

## On commutators of foliation preserving homeomorphisms

Dedicated to Professor Hiroyasu Ishimoto on his 60th birthday

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**Abstract.** We consider the group of foliation preserving homeomorphisms of a foliated manifold. We compute the first homologies of the groups for codimension one foliations. Especially, we show that the group for the Reeb foliation on the 3-sphere is perfect and the groups for irrational linear foliations on the torus are not perfect.

### 1. Introduction.

Let  $M$  be an  $n$ -dimensional connected closed topological manifold. By  $\mathcal{H}(M)$  we denote the group of all homeomorphisms of  $M$  which are isotopic to the identity by an isotopy fixed outside a compact set.

In this note we treat certain subgroups of  $\mathcal{H}(M)$ . Let  $\mathbf{R}^n = \{(x_1, \dots, x_n) \mid x_i \in \mathbf{R}\}$  be an  $n$ -dimensional Euclidean space and  $\mathcal{F}_0$  the  $p$ -dimensional foliation of  $\mathbf{R}^n$  whose leaves are defined by  $x_{p+1} = \text{constant}, \dots, x_n = \text{constant}$  ( $1 \leq p \leq n$ ). A  $p$ -dimensional topological foliation  $\mathcal{F}$  of  $M$  is defined to be a maximal set of  $C^0$ -charts:  $\{(U_\lambda, h_\lambda), U_\lambda \text{ is open in } M, h_\lambda : U_\lambda \rightarrow \mathbf{R}^n, \lambda \in \Lambda\}$  of  $M$  such that  $h_\lambda \circ h_\mu^{-1} : h_\mu(U_\lambda \cap U_\mu) \rightarrow h_\lambda(U_\lambda \cap U_\mu)$  preserves the leaves of foliations which are restrictions of  $\mathcal{F}_0$  to  $h_\mu(U_\lambda \cap U_\mu)$  and  $h_\lambda(U_\lambda \cap U_\mu)$ .

A homeomorphism  $f : M \rightarrow M$  is called a *foliation preserving homeomorphism* (resp. a *leaf preserving homeomorphism*) if for each point  $x$  of  $M$ , the leaf through  $x$  is mapped into the leaf through  $f(x)$  (resp.  $x$ ), that is,  $f(L_x) = L_{f(x)}$  (resp.  $f(L_x) = L_x$ ), where  $L_x$  is the leaf of  $\mathcal{F}$  which contains  $x$ . Let  $F(M, \mathcal{F})$  (resp.  $L(M, \mathcal{F})$ ) denote the group of foliation (resp. leaf) preserving homeomorphisms of  $(M, \mathcal{F})$  which are isotopic to the identity by a foliation (resp. leaf) preserving isotopy fixed outside a compact set.

In §2, we consider the homologies of  $L(M, \mathcal{F})$ , that is, the homology groups of the group  $L(M, \mathcal{F})$  and show that the homologies of  $L(\mathbf{R}^n, \mathcal{F}_0)$  vanish in all dimension  $> 0$ . This is a generalization of a result of Mather[M] to the case of foliated manifolds.

In §3, first we show that any  $f \in L(M, \mathcal{F})$  can be expressed as  $f = f_1 \circ f_2 \circ \dots \circ f_r$ , where each  $f_i$  is a leaf preserving homeomorphism with support in a small ball. Next we show from the above result and the result in §2 that  $L(M, \mathcal{F})$  is perfect, i.e., is equal to its own commutator subgroup.

In §4, we consider  $F(M, \mathcal{F})$  and compute the first homology of  $F(M, \mathcal{F})$  for codimension one foliations. Especially we show that for the Reeb foliation  $\mathcal{F}_R$  of  $S^3$ ,  $F(S^3, \mathcal{F}_R)$  is perfect and for a foliation  $\mathcal{F}$  of  $T^n$  defined by a 1-form  $\omega = \sum a_i dx_i$ ,

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$F(T^n, \mathcal{F})$  is not perfect if one of  $a_i/a_j$  is irrational, indeed in this case the first homology of  $F(T^n, \mathcal{F})$  is isomorphic to  $\mathbf{R}/a_1\mathbf{Z} + \cdots + a_n\mathbf{Z}$ .

## 2. Homologies of $L(\mathbf{R}^n, \mathcal{F}_0)$ .

We recall that if  $G$  is any group, then there is a standard chain complex  $C(G)$  whose homology is the homology of  $G$ .

Let  $C_r(G)$  be the free abelian group on the set of all  $r$ -tuples  $(g_1, \dots, g_r)$ , where  $g_i \in G$ . The boundary operator  $\partial: C_r(G) \rightarrow C_{r-1}(G)$  is defined by

$$\partial(g_1, \dots, g_r) = (g_1^{-1}g_2, \dots, g_1^{-1}g_r) + \sum_{i=1}^r (-1)^i (g_1, \dots, \check{g}_i, \dots, g_r).$$

Then we have  $\partial^2 = 0$ . The symbol  $H_r(G)$  will stand for the  $r$ -th homology group of this chain complex.

Let  $\mathbf{R}^n = \{(x_1, \dots, x_n) \mid x_i \in \mathbf{R}\}$  be an  $n$ -dimensional Euclidean space and  $\mathcal{F}_0$  the  $p$ -dimensional foliation of  $\mathbf{R}^n$  whose leaves are defined by  $x_{p+1} = \text{constant}, \dots, x_n = \text{constant}$  ( $1 \leq p \leq n$ ). Let  $L(\mathbf{R}^n, \mathcal{F}_0)$  denote the group of leaf preserving homeomorphisms of  $(\mathbf{R}^n, \mathcal{F}_0)$  which are isotopic to the identity by a leaf preserving isotopy fixed outside a compact set.

