

## On a certain invariant of the groups of type $E_6$ and $E_7$

Dedicated to Professor S. Iyanaga on his 60th birthday

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In my recent paper [9], I have introduced an invariant  $\gamma(G)$  for a connected semi-simple algebraic group  $G$ , which generalizes the classical invariants of Hasse and of Minkowski-Hasse, and have shown that, for a classical simple group  $G$ ,  $\gamma(G)$  can actually be determined explicitly in terms of these classical invariants<sup>1)</sup>. For exceptional groups, however, I gave only a very brief indication for the case where the ground field is a local field or an algebraic number field ([9], 250-251). The purpose of this note<sup>2)</sup> is to give a more comprehensive account for a more general case, establishing a principle which enables us to reduce the determination of  $\gamma(G)$  for an exceptional group  $G$  to that for a suitably chosen *classical* subgroup  $G'$  of  $G$  defined over the same ground field. The existence of such a subgroup  $G'$  will be ascertained for the groups of type  $E_6$  and  $E_7$  constructed recently by Tits [12].

1. Throughout this paper,  $k$  is a field of characteristic zero, (though it seems likely that most of our results remain true over any perfect field of characteristic different from 2 and 3).  $\bar{k}$  is a fixed algebraic closure of  $k$  and  $\mathcal{G} = \text{Gal}(\bar{k}/k)$  is the Galois group of  $\bar{k}/k$  operating on  $\bar{k}$  from the right. For an algebraic group  $G$  defined over  $k$ , we write the Galois cohomology set or group  $H^i(\mathcal{G}, G_{\bar{k}})$  ( $i = 1, 2$ ) as  $H^i(k, G)$ .  $\mathbf{E}_n = \{\zeta_n\}$  is the group of all  $n$ -th roots of unity contained in  $\bar{k}$ . In principle, we follow the notation in [9].

Let  $G_1$  be an algebraic group defined over  $k$ . By an *inner  $k$ -form* of  $G_1$ ,

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1) Taking this opportunity, I would like to correct some of the misprints in the relevant part of [9]. On page 246, line 10, for " $\mathbb{R}^{\Sigma m_i}$ " read " $\mathbb{R}^{\Sigma i m_i}$ "; similar corrections are also necessary for the formulas (28), (28') in page 250. On page 249, line 9, for " $k(\sqrt{(-1)^{1/2 nr} \det(S)})$ " read " $k(\sqrt{(-1)^{1/2 nr} \det(S)})$ "

2) By a communication from Professor Tits, the author learnt after completion of the paper that similar topics had also been treated by him in a series of lectures delivered at Yale University in the winter of 1967.

**Added in proof:** By a communication with Tits, it appeared that in 8 the relation  $\mathfrak{C}_2 \sim \mathfrak{D}'$  and so (11) is always true without any assumption.

we understand a pair  $(G, f)$  formed of an algebraic group  $G$  defined over  $k$  and a  $\bar{k}$ -isomorphism  $f$  of  $G$  onto  $G_1$  such that  $f^\sigma \circ f^{-1}$  is an inner automorphism of  $G_1$  for every  $\sigma \in \mathcal{G}$ . To such a pair  $(G, f)$ , we associate an element  $\gamma(G, f)$  in  $H^2(k, Z_1)$ , where  $Z_1$  is the center of  $G_1$ , as follows. Put

$$f^\sigma \circ f^{-1} = I_{g_\sigma} \quad \text{and} \quad \delta(g_\sigma) = g_\sigma^\tau g_\tau g_\sigma^{-1} = c_{\sigma, \tau},$$

where  $g_\sigma \in (G_1)_{\bar{k}}$  and  $I_{g_\sigma}$  denotes the inner automorphism of  $G_1$  defined by  $I_{g_\sigma}(g) = g_\sigma g g_\sigma^{-1}$  for  $g \in G_1$ . Then it is clear that  $(c_{\sigma, \tau})$  is a 2-cocycle of  $\mathcal{G}$  in  $(Z_1)_{\bar{k}}$ , whose cohomology class is uniquely determined, independently of the choice of the 1-cochain  $(g_\sigma)$ . (We always take it implicitly that all cochains we consider are  $\bar{k}$ -rational and continuous in the sense of Krull topology on  $\mathcal{G}$ .) We denote the cohomology class of  $(c_{\sigma, \tau})$  by  $\gamma_k(G, f)$  or simply by  $\gamma(G, f)$  whenever  $k$  is tacitly fixed.

Two inner  $k$ -forms  $(G, f)$  and  $(G', f')$  of  $G_1$  are said to be *i-equivalent* if there exists a  $k$ -isomorphism  $\varphi$  of  $G$  onto  $G'$  such that  $f' \circ \varphi \circ f^{-1}$  is an inner automorphism of  $G_1$ . It is immediate that the cohomology class  $\gamma(G, f)$  depends only on the *i-equivalence* class of  $(G, f)$ .

In the case where  $G_1$  is a connected reductive algebraic group, the number of *i-equivalence* classes of inner  $k$ -forms of  $G_1$  contained in a  $k$ -isomorphism class of  $k$ -forms of  $G_1$  (in the ordinary sense) is finite. Moreover, it is known ([9], p. 242) that, for any connected semi-simple algebraic group  $G$  defined over  $k$ , there exists an inner  $k$ -form  $(G_1, f^{-1})$  of  $G$  such that  $G_1$  is of Steinberg type, and the *i-equivalence* class of such  $(G_1, f^{-1})$  is uniquely determined by  $G$ . Hence, in this case, we define the invariant  $\gamma(G)$  by setting  $\gamma(G) = \gamma(G_1, f^{-1}) \in H^2(k, Z)$ ,  $Z$  denoting the center of  $G$ . If one denotes by  $f^*$  the isomorphism of  $H^2(k, Z)$  onto  $H^2(k, Z_1)$  induced in a natural way by  $f$ , then one has

$$(1) \quad \gamma(G) = f^{*-1}(\gamma(G, f)).$$

(Note that  $f$  induces on  $Z_{\bar{k}}$  a  $\mathcal{G}$ -isomorphism  $Z_{\bar{k}} \rightarrow (Z_1)_{\bar{k}}$ .)

EXAMPLE.  $G = SL(m, \mathfrak{R}_r)$ , where  $\mathfrak{R}_r$  is a normal division algebra of degree  $r$  over  $k$ . Let  $f$  be a  $\bar{k}$ -isomorphism of  $G$  onto  $G_1 = SL(mr)$  determined by the (unique) irreducible representation of  $\mathfrak{R}_r$  (as an associative algebra). Then  $(G_1, f^{-1})$  is an inner  $k$ -form of  $G$  as described above, and through the natural identification  $Z \cong Z_1 = \mathbf{E}_{mr}$  (induced by  $f$ ), one has  $\gamma(G) = c(\mathfrak{R}_r) \in H^2(k, \mathbf{E}_{mr})$  (where  $c(\mathfrak{R}_r)$  denotes the "Hasse invariant" of  $\mathfrak{R}_r$ ).

2. The following lemma is fundamental.

LEMMA 1. Let  $G_1$  and  $G'_1$  be algebraic groups defined over  $k$ , and let  $\varphi_1$  be a  $k$ -morphism of  $G'_1$  into  $G_1$ . Suppose there is a  $k$ -closed subgroup  $G''_1$  of  $G_1$  such that, denoting by  $Z_1, Z'_1, Z''_1$  the center of  $G_1, G'_1, G''_1$ , respectively, one has

$$(i) \quad Z_{G_1}(\varphi_1(G'_1)) = \varphi_1(Z'_1) \cdot G''_1.$$

$Z_{G_1}(\dots)$  denoting the centralizer of  $\dots$  in  $G_1$ ;

$$(ii) \quad \varphi_1(Z'_1) = Z_1 \times Z'_1 \quad (\text{direct product});$$

(iii) the natural map  $H^1(k, G'_1/Z'_1) \xrightarrow{\Delta} H^2(k, Z'_1)$  is bejective.

