

Dimension theory of group C^* -algebras of connected Lie groups of type I

By Takahiro SUDO

(Received Feb. 25, 1998)

(Revised Nov. 27, 1998)

Abstract. In this paper we determine isomorphism classes of connected solvable Lie groups with some conditions such that their group C^* -algebras have stable rank one, and give its applications. Also, we show that stable rank of group C^* -algebras of connected Lie groups of type I is estimated in terms of their closed normal subgroups and quotient groups.

§1. Introduction.

Stable rank of C^* -algebras was introduced by M. A. Rieffel [Rf], who raised the interesting problem of describing stable rank of group C^* -algebras of Lie groups in terms of the structure of groups. In this direction, H. Takai and the author [ST1], [ST2] estimated stable rank of the C^* -algebras of solvable Lie groups of type I by the complex dimension of the spaces of all 1-dimensional representations of groups. Moreover, the author [Sd1], [Sd2] considered both amenable and nonamenable cases for connected Lie groups of type I.

In this paper, first of all, we give a lemma which states isomorphism classes of connected, solvable Lie groups with the centers of their universal covering groups connected such that their group C^* -algebras have stable rank one. To show the lemma, we use the technical lemma in [ST2] which states isomorphism classes of simply connected, solvable Lie groups such that their group C^* -algebras have stable rank one. Also, we show a similar result in the case of connected nilpotent Lie groups. Applying these results, we give some generalizations of the results in [ST1], [ST2].

Secondly, combining some main results obtained in [Sd1], [Sd2], we estimate stable rank of the reduced C^* -algebras of connected Lie groups of type I by the complex dimension of the spaces of all 1-dimensional representations in the reduced duals of these groups. Moreover, we estimate stable rank of the reduced C^* -algebras of these groups in terms of their closed normal subgroups and quotient groups.

2000 *Mathematics Subject Classification.* Primary 46L05; Secondary 22D25.

Key Words and Phrases. Group C^* -algebras, stable rank, Lie groups.

§2. Stable rank of group C^* -algebras of connected solvable Lie groups of type I.

We first review some notations used in this paper. Let \mathfrak{A} be a C^* -algebra and \mathfrak{A}^n its n -direct sum. For a unital C^* -algebra \mathfrak{A} , we denote by $L_n(\mathfrak{A})$ the set of all elements $(a_i)_{i=1}^n$ of \mathfrak{A}^n such that $\sum_{i=1}^n a_i^* a_i$ is invertible in \mathfrak{A} . Then the stable rank of \mathfrak{A} , denoted by $\text{sr}(\mathfrak{A})$, is defined by

$$\{\infty\} \wedge \inf\{n \in \mathbf{N} \mid L_n(\mathfrak{A}) \text{ is dense in } \mathfrak{A}^n\}$$

where \wedge means minimum. For a nonunital C^* -algebra, its stable rank is defined by that of its unitization.

Let G be a Lie group. We denote by \hat{G} the space of all equivalent classes of irreducible unitary representations of G equipped with the hull-kernel topology and by \hat{G}_1 the space of all 1-dimensional representations of G . We use the facts that \hat{G}_1 is closed in \hat{G} (cf. [ST2; Lemma 2.6]), and \hat{G}_1 is isomorphic to $(G/[G, G])^\wedge$ as a topological group, where $[G, G]$ is the commutator subgroup of G (cf. [ST2; Lemma 2.3]). Let \hat{G}_r be the reduced dual of G . Put $\hat{G}_{r,1} = \hat{G}_r \cap \hat{G}_1$. By definition, $\hat{G}_{r,1} = \hat{G}_1$ if G is amenable, i.e. $\hat{G} = \hat{G}_r$. And $\hat{G}_{r,1} = \emptyset$ if G is nonamenable. Let $C^*(G)$, $C_r^*(G)$ be the full, reduced group C^* -algebra of G respectively.