If  $c = \sum k_j (g_{1j}, \dots, g_{rj})$ , ( $k_j \in \mathbf{Z}$ ) be an element of the chain group  $C_r(L(\mathbf{R}^n, \mathcal{F}_0))$ , we define the support of  $c$ ,  $\text{supp}(c)$ , by  $\text{supp}(c) = \bigcup_{i,j} \text{supp}(g_{ij})$ .

Let  $U = U' \times \mathbf{R}^{n-p}$ , where  $U'$  is an open rectangle in  $\mathbf{R}^p$  ( $\subset \mathbf{R}^p \times \mathbf{R}^{n-p} = \mathbf{R}^n$ ).

Then  $\text{supp}(c) \subset U$  if and only if  $\text{supp}(g_{ij}) \subset U$  for each  $i, j$ .

We put  $L_U(\mathbf{R}^n, \mathcal{F}_0) = \{f \in L(\mathbf{R}^n, \mathcal{F}_0) \mid \text{supp}(f) \subset U\}$ .

**THEOREM 2.1.** *The homology groups  $H_r(L(\mathbf{R}^n, \mathcal{F}_0)) = 0$  for  $r > 0$ .*

Before we prove this theorem, we need a lemma. Let  $\iota: L_U(\mathbf{R}^n, \mathcal{F}_0) \rightarrow L(\mathbf{R}^n, \mathcal{F}_0)$  denote the inclusion map, and let  $\iota_*: H_r(L_U(\mathbf{R}^n, \mathcal{F}_0)) \rightarrow H_r(L(\mathbf{R}^n, \mathcal{F}_0))$  denote the induced homomorphism. We have the following.

**LEMMA 2.2.**  *$\iota_*$  is an isomorphism.*

**PROOF.** First we show that  $\iota_*$  is surjective. Let  $h \in H_r(L(\mathbf{R}^n, \mathcal{F}_0))$ , and let  $c \in C_r(L(\mathbf{R}^n, \mathcal{F}_0))$  be a cycle representing  $h$ . Choose a homeomorphism  $\varphi \in L(\mathbf{R}^n, \mathcal{F}_0)$  which satisfies  $\varphi(\text{supp}(c)) \subset U$ .

Let  $I_\varphi$  be the inner automorphism of  $L(\mathbf{R}^n, \mathcal{F}_0)$ , given by  $I_\varphi(g) = \varphi g \varphi^{-1}$ . Since any inner automorphism induces the identity on homology,  $(I_\varphi)_*(h) = h$ .  $(I_\varphi)_*(h)$  is represented by the cycle  $I_\varphi(c)$  and  $\text{supp}(I_\varphi(c)) = \varphi(\text{supp}(c)) \subset U$ . Hence  $h = \iota_* h'$ , where  $h' \in H_r(L_U(\mathbf{R}^n, \mathcal{F}_0))$  is the homology class represented by  $I_\varphi(c)$ .

Next we show that  $\iota_*$  is injective. Suppose that  $h \in H_r(L_U(\mathbf{R}^n, \mathcal{F}_0))$  satisfies  $\iota_* h = 0$  and let  $c$  be a cycle in  $C_r(L_U(\mathbf{R}^n, \mathcal{F}_0))$  representing  $h$ . Then there is a chain  $c' \in C_{r+1}(L(\mathbf{R}^n, \mathcal{F}_0))$  such that  $\partial(c') = c$ . Since  $\text{supp}(c) \subset U$ , it is easy to see that there is a homeomorphism  $\phi \in L(\mathbf{R}^n, \mathcal{F}_0)$  such that  $\phi$  is the identity in a neighborhood of  $\text{supp}(c)$  and  $\phi(\text{supp}(c')) \subset U$ .

Then we have  $\partial(I_\phi(c')) = I_\phi(\partial c') = I_\phi(c) = c$  and  $I_\phi(c') \in C_{r+1}(L_U(\mathbf{R}^n, \mathcal{F}_0))$ .

This completes the proof.

PROOF OF THEOREM 2.1. We put  $U = (1, 2) \times (-1, 1)^{p-1} \times \mathbf{R}^{n-p} \subset \mathbf{R}^n$ . Take a homeomorphism  $\phi \in L(\mathbf{R}^n, \mathcal{F}_0)$  given by

$$\phi(x_1, \dots, x_n) = \left( \frac{1}{3}x_1, \dots, \frac{1}{3}x_p, x_{p+1}, \dots, x_n \right)$$

for  $(x_1, \dots, x_p, x_{p+1}, \dots, x_n) \in B(0, p+3) \times C(0, K)$  for some  $K > 0$ , where  $B(0, p+3) = \{(x_1, \dots, x_p) \in \mathbf{R}^p \mid (x_1)^2 + \dots + (x_p)^2 < (p+3)^2\}$  and  $C(0, K) = \{(x_{p+1}, \dots, x_n) \mid |x_i| < K \ (i = p+1, \dots, n)\}$ . We set  $U_j = \phi^j(U) = (1/3^j, 2/3^j) \times (-1/3^j, 1/3^j)^{p-1} \times \mathbf{R}^{n-p}$ , ( $j = 0, 1, 2, \dots$ ). Note that  $U_0 = U$ .

