

## On embeddings of spaces into ANR and shapes

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### § 1. Introduction.

Shapes of compact metric spaces were introduced by K. Borsuk [3]. He generalized in [2] and [4] this concept to general metric spaces by defining weak shapes and positions. The notions of shapes or weak shapes of spaces give classifications of spaces coarser than homotopy type and they are determined by circumstance under which the space is embedded into an AR as a closed set. In this paper we shall show that a given metric space  $X$  is embedded into an AR with a convenient structure for investigating shape theoretical properties of  $X$ . By making use of this embedding, for a locally compact metric space  $X$ , it is shown that there is a locally compact  $\Delta$ -space whose weak shape is equal to  $X$ 's. In case  $X$  is compact this fact has been proved in [12] by Mardešić-Segal approach to shape [13]. However the compactness of a space is essential in Mardešić-Segal approach and we can not use it for our case. The concept of fundamental skeletons of a space is introduced. Every  $\Delta$ -space has fundamental skeletons, but it is known that there is an AR which does not have fundamental skeletons. Finally a partial answer to a problem concerning position raised by Borsuk [4] is given.

Throughout this paper all of spaces are metric and maps are continuous. By an AR and an ANR we mean always an AR for metric spaces and an ANR for metric spaces, and by dimension we imply the covering dimension.

### § 2. Embedding of spaces into ANR.

Let  $X$  and  $Y$  be metric spaces and let  $f: X \rightarrow Y$  be a continuous map. We define a *metrizable mapping cylinder*  $M(X, Y, f)$  as follows. It is obtained by identifying points  $(x, 1) \in X \times \{1\} \subset X \times I$  and  $f(x) \in Y$  for  $x \in X$  in a topological sum  $X \times I \cup Y$ , where  $I = [0, 1]$ . Let  $p: X \times I \cup Y \rightarrow M(X, Y, f)$  be a quotient map. We denote  $p(x, t)$  for  $(x, t) \in X \times I$  by  $(x, t)$  and  $p(y)$  for  $y \in Y$  by  $y$  simply. We consider  $X$  and  $Y$  as subsets of  $M(X, Y, f)$  ( $X$  is identified with the set  $\{(x, 0): x \in X\}$ ). We give  $M(X, Y, f)$  the following topology. A point  $(x, t)$ ,  $x \in X$  and  $0 \leq t < 1$ , has a neighborhood system consisting of all sets of the form  $U \times V$ , where  $U$  and  $V$  range over neighborhoods of  $x$  and  $t$

in  $X$  and  $[0, 1) = \{t: 0 \leq t < 1\}$  respectively. For a point  $y \in Y$ , the collection  $\{W \cup f^{-1}(W) \times \{t: 1/n < t < 1\} : W \text{ is a neighborhood of } y \text{ in } Y \text{ and } n = 1, 2, \dots\}$  forms a neighborhood base at  $y$  in  $M(X, Y, f)$ . Obviously  $M(X, Y, f)$  is metrizable and it contains  $X$  and  $Y$  as closed sets. If  $Y$  consists of one point, then we obtain a *metrizable cone*  $C(X)$  over  $X$ . The following theorem is essentially due to Bothe [5].

**THEOREM 1.** *Let  $X$  be a finite dimensional metric space. Then there is an ANR  $M(X)$  satisfying the following conditions.*

- (1)  $M(X)$  contains  $X$  as a closed set.
- (2)  $w(M(X)) = w(X)$ , where  $w(X)$  is the weight of  $X$ .
- (3)  $\dim M(X) = \dim X + 1$ .
- (4) If  $X$  is complete, then  $M(X)$  is complete.
- (5) If  $X$  is locally compact, then  $M(X)$  is locally compact.

**PROOF.** Choose a sequence of locally finite open covers  $\{\mathfrak{U}_n : n = 1, 2, \dots\}$  of  $X$  such that order of  $\mathfrak{U}_n \leq \dim X + 1$ ,  $\mathfrak{U}_{n+1} \overset{*}{>} \mathfrak{U}_n$  for each  $n$  and mesh  $\mathfrak{U}_n \rightarrow 0$  ( $n \rightarrow \infty$ ). Here we mean by  $\mathfrak{U} \overset{*}{>} \mathfrak{B}$  (resp.  $\mathfrak{U} > \mathfrak{B}$ ) that  $\mathfrak{U}$  is a refinement (resp. star refinement) of  $\mathfrak{B}$ . By  $K_n$  we denote the nerve of  $\mathfrak{U}_n$  with metric topology. Take a vertex  $v$  of  $K_{n+1}$  and let  $V$  be the element of  $\mathfrak{U}_{n+1}$  corresponding to  $v$ . Let  $\sigma(v)$  be the closed simplex of  $K_n$  which is spanned by vertices corresponding to all elements of  $\mathfrak{U}_n$  containing  $V$ . Map  $v$  to the barycenter of  $\sigma(v)$ . By extending linearly this map we obtain a map  $\pi_{n+1} : K_{n+1} \rightarrow K_n$  which is a simplicial map from  $K_{n+1}$  into the barycentric subdivision of  $K_n$ . The inverse sequence  $\{K_n, \pi_{n+1}\}$  is called a *barycentric system* on the sequence  $\{\mathfrak{U}_n\}$  by Isbell [8]. The limit space  $\lim_{\leftarrow} \{K_n\}$  is equal to a completion  $X^*$  of  $X$  (cf. [14, Theorem 14.4] and [8, Lemma 33]). Let  $\mu_n : X^* \rightarrow K_n$  be the projection and put  $\pi_n = \mu_n|X$ ,  $n = 1, 2, \dots$ . By  $M(K_{n+1}, K_n, \pi_{n+1})$  denote a metrizable mapping cylinder. Consider a topological sum  $N = \bigcup_{n=1}^{\infty} M(K_{n+1}, K_n, \pi_{n+1})$ . For each  $n$ , by identifying  $K_{n+1} \times \{0\}$  of  $M(K_{n+1}, K_n, \pi_{n+1})$  and  $K_{n+1}$  of  $M(K_{n+2}, K_{n+1}, \pi_{n+2})$  in  $N$  we obtain a metrizable space  $M$  in which each  $M(K_{n+1}, K_n, \pi_{n+1})$  has a proper topology as a closed set. Since  $\pi_{n+1}$  is piecewise linear,  $M$  is a cell complex. Put  $M(X) = M \cup X$ . Give  $M(X)$  the following topology:  $M$  is open in  $M(X)$  and has its proper topology. Take  $x \in X$ . For  $n = 1, 2, \dots$ , let  $V$  be an open star containing  $\pi_n(x)$  in  $K_n$ . For  $m > n$ , consider an open set  $(\pi_{nm})^{-1}V \times [0, 1)$  of  $M(K_{m+1}, K_m, \pi_{m+1})$ , where  $\pi_{nm} = \pi_{n+1} \cdots \pi_{m-1}$ . The collection of the sets of the form  $(\pi_n^{-1}(V) \cap X) \cup \bigcup_{m=n+1}^{\infty} (\pi_{nm})^{-1}V \times [0, 1)$ , where  $V$  ranges over open stars containing  $\pi_n(x)$  in  $K_n$ ,  $n = 1, 2, \dots$ , forms a neighborhood base of  $x$  in  $M(X)$ . Obviously  $M(X)$  is a metrizable space with  $\dim M(X) = \dim X + 1$  and contains  $X$  as a closed set. For each  $n$ , let  $M_n$  be the subspace

