

## On compact complex analytic manifolds of complex dimension 3.

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The purpose of this paper is to prove some analogous propositions to the results of Kodaira [8] in three dimensional case. Terminologies and notations are the same as those in Kodaira [8]. We shall use the fundamental results of Hironaka [5].

Let  $M^n$  be a compact complex analytic manifold of complex dimension  $n$ . Let  $\mathcal{F}(M^n)$  be the field of all meromorphic functions on  $M^n$ . Then by a theorem of Chow-Remmert [9]  $\mathcal{F}(M^n)$  is an algebraic function field of complex dimension not greater than  $n$ . Hence there is a non-singular projective model  $V$  of  $\mathcal{F}(M^n)$ . We identify  $\mathcal{F}(M^n)$  and the function field of  $V$ . Let  $(1, x^1, \dots, x^v)$  be a generic point of  $V$ . Then  $x^i \in \mathcal{F}(M^n)$ . Hence we obtain a mapping

$$\Phi: M \ni z \rightarrow (1, x^1(z), \dots, x^v(z)) \in V.$$

**PROPOSITION.**  $\Phi$  is a meromorphic mapping. That is, there exists an irreducible and locally irreducible complex subspace  $X$  of  $M^n \times V$  which is the closure of the graph of  $\Phi$  and the natural projection  $p$  of  $X$  to  $M^n$  is a proper modification.

$$\begin{array}{ccc} \varphi: X & \xrightarrow{\iota} & M^n \times V \longrightarrow V \\ & \searrow & \downarrow \\ & & p \quad M^n \end{array}$$

Proof is parallel to Remmert [10] and we do not reproduce it here.

Let  $\varphi$  be the natural projection from  $X$  to the second component  $V$ .

Clearly the underlying continuous map of  $\varphi$  is surjective and  $\varphi$  induces an isomorphism of  $\mathcal{F}(X)$  and  $\mathcal{F}(V)$ , where  $\mathcal{F}(X)$  and  $\mathcal{F}(V)$  are the function fields of  $X$  and  $V$ , respectively.

**THEOREM 1.** Every fibre of  $\varphi$  is connected. Consequently, if  $\dim \mathcal{F}(M^n) = n$ , then  $M^n$  is bimeromorphically equivalent to a non-singular projective variety.

**COROLLARY.** If  $\dim \mathcal{F}(M^n) = n = 3$ , then the first Betti number of  $M^3$  is even.

Let  $n$  be equal to 3 and  $\rho: M' \rightarrow X$  be the resolution of singularities.

Then the underlying continuous map of  $\phi = \varphi \circ \rho$  is surjective and  $\phi$  induces an isomorphism of the function fields of  $M'$  and  $V$ .

**THEOREM 2.** *If  $\dim \mathcal{F}(M') = \dim V = 2$ , then a general fibre of  $\phi$  is a non-singular elliptic curve. Consequently, if  $\dim \mathcal{F}(M^s) = 2$ , then  $M^s$  is bimeromorphically equivalent to an elliptic fibre space over a projective surface.*

### § 1. Preliminaries.

**PROPOSITION 1** (H. Cartan [2]). *If a morphism of complex spaces  $f: X \rightarrow Y$  is finite and  $Y$  is compact algebraic. Then  $X$  is also algebraic.*

**PROOF.** By Houzel [7] we may assume that  $X = \text{Specan}(A)$  where  $A$  is a coherent algebra on  $Y$ . By a result due to Serre-Grothendieck (cf. Sém. H. Cartan 1956/57 Exp. 2)  $A$  is algebraic. Taking these into account, follow the construction of  $\text{Specan}(A)$  in Houzel [7]. Then the proof is immediate.

**PROPOSITION 2.** *Let  $f: M \rightarrow M'$  be a morphism of compact complex manifolds of complex dimension  $n$  which is a modification. Then the induced homomorphism*

$$f_*: H_1(M, R) \rightarrow H_1(M', R)$$

*is an isomorphism.*

**PROOF.** By Grauert and Remmert [3] there is a proper analytic set  $A$  (resp.  $A'$ ) of  $M$  (resp.  $M'$ ) (where the codimension of  $A'$  is at least 2) and  $f$  induces an isomorphism of  $M - A$  and  $M' - A'$ . Every 1-cycle in  $M'$  is homotopic to a 1-cycle in  $M' - A'$ . Hence  $f_*$  is surjective. On the other hand from the exact sequence

$$H^{2n-1}(M - A, R) \rightarrow H^{2n-1}(M, R) \rightarrow H^{2n-1}(A, R)$$

we have  $\dim H^{2n-1}(M - A, R) \geq \dim H^{2n-1}(M, R)$ . By the excision theorem  $\dim H^{2n-1}(M' - A', R) = \dim H^{2n-1}(M', R)$ . Hence  $\dim H^{2n-1}(M', R) = \dim H^{2n-1}(M, R)$ . By Poincaré duality we have  $\dim H_1(M', R) \geq \dim H_1(M, R)$ .

