

On submanifolds of submanifolds of a Riemannian manifold

By Bang-yen CHEN and Kentaro YANO

(Received Nov. 25, 1970)

(Revised April 7, 1971)

§ 0. Introduction.

Takahashi [1] proved that (i) in order for a submanifold M^n of an m -dimensional euclidean space E^m to be a minimal submanifold it is necessary and sufficient that the radius vector X satisfies $\Delta X = 0$, where Δ denotes the Laplacian in the submanifold M^n , i. e. all the (natural) coordinate functions are harmonic, and (ii) in order that a submanifold of a hypersphere with radius r of a euclidean space is minimal, it is necessary and sufficient that the radius vector X satisfies $\Delta X = (-n/r^2)X$, where n denotes the dimension of the submanifold.

The main purpose of the present paper is to study, a submanifold M^n of a submanifold M^m of a Riemannian manifold M^l being given, the conditions that M^n is minimal in M^m or that M^n is minimal in M^l , and to obtain a theorem which generalizes two results above of Takahashi.

§ 1. Submanifold M^n of a submanifold M^m of a Riemannian manifold M^l .

Let M^l be an l -dimensional Riemannian manifold of class C^∞ covered by a system of coordinate neighborhoods $\{U; x^A\}$ where here and in the sequel the indices A, B, C, \dots run over the range $\{1, 2, \dots, l\}$. We denote the components of the metric tensor of M^l by g_{CB} .

Let M^m be an m -dimensional differentiable submanifold of class C^∞ of M^l covered by a system of coordinate neighborhoods $\{V; y^h\}$ where here and in the sequel the indices h, i, j, \dots run over the range $\{\bar{1}, \bar{2}, \dots, \bar{m}\}$ ($m \leq l$) and the local expression of M^m be

$$(1.1) \quad x^A = x^A(y^h).$$

We put

$$(1.2) \quad B_i^A = \partial_i x^A, \quad \partial_i = \partial / \partial y^i$$

and denote by $C_u^A(u, v, w, \dots = m+1, \dots, l)$ $l-m$ mutually orthogonal unit nor-

mal vectors of M^m in M^l . Then the components of the metric tensor of M^m are given by

$$(1.3) \quad g_{ji} = g_{CB} B_j^C B_i^B.$$

Since C_u^A satisfy

$$(1.4) \quad g_{CB} B_j^C C_u^B = 0, \quad g_{CB} C_v^C C_u^B = \delta_{vu},$$

we have

$$(1.5) \quad g^{CB} = g^{ji} B_j^C B_i^B + C_u^C C_u^B,$$

g^{CB} and g^{ji} being contravariant components of the metric tensors of M^l and M^m respectively.

Now the so-called van der Waerden-Bortolotti covariant derivative of B_i^A is given by

$$(1.6) \quad \nabla_j B_i^A = \partial_j B_i^A + \left\{ \begin{matrix} A \\ CB \end{matrix} \right\} B_j^C B_i^B - \left\{ \begin{matrix} h \\ ji \end{matrix} \right\} B_h^A$$

and is orthogonal to M^m , where $\left\{ \begin{matrix} A \\ CB \end{matrix} \right\}$ and $\left\{ \begin{matrix} h \\ ji \end{matrix} \right\}$ are Christoffel symbols formed with g_{CB} and g_{ji} respectively. The vector field

$$(1.7) \quad H^A(M^m, M^l) = \frac{1}{m} g^{ji} \nabla_j B_i^A$$

of M^l defined along M^m and normal to M^m is called the *mean curvature vector* of M^m in M^l . If $H^A(M^m, M^l)$ vanishes, M^m is called a *minimal submanifold* of M^l .

We now consider an n -dimensional differentiable submanifold M^n of class C^∞ of M^m covered by a system of coordinate neighborhoods $\{W, z^a\}$ where here and in the sequel the indices a, b, c, \dots run over the range $\{1, 2, \dots, n\}$ ($n < m \leq l$) and let the local expression of M^n be

$$(1.8) \quad y^h = y^h(z^a).$$

We put

$$(1.9) \quad B_b^h = \partial_b y^h, \quad \partial_b = \partial / \partial z^b$$

and denote by C_p^h ($p, q, r, \dots = n+1, \dots, m$) $m-n$ mutually orthogonal unit normal vectors of M^n in M^m . Then the components of the metric tensor of M^n are given by

$$(1.10) \quad g_{cb} = g_{ji} B_c^j B_b^i.$$

Since C_p^h satisfy

$$(1.11) \quad g_{ji} B_c^j C_p^i = 0, \quad g_{ji} C_q^j C_p^i = \delta_{qp},$$

we have

$$(1.12) \quad g^{ji} = g^{cb} B_c^j B_b^i + C_p^j C_p^i,$$

g^{cb} being contravariant components of the metric tensor of M^n .