$\bigcup_{m=1}^{n+1} M(K_{m+1}, K_m, \pi_{m m+1})$  of  $M(X)$ , where  $M_1 = K_1$ . Define  $\nu_n : M(X) \rightarrow M_n$  by putting

$$\begin{aligned} \nu_n(x) &= \pi_n(x), & x \in X, \\ \nu_n(x, t) &= \pi_{n m+1}(x), & (x, t) \in M(K_{m+1}, K_m, \pi_{m m+1}), \quad m \geq n, \\ \nu_n(x, t) &= (x, t), & (x, t) \in M(K_{m+1}, K_m, \pi_{m m+1}), \quad m < n. \end{aligned}$$

Obviously  $\nu_n$  is continuous. Let  $U$  be an open set of  $M_n$  and let  $W = \nu_n^{-1}(U)$ . It is easy to show that  $U$  is a strong deformation retract of  $W$ . Thus we can know that  $M(X)$  is locally contractible. Since  $M(X)$  is finite dimensional,  $M(X)$  is an ANR by [9, Theorem 1]. If  $X$  is complete, then we can choose a sequence of covers  $\{\mathfrak{U}_n\}$  used in the construction of  $M(X)$  such that  $X$  is equal to  $X^* = \varprojlim \{K_n\}$ . It is easy to know that  $M(X)$  has a complete  $\aleph_0$  system of open coverings in the sense of Frolik. From [6, Theorem 2.4] follows the completeness of  $M(X)$ . Finally, let  $X$  be locally compact. If we choose a locally finite open cover  $\mathfrak{U}_n, n=1, 2, \dots$ , such that each member of  $\mathfrak{U}_n$  has a compact closure, then  $X = \varprojlim \{K_n\}$  and  $M(X)$  is locally compact. By the construction of  $M(X)$  it is obvious that  $w(M(X)) = w(X)$ . This completes the proof.

If we construct a metrizable cone  $C(K_1)$  over the subset  $K_1$  of  $M(X)$ , then the union  $M(X) \cup C(K_1)$  is an AR. Hence we have

COROLLARY 1. *For every finite dimensional metric space  $X$  there is an AR  $M(X)$  satisfying the conditions (1)-(4) in Theorem 1.*

Let  $Y$  be a discrete space consisting of uncountable points. Then there does not exist a locally compact AR containing  $Y$ . Hence we can not strengthen Corollary 1 by replacing (1)-(4) by (1)-(5).

COROLLARY 2. *Let  $\tau$  be an infinite cardinal number. For each  $n=0, 1, 2, \dots$ , there is an AR  $A(\tau, n)$  with  $w(A(\tau, n)) = \tau$  and  $\dim A(\tau, n) = n+1$  such that if  $X$  is a metric space with  $w(X) \leq \tau$  and  $\dim X \leq n$  then  $X$  is embedded into  $A(\tau, n)$ .*

This is a consequence of Nagata [15] and Corollary 1.

### § 3. Fundamental skeletons and $\mathcal{A}$ -spaces.

Let  $X$  be a space and  $n=0, 1, 2, \dots$ . K. Borsuk [1] introduced the concept of homological and homotopical  $n$ -skeletons of  $X$ . As a shape theoretical modification of it we introduce the following concept (see [10, p. 44]).

DEFINITION 1. Let  $X$  be a space and  $n=0, 1, 2, \dots$ . By a *fundamental  $n$ -skeleton*  $X^n$  of  $X$  we mean a subset of  $X$  satisfying the following conditions:

- (i)  $X^n$  is a closed subset of  $X$  with  $\dim X^n \leq n$ .
- (ii) If  $x_0 \in X^n$  and  $i : (X^n, x_0) \rightarrow (X, x_0)$  is the inclusion map, then the induced

homomorphisms  $i_*$  of  $\check{H}_k^c(X^n : G)$  into  $\check{H}_k^c(X : G)$  and of  $\pi_k(X^n, x_0)$  into  $\pi_k(X, x_0)$  are isomorphisms for  $0 \leq k < n$  and epimorphisms for  $k = n$  respectively. Here  $\check{H}_*^c$  is the Čech homology group with compact carriers,  $G$  is an arbitrary abelian group and  $\pi_*$  is the fundamental group defined in [3, § 32].

The  $n$ -skeleton of a simplicial complex is a fundamental  $n$ -skeleton of it. For every continuum  $X$ , every closed 0-dimensional subset of  $X$  is its fundamental 0-skeleton. If  $X$  is totally disconnected and  $\dim X > 0$ , then there is no fundamental 0-skeleton of  $X$ .

EXAMPLE 1. Let  $Y$  be a solenoid of Van Dantzig. Then  $Y$  has a fundamental 0-skeleton  $Y^0$  such that  $Y^0$  is homeomorphic to a Cantor discontinuum and the quotient space  $Y/Y^0$  is arcwise connected.