Let \mathbf{R}^n , \mathbf{Z}^n be the n -direct product of the group of real numbers, integers respectively, and \mathbf{T}^s the s -torus.

First of all, we give the following lemma:

LEMMA 2.1. *Let G be a connected solvable Lie group, and \tilde{G} its universal covering group. If the center Z of \tilde{G} is connected, then $\text{sr}(C^*(G)) = 1$ if and only if G is isomorphic to either \mathbf{R} or \mathbf{T}^s or the direct product $\mathbf{T}^s \times \mathbf{R}$.*

PROOF. Let Γ be the central discrete subgroup of \tilde{G} such that $\tilde{G}/\Gamma \cong G$. Since $\Gamma \subset Z$, then by the homomorphism theorem of groups,

$$\tilde{G}/Z \cong (\tilde{G}/\Gamma)/(Z/\Gamma) \cong G/(Z/\Gamma).$$

Note that $C^*(G) = C_r^*(G)$ since G is solvable, and Z/Γ is amenable. Thus $C^*(\tilde{G}/Z)$ is considered as a quotient C^* -algebra of $C^*(G)$ (cf. [Kn; p. 1349]). On the other hand, we have the following exact sequence of abelian groups (cf. [OV; p. 47]):

$$\pi_1(Z) \rightarrow \pi_1(\tilde{G}) \rightarrow \pi_1(\tilde{G}/Z) \rightarrow Z/Z_0 \rightarrow 0$$

where $\pi_1(\cdot)$ and Z_0 respectively mean the fundamental group and the connected component of the identity of Z . Since Z is connected and \tilde{G} is simply connected, i.e. $\pi_1(\tilde{G}) = 0$, we obtain that \tilde{G}/Z is simply connected.

If $\tilde{G} = Z$, then G is commutative. Hence $\text{sr}(C^*(G)) = 1$ if and only if G is isomorphic to either \mathbf{R} or T^s or $T^s \times \mathbf{R}$.

If \tilde{G}/Z is not isomorphic to \mathbf{R} , then by [ST2; Lemma 3.7] we see $\text{sr}(C^*(\tilde{G}/Z)) \geq 2$. Hence $\text{sr}(C^*(G)) \geq 2$.

If $\tilde{G}/Z \cong \mathbf{R}$, then \tilde{G} is isomorphic to the semi-direct product $\mathbf{R}^s \rtimes_{\alpha} \mathbf{R}$ with $s = \dim Z$ by simply connectedness of \tilde{G} (cf. [OV; p. 57 Exercises 15]). Then one can check from calculation of product that \tilde{G} is commutative. So is G . □

REMARK. If $G = \mathbf{R}^2 \rtimes_{\alpha} \mathbf{R}$ with α the rotation action of \mathbf{R} on \mathbf{R}^2 , then its center is isomorphic to \mathbf{Z} . It is known that G is the nonexponential, simply connected, solvable Lie group unique up to isomorphisms with dimension ≤ 3 ([LL]).

It is also known that connected is the center of any connected, nilpotent Lie group (cf. [Hc; XVI. Theorem 1.1]).

For a topological space X , we denote by $\dim X$ its covering dimension. We let $\dim_C X = [\dim X/2] + 1$ with $[\cdot]$ the Gauss symbol. Then we have the following:

PROPOSITION 2.2. *Let G be a connected nilpotent Lie group. Then the following are equivalent:*

- (1) $\text{sr}(C^*(G)) = 1$.
- (2) G is isomorphic to either $\mathbf{R} \times T^k$ or \mathbf{R} or T^k .
- (3) $\dim_C \hat{G}_1 = 1$.

PROOF. (1) \Leftrightarrow (2): This follows from Lemma 2.1 and the fact [Hc; XVI. Theorem 1.1] that connected is the center of any connected nilpotent Lie group.

(2) \Rightarrow (3): It is well known that if $G = \mathbf{R} \times T^k$, then \hat{G} is isomorphic to $\mathbf{R} \times \mathbf{Z}^k$.

