

On compact complex analytic manifolds of complex dimension 3.

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The purpose of this paper is to prove some analogous propositions to the results of Kodaira [8] in three dimensional case. Terminologies and notations are the same as those in Kodaira [8]. We shall use the fundamental results of Hironaka [5].

Let M^n be a compact complex analytic manifold of complex dimension n . Let $\mathcal{F}(M^n)$ be the field of all meromorphic functions on M^n . Then by a theorem of Chow-Remmert [9] $\mathcal{F}(M^n)$ is an algebraic function field of complex dimension not greater than n . Hence there is a non-singular projective model V of $\mathcal{F}(M^n)$. We identify $\mathcal{F}(M^n)$ and the function field of V . Let $(1, x^1, \dots, x^v)$ be a generic point of V . Then $x^i \in \mathcal{F}(M^n)$. Hence we obtain a mapping

$$\Phi: M \ni z \rightarrow (1, x^1(z), \dots, x^v(z)) \in V.$$

PROPOSITION. Φ is a meromorphic mapping. That is, there exists an irreducible and locally irreducible complex subspace X of $M^n \times V$ which is the closure of the graph of Φ and the natural projection p of X to M^n is a proper modification.

$$\begin{array}{ccc} \varphi: X & \xrightarrow{\iota} & M^n \times V \longrightarrow V \\ & \searrow & \downarrow \\ & & p \quad M^n \end{array}$$

Proof is parallel to Remmert [10] and we do not reproduce it here.

Let φ be the natural projection from X to the second component V .

Clearly the underlying continuous map of φ is surjective and φ induces an isomorphism of $\mathcal{F}(X)$ and $\mathcal{F}(V)$, where $\mathcal{F}(X)$ and $\mathcal{F}(V)$ are the function fields of X and V , respectively.

THEOREM 1. Every fibre of φ is connected. Consequently, if $\dim \mathcal{F}(M^n) = n$, then M^n is bimeromorphically equivalent to a non-singular projective variety.

COROLLARY. If $\dim \mathcal{F}(M^n) = n = 3$, then the first Betti number of M^3 is even.

Let n be equal to 3 and $\rho: M' \rightarrow X$ be the resolution of singularities.

Then the underlying continuous map of $\phi = \varphi \circ \rho$ is surjective and ϕ induces an isomorphism of the function fields of M' and V .

THEOREM 2. *If $\dim \mathcal{F}(M') = \dim V = 2$, then a general fibre of ϕ is a non-singular elliptic curve. Consequently, if $\dim \mathcal{F}(M^s) = 2$, then M^s is bimeromorphically equivalent to an elliptic fibre space over a projective surface.*

§ 1. Preliminaries.

PROPOSITION 1 (H. Cartan [2]). *If a morphism of complex spaces $f: X \rightarrow Y$ is finite and Y is compact algebraic. Then X is also algebraic.*

PROOF. By Houzel [7] we may assume that $X = \text{Specan}(A)$ where A is a coherent algebra on Y . By a result due to Serre-Grothendieck (cf. Sém. H. Cartan 1956/57 Exp. 2) A is algebraic. Taking these into account, follow the construction of $\text{Specan}(A)$ in Houzel [7]. Then the proof is immediate.

PROPOSITION 2. *Let $f: M \rightarrow M'$ be a morphism of compact complex manifolds of complex dimension n which is a modification. Then the induced homomorphism*

$$f_*: H_1(M, R) \rightarrow H_1(M', R)$$

is an isomorphism.

PROOF. By Grauert and Remmert [3] there is a proper analytic set A (resp. A') of M (resp. M') (where the codimension of A' is at least 2) and f induces an isomorphism of $M - A$ and $M' - A'$. Every 1-cycle in M' is homotopic to a 1-cycle in $M' - A'$. Hence f_* is surjective. On the other hand from the exact sequence

$$H^{2n-1}(M - A, R) \rightarrow H^{2n-1}(M, R) \rightarrow H^{2n-1}(A, R)$$

we have $\dim H^{2n-1}(M - A, R) \geq \dim H^{2n-1}(M, R)$. By the excision theorem $\dim H^{2n-1}(M' - A', R) = \dim H^{2n-1}(M', R)$. Hence $\dim H^{2n-1}(M', R) = \dim H^{2n-1}(M, R)$. By Poincaré duality we have $\dim H_1(M', R) \geq \dim H_1(M, R)$.

COROLLARY. *The first Betti number is invariant under bimeromorphic mappings of compact complex manifolds of complex dimension not greater than 3.*

PROPOSITION 3 (Bertini). *Let D be an effective divisor on a compact complex manifold. Then the singular point of a general member of $|D|$ is a fixed point of it.*

Proof is well-known.

