(z) = u^*(z) \text{ a.e. on } Z \\ y|_\Gamma = 0, 2 \leq p < \infty, \end{array} \right\} \quad (13)$$

with $u^*(z) \in \hat{f}(z, y(z))$ a.e. on Z . So y is a solution of (5). Therefore y_1, y_2, y_3 are three distinct nonzero solutions of (5). \square

REMARK. If we drop hypothesis H(f)(vi), we can still have a third solution y_3 , via the nonsmooth saddle point theorem (see Chang [11], theorem 3.3, p. 118), provided $\xi_+ = |\xi_-|$. Indeed propositions 4, 5 and 6 allow the use of the nonsmooth saddle point theorem. However in general we can not guarantee that $y_3 \neq 0$.

We conclude this paper with a result which highlights the difference between the nonsmooth (PS)-condition and the nonsmooth C-condition and also extends to the present nonsmooth setting a result well-known for “smooth” functions.

It has been observed that in the differentiable case, the (PS)-condition implies coercivity for a functional which is bounded below. This was proved by Costa-Silva [13] (for a Fréchet differentiable functional) and by Calkovic-Li-Willem [9] (for a Gateaux differentiable functional which is also lower semicontinuous). In the next proposition we extend this result to the present nonsmooth case.

PROPOSITION 9. *If Y is a Banach space, $\phi : Y \rightarrow \mathbf{R}$ is locally Lipschitz and bounded below and $c = \underline{\lim}_{\|y\| \rightarrow \infty} \phi(y)$ is finite, then there exists a sequence $\{x_n\}_{n \geq 1} \subseteq Y$ such that $\|x_n\| \rightarrow \infty$, $\phi(x_n) \rightarrow c$ and $m(x_n) \rightarrow 0$ as $n \rightarrow \infty$.*

PROOF. We can find $\{y_n\}_{n \geq 1} \subseteq Y$ such that

$$\phi(y_n) \leq c + \frac{1}{n} \quad \text{and} \quad \|y_n\| \geq 2n.$$

Let $\eta = \inf[\phi(y) : y \in Y]$. Because $\phi(\cdot)$ is bounded below, η is finite. Apply theorem 2 with $h(r) = 0$, $x_0 = y_n$, $\varepsilon = \varepsilon_n = c + (1/n) - \eta$ and $\lambda = \lambda_n = n$. We can find $x_n \in Y$, $n \geq 1$, such that

$$\phi(x_n) \leq \phi(y_n) \leq c + \frac{1}{n}, \phi(x_n) \leq \phi(u) + \frac{\varepsilon_n}{n} \|x_n - u\| \quad \text{for all } u \in Y$$

$$\text{and } \|x_n - y_n\| \leq n \quad \text{for all } n \geq 1.$$

Let $u = x_n + tv$ with $t > 0$ and $v \in Y$. Then we have

$$-\xi_n \|v\| \leq \frac{\phi(x_n + tv) - \phi(x_n)}{t}$$

where $\xi_n = \varepsilon_n/n \downarrow 0$ as $n \rightarrow \infty$. Letting $t \downarrow 0$ we obtain

$$-\xi_n \|v\| \leq \phi^0(x_n; v) \quad \text{for all } n \geq 1 \text{ and all } v \in Y.$$

Let $\psi_n(v) = (1/\xi_n)\phi^0(x_n; v)$. Then $\psi(\cdot)$ is sublinear, continuous with $\psi(0) = 0$ and $-\|v\| \leq \psi_n(v)$ for all $v \in Y$. Invoking lemma 1.3 of Szulkin [22], we can find

$y_n^* \in Y^*$, $n \geq 1$, such that $\|y_n^*\| \leq 1$ and $(y_n^*, v) \leq \psi_n(v)$ for all $n \geq 1$ and all $v \in Y$. Then if $x_n^* = \zeta_n y_n^*$, we have

$$\begin{aligned} (x_n^*, v) &\leq \phi^0(x_n, v) \quad \text{for all } n \geq 1 \text{ and all } v \in Y, \\ &\Rightarrow x_n^* \in \partial\phi(x_n) \quad \text{for all } n \geq 1. \end{aligned}$$

Hence $m(x_n) \leq \|x_n^*\| \leq \zeta_n \xrightarrow{n \rightarrow \infty} 0$. Also

$$\begin{aligned} \|x_n\| &\geq \|y_n\| - \|x_n - y_n\| \geq 2n - n = n \rightarrow +\infty \\ &\Rightarrow \phi(x_n) \xrightarrow{n \rightarrow \infty} c. \end{aligned}$$

Therefore $\{x_n\}_{n \geq 1} \subseteq Y$ is the desired sequence. \square

An immediate consequence of this proposition is the following corollary.

COROLLARY 10. *If Y is a Banach space, $\phi : Y \rightarrow \mathbf{R}$ is locally Lipschitz, bounded below and satisfies the nonsmooth (PS)-condition, then $\phi(\cdot)$ is coercive.*

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