

## On the generalized decomposition numbers of the symmetric group

Dedicated to Professor Iyanaga on his 60th birthday

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### Introduction

Let  $G$  be a group of finite order and let  $p$  be a fixed prime number. We consider the representations of  $G$  in the field  $\Omega$  of the  $g$ -th roots of unity. Then every absolutely irreducible representation of  $G$  can be written with coefficients in  $\Omega$ . Let  $\mathfrak{p}$  be a prime ideal divisor of  $p$  in  $\Omega$  and let  $\mathfrak{o}_{\mathfrak{p}}$  be the ring of all  $\mathfrak{p}$ -integers of  $\Omega$ , and  $\Omega^*$  the residue class field of  $\mathfrak{o}_{\mathfrak{p}}$  (mod  $\mathfrak{p}$ ). We denote by  $\alpha^*$  the residue class of  $\alpha \in \mathfrak{o}_{\mathfrak{p}}$ .

Let  $\zeta_0 = 1, \zeta_1, \dots, \zeta_{m-1}$  be the (absolutely) irreducible characters of  $G$  and let  $\varphi_0 = 1, \varphi_1, \dots, \varphi_{n-1}$  be the modular irreducible characters of  $G$  for  $p$ . Then we have for a  $p$ -regular element  $y$  in  $G$

$$(1) \quad \zeta_i(y) = \sum_{\kappa} d_{i\kappa} \varphi_{\kappa}(y)$$

where the  $d_{i\kappa}$  are non-negative rational integers and are called the decomposition numbers of  $G$ . The irreducible characters  $\zeta_i$  and the modular irreducible characters  $\varphi_{\kappa}$  are distributed into a certain number of blocks  $B_0, B_1, \dots, B_{s-1}$  for  $p$ , each  $\zeta_i$  and each  $\varphi_{\kappa}$  belonging to exactly one block  $B_{\sigma}$ . In (1) we have  $d_{i\kappa} = 0$  for  $\zeta_i \in B_{\sigma}$  if  $\varphi_{\kappa}$  is not contained in  $B_{\sigma}$ .

In the following we denote by  $x$  the  $p$ -element of  $G$ . Let  $\varphi_0^x = 1, \varphi_1^x, \dots, \varphi_{r-1}^x$  be the modular irreducible characters of the normalizer  $N(x)$  of  $x$  in  $G$ . We have for a  $p$ -regular element  $y$  in  $N(x)$

$$(2) \quad \zeta_i(xy) = \sum_{\kappa} d_{i\kappa}^x \varphi_{\kappa}^x(y)$$

where the  $d_{i\kappa}^x$  are the algebraic integers and are called the generalized decomposition numbers of  $G$ . We have  $d_{i\kappa} = d_{i\kappa}^1$  for  $x = 1$ . Let us denote by  $B^{(\sigma)}$  the collection of all blocks  $\tilde{B}_{\tau}$  of  $N(x)$  which determine a given block  $B_{\sigma}$  of  $G$ . In (2) we have  $d_{i\kappa}^x = 0$  for  $\zeta_i \in B_{\sigma}$  if  $\varphi_{\kappa}^x$  is not contained in  $B^{(\sigma)}$  ([1], [3]).

Recently A. Kerber [5] proved the following

**THEOREM 1.** *The generalized decomposition numbers of the symmetric group*

are rational integers.

He also determined the generalized decomposition numbers of the symmetric group  $S_n$  for  $p=2$  and  $n \leq 9$ . In section 1 we shall give a simpler proof of Theorem 1. By our method we can determine directly the generalized decomposition numbers of  $S_n$ . In section 2 we shall obtain the necessary and sufficient condition that two irreducible characters  $\zeta_i^x$  and  $\zeta_j^x$  of  $N(x)$  belong to the same block. As is well known, the block of  $S_n$  is determined by its  $p$ -core ([4], [6], [7], [9]). Similarly, we shall prove that the block of  $N(x)$  is determined by its  $p$ -core. The aim of section 3 is to find the block of  $S_n$  which is determined by a given block of  $N(x)$ . We obtain the following

**THEOREM 2.** *Let Young diagram  $[\alpha_0]$  be the  $p$ -core of the block  $\tilde{B}_\tau$  of  $N(x)$ . Then  $\tilde{B}_\tau$  determines the block of  $S_n$  with the same  $p$ -core  $[\alpha_0]$ .*

Let  $B^{(\sigma)}$  be the collection of all blocks  $\tilde{B}_\tau$  which determine the block  $B_\sigma$  of  $S_n$ . Then Theorem 2 implies that every  $B^{(\sigma)}$  consists of one block of  $N(x)$ .