Then we have that  $\bar{U}_j \cap \bar{U}_k = \emptyset$  if  $j \neq k$  and  $\{\bar{U}_j\}$  shrinks to the  $(n-p)$ -dimensional subspace  $0 \times \mathbf{R}^{n-p} \subset \mathbf{R}^n$  as  $j$  goes to  $\infty$ .

For any  $g \in L_U(\mathbf{R}^n, \mathcal{F}_0)$  and  $i = 0, 1$ , we define  $\psi_i(g)$  as follow;

$$\psi_i(g)(x) = \begin{cases} \phi^j g \phi^{-j}(x) & (x \in \bar{U}_j, j \geq i) \\ x & (x \notin \bigcup_{j \geq i} \bar{U}_j). \end{cases}$$

Note that  $\psi_i(g)$  is a well-defined element of  $L(\mathbf{R}^n, \mathcal{F}_0)$  and  $\psi_i : L_U(\mathbf{R}^n, \mathcal{F}_0) \rightarrow L(\mathbf{R}^n, \mathcal{F}_0)$  is a homomorphism for  $i = 0, 1$ .

Since  $\psi_1(g) = \phi \psi_0(g) \phi^{-1}$ ,  $\psi_0$  and  $\psi_1$  are conjugate, so we have

$$(\psi_0)_* = (\psi_1)_* : H_r(L_U(\mathbf{R}^n, \mathcal{F}_0)) \rightarrow H_r(L(\mathbf{R}^n, \mathcal{F}_0)).$$

Following Mather [M], we define

$$\eta : L_U(\mathbf{R}^n, \mathcal{F}_0) \times L_U(\mathbf{R}^n, \mathcal{F}_0) \rightarrow L(\mathbf{R}^n, \mathcal{F}_0)$$

by  $\eta(g, h) = g\psi_1(h)$ .

As two homeomorphisms with disjoint supports commute and  $\text{supp}(g) \subset U$ ,  $\text{supp}(\psi_1(h)) \subset \bigcup_{j \geq 1} U_j$ , we have  $g\psi_1(h) = \psi_1(h)g$ . Hence  $\eta$  is a group homomorphism.

Let  $\Delta : L_U(\mathbf{R}^n, \mathcal{F}_0) \rightarrow L_U(\mathbf{R}^n, \mathcal{F}_0) \times L_U(\mathbf{R}^n, \mathcal{F}_0)$  denote the diagonal homomorphism. We have easily that  $\psi_0 = \eta \circ \Delta$ .

Now the proof proceeds by an induction on  $r$ . It is vacuous for  $r = 0$ . For the inductive step, we assume that  $H_s(L(\mathbf{R}^n, \mathcal{F}_0)) = 0$  for  $1 \leq s \leq r-1$ .

By Lemma 2.2, it follows that  $H_s(L_U(\mathbf{R}^n, \mathcal{F}_0)) = 0$  for  $1 \leq s \leq r-1$ .

By the Künneth formula, we have

$$H_r(L_U(\mathbf{R}^n, \mathcal{F}_0) \times L_U(\mathbf{R}^n, \mathcal{F}_0)) = H_r(L_U(\mathbf{R}^n, \mathcal{F}_0)) \oplus H_r(L_U(\mathbf{R}^n, \mathcal{F}_0)).$$

For any  $h \in H_r(L_U(\mathbf{R}^n, \mathcal{F}_0))$ ,  $\Delta_* h = h \oplus h$ , thus  $(\psi_0)_*(h) = \eta_* \Delta_*(h) = \eta_*(h \oplus h) = \iota_*(h) + (\psi_1)_*(h) = \iota_*(h) + (\psi_0)_*(h)$ . Hence  $\iota_*(h) = 0$ . From Lemma 2.2, it follows that  $h = 0$ . Thus we have  $H_r(L_U(\mathbf{R}^n, \mathcal{F}_0)) = 0$ . From Lemma 2.2, it follows that  $H_r(L(\mathbf{R}^n, \mathcal{F}_0)) = 0$ , which completes the induction.

COROLLARY 2.3.  $L_U(\mathbf{R}^n, \mathcal{F}_0)$  and  $L(\mathbf{R}^n, \mathcal{F}_0)$  are perfect groups.

PROOF. This is an immediate consequence of Theorem 2.2 because that  $H_1(G) = G/[G, G]$  for any group  $G$ .

### 3. Commutators of leaf preserving homeomorphisms.

In this section, first we show the following theorem following the proof of Lemma 4.1 in [E-K].

**THEOREM 3.1.** *Let  $(M, \mathcal{F})$  be a foliated manifold. Any  $f \in L(M, \mathcal{F})$  can be expressed as  $f = f_1 \circ f_2 \circ \cdots \circ f_r$ , where each  $f_i$  is a leaf preserving homeomorphism with support in a small ball.*

**PROOF.** First we prepare some notations. Let  $B^p = [-1, 1]^p \subset \mathbf{R}^p$ . In general, let  $aB^p = [-a, a]^p$  for  $a > 0$ . We regard  $S^1$  as the space obtained by identifying the endpoints of  $[-4, 4]$  and we let  $e : \mathbf{R} \rightarrow S^1$  denote the natural covering projection, that is,  $e(x) = (x + 4)(\text{mod } 8) - 4$ . Let  $T^p$  be the  $p$ -fold product of  $S^1$ . Then  $aB^p$  can be regarded as a subset of  $T^p$  for  $a < 4$ . Let  $e = e^p : \mathbf{R}^p \rightarrow T^p$  denote the product covering projection.

We prove the above theorem in the following three steps.