Let further  $(G', f')$  be an inner  $k$ -form of  $G'_1$ . Then:

1) There exist an inner  $k$ -form  $(G, f)$  of  $G_1$  and a  $k$ -morphism  $\varphi$  of  $G'$  into  $G$  such that one has  $f \circ \varphi = \varphi_1 \circ f'$ .

2) If  $(\bar{G}, \bar{f}, \bar{\varphi})$  is another triple satisfying the same condition as  $(G, f, \varphi)$ , then there is a  $\bar{k}$ -isomorphism  $\psi$  of  $G$  onto  $\bar{G}$  such that  $\bar{\varphi} = \psi \circ \varphi$ ,  $\bar{f} \circ \psi \circ f^{-1}$  is an inner automorphism of  $G_1$ , and  $\psi^\sigma \circ \psi^{-1} = I_{d''_\sigma}$  where  $(d''_\sigma)$  is a 1-cocycle of  $\mathcal{G}$  in  $\bar{f}^{-1}(Z'_1)_{\bar{k}}$ .

3) For any inner  $k$ -form  $(G, f)$  of  $G_1$  satisfying the condition in 1),  $\gamma(G, f)$  coincides with the  $Z_1$ -part of  $\varphi_1^*(\gamma(G', f'))$  in the direct decomposition (ii), where  $\varphi_1^*$  denotes the natural homomorphism of  $H^2(k, Z'_1)$  into  $H^2(k, \varphi_1(Z'_1))$  induced by  $\varphi_1$ .

PROOF. 1) Put  $f'^\sigma \circ f'^{-1} = I_{g'_\sigma}$ ,  $g'_\sigma \in (G'_1)_{\bar{k}}$ , and  $\delta(g'_\sigma) = c'_{\sigma, \tau} \in Z'_1$ . By (ii) one has

$$(2) \quad \varphi_1(c'_{\sigma, \tau}) = c_{\sigma, \tau} \cdot c''_{\sigma, \tau}^{-1},$$

where  $(c_{\sigma, \tau})$  and  $(c''_{\sigma, \tau})$  are (uniquely determined) 2-cocycles of  $\mathcal{G}$  in  $Z_1$  and  $Z'_1$ , respectively. By (iii) (the surjectivity), there exists  $g''_\sigma \in (G'_1)_{\bar{k}}$  such that  $\delta(g''_\sigma) = c''_{\sigma, \tau}$ . Put

$$g_\sigma = \varphi_1(g'_\sigma) \cdot g''_\sigma;$$

then by (i) one has  $\delta(g_\sigma) = c_{\sigma, \tau}$ . Hence there is an inner  $k$ -form  $(G, f)$  of  $G_1$  such that  $f^\sigma \circ f^{-1} = I_{g_\sigma}$ . Put  $\varphi = f^{-1} \circ \varphi_1 \circ f'$ . Then, for every  $\sigma \in \mathcal{G}$ , one has

$$\varphi^\sigma = f^{-\sigma} \circ \varphi_1 \circ f'^\sigma = f^{-1} \circ I_{g'_\sigma}^{-1} \circ \varphi_1 \circ I_{g'_\sigma} \circ f' = f^{-1} \circ I_{g'_\sigma}^{-1} \cdot \varphi_1(g'_\sigma) \circ \varphi_1 \circ f'.$$

Since by (i) one has  $g_\sigma^{-1} \cdot \varphi_1(g'_\sigma) \in G'_1 \subset Z_{G_1}(\varphi_1(G'_1))$ , one has  $\varphi^\sigma = \varphi$ , i. e.  $\varphi$  is defined over  $k$ . (Note that the converse of this is also true).

2) Let  $(\bar{G}, \bar{f}, \bar{\varphi})$  be another triple satisfying the conditions stated in 1), and put  $\bar{f}^\sigma \circ \bar{f}^{-1} = I_{\bar{g}_\sigma}$ ,  $\delta(\bar{g}_\sigma) = \bar{c}_{\sigma, \tau}$  with  $\bar{g}_\sigma \in (G_1)_{\bar{k}}$ ,  $\bar{c}_{\sigma, \tau} \in Z_1$ . As we have just noted above,  $\bar{\varphi}^\sigma = \bar{\varphi}$  ( $\sigma \in \mathcal{G}$ ) implies that  $\bar{g}_\sigma^{-1} \cdot \varphi_1(g'_\sigma) \in Z_{G_1}(\varphi_1(G'_1))$ . Hence, by (i), one may put

$$\bar{g}_\sigma^{-1} \cdot \varphi_1(g'_\sigma) = \varphi_1(c'_\sigma) \cdot \bar{g}''_{\sigma}{}^{-1} \quad \text{or} \quad \bar{g}_\sigma = \varphi_1(c''_{\sigma}{}^{-1} g'_\sigma) \cdot \bar{g}''_\sigma$$

with  $c'_\sigma \in (Z'_1)_{\bar{k}}$  and  $\bar{g}''_\sigma \in (G'_1)_{\bar{k}}$ . Then one has

$$\bar{c}_{\sigma, \tau} = \delta(\varphi_1(c'_\sigma))^{-1} \cdot \varphi_1(c'_{\sigma, \tau}) \cdot \delta(\bar{g}''_\sigma),$$

which, by (i), (ii), implies that  $\delta(\bar{g}''_\sigma) \in G'_1 \cap \varphi_1(Z'_1) = Z'_1$ . Writing  $\varphi_1(c'_\sigma) = c_\sigma \cdot c''_{\sigma}{}^{-1}$  with  $c_\sigma \in Z_1$  and  $c''_\sigma \in Z'_1$  and comparing the  $Z$ -parts and  $Z'$ -parts in the above

equality, one obtains in view of (2)

$$(2a) \quad \begin{cases} \bar{c}_{\sigma,\tau} = \delta(c_\sigma)^{-1} c_{\sigma,\tau}, \\ \delta(\bar{g}'_\sigma) = \delta(c'_\sigma)^{-1} \cdot c''_{\sigma,\tau} = \delta(c'^{-1}_\sigma g''_\sigma). \end{cases}$$

By (iii) (the injectivity), the second equality of (2a) implies that there is  $h \in (G'_1)_{\bar{k}}$  and a 1-cocycle  $(a'_\sigma)$  of  $\mathcal{G}$  in  $(Z'_1)_{\bar{k}}$  such that one has

$$\bar{g}'_\sigma = a''_\sigma c''_\sigma{}^{-1} h^\sigma g''_\sigma h^{-1};$$

then one has also  $\bar{g}_\sigma = c_\sigma^{-1} h^\sigma g_\sigma h^{-1} \cdot a''_\sigma$ . Now put  $\phi = \bar{f}^{-1} \circ I_h \circ f$ . Then, since  $h \in Z_{G_1}(\varphi_1(G'_1))$ , one has

$$\phi \circ \varphi = \bar{f}^{-1} \circ I_h \circ f \circ \varphi = \bar{f}^{-1} \circ I_h \circ \varphi_1 \circ f' = \bar{f}^{-1} \circ \varphi_1 \circ f' = \bar{\varphi}$$

and, for every  $\sigma \in \mathcal{G}$ ,

$$\begin{aligned} \phi^\sigma &= \bar{f}^{-\sigma} \circ I_{h^\sigma} \circ f^\sigma = \bar{f}^{-1} \circ I_{\bar{g}'_\sigma}^{-1} \circ I_{h^\sigma} \circ I_{g_\sigma} \circ f = \bar{f}^{-1} \circ I_{a''_\sigma}{}^{-1} \circ I_h \circ f \\ &= I_{\bar{f}^{-1}(a''_\sigma{}^{-1})} \circ \phi, \end{aligned}$$

i. e., one has  $\phi^\sigma \circ \phi^{-1} = I_{a''_\sigma}$  with  $d''_\sigma = \bar{f}^{-1}(a''_\sigma{}^{-1}) \in \bar{f}^{-1}(Z'_1)$ .