EXAMPLE 2. It is known that every compact ANR has a fundamental  $k$ -skeleton for  $k = 0, 1$ . However there is a compact ANR which has no fundamental  $k$ -skeleton for each  $k = 2, 3, \dots$ . Such an ANR  $X$  is given by a modification of the example constructed by Borsuk [1]. Consider a 2-sphere  $S^2$ . Let  $A$  be an arc in  $S^2$ . Take a map  $f$  from  $A$  onto the Hilbert cube  $Q$ . Let  $X$  be the adjunction space obtained by  $S^2$ ,  $Q$  and  $f$ . Obviously  $X$  does not have any fundamental  $k$ -skeletons for  $k \geq 2$ .

EXAMPLE 3. Consider the continua  $M_R$ ,  $M_{R^p}$ ,  $M_{Z^p}$  and  $M_{Q^p}$  constructed in [14, Appendix, pp. 228-230]. Each of them does not have any fundamental 1-skeleton, because any open set in it contains a 1-sphere which represents non homologous cycle.

DEFINITION 2. A metric space  $X$  is said to be a  $\Delta$ -space if there is an inverse sequence  $\{K_n, \pi_{n, n+1}\}$  consisting of simplicial complexes  $K_n$  with metric topology and simplicial maps  $\pi_{n, n+1} : K_{n+1} \rightarrow K_n$  whose limit space is homeomorphic to  $X$  (cf. [10] and [12]).

Every polytope is a  $\Delta$ -space. As known in [12, Theorem 1] there is a 1-dimensional compact AR which is not a  $\Delta$ -space. For examples given above, it is known that any solenoid of Van Dantzig is a  $\Delta$ -space but each of continua  $M_R$ ,  $M_{R^p}$ ,  $M_{Z^p}$  and  $M_{Q^p}$  and the AR in Example 2 are not.

THEOREM 2. Let  $X$  be a finite dimensional locally compact metric space. Then there is a locally compact  $\Delta$ -space  $Y$  such that  $Sh_w(X) = Sh_w(Y)$  and  $\dim X = \dim Y$ .

Here  $Sh_w(X)$  is the weak shape of  $X$  defined by K. Borsuk (see for definition [2, p. 79] and [4, § 5]). In case  $X$  is compact, the theorem has been proved in [12] by using Mardešić-Segal approach to shape [13]. In this approach by means of ANR sequences, note that the compactness of a space is essential.

PROOF OF THEOREM 2. We shall make use of an AR  $M(X)$  constructed in the proofs of Theorem 1 and Corollary 1. Let  $\mathfrak{U}_n$ ,  $n = 1, 2, \dots$ , be a sequence

of locally finite open covers of  $X$  such that each member of  $\mathfrak{U}_n$  has a compact closure, order of  $\mathfrak{U}_n \leq \dim X + 1$ ,  $\mathfrak{U}_{n+1} \overset{*}{>} \mathfrak{U}_n$  for each  $n$  and mesh of  $\mathfrak{U}_n \rightarrow 0$  ( $n \rightarrow \infty$ ). Let  $K_n$  be the nerve of  $\mathfrak{U}_n$  and let  $\pi_{n,n+1}$  be a simplicial map of  $K_{n+1}$  into the barycentric subdivision of  $K_n$  such that  $\{K_n, \pi_{n,n+1}\}$  forms an inverse sequence whose limit is  $X$  (see the proof of Theorem 1). Then  $M(X)$  is a union of  $X$ , metrizable mapping cylinders  $M(K_{n+1}, K_n, \pi_{n,n+1})$ ,  $n=1, 2, \dots$ , and a metrizable cone  $C(K_1)$  over  $K_1$ . Construct an inverse sequence  $\{K'_n, \mu_{n,n+1}\}$  as follows. Put  $K'_n = K_n$ ,  $n=1, 2, \dots$ . Let  $\mu_{n,n+1}: K_{n+1} \rightarrow K_n$  be a natural simplicial projection, that is, a vertex  $v$  of  $K_{n+1}$  corresponding to an element  $V \in \mathfrak{U}_{n+1}$  is mapped by  $\mu_{n,n+1}$  to a vertex  $w$  corresponding to an element  $W \in \mathfrak{U}_n$  containing  $V$ . Then two maps  $\pi_{n,n+1}$  and  $\mu_{n,n+1}$  of  $K_{n+1} = K'_{n+1}$  into  $K_n = K'_n$  are contiguous. Consider an inverse sequence  $\{K'_n, \mu_{n,n+1}\}$  and put  $Y = \varprojlim \{K'_n\}$ . It is easy to know that  $Y$  is a locally compact  $\Delta$ -space and  $\dim Y = \dim X$ . By the same argument as in the construction of  $M(X)$  we can construct an AR  $M(Y)$  which is a union of  $Y$ , metrizable mapping cylinders  $M(K'_{n+1}, K'_n, \mu_{n,n+1})$ ,  $n=1, 2, \dots$ , and a metrizable cone  $C(K'_1)$ . For each  $n=1, 2, \dots$ , let  $M_n^X = \bigcup_{m=1}^{n-1} M(K_{m+1}, K_m, \pi_{m,m+1}) \cup C(K_1)$  and  $M_n^Y = \bigcup_{m=1}^{n-1} M(K'_{m+1}, K'_m, \mu_{m,m+1}) \cup C(K'_1)$ . By  $\nu_n^X: M(X) \rightarrow M_n^X$  and  $\nu_n^Y: M(Y) \rightarrow M_n^Y$  denote the strong deformation retractions constructed in the proof of Theorem 1. By local compactness of  $X$  and  $Y$  each of  $\nu_n^X$  and  $\nu_n^Y$  is a perfect map. We define maps  $f_n: M(X) \rightarrow M(Y)$  and  $g_n: M(Y) \rightarrow M(X)$ ,  $n=1, 2, \dots$ , as follows. Let  $f'_n: C(K_1) \cup \bigcup_{k=2}^n K_k \rightarrow C(K'_1) \cup \bigcup_{k=2}^n K'_k \subset M(Y)$  be the identity map. For  $k=1, 2, \dots, n-1$ , let us extend  $f'_n$  over  $M(K_{k+1}, K_k, \pi_{k,k+1})$ . Since maps  $\pi_{k,k+1}$  and  $\mu_{k,k+1}$  are contiguous, there is a homotopy  $H: K_{k+1} \times I \rightarrow K'_k$  defined by  $H(x, t) = t \cdot \pi_{k,k+1}(x) + (1-t) \cdot \mu_{k,k+1}(x)$  for  $(x, t) \in K_{k+1} \times I$ . Define  $f'_n$  on  $M(K_{k+1}, K_k, \pi_{k,k+1})$ ,  $k=1, \dots, n-1$ , by

$$\begin{aligned} f'_n(x, t) &= (x, 2t), \quad x \in K_{k+1} \quad \text{and} \quad 0 \leq t \leq 1/2, \\ f'_n(x, t) &= H(x, 2t-1), \quad x \in K_{k+1} \quad \text{and} \quad 1/2 \leq t \leq 1. \end{aligned}$$