The so-called van der Waerden-Bortolotti covariant derivative of B_b^h along M^n is given by

$$(1.13) \quad \nabla_c B_b^h = \partial_c B_b^h + \left\{ \begin{matrix} h \\ ji \end{matrix} \right\} B_c^j B_b^i - \left\{ \begin{matrix} a \\ cb \end{matrix} \right\} B_a^h$$

and is orthogonal to M^n , where $\left\{ \begin{matrix} a \\ cb \end{matrix} \right\}$ are Christoffel symbols formed with g_{cb} . The vector field

$$(1.14) \quad H^h(M^n, M^m) = \frac{1}{n} g^{cb} \nabla_c B_b^h$$

of M^m defined along M^n and normal to M^n is the mean curvature vector of M^n in M^m . If $H^h(M^n, M^m)$ vanishes along M^n , M^n is a minimal submanifold of M^m .

Now the submanifold M^n of M^m can be regarded as a submanifold of M^l and its local expression is

$$(1.15) \quad x^A = x^A(y^h(z^a))$$

and consequently

$$(1.16) \quad B_b^A = B_b^h B_h^A,$$

the fundamental tensors being related by

$$(1.17) \quad g_{cb} = g_{ji} B_c^j B_b^i = g_{CB} B_j^C B_i^B B_c^j B_b^i = g_{CB} B_c^C B_b^B.$$

The mutually orthogonal unit normals of M^n in M^l are

$$(1.18) \quad C_p^A = C_p^i B_i^A \quad \text{and} \quad C_u^A,$$

C_p^A being tangent to M^m and C_u^A being normal to M^m .

The van der Waerden-Bortolotti covariant derivative of B_b^A is given by

$$(1.19) \quad \nabla_c B_b^A = \partial_c B_b^A + \left\{ \begin{matrix} A \\ CB \end{matrix} \right\} B_c^C B_b^B - \left\{ \begin{matrix} a \\ cb \end{matrix} \right\} B_a^A$$

and is orthogonal to M^n . The mean curvature vector of M^n in M^l is given by

$$(1.20) \quad H^A(M^n, M^l) = \frac{1}{n} g^{cb} \nabla_c B_b^A$$

and normal to M^n . If $H^A(M^n, M^l)$ vanishes, then M^n is minimal in M^l .

§ 2. Relations between mean curvature vectors.

Now taking the van der Waerden-Bortolotti covariant derivative of (1.16), we find [2]

$$(2.1) \quad \nabla_c B_b^A = (\nabla_c B_b^h) B_h^A + B_c^j B_b^i \nabla_j B_i^A,$$

from which

$$(2.2) \quad \frac{1}{n} g^{cb} \nabla_c B_b^A = \left(\frac{1}{n} g^{cb} \nabla_c B_b^h \right) B_h^A + \left(\frac{1}{n} g^{cb} B_c^j B_b^i \right) \nabla_j B_i^A,$$

or

$$(2.3) \quad H^A(M^n, M^l) = H^h(M^n, M^m) B_h^A + H^A(M^n, M^m, M^l),$$

where we have put

$$(2.4) \quad H^A(M^n, M^m, M^l) = \left(\frac{1}{n} g^{cb} B_c^j B_b^i \right) \nabla_j B_i^A.$$

We call $H^A(M^n, M^m, M^l)$ the relative mean curvature vector of M^n with respect to M^m and M^l . $H^A(M^n, M^m, M^l)$ is a vector field normal to M^m .

The relative mean curvature vector $H^A(M^n, M^m, M^l)$ can be written as

$$(2.5) \quad H^A(M^n, M^m, M^l) = \frac{m}{n} H^A(M^m, M^l) - \frac{1}{n} C_p^j C_p^i \nabla_j B_i^A,$$

since

$$g^{cb} B_c^j B_b^i = g^{ji} - C_p^j C_p^i.$$

From (2.3) we have

THEOREM 2.1. *The mean curvature vector of M^n in M^l is the sum of the mean curvature vector of M^n in M^m and the relative mean curvature vector of M^n with respect to M^m and M^l .*

COROLLARY 2.2. *In order that M^n be minimal in M^m , it is necessary and sufficient that the mean curvature vector of M^n in M^l be normal to M^m .*