*Step 1.* Let  $\eta : 4B^p \rightarrow \mathbf{R}^p$  be the inclusion and let  $I(4B^p, \mathbf{R}^p)$  denote the space of imbeddings of  $4B^p$  into  $\mathbf{R}^p$  with the compact open topology. Let  $N(\varepsilon) = \{h \in I(4B^p, \mathbf{R}^p) \mid \|h(x) - x\| < \varepsilon \text{ (} x \in 4B^p)\}$  for  $\varepsilon > 0$ .

In the following, for a sufficiently small  $\varepsilon$ , we will construct an isotopy  $\Psi(h, t)$  of  $h$  to the identity, which satisfies  $\Psi(h, t) = h$  on  $\partial 4B^p$  and  $\Psi(h, 1) = id$  on  $B^p$ .

Let  $D_1^p, D_2^p, D_3^p$  and  $D_4^p$  be four concentric  $p$ -cells in  $T^p - 2B^p$  such that  $D_j^p \subset \text{int } D_{j+1}^p$  for each  $j$ .

As is well-known, there exists an immersion  $\alpha : T^p - D_1^p \rightarrow \text{int } 3B^p$ . Then we can assume that  $\alpha e = id$  on  $2B^p$ .

If  $h \in N(\varepsilon)$  for a small  $\varepsilon$ , then  $h$  can be covered in a natural way by an imbedding  $h_1 : T^p - D_2^p \rightarrow T^p - D_1^p$  as follows:

$$\begin{array}{ccc} T^p - D_2^p & \xrightarrow{h_1} & T^p - D_1^p \\ \alpha \downarrow & & \downarrow \alpha \\ 4B^p & \xrightarrow{h} & \mathbf{R}^p. \end{array}$$

Note that  $h_1$  is an imbedding lifting  $h$  and depends continuously on  $h$ . It is an inclusion map if  $h$  is the one.

We have that  $h_1(\text{int } D_4^p - D_2^p) \supset \partial D_3^p$  if  $\varepsilon$  is small. From the canonical Schoenflies theorem (Proposition 3.1 of [E-K]), we see that  $h_1(\partial D_3^p)$  bounds canonically a  $p$ -ball in  $D_4^p$ . By coning, we can extend  $h_1|_{T^p - D_3^p}$  to a homeomorphism  $h_2 : T^p \rightarrow T^p$  canonically if  $\varepsilon$  is small.

Note that  $h_2$  depends continuously on  $h$  and if  $h$  is the inclusion, then  $h_2 = id$ .

Now if  $h_2$  is sufficiently close to  $id$ , then  $h_2$  lifts in a natural way to a bounded homeomorphism  $h_3 : \mathbf{R}^p \rightarrow \mathbf{R}^p$  so that the following diagram commutes:

$$\begin{array}{ccc} \mathbf{R}^p & \xrightarrow{h_3} & \mathbf{R}^p \\ e \downarrow & & \downarrow e \\ T^p & \xrightarrow{h_2} & T^p, \end{array}$$

where *bounded* means that  $|h_3(x) - x| < \text{constant}$  for any  $x \in \mathbf{R}^p$ . Note that  $h_3$  depends continuously on  $h_2$  and if  $h_2 = id$ , we assume  $h_3 = id$ .

Let  $\gamma : \text{int } 3B^p \rightarrow \mathbf{R}^p$  be a homeomorphism which is a radial expansion outside a neighborhood of  $2B^p$  and is the identity on  $2B^p$ . Define a homeomorphism  $h_4 : \mathbf{R}^p$

$\rightarrow \mathbf{R}^p$  by

$$h_4(x) = \begin{cases} \gamma^{-1} \circ h_3 \circ \gamma(x) & (x \in \text{int } 3B^p) \\ x & (x \in \mathbf{R}^p - \text{int } 3B^p). \end{cases}$$

The continuity of  $h_4$  follows from the fact that  $h_3$  is bounded. Note that (1)  $h_4$  depends continuously on  $h$  and if  $h = \eta$ , then  $h_4 = id$ , and (2)  $\alpha\epsilon\gamma h_4(x) = h\alpha\epsilon\gamma(x) = h(x)$  for  $x \in 2B^p \cap h_4^{-1}(2B^p)$ .

From (1), we have  $h_4(B^p) \subset 2B^p$  for a small  $\epsilon$ . Since  $\alpha\epsilon\gamma = id$  on  $2B^p$ , we have that  $h_4 = h$  on  $B^p$ .

We put  $g = h_4$ . Using the Alexander trick, we define an isotopy

$$g_t : \mathbf{R}^p \rightarrow \mathbf{R}^p, \quad t \in [0, 1],$$

from the identity to  $g$ ,

$$g_t(x) = \begin{cases} tg\left(\frac{1}{t}x\right) & (t > 0) \\ x & (t = 0). \end{cases}$$

Define a deformation

$$\Psi : N(\epsilon) \times [0, 1] \rightarrow I(4B^p, \mathbf{R}^p)$$

by  $\Psi(h, t) = g_t^{-1} \circ h$ .

If  $\epsilon$  is small, we may assume that  $h(\partial 4B^p) \cap 3B^p = \emptyset$  for  $h \in N(\epsilon)$ . Thus we have  $\Psi(h, t) = h$  on  $\partial 4B^p$ .

Note that (1)  $\Psi(\eta, t) = \eta$  for any  $t$ , and (2)  $\Psi(h, 0) = h$  and  $\Psi(h, 1) = g^{-1} \circ h$ , which is the identity on  $B^p$ .