3) is clear from the definitions and (2), (2a), q. e. d.

REMARK 1. The conditions (i), (ii) imply (i)'  $Z_{G_1}(\varphi_1(G'_1)) = Z \times G'_1$  (direct product); and (i)' in turn implies (ii)'  $\varphi_1(Z'_1) \subset Z_1 \times Z'_1$ . As is seen from the above proof, the conditions (i), (ii) in Lemma 1 can be replaced by a weaker condition (i)'.

REMARK 2. The condition (iii) is satisfied if  $G'_1$  is  $k$ -isomorphic to  $SL(n)$  and if the ground field  $k$  has the following property:  $(P_n)$  For any normal division algebra  $\mathfrak{R}$  over  $k$  such that  $\mathfrak{R}^n \sim 1$  one has  $\deg \mathfrak{R} | n$ .

In fact, it is well-known that the canonical map  $\Delta: H^1(k, SL(n)/\mathbf{E}_n) \rightarrow H^2(k, \mathbf{E}_n)$  is injective, and also there is a canonical monomorphism of  $H^2(k, \mathbf{E}_n)$  into the Brauer group  $\mathcal{B}(k)$  of  $k$  (see Example in 1). If the algebra class of a normal division algebra  $\mathfrak{R}$  over  $k$  belongs to the image of this monomorphism, then one has clearly  $\mathfrak{R}^n \sim 1$ . On the other hand, the algebra class of  $\mathfrak{R}$  comes from an element of  $H^1(k, SL(n)/\mathbf{E}_n)$  if and only if it contains a  $k$ -form of  $\mathcal{M}_n$  (the total matrix algebra of degree  $n$ ), or, in other words, the degree of  $\mathfrak{R}$  divides  $n$ . Hence, under the condition  $(P_n)$ ,  $\Delta$  is bijective. It should also be noted that for the proofs of 2) and 3) we needed only the injectivity of  $\Delta$ , which holds whenever  $G'_1$  is  $k$ -isomorphic to  $SL(n)$ , without the assumption  $(P_n)$  for  $k$ .

3. We shall now apply Lemma 1 to the following situation. Let  $G_1$  and  $G'_1$  be (connected) simply connected (absolutely simple) Steinberg groups over

$k$  of one of the types listed below :

$G_1$	${}^1E_6$	${}^2E_6$	$E_7$	${}^3D_4$	${}^6D_4$
$G'_1$	${}^1A_5$	${}^2A_5$	${}^1D_6$	${}^3(3A_1)$	${}^6(3A_1)$

(For the meaning of the notation, see [11].) Then the centers of  $G_1$  and  $G'_1$  are as follows :

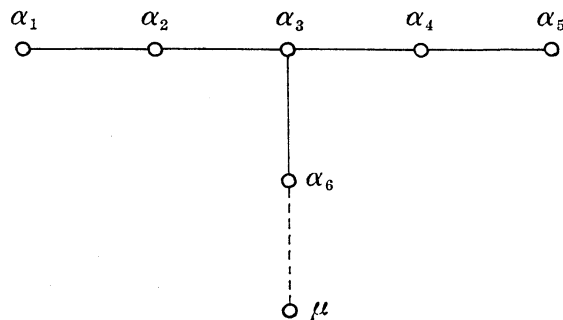
$Z_1 \cong$	$\mathbf{E}_3$	$\mathbf{E}_2$	$\mathbf{E}_2 \times \mathbf{E}_2$
$Z'_1 \cong$	$\mathbf{E}_6$	$\mathbf{E}_2 \times \mathbf{E}_2$	$\mathbf{E}_2 \times \mathbf{E}_2 \times \mathbf{E}_2$

The isomorphism in this list is a  $\mathcal{G}$ -isomorphism, if and only if the group  $G_1$  or  $G'_1$  is of Chevalley type. In general, the corresponding  $G_1$  and  $G'_1$  will have a common splitting field  $k'$ , and the action of  $\mathcal{G}$  on  $Z_1$  and  $Z'_1$  will be determined uniquely by  $k'$ . In each case, we shall construct a  $k$ -morphism  $\varphi_1$  of  $G'_1$  into  $G_1$  (which will turn out to be a monomorphism) in such a way that  $\varphi_1(G'_1)$  is a "regular"  $k$ -closed subgroup of  $G_1$ <sup>3)</sup>. (By a regular closed subgroup of  $G_1$ , we mean a closed subgroup corresponding to a "regular" subalgebra of the Lie algebra of  $G_1$  in the sense of Dynkin [4].) For all cases,  $G'_1$  will be a  $k$ -closed subgroup of  $G_1$  which is a simply connected Chevalley group of type  $A_1$  and so  $Z'_1$  is  $\cong \mathbf{E}_2$ . Thus, by the Remark 2 in 2, the condition (iii) of Lemma 1 is satisfied, provided  $k$  satisfies the condition  $(P_2)$ .

4. *The case  ${}^1E_6$ .* Let  $G_1$  and  $G'_1$  be simply connected Chevalley groups over  $k$  of type  $E_6$  and  $A_5$ , respectively. Then, one has  $\mathcal{G}$ -isomorphisms

$$(3) \quad Z_1 \cong \mathbf{E}_3, \quad Z'_1 \cong \mathbf{E}_6.$$

Let  $T_1$  and  $T'_1$  be  $k$ -trivial maximal tori in  $G_1$  and  $G'_1$ , respectively. Let further  $r$  be the root system of  $G_1$  relative to  $T_1$ ,  $\Delta = \{\alpha_1, \dots, \alpha_6\}$  a fundamental system



3) It can be proven directly that, if  $G_1$  is a simply connected semi-simple algebraic group and if  $H_1$  is a regular closed subgroup corresponding to a subset of a fundamental system of  $G_1$ , then  $H_1$  is also simply connected.

of  $\mathfrak{r}$ , and  $\mu$  the lowest root (i. e.,  $-\mu = \alpha_1 + 2\alpha_2 + 3\alpha_3 + 2\alpha_4 + \alpha_5 + 2\alpha_6$ ) (see the figure). Then it is clear that there is a  $k$ -isogeny  $\varphi_1$  of  $G'_1$  onto a regular  $k$ -closed subgroup  $G_1(\{\alpha_1, \dots, \alpha_5\})$  such that  $\varphi_1(T'_1) \subset T_1$ . (In general, for any subset  $\Gamma$  of  $\mathfrak{r}$ , one denotes by  $G_1(\Gamma)$  the regular closed subgroup of  $G_1$  corresponding to the (closed) subsystem  $\mathfrak{r} \cap \{\Gamma\}_Z$  of  $\mathfrak{r}$ .) One puts also  $G'_1 = G_1(\{\mu\})$ .