We obtain a continuous map  $f'_n: M_n^X \rightarrow M(Y)$ . Let  $f_n = f'_n \nu_n^X: M(X) \rightarrow M(Y)$ . Similarly let us define  $g'_n: M_n^Y \rightarrow M(X)$  by

$$\begin{aligned} g'_n|C(K'_1) \cup \bigcup_{k=2}^n K'_k &= \text{the identity}, \\ g'_n(x, t) &= (x, 2t), \quad x \in K'_{n+1}, \quad 0 \leq t \leq 1/2, \quad k=1, \dots, n-1, \\ g'_n(x, t) &= (2t-1) \cdot \mu_{k,k+1}(x) + (2-2t) \cdot \pi_{k,k+1}(x), \quad x \in K'_{k+1}, \\ & \quad 1/2 \leq t \leq 1, \quad k=1, \dots, n-1, \end{aligned}$$

and let  $g_n = g'_n \nu_n^Y: M(Y) \rightarrow M(X)$ . We obtain sequences of maps  $\underline{f} = \{f_n\}: M(X)$

$\rightarrow M(Y)$  and  $\underline{g} = \{g_n\} : M(Y) \rightarrow M(X)$ . Let  $F$  be a compact set of  $X$ . Let  $H_n$  be a finite subcomplex of  $K_n$  consisting of all closed simplexes intersecting  $\nu_n^X(F)$  and put  $F' = Y \cap (\nu_n^X)^{-1}f_n(H_n)$ , where  $n$  is any positive integer. Then it is easy to see that  $F'$  is a compact set of  $Y$  which is  $\underline{f}$ -assigned to  $F$  (see [4, p. 142]). Similarly, for a compact set  $F'$  of  $Y$ , if  $H'_n$  is a finite subcomplex of  $K'_n$  consisting of closed simplexes intersecting  $\nu_n^Y(F')$  and we put  $F = X \cap (\nu_n^X)^{-1}g_n(H'_n)$ , then  $F$  is a compact set of  $X$  being  $\underline{g}$ -assigned to  $F'$ . By the definitions of  $\underline{f}$  and  $\underline{g}$ , since  $g_n f_n \cong \nu_n^X$  and  $f_n g_n \cong \nu_n^Y$  for each  $n$ , it is easy to see that  $\underline{g} \cdot \underline{f} \cong \dot{i}_{X, M(X)}$  and  $\underline{f} \cdot \underline{g} \cong \dot{i}_{Y, M(Y)}$ , where  $\dot{i}_{X, M(X)}$  and  $\dot{i}_{Y, M(Y)}$  are the identity  $W$ -sequences for  $X$  in  $M(X)$  and for  $Y$  in  $M(Y)$  respectively (see [4, § 2]). This completes the proof.

REMARK. If we use the same argument as in the proof of [11, Theorem 2], then it is known that  $Sh(X) = Sh(Y)$  for the  $\Delta$ -space  $Y$  constructed in Theorem 2. Here  $Sh(X)$  means the shape of  $X$  defined by Fox [7].

COROLLARY 3. *Let  $X$  be an  $n$ -dimensional locally compact metric space. For every  $m < n$ , there is an  $n$ -dimensional  $\Delta$ -space  $Z$  such that  $\check{H}_k^c(X: G) \cong \check{H}_k^c(Z: G)$  for  $k > m+1$  and  $\check{H}_k^c(Z: G) = 0$  for  $k \leq m$ , where  $G$  is an arbitrary abelian group and  $\check{H}_*^c$  is the reduced Čech homology group with compact carriers.*

PROOF. Let  $Y$  be an  $n$ -dimensional  $\Delta$ -space such that  $Sh_w(X) = Sh_w(Y)$ . Let  $\{K_k, \pi_{k, k+1}\}$  be an inverse sequence consisting of simplicial complexes and simplicial bonding maps whose limit space is  $Y$ . Let  $m < n$ . Consider the inverse sequence  $\{K_k^m, \pi_{k, k+1}|K_{k+1}^m\}$ , where  $K_k^m$  is the  $m$ -skeleton of  $K_k$ , and put  $Y^m = \varprojlim \{K_k^m\}$ . Then it is easy to see that  $Y^m$  is a fundamental  $k$ -skeleton of  $Y$ . Let  $N_k$  be the union of  $K_k$  and a metrizable cone over  $K_k^m$ . Extend  $\pi_{k, k+1} : K_{k+1} \rightarrow K_k$  naturally to a simplicial map  $\mu_{k, k+1} : N_{k+1} \rightarrow N_k$ . Consider the inverse sequence  $\{N_k, \mu_{k, k+1}\}$  and put  $Z = \varprojlim \{N_k\}$ . Obviously  $Z$  is an  $n$ -dimensional  $\Delta$ -space satisfying the conditions of the corollary.

EXAMPLE 4. We can not remove the local compactness of  $X$  in Theorem 2. Let  $X$  be the set of all rational numbers in a real line. If  $Y$  is a 0-dimensional metric space such that  $Sh_w(Y) = Sh_w(X)$ , then  $X$  and  $Y$  are homeomorphic by [11, Theorem 1]. Therefore such a space  $Y$  is not completely metrizable. Since every finite dimensional  $\Delta$ -space is completely metrizable,  $Y$  is not a  $\Delta$ -space.

Finally, we shall give a partial answer to a problem [4, (8.8)] raised by K. Borsuk.