LEMMA 4. *Let D be a non-singular divisor on a compact complex manifold M^n such that the restriction of $[D]$ to D contains an effective divisor. Then for every positive integer m , $\dim H^{n-1}(M, \Omega(F + mD))$ is bounded, where F is an arbitrary complex line bundle on M^n .*

PROOF. From the exact sequence

$$0 \rightarrow \Omega(F+(m-1)[D]) \rightarrow \Omega(F+m[D]) \rightarrow \hat{\Omega}_D(F+m[D]) \rightarrow 0,$$

where $\hat{\Omega}_D(F+m[D]) = \Omega(F+m[D]) / \Omega(F+(m-1)[D])$, we have the exact sequence

$$\begin{aligned} H^{n-1}(M, \Omega(F+(m-1)[D])) &\rightarrow H^{n-1}(M, \Omega(F+m[D])) \\ &\rightarrow H^{n-1}(D, \Omega((F+m[D])_D)). \end{aligned}$$

Let K be the canonical line bundle of D , by the duality theorem we obtain

$$H^{n-1}(D, \Omega((F+m[D])_D)) = H^0(D, \Omega(K - F_D - m([D]_D))).$$

The latter is 0 for sufficiently large m by Kodaira [8]. Hence $\dim H^{n-1}(M, \Omega(F+m[D]))$ is a non-increasing function for sufficiently large m , which proves the proposition.

§2. Proof of Theorem 1.

Let $\varphi: X \rightarrow X' \xrightarrow{f} V$ be the factorization of Stein. That is, $X' = (\text{Specan } (\varphi_*(O_X)))_{red}$. Clearly X' is irreducible and f induces an isomorphism of the function fields. By Proposition 1 X' is algebraic. Hence by the connectedness theorem of Zariski (cf. [4] (4.3.7)) every fibre of f is connected. Therefore every fibre of φ is also connected.

§3. Proof of Theorem 2.

We denote by S the set of degeneracy points of the jacobian of ϕ . Then S is a proper analytic set of M' and the restriction of ϕ to $M' - S$ is a simple morphism. Therefore the fibre space $\phi|_{M' - \phi^{-1}(\phi(S))}: M' - \phi^{-1}(\phi(S)) \rightarrow V - \phi(S)$ is differentiably locally trivial. Hence general fibres of ϕ are diffeomorphic and homotopic to each other.

Let C be the divisor on V by a hyperplane section. We set $D = \phi^{-1}(C)$. For a given complex line bundle F on M' , if $|F+mD|$ contains no effective divisor, then $\dim |F+mD| = -1$. If $|F+mD|$ contains an effective divisor D' , then $F = D''$, where $D'' = D' - mD$. Clearly

$$\dim |F+lD| = \dim |D' + (l-m)D|, \quad \text{for } l \geq m.$$

For every effective divisor E on M' we denote by $\alpha(E)$ the effective divisor on V defined in the following way. Each component of $\alpha(E)$ appears in E by ϕ^{-1} and its multiplicity in $\alpha(E)$ is the same as in E . From the fact that ϕ induces an isomorphism of the function fields we have

$$\dim |E| = \dim |\alpha(E)|.$$

Therefore $\dim |F+lD| = \dim |\alpha(D') + (l-m)C|$. For sufficiently large $l|\alpha(D')$

$+(l-m)C$ is ample and by the theorem of R.-R.-Hirzebruch we have

$$\dim | \alpha(D')+(l-m)C | = \frac{1}{2}l^2C^2 + \alpha_1l + \alpha_0$$

where α_i is a constant. Consequently we obtain

$$\dim | F+lD | \leq \frac{1}{2}l^2C^2 + \alpha_1l + \alpha_0 \dots\dots\dots(1)$$

Let K be the canonical line bundle of M' and c_1 (resp. d) be the first Chern class of M' (resp. $[D]$). Clearly we have

$$d^3[M'] = D^3 = 0.$$

Hence by the theorem of R.-R.-Hirzebruch ([1])

$$\begin{aligned} \dim | nK+lD | &= \frac{1}{4}(1-2n)l^2d^2c_1[M'] + \alpha'_1l + \alpha'_0 \\ &+ \sum_{i=1}^3 (-1)^{i-1} \dim H^i(M', \Omega(nK+lD)) \dots\dots\dots(2) \end{aligned}$$

where n is an arbitrary integer and α'_i is some constant. Considering Proposition 3 and Lemma 4, we have from (1) and (2)

$$(1-2n)d^2c_1[M'] \leq 2C^2. \dots\dots\dots(3)$$

By a theorem of Hirzebruch [6] the arithmetic genus $\alpha(D^2)$ of D^2 is $-[d^3 - \frac{1}{2}c_1d^2][M']$. Hence if the the genus of a general fibre of ϕ is g , we have

$$\frac{1}{2}c_1d^2[M'] = C^2(1-g).$$

Inserting this into (3) we obtain

$$(1-2n)(1-g) \leq 2,$$

from which we infer immediately that

$$g = 1.$$

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