In order to see that the conditions (i), (ii) of Lemma 1 are satisfied, we need the following

LEMMA 2. Let  $\rho_1$  be an irreducible representation of  $G_1$  of dimension 27 with the highest weight  $\lambda_1 = \frac{1}{3}(4\alpha_1 + 5\alpha_2 + 6\alpha_3 + 4\alpha_4 + 2\alpha_5 + 3\alpha_6)$ . Then one has

$$\rho_1 \circ \varphi_1 \sim \rho'_1 + \rho'_2 + \rho'_3,$$

where  $\rho'_i$  stands for the  $i$ -th skew-symmetric tensor representation of  $G'_1$  in the standard numbering.

(Cf. [2], pp. 142-143; [3], pp. 20-23. In Cartan's notation, one has  $\alpha_i = \omega_{i,i+1} = \bar{\omega}_i - \bar{\omega}_{i+1}$  ( $1 \leq i \leq 5$ ),  $\alpha_6 = \omega_{567} = \bar{\omega}_5 + \bar{\omega}_6 + \bar{\omega}_7$ ,  $\mu = \omega_{000} = 3\bar{\omega}_0$ . The weights of  $\rho_1$  are given by  $\bar{\omega}_i - \bar{\omega}_0$ ,  $\bar{\omega}_i + 2\bar{\omega}_0$ ,  $-\bar{\omega}_i - \bar{\omega}_j - \bar{\omega}_0$  ( $1 \leq i, j \leq 6, i \neq j$ ). It is then easy to see that  $(-\bar{\omega}_i - \bar{\omega}_j - \bar{\omega}_0) \circ (\varphi_1|T'_1)$  (resp.  $(\bar{\omega}_i - \bar{\omega}_0) \circ (\varphi_1|T'_1)$ , resp.  $(\bar{\omega}_i + 2\bar{\omega}_0) \circ (\varphi_1|T'_1)$ ) constitute the set of weights of  $\rho'_4$  (resp.  $\rho'_1$ , resp.  $\rho'_2$ ) relative to  $T'_1$ .)

It follows that one can find a generator  $z$  of  $Z'_1$  such that

$$\rho_1(\varphi_1(z)) = \text{diag.}(\zeta_6^4 1_{12}, \zeta_6^4 1_{15}),$$

where  $\zeta_r$  is the primitive  $r$ -th root of unity (in  $\bar{k}$ ) and  $1_r$  is the unit matrix of degree  $r$ . This shows that both  $\rho_1$  and  $\varphi_1$  are faithful and  $\varphi_1(z^2)$  is a generator of  $Z_1$ . On the other hand, it is clear that  $G'_1$  is contained in the centralizer  $Z_{G_1}(\varphi_1(G'_1))$ . By Schur's lemma, the matrices of degree 27 which commute elementwise with  $\rho_1(\varphi_1(G'_1))$  are of the form  $\text{diag.}(x \otimes 1_6, \eta 1_{15})$ , where  $x \in GL(2)$  and  $\eta$  is a scalar. Hence, in order to complete the proof of (i), it is enough to show that, if a matrix of the form  $\text{diag.}(\xi 1_{12}, \eta 1_{15})$  is in  $\rho_1(G_1)$ , then it is in  $\rho_1(\varphi_1(Z'_1))$ . From the fact that  $\rho_1(G_1)$  leaves a certain cubic form ( $\sum_{i \neq k} x_i y_k z_{ik} - \sum z_{\lambda\mu} z_{\nu\rho} z_{\sigma\tau}$  in the notation of [2] loc. cit.) invariant, it follows that  $\xi^2 \eta = \eta^3 = 1$ , whence  $\xi^6 = 1$ ,  $\eta = \xi^4$ , which proves our assertion. At the same time, one sees that  $G'_1$  is  $k$ -isomorphic to  $SL(2)$  and  $\varphi_1(z^3)$  is the generator of  $Z'_1$ . Thus we have also (ii).

When  $k$  satisfies the condition  $(P_2)$ , the condition (iii) of Lemma 1 is also satisfied. Therefore, applying Lemma 1, one concludes that to every  $i$ -equivalence class of inner  $k$ -form  $(G', f')$  of  $G'_1$  there corresponds a certain number of  $i$ -equivalence classes of inner  $k$ -forms  $(G, f)$  of  $G_1$ , for which one has

$$(4) \quad \begin{aligned} \gamma(G) &= Z\text{-part of } \varphi^*(\gamma(G')) \\ &= \varphi^*(\gamma(G'))^4, \end{aligned}$$

where  $Z$  (resp.  $Z'$ ) is the center of  $G$  (resp.  $G'$ ), which is also  $\mathcal{G}$ -isomorphic to  $\mathbf{E}_3$  (resp.  $\mathbf{E}_6$ ). More specifically, when  $G'$  is  $k$ -isomorphic to  $SL(6/r, \mathbb{R}_r)$ , one may identify  $Z'$  with  $\mathbf{E}_6$  through the irreducible representation of  $SL(6/r, \mathbb{R}_r)$  (defined over  $\bar{k}$ ) which comes from the (unique) irreducible representation of  $\mathbb{R}_r$  (as an associative algebra). Then, by what we have proved above, this identification gives rise to the corresponding identification of  $Z$  with  $\mathbf{E}_3$ , and in this sense one has

$$(4') \quad \gamma(G) = c(\mathbb{R}_r)^4,$$

where  $c(\mathbb{R}_r) \in H^2(k, E_6)$  is the Hasse invariant of  $\mathbb{R}_r$ .

We may reformulate our result in the following form, which also gives a characterization of the  $k$ -forms  $G$  obtained by our method.

**THEOREM 1.** *Let  $G$  be a simply connected absolutely simple algebraic group of type  $E_6$  defined over  $k$ . Suppose there exists a regular  $k$ -closed subgroup  $G'$  of type  ${}^1A_5$ . Then  $G$  is of type  ${}^1E_6$ . If  $G'$  is  $k$ -isomorphic to  $SL(6/r, \mathbb{R}_r)$ , then through the natural identification mentioned above one has*

$$\gamma(G) = c(\mathbb{R}_r)^4.$$

**PROOF.** Since there is only one class of regular closed subgroups of type  $A_5$  in  $G$  with respect to the inner automorphisms ([4], p. 149, Table 11), one may suppose that  $G'$  is of the form  $G(\{\alpha_1, \dots, \alpha_5\})$  with respect to a maximal torus  $T$  defined over  $\bar{k}$  and a fundamental system  $\{\alpha_1, \dots, \alpha_6\}$ . Let  $G_1$  be a simply connected Chevalley group of type  $E_6$  over  $k$  and let  $T_1$  be a  $k$ -trivial maximal torus in  $G_1$ . Then one can find a  $\bar{k}$ -isomorphism  $f: G \rightarrow G_1$  such that  $f(T) = T_1$ . Let  $\varphi: G' \rightarrow G$  be the inclusion monomorphism (defined over  $k$ ), and put  $f' = f|G'$ ,  $G'_1 = f'(G')$ , and  $\varphi_1 = f \circ \varphi \circ f'^{-1}$ . Then  $G'_1 = G_1(\{\alpha_1, \dots, \alpha_5\})$  (with respect to  $T_1$ ), so that  $G'_1$  is a  $k$ -closed subgroup of  $G_1$ , which is a simply connected Chevalley group of type  $A_5$  over  $k$ , and  $\varphi_1$  is also defined over  $k$ . Since  $G'$  is of type  ${}^1A_5$ , the isomorphism  $Z' \cong \mathbf{E}_6$  is a  $\mathcal{G}$ -isomorphism. Therefore the same is also true for  $Z \cong \mathbf{E}_3$ , which means that  $G$  is of type  ${}^1E_6$ . It follows that  $f^\sigma \circ f^{-1}$  (resp.  $f'^\sigma \circ f'^{-1}$ ) is an inner automorphism of  $G_1$  (resp.  $G'_1$ ). Thus one restores the situation considered above (except for the condition  $(P_2)$  on  $k$ , which we do not need), and the last statement of the Theorem follows.