**1. Proof of Theorem 1.**

Let  $x$  be a  $p$ -element of  $S_n$  which consists of  $a_i$  cycles of length  $p^i$  ( $0 \leq i \leq k$ ,  $a_i \geq 0$ ). The normalizer  $N(x)$  of  $x$  in  $S_n$  is the direct product of its subgroups  $S(a_i, p^i)$ :

$$(3) \quad N(x) = S(a_0, 1) \times S(a_1, p) \times \cdots \times S(a_k, p^k)$$

where the  $S(a_i, p^i)$  are called the generalized symmetric groups ([8]).  $S(a_i, p^i)$  is the semi-direct product of the normal subgroup  $Q_i$  of order  $(p^i)^{a_i}$  and the subgroup  $S_{a_i}^*$  which is isomorphic with the symmetric group  $S_{a_i}$ :

$$(4) \quad S(a_i, p^i) = S_{a_i}^* Q_i, \quad S_{a_i}^* \cap Q_i = 1, \quad S_{a_i}^* \cong S_{a_i}.$$

Evidently we have  $S(a_0, 1) = S_{a_0}$ . Since  $S(a_i, p^i)/Q_i \cong S_{a_i}^*$ , (4) implies that every modular irreducible character of  $S(a_i, p^i)$  is given by the modular irreducible character of  $S_{a_i}$ . Let us denote by  $\Phi_n$  and  $\Phi^x$  the matrices of the modular irreducible characters of  $S_n$  and  $N(x)$  respectively. Since the modular irreducible character  $\varphi^x$  of  $N(x)$  is the product of the modular irreducible characters  $\varphi^i$  of  $S_{a_i}$ :

$$(5) \quad \varphi^x = \varphi^0 \varphi^1 \cdots \varphi^k,$$

we see that  $\Phi^x$  is the Kronecker product of  $\Phi_{a_i}$ :

$$(6) \quad \Phi^x = \Phi_{a_0} \times \Phi_{a_1} \times \cdots \times \Phi_{a_k}.$$

**LEMMA 1.** *Let  $x$  be a  $p$ -element of  $S_n$ . Then the modular irreducible characters  $\varphi^x(y)$  of  $N(x)$  are rational integers.*

**PROOF.** As is well known, the irreducible characters  $\zeta_i(g)$  of  $S_n$  are rational

integers. Since the modular irreducible characters  $\varphi_\kappa(y)$  of  $S_n$  can be expressed by the irreducible characters  $\zeta_i(y)$  of  $S_n$  (restricted to  $p$ -regular elements) with integral coefficients,  $\varphi_\kappa(y)$  are rational integers. This, combining with (5), yields the proof of Lemma 1.

Let  $g$  be an element of  $S_n$ . We then have  $g = xy = yx$  where  $x$  is a  $p$ -element and  $y$  is a  $p$ -regular element. The  $p$ -element  $x$  is called the  $p$ -factor of  $g$ . Let  $y_0 = 1, y_1, \dots, y_{t-1}$  be a complete system of representatives for the  $p$ -regular elements in  $N(x)$  such that they all lie in different classes of  $N(x)$  but that every  $p$ -regular element in  $N(x)$  is conjugate to one of them. Then the  $xy_i$  ( $i = 0, 1, \dots, t-1$ ) consist of a complete system of representatives for the classes of  $G$  which contain an element whose  $p$ -factor is conjugate to  $x$  in  $G$ . We set

$$(7) \quad Z^x = (\zeta_i(xy_j)).$$

We then have from (2)

$$(8) \quad Z^x = D^x \Phi^x$$

where  $D^x = (d_{i\kappa}^x)$ . Hence

$$(9) \quad D^x = Z^x (\Phi^x)^{-1}.$$

This, combining with Lemma 1, shows that the  $d_{i\kappa}^x$  are rational numbers. Since the  $d_{i\kappa}^x$  are algebraic integers, we see readily that the  $d_{i\kappa}^x$  are rational integers. This completes the proof of Theorem 1.