**COROLLARY.** *The first Betti number is invariant under bimeromorphic mappings of compact complex manifolds of complex dimension not greater than 3.*

**PROPOSITION 3** (Bertini). *Let  $D$  be an effective divisor on a compact complex manifold. Then the singular point of a general member of  $|D|$  is a fixed point of it.*

**Proof** is well-known.

**LEMMA 4.** *Let  $D$  be a non-singular divisor on a compact complex manifold  $M^n$  such that the restriction of  $[D]$  to  $D$  contains an effective divisor. Then for every positive integer  $m$ ,  $\dim H^{n-1}(M, \Omega(F + mD))$  is bounded, where  $F$  is an arbitrary complex line bundle on  $M^n$ .*

**PROOF.** From the exact sequence

$$0 \rightarrow \Omega(F+(m-1)[D]) \rightarrow \Omega(F+m[D]) \rightarrow \hat{\Omega}_D(F+m[D]) \rightarrow 0,$$

where  $\hat{\Omega}_D(F+m[D]) = \Omega(F+m[D]) / \Omega(F+(m-1)[D])$ , we have the exact sequence

$$\begin{aligned} H^{n-1}(M, \Omega(F+(m-1)[D])) &\rightarrow H^{n-1}(M, \Omega(F+m[D])) \\ &\rightarrow H^{n-1}(D, \Omega((F+m[D])_D)). \end{aligned}$$

Let  $K$  be the canonical line bundle of  $D$ , by the duality theorem we obtain

$$H^{n-1}(D, \Omega((F+m[D])_D)) = H^0(D, \Omega(K - F_D - m([D]_D))).$$

The latter is 0 for sufficiently large  $m$  by Kodaira [8]. Hence  $\dim H^{n-1}(M, \Omega(F+m[D]))$  is a non-increasing function for sufficiently large  $m$ , which proves the proposition.

**§2. Proof of Theorem 1.**

Let  $\varphi: X \rightarrow X' \xrightarrow{f} V$  be the factorization of Stein. That is,  $X' = (\text{Specan } (\varphi_*(O_X)))_{red}$ . Clearly  $X'$  is irreducible and  $f$  induces an isomorphism of the function fields. By Proposition 1  $X'$  is algebraic. Hence by the connectedness theorem of Zariski (cf. [4] (4.3.7)) every fibre of  $f$  is connected. Therefore every fibre of  $\varphi$  is also connected.

**§3. Proof of Theorem 2.**

We denote by  $S$  the set of degeneracy points of the jacobian of  $\phi$ . Then  $S$  is a proper analytic set of  $M'$  and the restriction of  $\phi$  to  $M' - S$  is a simple morphism. Therefore the fibre space  $\phi|_{M' - \phi^{-1}(\phi(S))}: M' - \phi^{-1}(\phi(S)) \rightarrow V - \phi(S)$  is differentiably locally trivial. Hence general fibres of  $\phi$  are diffeomorphic and homotopic to each other.

Let  $C$  be the divisor on  $V$  by a hyperplane section. We set  $D = \phi^{-1}(C)$ . For a given complex line bundle  $F$  on  $M'$ , if  $|F+mD|$  contains no effective divisor, then  $\dim |F+mD| = -1$ . If  $|F+mD|$  contains an effective divisor  $D'$ , then  $F = D''$ , where  $D'' = D' - mD$ . Clearly

$$\dim |F+lD| = \dim |D' + (l-m)D|, \quad \text{for } l \geq m.$$

For every effective divisor  $E$  on  $M'$  we denote by  $\alpha(E)$  the effective divisor on  $V$  defined in the following way. Each component of  $\alpha(E)$  appears in  $E$  by  $\phi^{-1}$  and its multiplicity in  $\alpha(E)$  is the same as in  $E$ . From the fact that  $\phi$  induces an isomorphism of the function fields we have

$$\dim |E| = \dim |\alpha(E)|.$$

Therefore  $\dim |F+lD| = \dim |\alpha(D') + (l-m)C|$ . For sufficiently large  $l|\alpha(D')$

$+(l-m)C$  is ample and by the theorem of R.-R.-Hirzebruch we have

$$\dim | \alpha(D')+(l-m)C | = \frac{1}{2}l^2C^2 + \alpha_1l + \alpha_0$$

where  $\alpha_i$  is a constant. Consequently we obtain

$$\dim | F+lD | \leq \frac{1}{2}l^2C^2 + \alpha_1l + \alpha_0 \dots\dots\dots(1)$$

Let  $K$  be the canonical line bundle of  $M'$  and  $c_1$  (resp.  $d$ ) be the first Chern class of  $M'$  (resp.  $[D]$ ). Clearly we have

$$d^3[M'] = D^3 = 0.$$

Hence by the theorem of R.-R.-Hirzebruch ([1])

$$\begin{aligned} \dim | nK+lD | &= \frac{1}{4}(1-2n)l^2d^2c_1[M'] + \alpha'_1l + \alpha'_0 \\ &+ \sum_{i=1}^3 (-1)^{i-1} \dim H^i(M', \Omega(nK+lD)) \dots\dots\dots(2) \end{aligned}$$

where  $n$  is an arbitrary integer and  $\alpha'_i$  is some constant. Considering Proposition 3 and Lemma 4, we have from (1) and (2)

$$(1-2n)d^2c_1[M'] \leq 2C^2. \dots\dots\dots(3)$$

By a theorem of Hirzebruch [6] the arithmetic genus  $\alpha(D^2)$  of  $D^2$  is  $-[d^3 - \frac{1}{2}c_1d^2][M']$ . Hence if the the genus of a general fibre of  $\phi$  is  $g$ , we have

$$\frac{1}{2}c_1d^2[M'] = C^2(1-g).$$

Inserting this into (3) we obtain

$$(1-2n)(1-g) \leq 2,$$

from which we infer immediately that

$$g = 1.$$

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