**5. The case  ${}^2E_6$ .** Let  $G_1$  and  $G'_1$  be simply connected Steinberg groups over  $k$  of type  ${}^2E_6$  and  ${}^2A_5$ , respectively. Then there exists a quadratic extension  $k'$  of  $k$  over which  $G_1$  splits (i. e., becomes of Chevalley type). For any fixed isomorphism  $Z_1 \cong \mathbf{E}_3$ , the 'splitting field'  $k'$  can be characterized by the action of the Galois group as follows:

$$Z_1 \ni z \leftrightarrow \zeta \in \mathbf{E}_3$$

$$\implies \begin{cases} z^\sigma \leftrightarrow \zeta^\sigma & \text{if } \sigma \in \text{Gal}(\bar{k}/k'), \\ z^\sigma \leftrightarrow \zeta^{-\sigma} & \text{if } \sigma \notin \text{Gal}(\bar{k}/k'). \end{cases}$$

The situation is quite similar for  $G'_1$ . Hence, if there is a  $k$ -morphism  $\varphi_1 : G'_1 \rightarrow G_1$  as described in Lemma 1, then the injection:  $Z_1 \rightarrow \varphi_1(Z'_1)$  will induce a  $\mathcal{G}$ -monomorphism of  $Z_1$  into  $Z'_1$ , and so the splitting fields for  $G_1$  and  $G'_1$  should coincide. Conversely, if  $G_1$  and  $G'_1$  have a common splitting field  $k'$ , then one can find a  $k$ -morphism  $\varphi_1$  as follows. Let  $T_1$  and  $T'_1$  be maximal tori defined over  $k$  in  $G_1$  and  $G'_1$ , respectively, containing a maximal  $k$ -trivial torus in the respective groups, and take a  $\mathcal{G}$ -fundamental system  $\Delta = \{\alpha_1, \dots, \alpha_6\}$  in the sense of [8]. (These imply that  $T_1$  and  $T'_1$  are  $k'$ -trivial and, if  $\sigma_0$  denotes the generator of  $\text{Gal}(k'/k)$ , one has  $\alpha_1^{\sigma_0} = \alpha_5, \alpha_2^{\sigma_0} = \alpha_4, \alpha_3^{\sigma_0} = \alpha_3, \alpha_6^{\sigma_0} = \alpha_6$ .) It is then clear that  $G_1(\{\alpha_1, \dots, \alpha_5\})$  is a  $k$ -closed subgroup of  $G_1$ , which is also a Steinberg group with the same splitting field  $k'$ , and  $T_1 \cap G_1(\{\alpha_1, \dots, \alpha_5\})$  contains a maximal  $k$ -trivial torus in  $G_1(\{\alpha_1, \dots, \alpha_5\})$ . Therefore, there exists a  $k$ -isogeny  $\varphi_1$  of  $G'_1$  onto  $G_1(\{\alpha_1, \dots, \alpha_5\})$  such that  $\varphi_1(T'_1) \subset T_1$  ([8], p. 233).

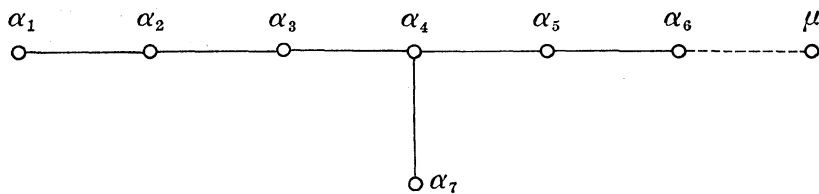
Since the conditions (i), (ii) of Lemma 1 have nothing to do with the ground field  $k$ , the proofs given in 4 remain valid in the present case. Also one has  $G'_1 = G_1(\{\mu\}) \cong SL(2)$  (over  $k$ ). Hence one can apply Lemma 1 to obtain a quite similar result as in 4. In particular, if  $(G, f)$  is an inner  $k$ -form of  $G_1$  corresponding to an inner  $k$ -form  $(G', f')$  of  $G'_1$  in the sense of Lemma 1, then  $\gamma(G)$  is given by the  $Z$ -part of  $\varphi^*(\gamma(G'))$ . Also, by a similar argument, one obtains the following

**THEOREM 1'.** *Let  $G$  be a simply connected absolutely simple algebraic group of type  $E_6$  defined over  $k$ . Suppose there exists a regular  $k$ -closed subgroup  $G'$  of type  ${}^2A_5$ . Then,  $G$  is of type  ${}^2E_6$  (belonging to the same quadratic extension  $k'/k$ ) and  $\gamma(G)$  is given by the  $Z$ -part of  $\gamma(G')$ .*

**6. The case  $E_7$ .** Let  $G_1$  and  $G'_1$  be simply connected Chevalley groups over  $k$  of type  $E_7$  and  $D_6$ , respectively. Then one has

$$(5) \quad Z_1 \cong \mathbf{E}_2, \quad Z'_1 \cong \mathbf{E}_2 \times \mathbf{E}_2.$$

(This time the operations of the Galois group are all trivial.) Let  $T_1$  and  $T'_1$  be  $k$ -trivial maximal tori in  $G_1$  and  $G'_1$ , respectively, and let  $\{\alpha_1, \dots, \alpha_7\}$  be a





fundamental system of  $G_1$  relative to  $T_1$ , and  $\mu$  the lowest root (i. e.,  $-\mu = \alpha_1 + 2\alpha_2 + 3\alpha_3 + 4\alpha_4 + 3\alpha_5 + 2\alpha_6 + 2\alpha_7$ ) (see the figure). Then one has a  $k$ -isogeny  $\varphi_1$  of  $G'_1$  onto  $G_1(\{\alpha_1, \dots, \alpha_5, \alpha_7\})$  such that  $\varphi_1(T'_1) \subset T_1$ . One puts also  $G''_1 = G_1(\{\mu\})$ . Then one has the following

LEMMA 3. *Let  $\rho_1$  be an irreducible representation of  $G_1$  of dimension 56 with the highest weight  $\lambda_1 = \frac{3}{2}\alpha_1 + 2\alpha_2 + \frac{5}{2}\alpha_3 + 3\alpha_4 + 2\alpha_5 + \alpha_6 + \frac{3}{2}\alpha_7$ . Then, one has*

$$\rho_1 \circ \varphi_1 \sim \rho'_1 + \rho'_1 + \rho'_6,$$

where  $\rho'_1$  and  $\rho'_6$  are the irreducible representations of  $G'_1$  corresponding to the fundamental weights  $\lambda'_1$  and  $\lambda'_6$ , respectively. (The  $\lambda'_i$ 's are numerated in such a way that  $\frac{2\langle \alpha'_i, \lambda'_j \rangle}{\langle \alpha'_i, \alpha'_i \rangle} = \delta_{ij}$ , where  $\alpha'_i = \alpha_i \circ (\varphi_1|T'_1)$  for  $1 \leq i \leq 5$  and  $\alpha'_6 = \alpha_7 \circ (\varphi_1|T'_1)$ . In particular,  $\rho'_6$  is the "second spin representation" in this numbering.)