As an example we shall calculate the  $d_{i\kappa}^x$  of  $S_6$  for  $p = 2$  and  $x = (12)$  (34) (56) (see [5] p. 45). Since  $N(x) = S(3, 2)$ , we have by (6)

$$\Phi^x = \Phi_3 = \begin{bmatrix} 1 & 1 \\ 2 & -1 \end{bmatrix}.$$

We have for  $y_0 = 1$  and  $y_1 = (135)(246)$

$$Z^x = \begin{bmatrix} 1 & 1 \\ -1 & -1 \\ 3 & 0 \\ -2 & 1 \\ -3 & 0 \\ 0 & 0 \\ 3 & 0 \\ 2 & -1 \\ -3 & 0 \\ 1 & 1 \\ -1 & -1 \end{bmatrix}.$$

Hence we can obtain from (9)

$$D^x = \begin{pmatrix} 1 & 0 \\ -1 & 0 \\ 1 & 1 \\ 0 & -1 \\ -1 & -1 \\ 0 & 0 \\ 1 & 1 \\ 0 & 1 \\ -1 & -1 \\ 1 & 0 \\ -1 & 0 \end{pmatrix}.$$

**2. The blocks of characters of the normalizer  $N(x)$ .**

First we shall mention the following

LEMMA 2. *Two irreducible characters of  $S_n$  belong to the same block if and only if they have the same  $p$ -core.*

This fact was first conjectured by Nakayama [6] and was proved by Brauer and Robinson jointly [4].

Let  $\zeta^x$  be an irreducible character of  $N(x)$ . According to (3), we have

$$(10) \quad \zeta^x = \zeta^0 \zeta^1 \dots \zeta^k$$

where  $\zeta^i$  denotes the irreducible character of  $S(a_i, p^i)$ . In particular,  $\zeta^0$  may be considered as the irreducible character of  $S_{a_0}$ .

LEMMA 3. *Two irreducible characters*

$$\begin{aligned} \zeta_i^x &= \zeta_{i_0}^0 \zeta_{i_1}^1 \dots \zeta_{i_k}^k \\ \zeta_j^x &= \zeta_{j_0}^0 \zeta_{j_1}^1 \dots \zeta_{j_k}^k \end{aligned}$$

*of  $N(x)$  belong to the same block if and only if two characters  $\zeta_{i_0}^0$  and  $\zeta_{j_0}^0$  of  $S_{a_0}$  belong to the same block of  $S_{a_0}$ .*

PROOF. For  $i > 0$ ,  $S(a_i, p^i)$  has only one block ([11], Lemma 10). Hence we readily obtain the proof of Lemma 3.

We shall denote by  $B_\tau^0$  the block of  $S_{a_0}$  which contains  $\zeta_{i_0}^0$ . Then the block of  $N(x)$  which contains  $\zeta_i^x$  is completely determined by  $B_\tau^0$ . Hence we shall denote by  $\tilde{B}_\tau$  this block of  $N(x)$ .

Let Young diagram  $[\alpha_0]$  be the  $p$ -core of the irreducible character  $\zeta_{i_0}^0 \in B_\tau^0$ . Then we shall call  $[\alpha_0]$  the  $p$ -core of the irreducible character  $\zeta_i^x \in \tilde{B}_\tau$ . Then Lemma 2, combining with Lemma 3, yields

THEOREM 3. *Two irreducible characters of  $N(x)$  belong to the same block if and only if they have the same  $p$ -core.*

Theorem 3 is reduced to Lemma 2 for  $x=1$ . We have (cf. [5], p. 49).

COROLLARY 1.  *$N(x)$  has only one block if  $a_0 \leq 1$  for  $p \neq 2$  and  $a_0 \leq 2$  for  $p=2$ .*

COROLLARY 2. Let  $B_0$  be the first block of  $S_n$ , that is, the block which contains the principal character  $\zeta_0 = 1$ . Then  $\zeta_i(xy) = 0$  for  $\zeta_i \in B_0$  if  $a_0 \leq 1$  for  $p \neq 2$  and  $a_0 \leq 2$  for  $p = 2$ .