*Step 2.* Now we consider a foliated version. Let  $4B^p \times 2B^{n-p}$  be a foliated chart, that is,  $\{(x, y) = (x_1, \dots, x_p, y_1, \dots, y_{n-p}) \in 4B^p \times 2B^{n-p} \mid y_1 = c_1, \dots, y_{n-p} = c_{n-p}\}$  gives a connected component of a leaf in this chart. Let  $h : 4B^p \times 2B^{n-p} \rightarrow \mathbf{R}^p \times \mathbf{R}^{n-p}$  be of the form  $(h(x, y), y)$  ( $x \in 4B^p, y \in 2B^{n-p}$ ) and close to the inclusion. We put  $h_y = h(\cdot, y)$ . Note that for each  $y \in 2B^{n-p}$ ,  $h_y : 4B^p \rightarrow \mathbf{R}^p$  is close to the inclusion  $\eta$ . Then performing the procedure in Step 1, we construct  $g_y$  canonically from  $h_y$  for each  $y \in 2B^{n-p}$ . Using the Alexander trick again, we define

$$g_{y,t}(x) = \begin{cases} tg_y\left(\frac{1}{t}x\right) & (t > 0) \\ x & (t = 0), \text{ where } x \in 4B^p. \end{cases}$$

Note that  $g_{y,t}$  is continuous on  $y$ .

Let  $\lambda : 2B^{n-p} \rightarrow [0, 1]$  be a continuous function such that

$$\lambda(y) = \begin{cases} 1 & (\|y\| \leq 1) \\ 0 & (\|y\| = 2). \end{cases}$$

For the deformation  $\Psi(h, t)(x, y) = (g_{y,t}^{-1} \circ h_y(x), y)$ , we define a new deformation  $\Phi$  by

$$\Phi(h, t)(x, y) = \Psi(h, \lambda(y)t)(x, y) \quad (x \in 4B^p, y \in 2B^{n-p}).$$

This satisfies that (1)  $\Phi(h, 0) = h$ , (2)  $\Phi(h, 1)(x, y) = (g_{y, \lambda(y)}^{-1} \circ h_y(x), y)$ , which is the identity on  $B^p \times B^{n-p}$ , and (3)  $\Phi(h, t) = h$  on  $\partial(4B^p \times 2B^{n-p})$ .

*Step 3.* Now we prove the theorem. Take a foliated chart  $U_1(\cong \text{int}(5B^p \times 3B^{n-p}) \supset 4B^p \times 2B^{n-p})$ , for  $(M, \mathcal{F})$ . Let  $f \in L(M, \mathcal{F})$ . We can assume that  $f$  is close to the identity. We put  $h = f|_{4B^p \times 2B^{n-p}}$ . Then we can regard  $h$  as the map in Step 2. We put  $\bar{f}_1 = \Phi(h, 1)$  and  $f_1 = f \circ \bar{f}_1^{-1}$ . Since  $\bar{f}_1 = f$  on  $\partial(4B^p \times 2B^{n-p})$ ,  $\bar{f}_1$  can be extended to an element of  $L(M, \mathcal{F})$  by using  $f$ . Then  $\text{supp}(f_1)$  is contained in  $4B^p \times 2B^{n-p} \subset U_1$ .

Next taking another chart  $U_2(\cong \text{int}(5B^p \times 3B^{n-p}))$ , we perform the procedure in Step 2 for  $f_1^{-1} \circ f$  and  $U_2$  to get  $\bar{f}_2$  and  $f_2 = f_1^{-1} \circ f \circ \bar{f}_2^{-1}$ . Then  $\text{supp}(f_2)$  is contained in  $U_2$ . Note that the identity part of  $\bar{f}_2$  increases definitely than that of  $\bar{f}_1$ , since the deformation  $\Phi$  keeps the identity part of  $\bar{f}_1$  fixing. Since the support of  $f$  is compact, continuing this procedure finite times, we can get leaf preserving homeomorphisms  $f_1, f_2, \dots, f_r$  such that the support of each  $f_i$  is contained in a small ball and  $f = f_1 \circ f_2 \circ \dots \circ f_r$ .

This completes the proof.

We have the following theorem from Corollary 2.3 and Theorem 3.1.

**THEOREM 3.2.**  $L(M, \mathcal{F})$  is perfect.

**PROOF.** Let  $f \in L(M, \mathcal{F})$ . We may assume that  $f$  is close to the identity. From Theorem 3.1, we have  $f = f_1 \circ f_2 \circ \dots \circ f_r$ , where each  $f_i$  is a leaf preserving homeomorphism whose support is contained in a small ball.

Hence we can assume that  $f_i \in L(\mathbf{R}^n, \mathcal{F}_0)$  for each  $i$ . From Corollary 2.3, we have that  $f_i$  is in the commutator subgroup of  $L(\mathbf{R}^n, \mathcal{F}_0)$  and hence  $f$  is in the commutator subgroup of  $L(M, \mathcal{F})$ . Thus  $L(M, \mathcal{F})$  is perfect.

#### 4. $H_1(F(\mathcal{F}))$ for codimension one foliations.

In this section, we consider the first homology of  $F(M, \mathcal{F})$  for a codimension one foliation  $\mathcal{F}$ . Let  $M$  be a compact topological manifold without boundary and  $\mathcal{F}$  a codimension one foliation of  $M$ . Hereafter we simply write  $F(\mathcal{F})$ ,  $L(\mathcal{F})$  instead of  $F(M, \mathcal{F})$ ,  $L(M, \mathcal{F})$  respectively.