(Cf. [2], pp. 143-144; [3], pp. 24-27. Note that in this case  $\rho_1(G_1)$  leaves an alternating form invariant.)

In virtue of this Lemma, it can be proved exactly as in 4 that the conditions (i), (ii) of Lemma 1 are satisfied. Moreover, one can find generators  $z_1$  and  $z_2$  of  $Z'_1$  such that

$$\rho_1(\varphi_1(z_1)) = \text{diag.}(-1_{24}, 1_{32}),$$

$$\rho_1(\varphi_1(z_2)) = -1_{56}.$$

Thus  $\varphi_1(z_1)$  and  $\varphi_1(z_2)$  are the generators of  $Z'_1$  and  $Z_1$ , respectively. In the following, we shall fix once and for all the isomorphisms (5) given by this choice of the generators.

One concludes from Lemma 1 that, if  $(G, f)$  is an inner  $k$ -form of  $G_1$  corresponding to an inner  $k$ -form  $(G', f')$  of  $G'_1$ , then  $\gamma(G)$  is given by the  $Z$ -part of  $\varphi^*(\gamma(G'))$ . Through the identification of  $Z' \cong Z'_1$  (resp.  $Z \cong Z_1$ ) with  $\mathbf{E}_2 \times \mathbf{E}_2$  (resp.  $\mathbf{E}_2$ ) mentioned above, one has

$$(6) \quad \gamma(G') = (c(\mathfrak{C}_1), c(\mathfrak{C}_2)), \quad \gamma(G) = c(\mathfrak{C}_2),$$

where  $\mathfrak{C}_1$  and  $\mathfrak{C}_2$  denote the first and the second Clifford algebras (over  $k$ ) associated with  $G'$  supplying the spin representations  $\rho'_5$  and  $\rho'_6$  respectively ([9], p. 249). From this, one obtains the following

THEOREM 2. *Let  $G$  be a simply connected absolutely simple algebraic group of type  $E_7$  over  $k$ . Suppose there exists a regular  $k$ -closed subgroup  $G'$  of type  $D_6$ . Then,  $G'$  is of type  ${}^1D_6$  and, if  $\mathfrak{C}_2$  is the second Clifford algebra associated with  $G'$  (in the sense explained above), one has*

$$\gamma(G) = c(\mathfrak{C}_2).$$

In fact, since there is only one class of regular closed subgroups of type

$D_6$  in  $G$  ([4], loc. cit.), one may suppose that  $G'$  is of the form  $G(\{\alpha_1, \dots, \alpha_6, \alpha_7\})$ . On the other hand, since the Galois group operates trivially on  $Z' = Z \times Z''$ ,  $G'$  is of type  ${}^1D_6$ . The rest of the proof runs exactly in the same way as for Theorem 1.

7. Tits [12] gave recently a new method of constructing  $k$ -forms of (absolutely) simple Lie algebras of type  $E_6$  and  $E_7$  which contain in an obvious way simple Lie algebras of type  $A_5$  and  $D_6$ , respectively. The invariant  $\gamma(G)$  of the corresponding simply connected simple algebraic group  $G$  defined over  $k$  can therefore be determined by Theorems 1, 1' and 2. Moreover, when  $k$  is a local field, all  $k$ -forms of  $E_6$  and  $E_7$  are obtained in this manner.

First, let us recall briefly the construction of Tits for the case  $E_6$ <sup>4)</sup>. Let  $\mathfrak{D}$  (resp.  $\mathcal{C}$ ) be a quaternion (resp. octanion) algebra over  $k$ , and let  $\mathcal{J}$  be a normal simple Jordan algebra of degree 3 and of dimension 9 over  $k$  (with the product  $\circ$ )<sup>5)</sup>. Then one obtains simple Lie algebras of type  $E_6$  and  $A_5$  over  $k$  in the following form:

$$(7) \quad \begin{cases} \mathfrak{g} = D(\mathcal{C}) + \mathcal{C}_0 \otimes \mathcal{J}_0 + D(\mathcal{J}), \\ \mathfrak{g}' = D(\mathfrak{D}) + \mathfrak{D}_0 \otimes \mathcal{J}_0 + D(\mathcal{J}), \end{cases}$$

where  $D(\dots)$  denotes the derivation algebra of  $\dots$  and  $(\dots)_0$  is the subspace of  $\dots$  formed of all elements of (reduced) trace zero. The product  $[\ ]$  in  $\mathfrak{g}$  is defined by the following rule: (i)  $D(\mathcal{C})$  and  $D(\mathcal{J})$  are Lie subalgebras of  $\mathfrak{g}$  satisfying  $[D(\mathcal{C}), D(\mathcal{J})] = 0$ ; (ii) for  $D \in D(\mathcal{C})$ ,  $D' \in D(\mathcal{J})$ , and  $a \otimes u \in \mathcal{C}_0 \otimes \mathcal{J}_0$ , one has

$$[D + D', a \otimes u] = (Da) \otimes u + a \otimes (D'u);$$

(iii) for  $a \otimes u, b \otimes v \in \mathcal{C}_0 \otimes \mathcal{J}_0$ , one has

$$[a \otimes u, b \otimes v] = (u, v)\langle a, b \rangle + (a * b) \otimes (u * v) + (a, b)\langle u, v \rangle,$$

where  $(a, b) = \frac{1}{2} \text{tr}(ab)$ ,  $a * b = ab - (a, b)1 \in \mathcal{C}_0$ , and  $\langle a, b \rangle$  is a derivation of  $\mathcal{C}$  defined by

$$\langle a, b \rangle(x) = \frac{1}{4} [[a, b], x] - \frac{3}{4} [a, b, x] \quad \text{for } x \in \mathcal{C},$$

and similarly  $(u, v) = \frac{1}{3} \text{tr}(u \circ v)$ ,  $u * v = u \circ v - (u, v)1$ , and

$$\langle u, v \rangle(x) = u \circ (v \circ x) - v \circ (u \circ x) \quad \text{for } x \in \mathcal{J}.$$

The product in  $\mathfrak{g}'$  is defined similarly.

Now suppose  $\mathfrak{D} \subset \mathcal{C}$ . Then one may write  $\mathcal{C} = \mathfrak{D} + \mathfrak{D}\varepsilon_4$  with  $\varepsilon_4 \in \mathcal{C}_0$ ,  $\varepsilon_4^2 = \lambda$

4) Actually there are two different constructions of the Lie algebras of type  $E_6$  and  $E_7$ , but for the sake of simplicity we consider here only one of them.

5) For the theory of Jordan algebras the reader is referred to [7], [10], [12], [13].