THEOREM 3. *Let  $X$  and  $Y$  be finite dimensional metric spaces. Suppose that there exist sequences of compact sets  $\{A_k\}$  and  $\{B_k\}$  of  $X$  and  $Y$  and a sequence of onto homeomorphisms  $\{f_k\}$ ,  $f_k : X \rightarrow Y$ , satisfying the conditions;*

- (1)  $A_{k+1} \subset \text{Int } A_k$  and  $B_{k+1} \subset \text{Int } B_k$ ,  $k = 1, 2, \dots$ ,

- (2)  $f_k(A_k) = B_k, k = 1, 2, \dots,$
- (3)  $f_k|A_k \cong f_{k'}|A_k \text{ rel. Bd } A_k \text{ in } B_k, \text{ for every } k \leq k',$
- (4)  $f_k|(X \setminus A_k) = f_{k'}|(X \setminus A_k) \text{ for every } k \leq k',$

where  $\text{Int } A$  is the interior of  $A$  and  $\text{Bd } A$  is the boundary of  $A$ . Then  $\text{Pos}(X, \bigcap_{k=1}^{\infty} A_k) = \text{Pos}(Y, \bigcap_{k=1}^{\infty} B_k)$ .

For the proof we need the following lemma.

LEMMA 4. Let  $X, Y$  be finite dimensional metric spaces and let  $\{\mathcal{U}_n^X\}$  and  $\{\mathcal{U}_n^Y\}$  be sequences consisting of locally finite open covers of  $X$  and  $Y$  respectively. By  $K_n^X$  and  $K_n^Y$  denote the nerves of  $\mathcal{U}_n^X$  and  $\mathcal{U}_n^Y$ . Let  $\pi_{n,n+1}^X: K_{n+1}^X \rightarrow K_n^X$  and  $\pi_{n,n+1}^Y: K_{n+1}^Y \rightarrow K_n^Y$  be piecewise linear maps constructed in the proof of Theorem 1 for  $n = 1, 2, \dots$ . Denote by  $M(X)$  and  $M(Y)$  ANR's constructed for the inverse sequences  $\{K_n^X, \pi_{n,n+1}^X\}$  and  $\{K_n^Y, \pi_{n,n+1}^Y\}$  and put  $X_n = X \cup \bigcup_{k=n}^{\infty} M(K_{k+1}^X, K_k^X, \pi_{k,k+1}^X)$  and  $Y_n = Y \cup \bigcup_{k=n}^{\infty} M(K_{k+1}^Y, K_k^Y, \pi_{k,k+1}^Y)$ , where  $X_1 = M(X)$  and  $Y_1 = M(Y)$ . Let  $f: X \rightarrow Y$  be a map such that  $\mathcal{U}_n^X > f^{-1}\mathcal{U}_n^Y, n = 1, 2, \dots$ . Then  $f$  has an extension  $\tilde{f}: M(X) \rightarrow M(Y)$  such that  $\tilde{f}(X_n) \rightarrow Y_n$  for each  $n$ . Let  $f$  and  $g$  be homotopic maps and let  $\xi: X \times I \rightarrow Y$  be a homotopy connecting  $f$  and  $g$ . Suppose that for each  $n$  there is an open cover  $\mathfrak{B}_n$  of  $I$  such that  $\mathcal{U}_n^X \times \mathfrak{B}_n > \xi^{-1}\mathcal{U}_n^Y$ . Then there is a homotopy  $\tilde{\xi}: M(X) \times I \rightarrow M(Y)$  such that  $\tilde{\xi}(x, 0) = \tilde{f}(x)$  and  $\tilde{\xi}(x, 1) = \tilde{g}(x)$  for  $x \in M(X)$  and  $\tilde{\xi}(X_n \times I) \subset Y_n$  for each  $n$ .

PROOF. Since  $\mathcal{U}_n^X > f^{-1}\mathcal{U}_n^Y$  for each  $n$ , there is a natural simplicial projection  $\varphi_n: K_n^X \rightarrow K_n^Y$ . Note that  $\pi_{n,n+1}^Y \varphi_{n+1}$  and  $\varphi_n \pi_{n,n+1}^X$  are contiguous. Hence we can define the map  $\phi_n: M(K_{n+1}^X, K_n^X, \pi_{n,n+1}^X) \rightarrow M(K_{n+1}^Y, K_n^Y, \pi_{n,n+1}^Y)$  as follows:

$$\begin{aligned} \phi_n(x, t) &= (\varphi_{n+1}(x), 2t), \quad x \in K_{n+1}^X \quad \text{and} \quad 0 \leq t \leq 1/2, \\ \phi_n(x, t) &= (2t-1) \cdot \varphi_n \pi_{n,n+1}^X(x) + (2-2t) \cdot \pi_{n,n+1}^Y \varphi_{n+1}(x), \quad x \in K_{n+1}^X \\ &\quad \text{and} \quad 1/2 \leq t \leq 1, \\ \phi_n(x) &= \varphi_n(x), \quad x \in K_n^X. \end{aligned}$$

Let us define  $\tilde{f}: M(X) \rightarrow M(Y)$  by  $\tilde{f}|X = f$  and  $\tilde{f}|M(K_{n+1}^X, K_n^X, \pi_{n,n+1}^X) = \phi_n, n = 1, 2, \dots$ . Obviously  $\tilde{f}$  is a continuous extension of  $f$  and  $\tilde{f}(X_n) \subset Y_n$  for each  $n$ . The second assertion is proved by the same argument as in the first part and we omit it.

PROOF OF THEOREM 3. By (3) and (4), for  $k < k'$  there is a homotopy  $\xi_{kk'}^X: X \times I \rightarrow Y$  such that

$$\begin{aligned} \xi_{kk'}^X(x, 0) &= f_k(x) \quad \text{and} \quad \xi_{kk'}^X(x, 1) = f_{k'}(x) \quad \text{for } x \in X, \\ \xi_{kk'}^X(x, t) &= f_k(x) = f_{k'}(x) \quad \text{for } x \in X \setminus A_k. \end{aligned}$$

Since  $f_k^{-1}|B_k \cong f_{k'}^{-1}|B_k \text{ rel. Bd } B_k \text{ in } A_k$ , there is a homotopy  $\xi_{kk'}^Y: Y \times I \rightarrow X$  such

that

$$\xi_{kk'}^Y(y, 0) = f_k^{-1}(y) \quad \text{and} \quad \xi_{kk'}^Y(y, 1) = f_{k'}^{-1}(y) \quad \text{for } y \in Y,$$

$$\xi_{kk'}^Y(y, t) = f_k^{-1}(y) = f_{k'}^{-1}(y) \quad \text{for } y \in Y \setminus B_k, \quad k < k'.$$

