

Ergodic theorems for contraction semi-groups

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§ 1. Introduction.

The main purpose of this note is to introduce the absolute value of a strongly continuous one-parameter semi-group of contractions on $L_1(X)$, which is again a semi-group and to prove the local ergodic theorem and the ratio ergodic theorem by making use of the introduced semi-group. The absolute value of a bounded linear operator on $L_1(X)$ which is bounded also on $L_\infty(X)$ was introduced by N. Dunford and J. Schwartz [7]. The result was generalized by R. Chacon and U. Krengel [6] as described in Lemma 1 of the present note. But, as Krengel [10] remarked, an essentially same result was obtained much earlier by Kantrovič [8]. We shall introduce the absolute value of a contraction semi-group (Theorem 1). The local ergodic theorem for positive contraction semi-groups on $L_1(X)$ was conjectured by U. Krengel and proved by U. Krengel [9] and D. Ornstein [13] independently. M. Akcoglu and R. Chacon [2] and T. Terrell [14, 15] gave different treatments of the theorem. D. Ornstein [13] gave a proof of the theorem for a contraction semi-group on $L_1(X)$ which is a contraction semi-group also on $L_\infty(X)$. T. Terrell [14] independently proved the theorem for an n -parameter contraction semi-group on $L_1(X)$ which is a contraction semi-group also on $L_\infty(X)$. We shall generalize Ornstein's theorem and prove the local ergodic theorem for a contraction semi-group (T_t) (Theorem 2) by making use of the absolute value of the semi-group (T_t) . Further we shall prove a ratio ergodic theorem for a contraction semi-group (Theorem 3). This is a continuous version of Chacon's ratio ergodic theorem for a contraction T and a T -admissible sequence [5].

§ 2. Definitions and theorems.

Let (X, \mathfrak{B}, m) be a σ -finite measure space and $L_1(X) = L_1(X, \mathfrak{B}, m)$ the Banach space of complex-valued integrable functions on X . Let (T_t) ($t \geq 0$) be a strongly continuous one-parameter semi-group of linear contractions on $L_1(X)$. In the sequel we call such a semi-group a contraction semi-group. This means that

(A) T_t is a linear operator on $L_1(X)$ such that $\|T_t\| \leq 1$ for any $t \geq 0$

(Contraction property on $L_1(X)$),

(B) $T_{t+s}f = T_t \circ T_s f$ for any $t, s \geq 0$ and $f \in L_1(X)$, and

(C) $\lim_{t \rightarrow 0} \|T_t f - f\| = 0$ for any $f \in L_1(X)$ (Strong continuity).

A contraction semi-group (T_t) on $L_1(X)$ is said to be positive if it satisfies (D):

(D) If $f \geq 0$ and $f \in L_1(X)$, then $T_t f \geq 0$ for any $t \geq 0$.

Let T be a contraction on $L_1(X)$. A sequence (P_n) ($n=0, 1, 2, \dots$) of non-negative functions in $L_1(X)$ is said to be T -admissible, if $|Tf| \leq P_{n+1}$ holds whenever f and n satisfy $|f| \leq P_n$ [1, 5]. We shall define a continuous version of a T -admissible sequence. Let (T_t) ($t \geq 0$) be a contraction semi-group and let (P_t) ($t \geq 0$) be a family of non-negative functions in $L_1(X)$ such that $\lim_{t \rightarrow s} \|P_t - P_s\| = 0$ for any $s \geq 0$. The family (P_t) is said to be (T_t) -admissible if $|T_t f| \leq P_{t+s}$ holds for any $t \geq 0$ whenever f and s satisfy $|f| \leq P_s$. There exists a $\mathfrak{L}^+ \times \mathfrak{B}$ -measurable function $g(t, x)$ such that $g(t, x) = P_t(x)$ a. e. for any fixed t , where \mathfrak{L}^+ is the σ -algebra of Lebesgue measurable sets on the half real line.