(3) \Rightarrow (2): If G is commutative, it is isomorphic to $T^k \times \mathbf{R}^t$ for some $k, t \geq 0$. So the implication is clear.

Suppose that G is noncommutative. We take a maximal compact commutative subgroup K of G such that G is homeomorphic to the product space $K \times \mathbf{R}^t$ for some $t \geq 0$ (cf. [Cv; Theorem 9]). Moreover, since G is nilpotent, K is contained in the center of G (cf. [GOV; Theorem 1.6]). Thus K is a normal subgroup of G . Then G/K is a simply connected nilpotent Lie group. Put $H = G/K$.

If $H \cong \mathbf{R}$, then $G \cong K \rtimes_{\alpha} \mathbf{R}$. Note that $K \cong T^k$ for some $k \geq 0$. Since K is contained in the center of G , we have $G \cong T^k \times \mathbf{R}$.

Otherwise, we have that $H/[H, H] \cong \mathbf{R}^n$ for some $n \geq 2$ [ST1; Lemma 3.5] (cf. [ST2; Lemma 2.1]). Hence we obtain that

$$\dim_C \hat{G}_1 \geq \dim_C \hat{H}_1 \geq 2. \quad \square$$

REMARK. It is known that for a simply connected, nilpotent Lie group G , the following are equivalent (cf. [ST1; Lemma 3.5]):

- (1) G is isomorphic to \mathbf{R} .
- (2) $\dim_{\mathbf{C}} \hat{G}_1 = 1$.
- (3) $\text{sr}(C^*(G)) = 1$.

Using Proposition 2.2, we have the following:

THEOREM 2.3. *Let G be a connected nilpotent Lie group. Then*

$$\text{sr}(C^*(G)) = \dim_{\mathbf{C}} \hat{G}_1.$$

PROOF. It is known ([Sd2], cf. [ST2; Proposition 3.3]) that for any connected amenable Lie group G of type I, one has that

$$\dim_{\mathbf{C}} \hat{G}_1 \leq \text{sr}(C^*(G)) \leq 2 \vee \dim_{\mathbf{C}} \hat{G}_1$$

where \vee means maximum. By Proposition 2.2, the proof is complete. □

REMARK. This result generalizes the main theorem in [ST1] which states that the above equality holds for simply connected, nilpotent Lie groups.

By Lemma 2.1 and the same reason in Proposition 2.2, we have the following:

THEOREM 2.4. *Let G be a connected, solvable Lie group of type I. If the center of \tilde{G} is connected, then*

$$\text{sr}(C^*(G)) = \begin{cases} 1 & \text{if } G \cong \mathbf{R} \text{ or } \mathbf{T}^s \text{ or } \mathbf{R} \times \mathbf{T}^s \\ 2 \vee \dim_{\mathbf{C}} \hat{G}_1 & \text{otherwise} \end{cases}$$

where \vee means maximum.

REMARK. H. Takai and the author [ST2] obtained the following formula:

$$\text{sr}(C^*(G)) = (2 \vee \dim_{\mathbf{C}} \hat{G}_1) \wedge \dim G$$

for any simply connected, solvable Lie group G of type I.

We next give some examples as follows:

EXAMPLE 2.5. Let $\tilde{G} = \mathbf{R}^n$ and $G = \mathbf{T}^n \cong \mathbf{R}^n / \mathbf{Z}^n$. Then by Fourier transform, $C^*(G) \cong C_0(\mathbf{Z}^n)$. Moreover, we obtain that

$$\text{sr}(C^*(G)) = 1 = \dim_{\mathbf{C}} \hat{G}_1 \leq \dim_{\mathbf{C}} (\hat{G})_1^\wedge = [n/2] + 1 = \text{sr}(C^*(\tilde{G})).$$