By Theorem 6.26 of [S], there exists a one dimensional foliation  $\mathcal{T}$  of  $M$  transverse to  $\mathcal{F}$ . The following lemma is easy to prove.

**LEMMA 4.1.** *Let  $f$  be an element of  $F(\mathcal{F})$  sufficiently close to the identity. Then  $f$  is uniquely decomposed as  $f = g \circ h$ , where  $h$  (resp.  $g$ ) is an element of  $F(\mathcal{F}) \cap L(\mathcal{T})$  (resp.  $L(\mathcal{F})$ ) and  $h$  and  $g$  are also close to the identity.*

**LEMMA 4.2.** *Let  $f$  be an element of  $F(\mathcal{F})$  and  $L$  a leaf of  $\mathcal{F}$ . If  $f(L) \neq L$ , then the holonomy group of  $L$  is trivial.*

**PROOF.** It is sufficient to prove the lemma for  $f$  close to the identity. Consider a path  $\{f_t\}_{0 \leq t \leq 1}$  in  $F(\mathcal{F})$  from the identity to  $f$ . Let  $f_t = g_t \circ h_t$  be the decomposition of Lemma 4.1 and  $C$  be a closed curve in  $L$ . Then  $h_t(C)$  is closed for any  $t$  ( $0 \leq t \leq 1$ ), hence the holonomy along  $C$  is trivial. This proves the lemma.

We define the subset  $S_0$  of  $M$  by

$$S_0 = \{x \in M \mid \text{there exists an element } f \text{ of } F(\mathcal{F}) \text{ such that } f(L_x) \neq L_x\}.$$

By definition,  $S_0$  is an open  $\mathcal{F}$ -saturated set and by Lemma 4.2, all leaves in  $S_0$  have trivial holonomy.

**THEOREM 4.3.** *Let  $S$  be a connected component of  $S_0$ . Then clearly  $S$  is invariant under the action of  $F(\mathcal{F})$  and  $S$  is one of the following three types.*

*Type P:  $S$  is homeomorphic to  $L \times (0, 1)$  and the foliations  $\mathcal{F}|_S$  and  $\mathcal{T}|_S$  correspond to the product structure of  $L \times (0, 1)$ .*

*Type R: There exists a closed transverse curve  $C$  in  $S$  such that  $C$  meets each leaf of  $\mathcal{F}|_S$  at exactly one point and the natural map*

$$p : S \rightarrow C, \quad p(x) = L_x \cap C$$

*is a fibration and  $\mathcal{T}|_S$  is a connection of the fibration  $p$ .*

*Type D: All leaves of  $\mathcal{F}$  in  $S$  are dense in  $S$  and there exists a one parameter subgroup  $\{\phi_t\}$  of  $F(\mathcal{F}|_S)$  whose orbits are leaves of  $\mathcal{T}|_S$ .*

Here we make some preparations. By the results of Siebenmann [S], many devices used in the study of differentiable codimension one foliations are available in topological case. For example if  $C$  is a closed curve transverse to  $\mathcal{F}$ , then a transversal foliation  $\mathcal{T}'$  is chosen so that  $C$  is a leaf of  $\mathcal{T}'$ . So the argument of [I] works for topological case. Since we are interested in the set  $S_0$  of the leaves with trivial holonomy, we can assume that  $\mathcal{F}$  is orientable. Then it is easy to construct a topological flow  $\{\psi_t\}$  on  $M$  whose orbits are leaves of  $\mathcal{F}$ . By using  $\{\psi_t\}$ , we can define the notion of holonomy map and we have the following facts.

*Fact I.* Let  $x$  be a point of  $M$ ,  $y = \psi_{t_0}(x)$  and suppose that the holonomy of  $L_{\psi_t(x)}$  is trivial for  $0 \leq t \leq t_0$ , then  $L_y$  is homeomorphic to  $L_x$  via holonomy maps. (This follows from [I] Corollary 3.1.)

*Fact II.* Let  $C$  be a closed curve transverse to  $\mathcal{F}$ . Suppose that any leaf in the  $\mathcal{F}$ -saturation of  $C$ ,  $S(C)$ , has trivial holonomy, then a leaf in  $\partial S(C)$  has a non-trivial holonomy. (This is a special case of [I] Lemma 3.6.)

*Fact III.* Let  $C$  be as above. Suppose that there exists a leaf  $L$  such that  $L \cap C$  is infinite, then either (i) there exists an exceptional leaf  $L_0$  in  $S(C)$  and all leaves in  $S(C) - \bar{L}_0$  are proper leaves or (ii) all leaves in  $S(C)$  are dense in  $S(C)$  and there exists a one parameter group  $\{\phi_t\}$  of  $\mathcal{F}$ -preserving homeomorphisms of  $S(C)$  whose orbits are leaves of  $\mathcal{T}|_{S(C)}$ . (This follows from the proof of [I] Lemma 2.1 and Theorem 1.3.)

**PROOF OF THEOREM 4.3.** Suppose that there is no closed curve transverse to  $\mathcal{F}|_S$ , then any leaf  $T$  of  $\mathcal{T}|_S$  is homeomorphic to an open interval  $(0, 1)$  and  $T \cap L$  is one point. So by Fact I,  $S$  is homeomorphic to  $L \times (0, 1)$ .