We define the integral $\int_a^b P_t(x) dt$ ($0 \leq a < b < \infty$) by $\int_a^b g(t, x) dt$. Note that if $g(t, x)$ and $\tilde{g}(t, x)$ are two $\mathfrak{L}^+ \times \mathfrak{B}$ -measurable versions of $P_t(x)$, then $g(t, x) = \tilde{g}(t, x)$ except on a set of $\lambda \times m$ measure zero ($\lambda =$ Lebesgue measure) and there is a m -null set $N \in \mathfrak{B}$ such that for $x \notin N$ $\int_a^b g(t, x) dt = \int_a^b \tilde{g}(t, x) dt$ holds for all a and b . The integral $\int_a^b (T_t f)(x) dt$ is defined analogously.

We shall first construct a positive contraction semi-group (\tilde{T}_t) which dominates (T_t) in the absolute value, that is, we shall prove the following.

THEOREM 1. *Let (T_t) ($t \geq 0$) be a contraction semi-group on $L_1(X)$. Then there exists a positive contraction semi-group (\tilde{T}_t) such that for any $t \geq 0$ and $f \in L_1(X)$*

$$(1.1) \quad (\tilde{T}_t |f|)(x) \geq |(T_t f)(x)| \quad a. e.$$

The semi-group (\tilde{T}_t) can be chosen in such a way that if a family (P_t) is (T_t) -admissible, then (P_t) is (\tilde{T}_t) -admissible.

Making use of this theorem we shall prove the following.

THEOREM 2 (Local ergodic theorem). *Let (T_t) ($t \geq 0$) be a contraction semi-group on $L_1(X)$. Then we have*

$$\lim_{\alpha \rightarrow 0} \frac{1}{\alpha} \int_0^\alpha (T_t f)(x) dt = f(x) \quad a. e.$$

D. Ornstein [13] proved Theorem 2 for a contraction semi-group on $L_1(X)$ which satisfies (E).

(E) $\text{ess. sup}_x |(T_t f)(x)| \leq \text{ess. sup}_x |f(x)|$ for any $f \in L_1(X) \cap L_\infty(X)$.

T. Terrell [14] proved a similar theorem for an n -parameter contraction semi-group which satisfies the condition analogous to (E). He [15] proved Theorem 2 assuming existence of the absolute value of a contraction semi-group.

COROLLARY 1. *If (T_t) is a semi-group which satisfies (B), (C) and the following condition (F), then the local ergodic theorem for (T_t) holds.*

(F) *There exists a constant $\beta > 0$ such that $\|T_t\| \leq e^{\beta t}$.*

COROLLARY 2. *Under the same condition as in Corollary 1 we have for any $f, g \in L_1(X)$*

$$\lim_{\alpha \rightarrow 0} \frac{\int_0^\alpha (T_t f)(x) dt}{\int_0^\alpha (T_t g)(x) dt} = \frac{f(x)}{g(x)} \quad \text{a. e. on } \{x: g(x) \neq 0\}.$$

Lastly we shall prove the following by reduction to Chacon's ratio ergodic theorem [5], employing Theorem 1.

THEOREM 3. *Let (T_t) ($t \geq 0$) be a contraction semi-group on $L_1(X)$ and let a family (P_t) ($t \geq 0$) be (T_t) -admissible. Then for any $f \in L_1(X)$ the limit*

$$\lim_{\alpha \rightarrow \infty} \frac{\int_0^\alpha (T_t f)(x) dt}{\int_0^\alpha P_t(x) dt}$$

exists and is finite almost everywhere on the set where $\int_0^\alpha P_t(x) dt > 0$ for some $\alpha > 0$.

If (T_t) is a positive contraction semi-group on $L_1(X)$ and $P_t = T_t g$ ($g \geq 0$), then (P_t) is (T_t) -admissible. M. Akcoglu and J. Cunsolo [3] proved Theorem 3 in this case. K. Berk [4] gave different treatments of such (T_t) and (P_t) .

§ 3. Proof of Theorem 1.

For the proof of Theorem 1 we need several lemmas. In the sequel the order relation $f \leq g$ for functions in $L_1(X)$ means $f(x) \leq g(x)$ a. e.