EXAMPLE 2.6. Let \tilde{G} be the 3-dimensional real Heisenberg group of all matrices g

$$g = \begin{pmatrix} 1 & a & c \\ 0 & 1 & b \\ 0 & 0 & 1 \end{pmatrix}, \quad a, b, c \in \mathbf{R}.$$

We let $g = (c, b, a)$. Then the center of \tilde{G} consists of all elements of the form $(c, 0, 0)$. Then $\Gamma = \{(t, 0, 0) | t \in \mathbf{Z}\}$ is a central discrete subgroup of G . Put $G = \tilde{G}/\Gamma \cong (\mathbf{T} \times \mathbf{R}) \rtimes_{\alpha} \mathbf{R}$ whose action α is defined by $\alpha_a(e^{it}, b) = (e^{i(t+ab)}, b)$ for $(e^{it}, b) \in \mathbf{T} \times \mathbf{R}$. Then $[G, G] \cong \mathbf{T}$ so that $G/[G, G] \cong \mathbf{R}^2$. Hence $\hat{G}_1 \cong \mathbf{R}^2$. Since $(\tilde{G})_1^{\wedge} \cong \tilde{G}/[\tilde{G}, \tilde{G}] \cong \mathbf{R}^2$, and by Theorem 2.3, we get that

$$\text{sr}(C^*(G)) = 2 = \text{sr}(C^*(\tilde{G})).$$

§3. Dimension theory of group C^* -algebras of connected Lie groups of type I.

In this section, first of all, we estimate stable rank of the reduced C^* -algebras of connected Lie groups of type I by combining some results obtained in [Sd1] and [Sd2]. Next, we estimate stable rank of the reduced C^* -algebras of these groups in terms of their closed normal subgroups and quotient groups.

We first show the following estimation of stable rank of the reduced C^* -algebras of connected Lie groups of type I:

THEOREM 3.1. *Let G be a connected Lie group of type I. Then*

$$\dim_{\mathbf{C}} \hat{G}_{r,1} \leq \text{sr}(C_r^*(G)) \leq 2 \vee \dim_{\mathbf{C}} \hat{G}_{r,1}$$

where $\hat{G}_{r,1}$ is the space of all 1-dimensional representations in the reduced dual \hat{G}_r .

PROOF. Note that if G is amenable, we have $\hat{G}_{r,1} = \hat{G}_1$. On the other hand, if G is nonamenable, then $\hat{G}_{r,1} = \emptyset$ so that $\dim_{\mathbf{C}} \hat{G}_{r,1} = 0$ since by definition $\dim \emptyset = -1$. Thus, by [Sd2; Proposition 3.5] and [Sd1; Proposition 2.3], we have the conclusion. □

REMARK. In the case that $G = \mathbf{R}$, the above formula gives $1 = \text{sr}(C^*(G)) < 2$. If G is the real $ax + b$ group, then we have $1 < \text{sr}(C^*(G)) = 2$. Consequently, the above estimation is optimal.

Next we show the product formula of stable rank in the case of the reduced C^* -algebras of connected Lie groups of type I as follows:

THEOREM 3.2. *If G, H are two connected Lie groups of type I, then*

$$\text{sr}(C_r^*(G) \otimes C_r^*(H)) \leq \text{sr}(C_r^*(G)) + \text{sr}(C_r^*(H)).$$

PROOF. Note that $G \times H$ is amenable if and only if so are both G and H . This case was considered in [Sd2; Corollary 3.6]. If $G \times H$ is nonamenable, then by [Sd1; Proposition 2.3], $\text{sr}(C_r^*(G \times H)) \leq 2$. The same methods in [Sd1; Corollary 2.4] implies the conclusion. \square

REMARK. If $G = \mathbf{R}$ and $H = \mathbf{R}$, then

$$\text{sr}(C_r^*(G) \otimes C_r^*(H)) = 2 = \text{sr}(C_r^*(G)) + \text{sr}(C_r^*(H)).$$

Hence, the above inequality is optimal.