$\in k$ ,  $\lambda \neq 0$ , and one has

$$(a + b\varepsilon_4)(c + d\varepsilon_4) = (ac + \lambda\bar{d}b) + (da + b\bar{c})\varepsilon_4$$

for  $a, b, c, d \in \mathfrak{D}$ , where the bar denotes the canonical involution in  $\mathfrak{D}$ . We imbed  $D(\mathfrak{D})$  into  $D(\mathcal{C})$  as follows. One has  $D(\mathfrak{D}) = \{D_a \mid a \in \mathfrak{D}_0\}$ , where  $D_a(x) = [a, x]$  for  $x \in \mathfrak{D}$ , and  $D_a$  can be extended to a derivation of  $\mathcal{C}$  by setting

$$D_a(x + y\varepsilon_4) = [a, x] - (ya)\varepsilon_4.$$

(Note that this extension of  $D_a$  is independent of the choice of  $\varepsilon_4$ .) The injection  $D(\mathfrak{D}) \rightarrow D(\mathcal{C})$  thus defined is clearly a monomorphism of Lie algebra, and gives rise in a natural way to a monomorphism of  $\mathfrak{g}'$  into  $\mathfrak{g}$ . In this sense, we have the following

LEMMA 4. *When  $\mathfrak{D} \subset \mathcal{C}$ ,  $\mathfrak{g}'$  is a regular subalgebra of  $\mathfrak{g}$ .*

In fact, take any non-zero element  $a_1$  in  $\mathfrak{D}_0$ . Then one can define another sort of derivation of  $\mathcal{C}$  by setting

$$D'_{a_1}(x + y\varepsilon_4) = (a_1y)\varepsilon_4.$$

It is easy to check that one has  $[D'_{a_1}, X] = 0$  for all  $X \in \mathfrak{g}'$ . Hence, if  $a_1$  is semi-simple and if  $\mathfrak{h}'$  is any Cartan subalgebra of  $\mathfrak{g}'$ , then  $\mathfrak{h} = \{D'_{a_1}\}_k + \mathfrak{h}'$  is a Cartan subalgebra of  $\mathfrak{g}$  such that  $[\mathfrak{h}, \mathfrak{g}'] \subset \mathfrak{g}'$ . Therefore,  $\mathfrak{g}'$  is a regular subalgebra of  $\mathfrak{g}$  with respect to  $\mathfrak{h}$ .

Now we have the following two cases:

1°.  $\mathcal{G} = \mathcal{J}(\mathfrak{A}_3)$ , where  $\mathfrak{A}_3$  is a normal simple (associative) algebra of degree 3 over  $k$  and  $\mathcal{J}(\mathfrak{A}_3)$  denotes the Jordan algebra obtained from  $\mathfrak{A}_3$  by endowing it with the Jordan product  $x \circ y = \frac{1}{2}(xy + yx)$  for  $x, y \in \mathfrak{A}_3$ .

2°.  $\mathcal{G} = \mathcal{H}(\mathfrak{A}'_3, \iota)$ , where  $\mathfrak{A}'_3$  is a normal simple (associative) algebra of degree 3 over a quadratic extension  $k'$  of  $k$  with an involution of the second kind  $\iota$ , and  $\mathcal{H}(\mathfrak{A}'_3, \iota)$  denotes the Jordan algebra formed of all ' $\iota$ -hermitian' element in  $\mathfrak{A}'_3$  (i.e., all  $x \in \mathfrak{A}'_3$  such that  $x' = x$ ) with the Jordan product as above. In particular, when  $\mathfrak{A}'_3 \sim 1$  (over  $k'$ ), one may write

$$\mathcal{G} = \mathcal{H}_3(k'/k; \gamma_1, \gamma_2, \gamma_3) = \{X \in \mathcal{M}_3(k') \mid H^{-1}\bar{X}H = X\},$$

where  $\gamma_i \in k$ ,  $\gamma_i \neq 0$  ( $1 \leq i \leq 3$ ), and  $H = \text{diag}(\gamma_1, \gamma_2, \gamma_3)$ .

It is then easy to show that, in the case 1°,  $\mathfrak{g}'$  is canonically identified with the Lie algebra  $(\mathfrak{D} \otimes \mathfrak{A}_3)_0$  with the Lie product  $[x, y] = xy - yx$ ; while, in the case 2°,  $\mathfrak{g}'$  is canonically identified with the Lie algebra formed of all  $x \in \mathfrak{D} \otimes_k \mathfrak{A}'_3$  such that  $\text{tr}_{\mathfrak{D} \otimes \mathfrak{A}'_3/k}(x) = 0$  and  $x' + x = 0$ , with the Lie product as above, where  $\iota'$  denotes the involution of the second kind in  $\mathfrak{D} \otimes_k \mathfrak{A}'_3$  defined by  $(x \otimes y)' = \bar{x} \otimes y'$  for  $x \in \mathfrak{D}$ ,  $y \in \mathfrak{A}'_3$ . Let  $G$  and  $G'$  be the simply connected simple algebraic groups defined over  $k$  corresponding to  $\mathfrak{g}$  and  $\mathfrak{g}'$ , respectively.

Then, in the case 1°,  $G'$  is of type  ${}^1A_5$  and by Theorem 1 one has

$$(8) \quad \gamma(G) = c(\mathfrak{A}_3).$$

In the case 2°,  $G'$  is of type  ${}^2A_5$  and  $\gamma(G)$  can be determined by Theorem 1' and by [9], p. 245, (14); in particular, if  $\mathfrak{A}'_3 \sim 1$  (over  $k'$ ), one has

$$\gamma(G) = (c'_{\sigma, \tau}),$$

where

$$c'_{\sigma, \tau} = \begin{cases} 1 & \text{if } \sigma \in \text{Gal}(\bar{k}/k'), \\ \sqrt[3]{\gamma_1 \gamma_2 \gamma_3}^{\tau-1} & \text{if } \sigma \in \text{Gal}(\bar{k}/k'), \tau \in \text{Gal}(\bar{k}/k'), \\ \sqrt[3]{\gamma_1 \gamma_2 \gamma_3}^{1-\tau} & \text{if } \sigma, \tau \in \text{Gal}(\bar{k}/k'), \end{cases}$$

whence it is easy to see that  $(c'_{\sigma, \tau}) \sim 1$  and so  $\gamma(G) = 1$ .

8. The simple Lie algebras of type  $E_7$  and  $D_6$  constructed by Tits are of the following form:<sup>4)</sup>

$$(9) \quad \begin{cases} \mathfrak{g} = D(\mathcal{C}) + \mathcal{C}_0 \otimes \mathcal{J}'_0 + D(\mathcal{J}'), \\ \mathfrak{g}' = D(\mathfrak{D}) + \mathfrak{D}_0 \otimes \mathcal{J}'_0 + D(\mathcal{J}'), \end{cases}$$

where  $\mathfrak{D}$  and  $\mathcal{C}$  are as before, but  $\mathcal{J}'$  is a normal simple Jordan algebra of degree 3 and of dimension 15 over  $k$ . When  $k$  satisfies  $(P_2)$ , one may assume

$$(10) \quad \mathcal{J}' = \mathcal{A}_3(\mathfrak{D}'; \gamma_1, \gamma_2, \gamma_3),$$

where  $\mathfrak{D}'$  is another quaternion algebra over  $k$ ,  $\gamma_i \in k$ ,  $\gamma_i \neq 0$ , and  $\mathcal{A}_3(\mathfrak{D}'; \gamma_1, \gamma_2, \gamma_3)$  denotes the Jordan algebra formed of all  $X \in \mathcal{M}_3(\mathfrak{D}')$  such that  $H^{-1} \bar{X} H = X$  with  $H = \text{diag}(\gamma_1, \gamma_2, \gamma_3)$ . The products are defined quite similarly as in 7.