We can also obtain Corollary 2 by using the Murnaghan-Nakayama recursion formula.

### 3. Proof of Theorem 2.

Let  $G$  be a group of finite order, and let  $\Gamma = \Gamma(G)$  denote the group ring of  $G$  over  $\Omega$ . We denote by  $\Lambda = \Lambda(G)$  the center of  $\Gamma$ . Let  $K_\alpha$  be a class of conjugate elements in  $G$ . If necessary, we denote by the same notation  $K_\alpha$  the sum of all elements in  $K_\alpha$ . Then  $K_1, K_2, \dots, K_m$  form a basis of  $\Lambda$  and we have

$$(11) \quad K_\alpha K_\beta = \sum_r a_{\alpha\beta r} K_r$$

where the  $a_{\alpha\beta r}$  are non-negative rational integers.

Let  $H$  be a subgroup of  $G$  of an order  $p^h$ ,  $h \geq 0$ , and let  $C(H)$  be the centralizer of  $H$  in  $G$ . We consider the subgroup  $N = HC(H)$ . If we set  $K_\alpha^0 = K_\alpha \cap C(H)$ , then either  $K_\alpha^0 = 0$  or  $K_\alpha^0$  is a sum of complete classes of  $N$ . We obtain from (11)

$$(12) \quad K_\alpha^0 K_\beta^0 = \sum_r a_{\alpha\beta r} K_r^0 \pmod{p}.$$

The classes  $K_\alpha$  with  $K_\alpha^0 = 0$  form the basis of an ideal  $T^*$  of the center  $\Lambda^*$  of the modular group ring  $\Gamma^*$ . The  $K_\alpha^0 \neq 0$  can be considered as the basis of a subring  $R^*$  of the center  $\Lambda^*(N)$  of the modular group ring  $\Gamma^*(N)$ . According to (12) we have ([2])

$$(13) \quad \Lambda^*(G)/T^* \cong R^*.$$

Let  $B$  be a block of  $G$ . We set

$$(14) \quad \eta = \sum_{\alpha=1}^m b_\alpha K_\alpha$$

where

$$(15) \quad b_\alpha = \sum_{\zeta_i \in B} \zeta_i(1) \bar{\zeta}_i(g_\alpha) / g(G).$$

Here  $g_\alpha \in K_\alpha$  and  $g(G)$  denotes the order of  $G$ . Then we see that  $b_\alpha \in \mathfrak{o}_p$  and

$$(16) \quad \eta^* = \sum_{\alpha=1}^m b_\alpha^* K_\alpha$$

is a primitive idempotent of  $\Lambda^*$  corresponding to  $B$  ([10]). We have  $b_\alpha^* = 0$  for any  $p$ -singular class  $K_\alpha$ . Let  $\mathfrak{D}$  be the defect group of  $B$ . We denote by  $\mathfrak{H}_\alpha$  the defect group of  $K_\alpha$ . If  $K_\alpha$  is a  $p$ -regular class such that  $\mathfrak{H}_\alpha$  is not con-

jugate to some subgroup of  $\mathfrak{D}$ , then we have  $b_\alpha^* = 0$ . On the other hand, there exists a  $p$ -regular class  $K_\beta$  with the defect group  $\mathfrak{H}_\beta \cong \mathfrak{D}$  such that  $b_\beta^* \neq 0$  and

$$(17) \quad w_i(K_\beta) = g(G)\zeta_i(g_\beta)/n_\beta\zeta_i(1) \not\equiv 0 \pmod{p}$$

where  $n_\beta$  denotes the order of the normalizer  $N(g_\beta)$  of  $g_\beta$  in  $G$ .

In the following we denote by  $\eta_\sigma^*$  the primitive idempotent of  $A^*$  corresponding to  $B_\sigma$ . If  $\eta_\sigma^* \in T^*$ , then the element  $\tilde{\eta}_\sigma^*$  of  $R^*$  corresponding to  $\eta_\sigma^*$  in (13) is a sum of primitive idempotents of the center  $A^*(N)$ . Hence the collection  $B^{(\sigma)}$  of the blocks  $\tilde{B}_\tau$  of  $N$  corresponds to  $\tilde{\eta}_\sigma^*$ . If  $\tilde{B}_\tau$  is contained in  $B^{(\sigma)}$ , then we shall say that  $B_\sigma$  is determined by  $\tilde{B}_\tau$  of  $N$  ([2]). If  $w_i(K_\alpha)$  is formed by means of a character  $\zeta_i$  of  $B_\sigma$  while  $\tilde{w}_j(\tilde{K}_\beta)$  is formed in an analogous manner by means of a character of  $\tilde{B}_\tau$ , then we see by (13) that

$$(18) \quad w_i(K_\alpha) \equiv \sum_{\beta} \tilde{w}_j(\tilde{K}_\beta) \pmod{p}.$$