Let  $\mathfrak{U}_1^Y$  and  $\mathfrak{U}_1^X$  be locally finite open covers of  $Y$  and  $X$  such that  $\text{mesh } \mathfrak{U}_1^Y < 1$ ,  $\text{mesh } \mathfrak{U}_1^X < 1$ , order of  $\mathfrak{U}_1^Y = \text{order of } \mathfrak{U}_1^X \leq \dim X + 1$  and  $\mathfrak{U}_1^X > f_1^{-1}\mathfrak{U}_1^Y$ . For  $n=2$ , choose locally finite open covers  $\mathfrak{U}_2^X$  and  $\mathfrak{U}_2^Y$  of  $X$  and  $Y$  as follows;

$$(5) \quad \mathfrak{U}_2^Y > \mathfrak{U}_1^Y \wedge f_1 \mathfrak{U}_1^X, \quad \mathfrak{U}_2^X > \mathfrak{U}_1^X \wedge f_1^{-1} \mathfrak{U}_2^Y \wedge f_2^{-1} \mathfrak{U}_2^Y \quad \text{and for some}$$

$$\text{open cover } \mathfrak{B}_2 \text{ of } I \mathfrak{U}_2^X \times \mathfrak{B}_2 > (\xi_{12}^X)^{-1} \mathfrak{U}_2^Y.$$

By the compactness of  $A_1$  we can find  $\mathfrak{U}_2^X$  and  $\mathfrak{B}_2$  in (5). By repeating this process we can find inductively sequences of locally open covers  $\{\mathfrak{U}_n^X\}$  and  $\{\mathfrak{U}_n^Y\}$  of  $X$  and  $Y$  satisfying the following conditions for  $n=1, 2, \dots$ ;

$$(6) \quad \text{order of } \mathfrak{U}_n^X, \text{ order of } \mathfrak{U}_n^Y \leq \dim X + 1,$$

$$(7) \quad \text{mesh } \mathfrak{U}_n^X, \text{ mesh } \mathfrak{U}_n^Y \rightarrow 0 \quad (n \rightarrow \infty),$$

$$(8) \quad \mathfrak{U}_{n+1}^Y > \bigwedge_{i=1}^n f_i \mathfrak{U}_n^X \wedge \mathfrak{U}_n^Y \quad \text{and for some open cover } \mathfrak{B}'_{n+1} \text{ of } I$$

$$\mathfrak{U}_{n+1}^Y \times \mathfrak{B}'_{n+1} > \bigwedge_{i=1}^{n-1} (\xi_{i,i+1}^Y)^{-1} \mathfrak{U}_n^X,$$

$$(9) \quad \mathfrak{U}_{n+1}^X > \bigwedge_{i=1}^{n+1} f_i^{-1} \mathfrak{U}_{n+1}^Y \wedge \mathfrak{U}_n^X \quad \text{and for some open cover } \mathfrak{B}_{n+1} \text{ of } I$$

$$\mathfrak{U}_{n+1}^X \times \mathfrak{B}_{n+1} > \bigwedge_{i=1}^n (\xi_{i,i+1}^X)^{-1} \mathfrak{U}_{n+1}^Y.$$

Let  $K_n^X$  and  $K_n^Y$ ,  $n=1, 2, \dots$ , be the nerves of  $\mathfrak{U}_n^X$  and  $\mathfrak{U}_n^Y$ , and let  $\pi_{n,n+1}^X: K_{n+1}^X \rightarrow K_n^X$  be a piecewise linear map constructed in the proof of Theorem 1. Similarly, define a piecewise linear map  $\pi_{n,n+1}^Y: K_{n+1}^Y \rightarrow K_n^Y$ . Then  $\{K_n^X, \pi_{n,n+1}^X\}$  and  $\{K_n^Y, \pi_{n,n+1}^Y\}$  are barycentric systems on  $\{\mathfrak{U}_n^X\}$  and  $\{\mathfrak{U}_n^Y\}$  respectively. As in the proof of Theorem 1, construct AR's  $M(X)$  and  $M(Y)$  for  $\{K_n^X\}$  and  $\{K_n^Y\}$ , namely,  $M(X) = X \cup C(K_1^X) \cup \bigcup_{n=1}^{\infty} M(K_{n+1}^X, K_n^X, \pi_{n,n+1}^X)$  and  $M(Y) = Y \cup C(K_1^Y) \cup \bigcup_{n=1}^{\infty} M(K_{n+1}^Y, K_n^Y, \pi_{n,n+1}^Y)$ . For each  $k=1, 2, \dots$ , let  $C^X(n, k)$  and  $D^X(n, k)$  be the subcomplexes of  $K_n^X$  spanned by vertices corresponding to elements of  $\mathfrak{U}_n^X$  intersecting  $A_k$  and  $X \setminus A_k$  respectively. Similarly let  $C^Y(n, k)$  and  $D^Y(n, k)$  be the subcomplexes of  $K_n^Y$  for  $B_k$  and  $Y \setminus B_k$ . Put  $E^X(n, k) = C^X(n, k) \cap D^X(n, k)$  and  $E^Y(n, k) = C^Y(n, k) \cap D^Y(n, k)$ . Then for each  $i$  and  $k$   $\pi_{i,i+1}^X(C^X(i+1, k)) \subset C^X(i, k)$  and  $\pi_{i,i+1}^Y(C^Y(i+1, k)) \subset C^Y(i, k)$ . For each  $n$  and  $k$  we put

$$F^X(n, k) = A_k \cup \bigcup_{i=n}^{\infty} M(C^X(i+1, k), C^X(i, k), \pi_{i+1}^X),$$

$$G^X(n, k) = \overline{X \setminus A_k} \cup \bigcup_{i=n}^{\infty} M(D^X(i+1, k), D^X(i, k), \pi_{i+1}^X),$$

$$F^Y(n, k) = B_k \cup \bigcup_{i=n}^{\infty} M(C^Y(i+1, k), C^Y(i, k), \pi_{i+1}^Y),$$

$$G^Y(n, k) = \overline{Y \setminus B_k} \cup \bigcup_{i=n}^{\infty} M(D^Y(i+1, k), D^Y(i, k), \pi_{i+1}^Y).$$