Finally, we estimate stable rank of the reduced C^* -algebras of connected Lie groups of type I in terms of their closed normal subgroups and quotient groups as follows:

THEOREM 3.3. *Let G be a connected Lie group of type I and H any closed normal subgroup. Then*

$$\text{sr}(C_r^*(G)) \leq \text{sr}(C_r^*(H)) + \text{sr}(C_r^*(G/H)).$$

PROOF. If G is nonamenable, then by [Sd1; Proposition 2.3], $\text{sr}(C_r^*(G)) \leq 2$. Thus the claim of theorem is established.

Next suppose that G is amenable. Then so are $H, G/H$. Since $[H, H], [G/H, G/H]$ are also amenable, we have that $C_r^*(H/[H, H]), C_r^*((G/H)/[G/H, G/H])$ are quotient C^* -algebras of $C_r^*(H), C_r^*(G/H)$ respectively (cf. [Kn; p. 1349]). Then we choose a closed normal subgroup K of G such that the next sequence is exact:

$$1 \rightarrow K/[G, G] \rightarrow G/[G, G] \rightarrow (G/H)/[G/H, G/H] \rightarrow 1.$$

By Pontryagin's corresponding theorem, one has the following exact sequence:

$$1 \leftarrow (K/[G, G])^\wedge \leftarrow (G/[G, G])^\wedge \leftarrow (G/K)^\wedge \leftarrow 1$$

as commutative Lie groups. Note that $K/[G, G]$ is a quotient group of $H/[H, H]$ via the map $H/[H, H] \ni h[H, H] \mapsto h[G, G] \in K/[G, G]$. In fact, if $k[G, G] \in K/[G, G]$, then $kH \in [G/H, G/H]$. So $kH = gH$ for some $g \in [G, G]$. Thus, $g^{-1}k \in H$ so that $g^{-1}k[G, G] = g^{-1}[G, G]k[G, G] = k[G, G]$. Hence we have that

$$\begin{aligned} \dim(G/[G, G])^\wedge &= \dim(K/[G, G])^\wedge + \dim(G/K)^\wedge \\ &\leq \dim(H/[H, H])^\wedge + \dim((G/H)/[G/H, G/H])^\wedge. \end{aligned}$$

Therefore, using [Sd2; Proposition 3.5] and [ST2; Lemma 3.2], we obtain that

$$\begin{aligned} \text{sr}(C^*(G)) &\leq 2 \vee \dim_{\mathbf{C}} \hat{G}_1 = 2 \vee \dim_{\mathbf{C}}(G/[G, G])^\wedge \\ &\leq \dim_{\mathbf{C}}(H/[H, H])^\wedge + \dim_{\mathbf{C}}((G/H)/[G/H, G/H])^\wedge \\ &= \text{sr}(C_r^*(H/[H, H])) + \text{sr}(C_r^*((G/H)/[G/H, G/H])) \\ &\leq \text{sr}(C^*(H)) + \text{sr}(C^*(G/H)). \end{aligned} \quad \square$$

REMARK. Note that the above inequality is optimal. In fact, we let $G = \mathbf{R}^2$ and take its closed normal subgroup H isomorphic to \mathbf{R} . Then we have that

$$\text{sr}(C^*(G)) = 2 = \text{sr}(C^*(H)) + \text{sr}(C^*(G/H)).$$

EXAMPLE 3.4. We denote by G_{n+2} the simply connected, nilpotent Lie group of all upper triangular $(n + 2) \times (n + 2)$ matrices over real numbers with one on the diagonal. Let H_{2n+1} be the $(2n + 1)$ -dimensional generalized Heisenberg group consisting of all matrices

$$\begin{pmatrix} 1 & a_1 & \cdots & a_n & c \\ & \ddots & & 0 & b_1 \\ & & \ddots & & \vdots \\ & & & \ddots & b_n \\ 0 & & & & 1 \end{pmatrix} \quad a_i, b_i, c \in \mathbf{R} \quad (1 \leq i \leq n).$$