LEMMA 1 (Chacon-Krengel) [6]. *Let T be a bounded linear operator on $L_1(X)$. Define*

$$|T|f = \sup_{|g| \leq f} |Tg|$$

for $f \in L_1(X)$ such that $f \geq 0$. Then $|T|$ is uniquely extended to a positive bounded linear operator on $L_1(X)$ and

$$(1) \quad |T||f| \geq |Tf| \quad \text{for any } f \in L_1(X),$$

$$(2) \quad \||T|\| = \|T\|.$$

If T is positive, then $|T| = T$. If T_1 and T_2 are bounded linear operators on

$L_1(X)$, then

$$(3) \quad |T_1 T_2|f| \leq |T_1| |T_2|f$$

for any $f \in L_1(X)$ such that $f \geq 0$.

Put

$$Q(n) = \left\{ \frac{l}{2^n} : l \text{ is a non-negative integer} \right\} \text{ and } Q = \bigcup_{n=1}^{\infty} Q(n).$$

LEMMA 2. Let (T_t) be a contraction semi-group on $L_1(X)$. If $f \in L_1(X)$, then for any $r \in Q$ the limit

$$(4) \quad \lim_{n \rightarrow \infty} |T_{1/2^n}|^{[2^n r]} f(x)$$

exists in the sense of almost everywhere convergence as well as strong convergence.

We define $\tilde{T}_r f$ by (4) for any $r \in Q$ and $f \in L_1(X)$.

PROOF. We can assume $f \geq 0$. If n is large enough, then $[2^n r] = 2^n r$. We have by Lemma 1

$$|T_{1/2^{n+1}}|^{2l} g \geq |T_{1/2^n}|^l g$$

for any positive integer l and $g \geq 0$. Hence

$$|T_{1/2^{n+1}}|^{2^{n+1}r} f \geq |T_{1/2^n}|^{2^n r} f \quad \text{for large } n.$$

Since $|T_{1/2^n}|$ is a contraction by (2) of Lemma 1, we have $\| |T_{1/2^n}|^{[2^n r]} f \| \leq \| f \|$. Therefore the limit of the sequence $(|T_{1/2^n}|^{[2^n r]} f(x))$ exists almost everywhere and the convergence is strong.

LEMMA 3. The operator \tilde{T}_r ($r \in Q$) defined in Lemma 2 has the following properties.

(5) \tilde{T}_r is a positive linear contraction on $L_1(X)$ for any $r \in Q$.

(6) $\tilde{T}_{r+s} f = \tilde{T}_r \circ \tilde{T}_s f$ for any $r, s \in Q$ and $f \in L_1(X)$.

(7) If $f \geq 0$ and $f \in L_1(X)$, then we have

$$\| \tilde{T}_r f - f \| \leq 2 \| T_r f - f \| \quad \text{for any } r \in Q.$$

PROOF. Since the operator \tilde{T}_r is the strong limit of a sequence of positive contractions by Lemma 2 we have (5). We shall prove (6). We can assume $f \geq 0$. We have

$$\begin{aligned} \| \tilde{T}_{r+s} f - \tilde{T}_r \circ \tilde{T}_s f \| &\leq \| \tilde{T}_{r+s} f - |T_{1/2^n}|^{2^n(r+s)} f \| \\ &\quad + \| |T_{1/2^n}|^{2^n r} (|T_{1/2^n}|^{2^n s} f - \tilde{T}_s f) \| \\ &\quad + \| (|T_{1/2^n}|^{2^n r} - \tilde{T}_r) \tilde{T}_s f \|. \end{aligned}$$

The second term on the right-hand side is bounded by

$$\| |T_{1/2^n}|^{2^n s} f - \tilde{T}_s f \|.$$

Letting n tend to infinity, we have (6) by the definition of (\tilde{T}_r) . Lastly we shall prove (7). Put

$$T_r f = f + g_r \quad \text{and} \quad \tilde{T}_r f = f + h_r.$$

Let $r = l/2^q$, where q, l are positive integers. Since $\tilde{T}_r f$ is the limit of the increasing sequence $(|T_{1/2^n}|^{[2^{nr}]} f)$ ($n = q, q+1, \dots$) by Lemma 2, we have by (1) and (3) of Lemma 1,

$$(8) \quad \tilde{T}_r f \geq |T_{1/2^q}|^l f \geq |T_r| f \geq |T_r f|.$$

Therefore we have

$$f + h_r \geq f - |g_r|,$$

or

$$h_r^- \leq |g_r|, \quad \text{where} \quad h_r = h_r^+ - h_r^-.$$

Since

$$\int (f + h_r) dm = \int \tilde{T}_r f dm \leq \int f dm,$$

it follows that

$$\int h_r^+ dm \leq \int h_r^- dm \leq \int |g_r| dm.$$

This means that

$$\|\tilde{T}_r f - f\| = \|h_r\| = \int h_r^+ dm + \int h_r^- dm \leq 2\|g_r\| = 2\|T_r f - f\|.$$