Let  $X_1 = M(X)$ ,  $Y_1 = M(Y)$ ,  $X_n = X \cup \bigcup_{i=n}^{\infty} M(K_{i+1}^X, K_i^X, \pi_{i+1}^X)$  and  $Y_n = Y \cup \bigcup_{i=n}^{\infty} M(K_{i+1}^Y, K_i^Y, \pi_{i+1}^Y)$  for  $n > 1$ . Then  $F^X(n, k)$  and  $G^X(n, k)$  are closed sets of  $X_n$  and  $F^Y(n, k)$  and  $G^Y(n, k)$  are closed sets of  $Y_n$  for each  $n$  and  $k$ . For  $m \geq n \geq 1$ , since  $\mathfrak{U}_m^X > f_n^{-1}\mathfrak{U}_m^Y$  by (9), there is an extension  $\varphi_n^X: X_n \rightarrow Y_n$  of  $f_n: X \rightarrow Y$  which is given in the proof of Lemma 4. Also, for  $m \geq n > 1$ , since  $\mathfrak{U}_{m+1}^Y > f_n \mathfrak{U}_m^X$  by (8), there is an extension  $\varphi_n^Y: Y_n \rightarrow X_{n-1}$  of  $f_{n-1}^Y: Y_n \rightarrow X$ . From the definition of  $\varphi_n^X$  and  $\varphi_n^Y$  it follows that

$$\begin{aligned} \varphi_n^X(K_m^X) &\subset K_m^Y, & \varphi_n^Y(K_m^Y) &\subset K_{m-1}^X, \\ \varphi_n^X(M(K_{m+1}^X, K_m^X, \pi_{m+1}^X)) &\subset M(K_{m+1}^Y, K_m^Y, \pi_{m+1}^Y) & \text{for } m \geq n, \\ \varphi_n^Y(M(K_{m+1}^Y, K_m^Y, \pi_{m+1}^Y)) &\subset M(K_m^X, K_{m+1}^X, \pi_{m-1}^X). \end{aligned}$$

For each  $k < n$  we have

$$(10) \quad \begin{aligned} \varphi_n^X(F^X(n, k)) &\subset F^Y(n, k), \\ \varphi_n^X(G^X(n, k)) &\subset G^Y(n, k), \\ \varphi_n^Y(F^Y(n, k)) &\subset F^X(n-1, k), \\ \varphi_n^Y(G^Y(n, k)) &\subset G^X(n-1, k). \end{aligned}$$

From (8), (9) and Lemma 4 it follows that there is a homotopy  $\mu_{n+1}^X: X_{n+1} \times I \rightarrow Y_{n+1}$  connecting  $\varphi_n^X|X_{n+1}$  and  $\varphi_{n+1}^X$  which extends  $\xi_{n+1}^X: X \times I \rightarrow Y$ . Similarly we know that there is a homotopy  $\mu_{n+1}^Y: Y_{n+1} \times I \rightarrow X_n$  connecting  $\varphi_n^Y|Y_{n+1}$  and  $\varphi_{n+1}^Y$  which extends  $\xi_{n+1}^Y: X \times I \rightarrow Y$  and from their definitions (cf. the proof of Lemma 4) the following relations hold:

$$(11) \quad \begin{aligned} \mu_{n+1}^X(F^X(n+1, k) \times I) &\subset F^Y(n+1, k), & k \leq n, \\ \mu_{n+1}^X(G^X(n+1, k) \times I) &\subset G^Y(n+1, k), & k \leq n, \\ \mu_{n+1}^Y(F^Y(n+1, k) \times I) &\subset F^X(n, k), & k < n, \\ \mu_{n+1}^Y(G^Y(n+1, k) \times I) &\subset G^X(n, k), & k < n. \end{aligned}$$

Consider the maps  $\varphi_{n+1}^X$  and  $\varphi_n^X|X_{n+1}$  of  $X_{n+1}$  into  $Y_{n+1}$ , and  $\varphi_{n+1}^Y$  and  $\varphi_n^Y|Y_{n+1}$  of  $Y_{n+1}$  into  $X_n$ . From (4), if necessary, by replacing  $\{\mathbb{U}_n^X\}$  and  $\{\mathbb{U}_n^Y\}$  by refinements, we can assume that

$$(12) \quad \begin{aligned} \varphi_{n+1}^X(x) &= \varphi_n^X(x) = \mu_{n+1}^X(x, t), & x \in D^X(n+1, n) \quad \text{and} \quad t \in I, \\ \varphi_{n+1}^Y(y) &= \varphi_n^Y(y) = \mu_{n+1}^Y(y, t), & y \in D^Y(n+1, n-1) \quad \text{and} \quad t \in I. \end{aligned}$$

Let  $A = \bigcap_{k=1}^\infty A_k$  and  $B = \bigcap_{k=1}^\infty B_k$ . Now, to prove  $\text{Pos}(X, A) = \text{Pos}(Y, B)$ , we have to find sequences of maps  $\underline{a} = \{a_k, X, Y\}_{M(X), M(Y)}$  and  $\underline{b} = \{b_k, Y, X\}_{M(Y), M(X)}$  such that

$$(13) \quad \begin{aligned} \underline{a}' &= \{a_k, A, B\}_{M(X), M(Y)}, & \underline{a}'' &= \{a_k, X \setminus A, Y \setminus B\}_{M(X), M(Y)}, \\ \underline{b}' &= \{b_k, B, A\}_{M(Y), M(X)}, & \underline{b}'' &= \{b_k, Y \setminus B, X \setminus A\}_{M(Y), M(X)} \end{aligned}$$

are  $W$ -sequences and

$$(14) \quad \begin{aligned} \underline{b}'\underline{a}' &\cong i_{A, M(X)}, & \underline{b}''\underline{a}'' &\cong i_{(X, A), M(X)}, \\ \underline{a}'\underline{b}' &\cong i_{B, M(Y)}, & \underline{a}''\underline{b}'' &\cong i_{(Y, B), M(Y)}. \end{aligned}$$