Now, analogously to Lemma 4, one sees that, when  $\mathfrak{D} \subset \mathcal{C}$ ,  $\mathfrak{g}'$  is a regular subalgebra of  $\mathfrak{g}$ . Also, it is easy to see that  $\mathfrak{g}'$  can be identified canonically with the Lie algebra formed of all  $X \in \mathcal{M}_3(\mathfrak{D} \otimes \mathfrak{D}')$  such that  $\text{tr}(X) = 0$  and  $\bar{X} H + H X = 0$ , where  $\bar{X}$  is defined by means of the involution of the first kind in  $\mathfrak{D} \otimes \mathfrak{D}'$  defined by  $\overline{x \otimes y} = \bar{x} \otimes \bar{y}$  for  $x \in \mathfrak{D}$ ,  $y \in \mathfrak{D}'$ . It follows that  $G'$  is of type  ${}^1D_6$  and so by Theorem 2, denoting by  $\mathfrak{C}_2$  the second Clifford algebra associated with  $G'$ , one has

$$\gamma(G) = c(\mathfrak{C}_2).$$

In the special cases, where  $\mathfrak{D}' \subset \mathcal{C}$  or  $\mathcal{C} \sim 1$ , one can show that  $\mathfrak{C}_2 \sim \mathfrak{D}'$  and so

$$(11) \quad \gamma(G) = c(\mathfrak{D}').$$

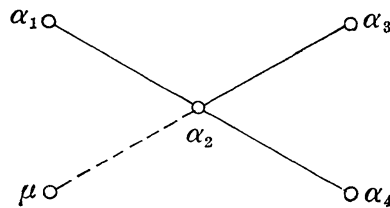
(This is always the case when  $k$  is a local field.)

In fact, if  $\mathfrak{D}' \subset \mathcal{C}$ , one may take  $\mathfrak{D} = \mathfrak{D}' = (\beta, \gamma)$ . Then  $\mathfrak{D} \otimes \mathfrak{D}' \sim 1$  and the 3-dimensional hermitian vector space over  $\mathfrak{D} \otimes \mathfrak{D}'$  with the hermitian form  $H$

reduces in an obvious manner to a 12-dimensional quadratic vector space over  $k$  with a symmetric bilinear form  $S = \text{diag.}(1, -\beta, -\gamma, \beta\gamma) \otimes H$ . By an easy calculation, one then sees that the full Clifford algebra  $C(S)$  is  $\sim(\beta, \gamma)$  and so  $\mathfrak{C}_1 \sim \mathfrak{C}_2 \sim (\beta, \gamma)$ . Next, when  $C' \sim 1$ , one may take  $\mathfrak{D} \sim 1$ ; put  $\mathfrak{D}' = (\beta', \gamma')$ . Then the 3-dimensional hermitian vector space over  $\mathfrak{D} \otimes \mathfrak{D}'$  reduces to a 6-dimensional (right) vector space  $V'$  over  $\mathfrak{D}'$  with a skew-hermitian form of index 3. Let  $(e_1, \dots, e_6)$  be any basis of  $V'$  over  $\mathfrak{D}'$  for which the skew-hermitian form takes the form  $\begin{pmatrix} 0 & -1_3 \\ 1_3 & 0 \end{pmatrix}$  and put  $e_i = e_i \varepsilon'_{11}$  ( $1 \leq i \leq 6$ ), where  $\varepsilon'_1 \in \mathfrak{D}'$ ,  $\varepsilon'^2 = \beta'$ ,  $\varepsilon'_{11} = -\frac{1}{2}(1 + \sqrt{\beta'}^{-1} \varepsilon'_1)$ . Put further  $K = k(\sqrt{\beta'})$ . Then  $W = \{e_1, \dots, e_6\}_K$  is a maximal totally isotropic subspace of  $V'_K \varepsilon'_{11}$ , which is now viewed as a 12-dimensional quadratic vector space over  $K$ . Let  $W' = \{e_7, \dots, e_{12}\}_K$  be a complementary totally isotropic subspace such that  $S(e_i, e_{j+6}) = \delta_{ij}$  ( $1 \leq i, j \leq 6$ ),  $S$  denoting the symmetric bilinear form on  $V'_K \varepsilon'_{11}$ . In terms of this basis, one can show that the second Clifford algebra  $\mathfrak{C}_2$  (in the sense explained in 6) corresponds to the simple component of the even Clifford algebra  $C^+(S)$  whose unit element is given by  $\frac{1}{2} \left\{ 1 + \prod_{i=1}^6 (e_i e_{i+6} - e_{i+6} e_i) \right\}$ . From this, one can conclude by a straightforward calculation that  $\mathfrak{C}_2 \sim (\beta', \gamma')$ .

9. *The cases  ${}^3D_4$  and  ${}^6D_4$ .* Let  $G_1$  and  $G'_1 (= \prod_{i=1}^3 G'_{1i})$  be simply connected Steinberg groups over  $k$  of type  ${}^3D_4$  (or  ${}^6D_4$ ) and  ${}^3(3A_1)$  (or  ${}^6(3A_1)$ ), respectively. Then, there is a cubic extension  $k'_1$  of  $k$  such that  $G'_1 = R_{k'_1/k}(G_{11})$ , and the splitting field  $k'$  for  $G'_1$  is the smallest Galois extension (of degree 3 or 6) of  $k$  containing  $k'_1$ . One has

$$(12) \quad \begin{cases} Z_1 \cong \mathbf{E}_2 \times \mathbf{E}_2, \\ Z'_1 \cong \mathbf{E}_2 \times \mathbf{E}_2 \times \mathbf{E}_2 (= R_{k'_1/k}(\mathbf{E}_2)). \end{cases}$$



In view of the operations of the Galois group on  $Z_1$  and  $Z'_1$ , it is easy to see (as in 5) that one has a  $k$ -isogeny  $\varphi_1$  of  $G'_1$  onto  $G_1(\{\alpha_1, \alpha_3, \alpha_4\})$  if and only if  $G_1$  has the same splitting field  $k'$ . One puts also  $G''_1 = G_1(\{\mu\})$ , where  $\mu$  is the lowest root. Then (as in 4) one can show that all the assumptions of Lemma 1 are satisfied, provided  $k$  satisfies  $(P_2)$ . Moreover, if one calls  $z_i$  the generator of the center of  $G'_{1i}$  ( $i = 1, 2, 3$ ), one sees that  $\varphi_1(z_1 z_2)$  and  $\varphi_1(z_1 z_3)$  are generators

of  $Z_1$  and  $\varphi_1(z_1 z_2 z_3)$  is the generator of  $Z_1'$ . One fixes once and for all the isomorphisms (12) defined by this choice of the generators. Then, by the same argument as before one obtains the following

**THEOREM 3.** *Let  $G$  be a simply connected absolutely simple algebraic group of type  $D_4$  defined over  $k$ . Suppose there exists a regular  $k$ -closed subgroup  $G'$  of type  ${}^3(3A_1)$  or  ${}^6(3A_1)$ . Then,  $G$  is of type  ${}^3D_4$  or  ${}^6D_4$  (with the same 'nuclear' field  $k'$  <sup>6)</sup>). If  $G'$  is  $k$ -isomorphic to  $R_{k'/k}(SL(1, \mathfrak{D}'))$ , where  $k'$  is a cubic extension of  $k$  and  $\mathfrak{D}'$  is a quaternion algebra over  $k'$ , then  $\gamma(G)$  is given by the  $Z$ -part of  $R_{k'/k}^*(c(\mathfrak{D}')) \in H^2(k, Z')$ .*

In particular, if there is a quaternion algebra  $\mathfrak{D}$  over  $k$  such that  $\mathfrak{D}' = \mathfrak{D} \otimes_k k'$  (as is always the case when  $k$  is a local field), then it can easily be seen that  $\gamma(G) = 1$ .

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6) This terminology was borrowed from T. Ono, On algebraic groups and discrete groups, Nagoya Math. J., 27 (1966), 279–322.