Here  $\tilde{K}_\beta$  ranges over all classes of  $N$  which lie in  $K_\alpha$ .

Let  $x$  be a  $p$ -element of  $S_n$  as in section 1. Let  $\tilde{K}_\alpha$  be a  $p$ -regular class of  $S_{\alpha_0}$ . Then we see by (3) that  $\tilde{K}_\alpha$  is also a class of  $N(x)$ . Since  $S(a_i, p^i)$ ,  $i > 0$  has only one block, if  $\tilde{w}_i(\tilde{K}_\alpha)$  is formed by means of a character  $\zeta_i^x$  while  $\bar{w}_{i_0}(\tilde{K}_\alpha)$  is formed by means of a character  $\zeta_{i_0}^0$  in Lemma 3, then

$$(19) \quad \tilde{w}_i(\tilde{K}_\alpha) \equiv \bar{w}_{i_0}(\tilde{K}_\alpha) \pmod{p}.$$

The defect group of  $B_\sigma$  of  $S_n$  is conjugate to the  $p$ -Sylow-subgroup of  $S(\beta, p)$  for a suitable  $\beta$  where  $n = a + \beta p$  ([4]). Hence we may denote by  $\mathfrak{D}^{(\beta)}$  the defect group of  $B_\sigma$ . The defect of  $B_\sigma$  is given by

$$(20) \quad d_\beta = \beta + e(\beta!).$$

Here  $e(m)$  denotes the exponent of the highest power of  $p$  dividing an integer  $m$ . Let  $K_\alpha$  be the  $p$ -regular classes with the defect group  $\mathfrak{H}_\alpha \cong \mathfrak{D}^{(\beta)}$ . Then we see easily that  $K_\alpha$  contains the  $p$ -regular element  $g_\alpha$  of  $S_a$  such that the order of the normalizer  $N(g_\alpha)$  in  $S_a$  is prime to  $p$ .

Now we shall give the proof of Theorem 2. We have from (3)

$$(21) \quad n = \sum_{i=0}^k a_i p^i = a_0 + lp$$

where we set  $l = \sum_{i=1}^k a_i p^{i-1}$ . We shall first consider the block  $B_\sigma$  of defect  $d_\beta$  such that  $\beta < l$ . Let  $K_\alpha$  be the  $p$ -regular classes such that  $\mathfrak{H}_\alpha \cong \mathfrak{D}^{(\beta)}$ . Then we see by above argument that  $K_\alpha \cap N(x) = 0$ . This implies that  $K_\alpha \in T^*$  and hence  $\eta_\sigma^* \in T^*$ . Thus the block  $B_\sigma$  which satisfies  $\beta < l$  can not be determined by any block of  $N(x)$ .

In what follows we may assume that  $\beta \geq l$ . Let  $\tilde{B}_\tau$  be a given block of

$N(x)$  and let  $B_\tau^0$  be the block of  $S_{a_0}$  corresponding to  $\tilde{B}_\tau$ . Let the defect of  $B_\tau^0$  be  $d_\tau$ . Then  $a_0 = b + \gamma p$ . The  $p$ -core of  $B_\tau^0$  and hence that of  $\tilde{B}_\tau$  consists of  $b$  nodes. If we set  $l + \gamma = l'$ , then  $n = b + l'p$ .