THEOREM 2.3. *In order for M^n to be minimal in M^l , it is necessary and sufficient that M^n is minimal in M^m and the relative mean curvature of M^n with respect to M^m and M^l vanishes.*

§ 3. Concurrent vector field [3]

We consider a vector field v^A of M^l defined along M^n and assume that v^A is concurrent along M^n , that is,

$$(3.1) \quad B_b^A + \nabla_b v^A = 0.$$

From this equation, we have

$$(3.2) \quad \nabla_c B_b^A + \nabla_c \nabla_b v^A = 0,$$

and consequently

$$(3.3) \quad H^A(M^n, M^l) + \frac{1}{n} g^{cb} \nabla_c \nabla_b v^A = 0$$

or, by (2.3),

$$(3.4) \quad H^h(M^n, M^m) B_h^A + H^A(M^n, M^m, M^l) + \frac{1}{n} g^{cb} \nabla_c \nabla_b v^A = 0.$$

Thus, $H^A(M^n, M^m, M^l)$ being normal to M^m , if $-\frac{1}{n} g^{cb} \nabla_c \nabla_b v^A$ is normal to M^m , we have $H^h(M^n, M^m) = 0$. Conversely, if $H^h(M^n, M^m) = 0$, then $\frac{1}{n} g^{cb} \nabla_c \nabla_b v^A$ is normal to M^m . Hence we have

THEOREM 3.1. *Suppose that there exists a vector field v^A of M^l defined along M^n and concurrent along M^n . In order for M^n to be minimal in M^m , it is necessary and sufficient that $\Delta v^A = g^{cb} \nabla_c \nabla_b v^A$ is normal to M^m .*

In particular, if $M^m = M^l$, then we have

THEOREM 3.2. *Suppose that there exists a vector field v^h of M^m defined along M^n and concurrent along M^n . In order for M^n to be minimal in M^m , it is necessary and sufficient that $g^{cb} \nabla_c \nabla_b v^h = 0$, i. e. $\Delta v^h = 0$, where Δ denotes the Laplacian operator in M^n .*

COROLLARY 3.3. (Takahashi) *Suppose that M^n is a submanifold of a hypersphere S^m in a euclidean space E^{m+1} . Then the radius vector X of S^m considered along M^n is concurrent. Thus in order for M^n to be minimal, it is necessary and sufficient that $\Delta X = g^{cb} \nabla_c \nabla_b X$ be normal to S^m , that is, proportional to X .*

COROLLARY 3.4. (Takahashi) *Suppose that M^n is a submanifold of a euclidean space E^m . Then M^n is minimal in E^m if and only if each (natural) coordinate function of M^n in E^m is harmonic.*

§ 4. Submanifolds umbilical with respect to a normal.

We consider a unit vector field e^A of M^l defined along M^n and normal to M^m and assume that M^n is umbilical with mean curvature β with respect to e^A . We choose e^A as the first normal C_{m+1}^A to M^m , then we have equations of Gauss of M^n in M^l :

$$(4.1) \quad \nabla_c B_\delta^A = h_{cbp} C_p^A + \beta g_{cb} C_{m+1}^A + h_{cbm+2} C_{m+2}^A + \cdots + h_{cbl} C_l^A,$$

h_{cbp} , βg_{cb} , h_{cbm+2} , \cdots , h_{cbl} being second fundamental forms with respect to C_p^A , C_{m+1}^A , \cdots , C_l^A respectively, from which

$$(4.2) \quad H^A(M^n, M^l) = \frac{1}{n} g^{cb} h_{cbp} C_p^A + \beta C_{m+1}^A \\ + \frac{1}{n} g^{cb} h_{cbm+2} C_{m+2}^A + \cdots + \frac{1}{n} g^{cb} h_{cbl} C_l^A.$$

We denote by α the mean curvature of M^n in M^l , then from equation (4.2), we see that if $\alpha^2 \leq \beta^2$, then we have $\alpha^2 = \beta^2$ and

$$g^{cb}h_{cbp} = 0, \quad g^{cb}h_{cbm+2} = \dots = g^{cb}h_{cbl} = 0,$$

which show that M^n is minimal in M^m and M^n is minimal in M^l if and only if $\beta = 0$. Thus we have

THEOREM 4.1. *Let e^A be a unit vector field of M^l defined along M^n and normal to M^m and assume that M^n is umbilical with mean curvature β with respect to e^A . If the mean curvature α of M^n in M^l satisfies $\alpha^2 \leq \beta^2$, then M^n is minimal in M^m and is minimal in M^l if and only if $\beta = 0$.*

We now assume that M^m is totally umbilical in M^l . Then we have

$$(4.3) \quad \nabla_j B_i^A = g_{ji} \alpha_u C_u^A,$$

where α_u is a vector field in the normal bundle of M^m in M^l and

$$(4.4) \quad \nabla_j C_v^A = -\alpha_v B_j^A + l_{jvu} C_u^A,$$

where l_{jvu} is the third fundamental tensor of M^m in M^l and skew symmetric in v and u .