LEMMA 4. *The strong limit*

$$(9) \quad s\text{-}\lim_{\substack{r \rightarrow t \\ r \in Q}} \tilde{T}_r f$$

exists for any $t \geq 0$ and $f \in L_1(X)$.

We define $\hat{T}_t f$ by (9) for any $t \geq 0$ and $f \in L_1(X)$.

PROOF. We can assume $f \geq 0$. We have by Lemma 3

$$\|\tilde{T}_r f - \tilde{T}_s f\| \leq \|\tilde{T}_{|r-s|} f - f\| \leq 2\|T_{|r-s|} f - f\|$$

for $r, s \in Q$. Hence the assertion follows from the strong continuity of (T_t) .

LEMMA 5. (\hat{T}_t) ($t \geq 0$) is a positive contraction semi-group on $L_1(X)$.

We call the positive contraction semi-group (\hat{T}_t) the linear modulus of (T_t) .

PROOF. It follows easily from the definition and Lemma 3 that \hat{T}_t is a positive contraction for any $t \geq 0$. The semi-group property of (\hat{T}_t) can be proved by an argument similar to Lemma 3. Since we obtain from (7) of Lemma 3

$$\|\hat{T}_t f - f\| \leq 2\|T_t f - f\| \quad \text{for} \quad f \geq 0,$$

(T_t) is strongly continuous.

PROOF OF THEOREM 1. It follows from (1) and (8) that

$$|T_r f| \leq |T_r| |f| \leq \tilde{T}_r |f| \quad (r \in Q)$$

for any $f \in L_1(X)$. Hence we have (1.1) by the strong continuity of (T_t) and (\hat{T}_t) . Suppose that a family (P_t) is (T_t) -admissible. Let $|f| \leq P_s$. If $|g| \leq |f|$, then $|T_t g| \leq P_{s+t}$. Therefore we have

$$(10) \quad |T_t||f| = \sup_{|g| \leq |f|} |T_t g| \leq P_{s+t}.$$

If T is a positive bounded linear operator, $|Tf| \leq T|f|$. Hence if $r \in Q$, then

$$||T_{1/2^n}|^{2nr} f| \leq |T_{1/2^n}|^{2nr} |f| \leq P_{s+r}$$

for large n . We have by making n tend to infinity

$$|\hat{T}_r f| \leq \hat{T}_r |f| \leq P_{s+r} \quad \text{for any } r \in Q.$$

Therefore

$$|\hat{T}_t f| \leq P_{s+t} \quad \text{for any } t \geq 0.$$

§ 4. Proof of Theorem 2.

In this section we shall prove Theorem 2 and its corollaries. U. Krengel and D. Ornstein proved the following [9, 13].

LEMMA 6 (Local ergodic theorem). *Let (T_t) ($t \geq 0$) be a positive contraction semi-group on $L_1(X)$. Then for any $f \in L_1(X)$ we have*

$$\lim_{\alpha \rightarrow 0} \frac{1}{\alpha} \int_0^\alpha (T_t f)(x) dt = f(x) \quad \text{a. e.}$$

REMARK. The author proved the local ergodic theorem for a one-parameter semi-group of positive bounded linear operators on $L_p(X)$ ($p \geq 1$) which are not necessarily contractions [11, 12].

LEMMA 7. *Let (T_t) be a contraction semi-group on $L_1(X)$. If $f \in L_1(X)$, then for almost all $s \geq 0$ we have*

$$\lim_{\alpha \rightarrow 0} \frac{1}{\alpha} \int_0^\alpha (T_{t+s} f)(x) dt = (T_s f)(x) \quad \text{a. e.}$$

The proof is found in the proof of Lemma 2 of U. Krengel [9], (Lemma 7 is not necessary for a proof of Theorem 2. See below and Y. Kubokawa [11].)