By direct computation, one can see that H_{2n+1} is a closed normal subgroup of G_{n+2} . Moreover, we have that $\dim_{\mathbf{C}} \hat{G}_{n+2} = [(n + 1)/2] + 1$ and $\dim_{\mathbf{C}} \hat{H}_{2n+1} = n + 1$. Using Theorem 2.3, we obtain that

$$\begin{cases} \text{sr}(C^*(G_3)) = \text{sr}(C^*(H_3)) & \text{with } G_3 = H_3, \\ \text{sr}(C^*(G_{n+2})) < \text{sr}(C^*(H_{2n+1})) & \text{if } n \geq 2. \end{cases}$$

EXAMPLE 3.5. In Theorem 3.3, we note that H is of non type I in general. For example, let $M = \mathbf{C}^2 \rtimes_{\alpha} \mathbf{R}$ be the Mautner group where $\alpha_t(z, w) = (e^{it}z, e^{i\theta t}w)$ for $t \in \mathbf{R}$, $(z, w) \in \mathbf{C}^2$, $\theta \in \mathbf{R} \setminus \mathbf{Q}$, and let $G = M \rtimes_{\hat{\alpha}} \mathbf{R}$ where $\hat{\alpha}_s(z, w, t) = (z, e^{2\pi is}w, t)$ for $s \in \mathbf{R}$. Then G is of type I, but M is of non type I (cf. [Tk; 9. Appendix]).

ACKNOWLEDGMENT. The author would like to thank Professor H. Takai for many valuable discussions and warm encouragement. He would also like to thank M. Nagisa for pointing out some mistakes in the draft, and thank the referee for reading the manuscript carefully.

References

- [Cv] C. Chevalley, On the topological structure of solvable groups, *Ann. Math.* **42** (1941), 668–675.
- [GOV] V. V. Gorbatsevich, A. L. Onishchik and E. B. Vinberg, *Lie Groups and Lie Algebras III*, EMS 41, *Structure of Lie Groups and Lie Algebras*, Springer-Verlag, 1994.
- [Hc] G. Hochschild, *The structure of Lie groups*, Holden-Day, San Francisco, 1965.
- [Kn] E. Kaniuth, Group C^* -algebras of real rank zero or one, *Proc. Amer. Math. Soc.* **119** (1993), 1347–1354.
- [LL] H. Leptin and J. Ludwig, *Unitary Representation Theory of Exponential Lie Groups*, Walter de Gruyter, Berlin-New York, 1994.
- [OV] A. L. Onishchik and E. B. Vinberg, *Lie groups and algebraic groups*, Springer-Verlag, 1990.
- [Rf] M. A. Rieffel, Dimension and stable rank in the K -theory of C^* -algebras, *Proc. London Math. Soc.* **46** (1983), 301–333.
- [Sd1] T. Sudo, Stable rank of the reduced C^* -algebras of non-amenable Lie groups of type I, *Proc. Amer. Math. Soc.* **125** (1997), 3647–3654.
- [Sd2] ———, Stable rank of the C^* -algebras of amenable Lie groups of type I, *Math. Scand.* **84** (1999), 231–242.
- [ST1] T. Sudo and H. Takai, Stable rank of the C^* -algebras of nilpotent Lie groups, *Internat. J. Math.* **6** (1995), 439–446.
- [ST2] ———, Stable rank of the C^* -algebras of solvable Lie groups of type I, *J. Operator Theory* **38** (1997), 67–86.
- [Tk] M. Takesaki, Covariant representations of C^* -algebras and their locally compact automorphism groups, *Acta Math.* **119** (1967), 273–303.

Takahiro SUDO

Department of Mathematical Sciences
Faculty of Science
University of the Ryukyus
Nishihara-cho, Okinawa 903-0213
Japan
E-mail: sudo@math.u-ryukyu.ac.jp