From (4.3), we have

$$(4.5) \quad H^A(M^m, M^l) = \frac{1}{m} g^{ji} \nabla_j B_i^A = \alpha_u C_u^A$$

and

$$(4.6) \quad H^A(M^n, M^m, M^l) = \left(\frac{1}{n} g^{cb} B_c^j B_b^i \right) \nabla_j B_i^A = \alpha_u C_u^A.$$

Consequently we see that

$$(4.7) \quad H^A(M^m, M^l) = H^A(M^n, M^m, M^l) = \alpha_u C_u^A$$

and

$$(4.8) \quad H^A(M^n, M^l) = H^h(M^n, M^m) B_h^A + \alpha_u C_u^A.$$

Thus, for the covariant derivative of $H^A(M^n, M^l)$, we have

$$(4.9) \quad \begin{aligned} \nabla_c (H^A(M^n, M^l)) &= \{ \nabla_c (H^h(M^n, M^m)) - \alpha^2 B_c^h \} B_h^A \\ &\quad + \{ \partial_c \alpha_u + l_{jvu} B_c^j \alpha_v \} C_u^A, \end{aligned}$$

where α is the length of $H^A(M^m, M^l)$, that is,

$$(4.10) \quad \alpha = \sqrt{\alpha_u \alpha_u}.$$

We also assume that $H^A(M^n, M^l)$ is parallel in the normal bundle, and then we have, from (4.9),

$$(4.11) \quad \partial_c \alpha_u + l_{jvu} B_c^j \alpha_v = 0,$$

from which

$$\alpha_u \partial_c \alpha_u = 0$$

and consequently the length α of $H^A(M^m, M^l)$ is constant on M^n .

Then, from (4.7) and (4.11), we have

$$(4.12) \quad \nabla_b(H^A(M^m, M^l)) = -\alpha^2 B_b^h B_h^A,$$

from which

$$(4.13) \quad \nabla_c \nabla_b(H^A(M^m, M^l)) = -\alpha^2 (\nabla_c B_b^h) B_h^A - \alpha^2 B_c^j B_b^i \nabla_j B_i^h,$$

and consequently

$$(4.14) \quad \begin{aligned} \Delta(H^A(M^m, M^l)) &= g^{cb} \nabla_c \nabla_b(H^A(M^m, M^l)) \\ &= -n\alpha^2 H^h(M^n, M^m) B_h^A - n\alpha^2 H^A(M^n, M^m, M^l). \end{aligned}$$

Thus we have

THEOREM 4.2. *Assume that M^m is totally umbilical in M^l , then the mean curvature vector $H^A(M^m, M^l)$ of M^m in M^l coincides with the relative mean curvature vector of M^n with respect to M^m and M^l . Moreover assume that the mean curvature vector $H^A(M^n, M^l)$ of M^n in M^l is parallel in the normal bundle, then the length α of the mean curvature vector $H^A(M^m, M^l)$ of M^m in M^l is constant and in the case in which α is different from zero, in order for M^n to be minimal in M^m , it is necessary and sufficient that*

$$\Delta(H^A(M^m, M^l)) = -n\alpha^2 H^A(M^n, M^m, M^l)$$

on M^n .

COROLLARY 4.3. *Let M^m be totally umbilical in M^{m+1} with non-zero mean curvature or be totally umbilical in M^{m+1} of constant sectional curvature, then in order that M^n is minimal in M^m , it is necessary and sufficient that the normal C to M^m in M^{m+1} satisfies $\Delta C = g^{cb} \nabla_c \nabla_b C = fC$, f being a constant.*

Michigan State University
and
Tokyo Institute of Technology

Bibliography

- [1] T. Takahashi, Minimal immersions of Riemannian manifolds, J. Math. Soc. Japan, **18** (1966), 380-385.
- [2] K. Yano, Sur quelques propriétés de V_l dans V_m dans V_n , Proc. Imp. Acad. Tokyo, **16** (1940), 173-177.
- [3] K. Yano, Sur le parallélisme et la concourance dans l'espace de Riemann, Proc. Imp. Acad. Japan, **19** (1943), 189-197.