PROOF OF THEOREM 2. Let ε be a positive number and let $f \in L_1(X)$. By Lemma 7 and the strong continuity of (T_t) , there exists a function g such that

$$\lim_{\alpha \rightarrow 0} \frac{1}{\alpha} \int_0^\alpha (T_t g)(x) dt = g(x) \quad \text{a. e.}$$

and

$$\|g - f\| < \varepsilon^2.$$

Indeed choose $g = T_s f$ for a suitable s . (We can choose $g = \frac{1}{s} \int_0^s T_t f dt$, where s satisfies $\sup_{0 \leq t \leq s} \|T_t f - f\| < \varepsilon^2$, without employing Lemma 7.) We have

$$\begin{aligned} & \left| \frac{1}{\alpha} \int_0^\alpha (T_t f)(x) dt - f(x) \right| \\ & \leq \left| \frac{1}{\alpha} \int_0^\alpha (T_t(f-g))(x) dt \right| + \left| \frac{1}{\alpha} \int_0^\alpha (T_t g)(x) dt - g(x) \right| + |g(x) - f(x)|. \end{aligned}$$

Let (\tilde{T}_t) be the linear modulus of (T_t) . By Theorem 1 we have (see the remark preceding Theorem 1)

$$\left| \frac{1}{\alpha} \int_0^\alpha T_t(f-g)(x) dt \right| \leq \frac{1}{\alpha} \int_0^\alpha \tilde{T}_t |f-g|(x) dt,$$

which tends to $|f(x) - g(x)|$ a. e. as $\alpha \rightarrow 0$ by Lemma 6 applied to (\tilde{T}_t) . Hence

$$\limsup_{\alpha \rightarrow 0} \left| \frac{1}{\alpha} \int_0^\alpha (T_t f)(x) dt - f(x) \right| \leq 2|f(x) - g(x)|.$$

We have $|f(x) - g(x)| < \varepsilon$ for any x except on a set with measure less than ε . Since ε is arbitrary, we have Theorem 2.

PROOF OF COROLLARY 1. From the assumption (F) we can define a contraction semi-group (S_t) by $S_t f = e^{-\beta t} T_t f$. We have

$$\frac{1}{\alpha} \int_0^\alpha (T_t f)(x) dt = \frac{1}{\alpha} \int_0^\alpha (e^{\beta t} - 1)(S_t f)(x) dt + \frac{1}{\alpha} \int_0^\alpha (S_t f)(x) dt.$$

Let (\tilde{S}_t) be the linear modulus of (S_t) . We have by Theorem 1

$$\left| \frac{1}{\alpha} \int_0^\alpha (e^{\beta t} - 1)(S_t f)(x) dt \right| \leq \frac{e^{\beta \alpha} - 1}{\alpha} \int_0^\alpha (\tilde{S}_t |f|)(x) dt,$$

which tends to zero by Theorem 2 as $\alpha \rightarrow 0$. We get the conclusion by Theorem 2.

Corollary 2 follows from Corollary 1.

§ 5. Proof of Theorem 3.

We define a sequence (Q_n) ($n = 0, 1, 2, \dots$) of non-negative functions in $L_1(X)$ by $Q_n(x) = \int_n^{n+1} P_t(x) dt$ and a function $f_0(x) = \int_0^1 (T_t f)(x) dt$ for any $f \in L_1(X)$.

Then we have the following.

LEMMA 8. *The sequence (Q_n) ($n = 0, 1, 2, \dots$) is T_1 -admissible.*

PROOF. We assume that $|f| \leq Q_n$ for some n . Then by (1) and the positivity of $|T_1|$,

$$|T_1 f| \leq |T_1| |f| \leq |T_1| Q_n.$$

Since we have $|T_1| P_t \leq P_{t+1}$ by (10)

$$|T_1| Q_n = \int_n^{n+1} |T_1| P_t(x) dt \leq \int_n^{n+1} P_{t+1}(x) dt = Q_{n+1}.$$

We have

$$\int_n^{n+1} (T_t f)(x) dt = T_1^n f_0, \quad \int_0^{n+1} (T_t f)(x) dt = \sum_{k=0}^n T_1^k f_0,$$

$\int_0^{n+1} P_t(x) dt = \sum_{k=0}^n Q_k$. Since the sequence (Q_n) is T_1 -admissible we have the following Lemma 9 and Lemma 10 by applying Lemma 1 of Chacon [5] and Chacon's ratio ergodic theorem [5], respectively.