If there exists a closed transverse curve  $C$  in  $S$ , then we have  $S = S(C)$  by Fact II. Suppose that  $C \cap L$  is finite. Then we can modify  $C$  to  $C'$  such that  $C' \cap L$  is one point. Then for any leaf  $L'$  in  $S$ , we have  $L' \cap C' = \text{one point}$ . In fact if  $L' \cap C'$  has two points, then we can construct a closed transverse curve  $C''$  such that  $S(C'') \cap L = \emptyset$ . But this contradicts to  $S = S(C'')$ . So we have a natural map  $p : S \rightarrow C'$  and this is a fibration by Fact I.

Suppose that  $C \cap L$  is infinite. If there exists an exceptional leaf  $L_0$  in  $S$ , then as in the proof of Lemma 4.2, all nearby leaves of  $L_0$  must be exceptional but this contradicts to Fact III. So by Fact III, all leaves in  $S$  are dense in  $S$ . This completes the proof.

LEMMA 4.4. *An orientation preserving homeomorphism  $f$  of  $[0, 1]$  is a commutator.*

PROOF. Suppose that  $f(x) > x$  for any  $x \in (0, 1)$ . Then there exists a homeomorphism  $h$  of  $(0, 1)$  onto  $\mathbf{R}$  such that  $h \circ f \circ h^{-1}(t) = t + 1$ . Let  $r$  be a rotation of  $S^1 = \mathbf{R}/\mathbf{Z}$  of angle  $2\pi\alpha$ . If  $\alpha \neq 1/2$ , then by Proposition 5.1 of [W] we have  $r = [g_1, g_2]$ , where  $g_\varepsilon \in \mathcal{H}(S^1)$  (the homeomorphism group of  $S^1$ ), ( $\varepsilon = 1, 2$ ). Let  $\tilde{g}_\varepsilon$  be the lift of  $g_\varepsilon$  to a homeomorphism of  $\mathbf{R}$  and define  $\tilde{r} = [\tilde{g}_1, \tilde{g}_2]$ . Then we have  $\tilde{r}(s) = s + n + \alpha$  for some integer  $n$ . By changing the coordinate  $s$  to  $t$  by  $(n + \alpha)t = s$ , we have  $\tilde{r}(t) = t + 1$ . Thus  $f = h^{-1} \circ [\tilde{g}_1, \tilde{g}_2] \circ h$  is a commutator. If  $f(x) < x$  for any  $x \in (0, 1)$ , then consider  $f^{-1}$ . If  $f$  has fixed points in  $(0, 1)$ , consider the restriction of  $f$  to each connected component of  $\{x \mid f(x) \neq x\}$  and we see that any  $f$  is a commutator.

LEMMA 4.5. *Let  $\mathcal{PH}(\mathbf{R})$  be the group of periodic homeomorphisms of  $\mathbf{R}$  of period 1. Then any element of  $\mathcal{PH}(\mathbf{R})$  which is close to the identity is expressed as a product of two commutators.*

PROOF. Let  $f$  be an element of  $\mathcal{PH}(\mathbf{R})$  close to the identity. If  $f$  has a fixed point, then as in the proof of Lemma 4.4,  $f$  is a commutator. If  $f$  has no fixed points, there exists a small translation  $t$  of  $\mathbf{R}$  such that  $t \circ f$  has a fixed point. Then  $t$  is a commutator ([W]). Thus  $f$  is represented by two commutators.

THEOREM 4.6. *Let  $\mathcal{F}$  be a codimension one foliation of a compact manifold  $M$ . Suppose that  $\mathcal{F}$  has no components of type D and has only a finite number of components of type R. Then  $F(\mathcal{F})$  is perfect.*

PROOF. We can suppose that the transverse foliation  $\mathcal{T}$  has a closed leaf  $C_i$  on each component  $S_i$  of type R which intersects each leaf of  $\mathcal{F}|_{S_i}$  at one point. Moreover we can define an  $\mathcal{F}$ -preserving flow  $\varphi_t$  on  $S_i$  such that orbits are leaves of  $\mathcal{T}|_{S_i}$  and  $L_{\varphi_t(x)} = L_{\varphi_{t+1}(x)}$ . Suppose that  $f$  is close to identity and let  $f = g \circ h$  be the decomposition of Lemma 4.1. Then choosing a leaf  $L$  of  $\mathcal{F}|_{S_i}$ ,  $h$  induces a periodic homeomorphism  $\hat{h}^i$  of  $\mathbf{R}$  such that  $h(\varphi_t(x)) = \varphi_{\hat{h}^i(t)}(x)$  for any  $x \in L$  and  $t \in \mathbf{R}$ . Since  $\hat{h}^i$  is close to the identity, by Lemma 4.5 we have  $\hat{h}^i = [h_1^i, h_2^i][h_3^i, h_4^i]$ , where  $h_\varepsilon^i \in \mathcal{PH}(\mathbf{R})$  ( $\varepsilon = 1, 2, 3, 4$ ). For any  $y \in S_i$ , we choose  $t$  satisfying  $\varphi_{-t}(y) \in L$  and put  $\tilde{h}_\varepsilon^i(y) = \varphi_{\hat{h}_\varepsilon^i(t)}(\varphi_{-t}(y))$ . Then we have  $h|_{S_i} = [\tilde{h}_1^i, \tilde{h}_2^i][\tilde{h}_3^i, \tilde{h}_4^i]$ .