(See for notations [4, pp. 146, 147].) For  $k=1$ , let  $a_1 = \varphi_1^X$  and let  $b_1$  be an arbitrary map of  $M(Y)$  into  $M(X)$ . For  $k=2$ , we define  $a_2$  and  $b_2$  as follows. Consider  $\varphi_2^Y: Y_2 \rightarrow X_1$ . Since  $X_1 = M(X)$  is an AR, there is an extension  $b_2: M(Y) \rightarrow M(X)$  of  $\varphi_2^Y$ . To construct  $a_2: M(X) \rightarrow M(Y)$ , put  $a_2 = \varphi_2^X$  on the set  $X_2$ . Consider the sets  $D^X(2, 1) \subset K_2^X$ ,  $D^X(1, 1) \subset K_1^X$  and the mapping cylinder  $M(D^X(2, 1), D^X(1, 1), \pi_{12}^X) \subset G^X(1, 1)$ . Since  $\varphi_2^X(x) = \varphi_1^X(x) = a_1(x)$  for  $x \in D^X(2, 1)$  by (12), we can put  $a_2 = a_1$  on  $M(D^X(2, 1), D^X(1, 1), \pi_{12}^X)$ . Consider the sets  $T = C^X(2, 1) \cup M(E^X(2, 1), E^X(1, 1), \pi_{12}^X) \subset M(C^X(2, 1), C^X(1, 1), \pi_{12}^X)$  and  $S = M(C^Y(2, 1), C^Y(1, 1), \pi_{12}^Y)$ . By (12) we know  $a_2|T \cong a_1|T$  rel.  $M(E^X(2, 1), E^X(1, 1), \pi_{12}^X)$  in  $S$ . Since  $a_1|T$  has an extension  $a_1$  over  $M(C^X(2, 1), C^X(1, 1), \pi_{12}^X)$  and  $S$  is an ANR, by homotopy extension theorem  $a_2|T$  has an extension over  $M(C^X(2, 1), C^X(1, 1), \pi_{12}^X)$ . Finally, since  $M(Y)$  is an AR, we can extend  $a_2$  to a map from  $M(X)$  into  $M(Y)$  which we denote by  $a_2$  again. This completes the definition of  $a_2$ . Note that  $a_2|M(C^X(2, 1), C^X(1, 1), \pi_{12}^X) \cong a_1|M(C^X(2, 1), C^X(1, 1), \pi_{12}^X)$  rel.  $M(E^X(2, 1), E^X(1, 1), \pi_{12}^X)$  in  $M(C^Y(2, 1), C^Y(1, 1), \pi_{12}^Y)$  and as a consequence

$$(15) \quad \begin{aligned} a_2|F^X(n, 1) &\cong a_1|F^X(n, 1) \quad \text{in} \quad F^Y(n, 1) \quad \text{for each } n, \\ a_2|G^X(1, 1) &= a_1|G^X(1, 1). \end{aligned}$$

By repeating this process we can construct maps  $a_k: M(X) \rightarrow M(Y)$  and  $b_k: M(Y) \rightarrow M(X)$ ,  $k=3, 4, \dots$ , satisfying the following conditions for  $n < k$ ;

$$(16) \quad a_k|X_k = \varphi_k^X \quad \text{and} \quad b_k|Y_k = \varphi_k^Y,$$

$$(17) \quad a_k | G^X(n, n) = a_n | G^X(n, n),$$

$$(18) \quad b_k | G^Y(n, n) = b_n | G^Y(n, n),$$

$$(19) \quad a_k | F^X(n, n) \cong a_n | F^X(n, n) \quad \text{in } F^Y(n, n),$$

$$(20) \quad b_k | F^Y(n, n) \cong b_n | F^Y(n, n) \quad \text{in } F^X(n-1, n-1).$$

( $a_k$  is defined as follows; on the set  $X \cup \bigcup_{n=1}^k G^X(n, n)$   $a_k$  is defined by (16) and (17), and on the set  $\bigcup_{n=1}^{k-1} M(C^X(n+1, n), C^X(n, n), \pi_n^X)$   $a_k$  is obtained from  $a_{k-1}$  by homotopy extension theorem; the definition of  $b_k$  is similar.)

Now it is immediate that  $\underline{a} = \{a_k\}$  and  $\underline{b} = \{b_k\}$  satisfy (13) and (14). To show that  $\underline{a}'$  is a  $W$ -sequence, note that  $\{F^X(n, n) : n = 1, 2, \dots\}$  and  $\{F^Y(n, n) : n = 1, 2, \dots\}$  form neighborhood bases of  $A$  and  $B$  in  $M(X)$  and in  $M(Y)$  respectively. Then (19) shows that  $\underline{a}'$  is a  $W$ -sequence. Also, that  $\underline{a}''$  is a  $W$ -sequence follows from (17). Next, let us show that  $\underline{a}'\underline{b}' \cong \underline{i}_{B, M(Y)}$ . Consider the map  $a_{n-1}b_n | F^Y(n, n) : F^Y(n, n) \rightarrow F^Y(n-1, n-1)$ . For  $k > n$ , note two maps  $a_{n-1}b_n | K_k^Y$  and  $\pi_{k-1}^Y$  of  $K_k^Y$  into  $K_{k-1}^Y$  are contiguous. Let us define  $\eta : Y_n \rightarrow Y_{n-1}$  by  $\eta | B_n =$  the identity and  $\eta(y, t) = (\pi_{k+1}^Y(y), t)$  for  $(y, t) \in M(K_{k+1}^Y, K_k^Y, \pi_{k+1}^Y)$ ,  $k = n, n+1, \dots$ . Obviously  $\eta | F^Y(n, n) \cong i$  in  $F^Y(n-1, n-1)$ , where  $i$  is the inclusion map of  $F^Y(n, n)$  into  $F^Y(n-1, n-1)$ . Since  $\eta | F^Y(n, n) \cong a_{n-1}b_n | F^Y(n, n)$  in  $F^Y(n-1, n-1)$  by the contiguity of  $\pi_{k+1}^Y$  and  $a_{n-1}b_n | K_k^Y$  for  $n \leq k$ , we know that  $a_{n-1}b_n | F^Y(n, n) \cong i$  in  $F^Y(n-1, n-1)$ . By this relation, (19) and (20), we can conclude  $\underline{a}'\underline{b}' \cong \underline{i}_{B, M(Y)}$ . The other assertions in (13) and (14) are proved similarly. This completes the proof.

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