LEMMA 9. Let (T_t) ($t \geq 0$) be a contraction semi-group on $L_1(X)$. If (P_t) is (T_t) -admissible, then for any $f \in L_1(X)$

$$\lim_{n \rightarrow \infty} \frac{\int_0^{n+1} (T_t f)(x) dt}{\int_0^{n+1} P_t(x) dt} = 0 \quad a. e.$$

on the set where $\int_0^n P_t(x) dt > 0$ for some n .

LEMMA 10. Assume the same conditions as in Lemma 9. Then for any $f \in L_1(X)$, the limit

$$\lim_{n \rightarrow \infty} \frac{\int_0^{n+1} (T_t f)(x) dt}{\int_0^{n+1} P_t(x) dt}$$

exists and is finite almost everywhere on the set where $\int_0^n P_t(x) dt > 0$ for some n .

PROOF OF THEOREM 3. Let r be a positive integer. It is enough to give proof on the set where $\int_0^r P_t(x) dt > 0$. Let $\alpha \geq r$. We choose an integer n with $n \leq \alpha < n+1$. We have

$$\begin{aligned} & \left| \frac{\int_0^\alpha (T_t f)(x) dt}{\int_0^\alpha P_t(x) dt} - \frac{\int_0^n (T_t f)(x) dt}{\int_0^n P_t(x) dt} \right| \\ & \leq \left| \frac{\int_n^\alpha (T_t f)(x) dt}{\int_0^n P_t(x) dt} \right| + \left| \frac{\int_0^n (T_t f)(x) dt}{\int_0^n P_t(x) dt} \cdot \frac{\int_n^\alpha P_t(x) dt}{\int_0^\alpha P_t(x) dt} \right|. \end{aligned}$$

Let (\tilde{T}_t) be the linear modulus of (T_t) . Then the right-hand side does not exceed

$$\frac{\int_{n-1}^n (\tilde{T}_t \circ \tilde{T}_1 |f|)(x) dt}{\int_0^n P_t(x) dt} + \left| \frac{\int_0^n (T_t f)(x) dt}{\int_0^n P_t(x) dt} \right| \cdot \frac{\int_n^\alpha P_t(x) dt}{\int_0^\alpha P_t(x) dt}.$$

Since P_t ($t \geq 0$) is (\tilde{T}_t) -admissible by Theorem 1, the first term tends to zero a. e. as $\alpha \rightarrow \infty$ by Lemma 9. The second term also tends to zero a. e. on the set where $\lim_{n \rightarrow \infty} \int_0^n (T_t f)(x) dt / \int_0^n P_t(x) dt = 0$. Consider the set where

$$\lim_{n \rightarrow \infty} \int_0^n (T_t f)(x) dt / \int_0^n P_t(x) dt \neq 0.$$

We have

$$\begin{aligned} \frac{\int_n^\alpha P_t(x) dt}{\int_0^\alpha P_t(x) dt} &\leq \frac{\int_n^{n+1} P_t(x) dt}{\int_0^n P_t(x) dt} \\ &= \frac{\int_0^{n+1} P_t(x) dt}{\int_0^{n+1} (T_t f)(x) dt} \cdot \frac{\int_0^n (T_t f)(x) dt + \int_n^{n+1} (T_t f)(x) dt}{\int_0^n P_t(x) dt} - 1, \end{aligned}$$

which tends to zero a. e. on the set by Lemma 9 and Lemma 10. Hence we have

$$\lim_{\alpha \rightarrow \infty} \left| \frac{\int_0^\alpha (T_t f)(x) dt}{\int_0^\alpha P_t(x) dt} - \frac{\int_0^n (T_t f)(x) dt}{\int_0^n P_t(x) dt} \right| = 0 \quad \text{a. e.}$$

By Lemma 10 this completes proof of Theorem 3.

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REMARK. After the author proved Theorem 3, S. Tsurumi generalized it [17].

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