First we assume that  $l' < \beta$ . There exists a  $p$ -regular class  $\tilde{K}_\alpha$  of  $S_{a_0}$  with the defect group  $\tilde{\mathfrak{S}}_\alpha \cong \mathfrak{D}^{(\gamma)}$  such that  $\bar{w}_{i_0}(\tilde{K}_\alpha) \not\equiv 0 \pmod{p}$  for  $\zeta_{i_0}^0 \in B_\tau^0$ . We then have by (19)

$$(22) \quad \tilde{w}_i(\tilde{K}_\alpha) \not\equiv 0 \pmod{p}.$$

The class  $\tilde{K}_\alpha$  contains the  $p$ -regular element  $y_\alpha$  of  $S_b$  such that the order of the normalizer  $N(y_\alpha)$  in  $S_b$  is prime to  $p$ . Let  $K_\alpha$  be the class of  $S_n$  containing  $y_\alpha$ . Then we have  $K_\alpha \cap N(x) = \tilde{K}_\alpha$ . Since  $l' < \beta$ , we see that  $h_\alpha < d_\beta$  where  $h_\alpha$  denotes the defect of  $K_\alpha$ . Hence we have for  $\zeta_i \in B_\sigma$  ([10], Lemma 6)

$$(23) \quad w_i(K_\alpha) \equiv 0 \pmod{p}.$$

It follows from (18), (22) and (23) that if  $l' < \beta$ , then  $B_\sigma$  is not determined by  $\tilde{B}_\tau$ . By the similar argument we can see also that if  $l \leq \beta < l'$ , then  $B_\sigma$  is not determined by  $\tilde{B}_\tau$ .

Finally we consider the case that  $\beta = l'$ . Since  $n = b + l'p = a + \beta p$ , we have  $a = b$  and hence the  $p$ -cores of  $B_\sigma$  and  $\tilde{B}_\tau$  consist of  $a$  nodes. Let  $K_\alpha$  be a  $p$ -regular class of  $S_n$  with the defect group  $\mathfrak{D}^{(\beta)}$ . Then  $K_\alpha \cap N(x) = \tilde{K}_\alpha$  is the  $p$ -regular class of  $S_{a_0}$  with the defect group  $\mathfrak{D}^{(\gamma)}$ . Now we assume that both  $B_\sigma$  and  $\tilde{B}_\tau$  have the same  $p$ -core  $[\alpha_0]$ . Let  $\chi_0$  be the irreducible character of  $S_a$  determined by  $[\alpha_0]$ . Then  $\chi_0$  forms a block of its own. We see that  $K_\alpha \cap S_a = K_\alpha^{(0)}$  is the  $p$ -regular class of  $S_a$  of defect 0.

Let  $g_\tau$  be an element of  $S_n$  possessing  $\beta$  cycles of length  $p$  such that  $K_\alpha^{(0)} \ni g_\alpha$  is obtained by removing those  $\beta$  cycles of length  $p$ . We then have for  $\zeta_j \in B_\sigma$

$$(24) \quad \zeta_j(g_\alpha) \equiv \zeta_j(g_\tau) \pmod{p}.$$

If we choose  $B_\sigma \ni \zeta_j$  of height 0, then we see easily that

$$e(n_\alpha) = e(n_\tau) = e(g(G)/\zeta_j(1)) = d_\beta$$

and

$$n_\alpha/n_\tau = (\beta p)!/\beta!p^\beta \equiv (-1)^\beta \pmod{p}.$$

Hence we have by (24)

$$(25) \quad w_j(K_\alpha) \equiv (-1)^\beta w_j(K_\tau) \pmod{p}.$$

Consequently, from (25) and ([7], (11))

$$(26) \quad w_j(K_\alpha) \equiv w_{\alpha_0}(K_\alpha^{(0)}) \pmod{p}$$

where  $w_{\alpha_0}(K_\alpha^{(0)})$  is formed by means of  $\chi_0$ . We obtain also by the same argument

$$(27) \quad \bar{w}_{i_0}(\tilde{K}_\alpha) \equiv w_{\alpha_0}(K_\alpha^{(p)}) \pmod{p}$$

for  $\zeta_{i_0}^0 \in B_r^0$ .

It follows from (19), (26) and (27) that

$$(28) \quad w_j(K_\alpha) \equiv \tilde{w}_i(\tilde{K}_\alpha) \pmod{p}$$

for  $\zeta_i^x \in \tilde{B}_r$ . Since we have (28) for any  $p$ -regular class  $K_\alpha$  with the defect group  $\mathfrak{D}^{(\beta)}$ , we obtain the proof of Theorem 2 by (28) and ([10], Theorem 4, Corollary 2).

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