Similarly we can define  $\tilde{h}_\varepsilon^i \in F(\mathcal{F}|_{S_i}) \cap L(\mathcal{T}|_{S_i})$  on each component  $S_j$  of type P such that  $h|_{S_j} = [\tilde{h}_1^j, \tilde{h}_2^j][\tilde{h}_3^j, \tilde{h}_4^j]$ , where  $\tilde{h}_3^j$  and  $\tilde{h}_4^j$  are the identity. Then we can define  $h_\varepsilon \in F(\mathcal{F}) \cap L(\mathcal{T})$  by  $h_\varepsilon = \tilde{h}_\varepsilon^i$  on component  $S_i$  of type R and P and by  $h_\varepsilon(x) = x$  for  $x \notin S_0$ . Then we have  $h = [h_1, h_2][h_3, h_4]$  and by Theorem 3.2,  $F(\mathcal{F})$  is perfect. This completes the proof.

REMARK 4.7. From Theorem 4.6, we see that  $F(S^3, \mathcal{F}_R)$  is perfect for the Reeb foliation  $\mathcal{F}_R$  of  $S^3$ . In contrast with topological case, differentiable case is as follows. Let  $F^r(S^3, \mathcal{F}_R)$  be the group of foliation preserving  $C^r$ -diffeomorphisms of



$(S^3, \mathcal{F}_R)$  isotopic to the identity by a foliation preserving isotopy. Then Lemma 1 of [F-U] implies that  $F^r(S^3, \mathcal{F}_R)$  is not perfect for  $r \geq 1$ .

For a type D-component  $S$ , we define a submodule  $Per(S)$  of  $\mathbf{R}$  by

$$Per(S) = \{t \in \mathbf{R} \mid \varphi_t(L) = L \text{ for one and all leaves } L \text{ in } S\}$$

$Per(S)$  depends on the parametrization of  $\{\varphi_t\}$  but the quotient group  $\mathbf{R}/Per(S)$  is determined by  $\mathcal{F}|_S$  and, as a set, this is the space of leaves of  $\mathcal{F}|_S$ .

**THEOREM 4.8.** *Let  $S$  be a type D-component. Then there exists a homomorphism  $\pi$  of  $F(\mathcal{F})$  onto  $\mathbf{R}/Per(S)$  and we have  $\ker \pi = \{f \in F(\mathcal{F}) \mid f(L) = L \text{ for any leaf } L \text{ in } S\}$ .*

**PROOF.** Let  $f$  be an  $\mathcal{F}$ -preserving homeomorphism of  $M$  and suppose that  $f$  is sufficiently close to the identity and  $f = g \circ h$  be the decomposition of Lemma 4.1. Then  $h(x) = \varphi_t(x)$  for some  $t \in \mathbf{R}$  and any  $x \in S$  and we define  $\pi(f) = t$ . For general  $f$ ,  $f$  is decomposed as  $f = \prod f_i$ , where  $f_i$  are sufficiently close to the identity and we define  $\pi(f) = \sum \pi(f_i)$ . This depends on the decomposition of  $f$  but  $\pi(f) \bmod Per(S)$  is uniquely determined by  $f$  and clearly  $\pi$  is a homomorphism. For any  $t \in \mathbf{R}$  we define  $f \in F(\mathcal{F})$  by  $f(x) = \varphi_t(x)$  for  $x \in S$  and  $f(x) = x$  for  $x \notin S$ . Then  $\pi(f) \equiv t \bmod Per(S)$ , so  $\pi$  is surjective.

Let  $\pi : F(\mathcal{F}) \rightarrow \prod \mathbf{R}/Per(S_i)$  denote the homomorphism defined by  $\pi(f) = \prod \pi_i(f)$  for  $f \in F(\mathcal{F})$ , where  $\pi_i$  is a homomorphism in the above lemma for a type D-component  $S_i$  and the product is taken for all type D-components  $S_i$  of  $\mathcal{F}$ . Then  $\pi$  induces a homomorphism  $\pi_*$  of  $H_1(F(\mathcal{F}))$  to  $H_1(\prod \mathbf{R}/Per(S_i)) \cong \prod \mathbf{R}/Per(S_i)$ . Then we have the following.

**THEOREM 4.9.** *The homomorphism  $\pi_*$  of  $H_1(F(\mathcal{F}))$  to  $\prod \mathbf{R}/Per(S_i)$  is surjective.*

This is an easy consequence of Theorem 4.8 and a non-zero element of  $\ker \pi_*$  is represented by a leaf preserving homeomorphism which is not isotopic to the identity via leaf preserving homeomorphisms. For a very simple case, we have the following.

**THEOREM 4.10.** *Let  $\mathcal{F}$  be a foliation of the torus  $T^n$  defined by a 1-form  $\omega = \sum a_i dx_i$ . If one of  $a_i/a_j$  is irrational, then  $H_1(F(\mathcal{F}))$  is isomorphic to  $\mathbf{R}/a_1\mathbf{Z} + \cdots + a_n\mathbf{Z}$ .*

**PROOF.** In this case,  $T^n$  is the component of type D,  $Per(T^n) = a_1\mathbf{Z} + \cdots + a_n\mathbf{Z}$  and  $\mathcal{F}$  and  $\varphi_t$  can be defined by  $\partial/\partial x^1$  if  $a_1 \notin 0$ . Let  $f$  be an element of  $\ker \pi$ . We can suppose that  $f$  is close to the identity and let  $f = g \circ h$  be the decomposition of Lemma 4.1. Then  $h(x) = \varphi_t(x)$  for some  $t \in Per(T^n)$ . Since  $\varphi_t$  is a parallel translation on each leaf of  $\mathcal{F}$ ,  $f$  is contained in  $L(\mathcal{F})$ . So by Theorem 3.2,  $f$  is in the commutator subgroup of  $L(\mathcal{F})$ . In particular,  $f$  represents a zero element. This completes the proof.

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