

Differentiable 7-manifolds with a certain homotopy type

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J. Milnor [10] has determined the so-called J -equivalence (h -cobordism) classes of oriented differentiable 7-manifolds having the homotopy type of the 7-sphere, and S. Smale [13] has proved that such manifolds are homeomorphic to the 7-sphere and the J -equivalence classes are the same as the diffeomorphic classes in this case. Thus compact unbounded oriented differentiable 7-manifolds which are homotopy spheres were completely determined. There exist precisely 28 such differentiable 7-manifolds which form a cyclic group Θ^7 under the connected sum.

In this note we shall consider compact unbounded 2-connected oriented differentiable 7-manifolds whose third homology groups are cyclic of order 3, having trivial Steenrod operations. We shall show that there exist precisely 56 differentiable 7-manifolds of this homotopy type and that they are obtained from the standard one by connected sums of elements of Θ^7 and the orientation-reversing.

1. Let M^7 be the compact unbounded 2-connected oriented (C^∞ -) differentiable 7-manifold such that $H_3(M^7; \mathbb{Z}) \approx \mathbb{Z}_3$ and that the Steenrod operation $\mathcal{P}_3^1: H^3(M^7; \mathbb{Z}_3) \rightarrow H^7(M^7; \mathbb{Z}_3)$ is trivial, namely, for $u \in H^3(M^7; \mathbb{Z}_3)$

$$(P) \quad \mathcal{P}_3^1(u) = 0.$$

LEMMA 1. *The condition (P) is equivalent to $p_1(M^7) = 0$, where $p_1(M^7)$ is the first Pontrjagin class of M^7 .*

PROOF. This lemma follows from the formula given by Hirzebruch [6]:

$$p_1(M^7) \cup u = \mathcal{P}_3^1(u) \quad \text{mod } 3$$

for $u \in H^3(M^7; \mathbb{Z}_3)$.

LEMMA 2. *M^7 is a π -manifold.*

PROOF. Suppose that M^7 is imbedded in a high dimensional Euclidean space R^{7+N} . Denote by ν^N the normal bundle of M^7 . Let K be a triangulation of M^7 . Let us define a (continuous) field of normal N -frames on M^7 by stepwise extensions on the skeletons $K^{(q)}$ ($q=0, 1, \dots, 7$) of K using the obstruction theory in the well-known manner. Since $H^q(M^7; \mathbb{Z}) = 0$ ($q=1, 2, 3$) and $\pi_2(SO(N)) = 0$, we can define a field f of normal N -frames on $K^{(3)}$. Let $c(f) \in Z^4(M^7; \mathbb{Z})$ be the obstruction cocycle to extend f in $K^{(4)}$. Then the first

Pontrjagin class $p_1(\nu^N)$ of ν^N is $\{2c(f)\}$ (Milnor-Kervaire [12]). Therefore Lemma 1 and the product theorem for Pontrjagin classes yield $\{c(f)\} = 0$. The next obstruction is in dimension 7 with values in $\pi_6(SO(N)) = 0$. Thus ν^N is trivial. This completes the proof.

LEMMA 3. M^7 bounds a compact 3-connected oriented π -manifold.

PROOF. Since the cokernel of the J -homomorphism $J_7: \pi_7(SO(N)) \rightarrow \pi_{7+N}(S^N)$ is zero, this lemma follows from [10; Theorem 6.7 (b)].

LEMMA 4. M^7 bounds a compact 3-connected oriented π -manifold.

PROOF. Since M^7 bounds a compact oriented π -manifold, we obtain a compact 3-connected oriented π -manifold with boundary M^7 by performing a series of surgeries (spherical modifications) (Milnor [10], [11]).

Let W^8 be the compact 3-connected oriented π -manifold with boundary M^7 . The exactness of the homology sequence of (W^8, M^7)

$$\dots \longrightarrow H_q(M^7; Z) \longrightarrow H_q(W^8; Z) \longrightarrow H_q(W^8, M^7; Z) \longrightarrow H_{q-1}(M^7; Z) \longrightarrow \dots$$

and the Poincaré-Lefschetz duality

$$H_q(W^8, M^7; Z) \approx H^{8-q}(W^8; Z)$$

imply that $H_q(W^8; Z) = 0$ ($q = 5, 6, 7$) and that $H_4(W^8; Z)$ has no torsion.

Let ϕ denote the quadratic form over the group $H_4(W^8; Z)$ defined by the formula $x \rightarrow x \circ x$, where $x \circ y$ is the intersection number of two homology classes $x, y \in H_4(W^8; Z)$. The index (signature) of this form ϕ will be denoted by $I(W^8)$.

LEMMA 5. The index $I(W^8)$ modulo $2^5 \cdot 7$ is a diffeomorphism invariant of M^7 .

PROOF. Suppose that M^7 is the boundary of two compact 3-connected oriented π -manifolds W_1^8 and W_2^8 . Let V^8 be the compact unbounded oriented differentiable 8-manifold obtained from W_1^8 and $-W_2^8$ by pasting together the common boundary. The exactness of the Mayer-Vietoris cohomology sequence

$$\begin{aligned} \dots \longrightarrow H^{q-1}(M^7; Z) \longrightarrow H^q(V^8; Z) \xrightarrow{\iota^*} H^q(W_1^8; Z) + H^q(W_2^8; Z) \\ \longrightarrow H^q(M^7; Z) \longrightarrow \dots \end{aligned}$$

implies that V^8 is 3-connected and that

$$\iota^*: H^4(V^8; Z) \longrightarrow H^4(W_1^8; Z) + H^4(W_2^8; Z)$$

is injective. Since $\iota^*p_1(V^8) = 0$, we have $p_1(V^8) = 0$. Therefore the index theorem $I(V^8) = \frac{1}{45}(7p_2(V^8) - p_1^2(V^8))[V^8]$ (Hirzebruch [7]) implies

$$\begin{aligned} 45 I(V^8) &= 7 p_2(V^8)[V^8], \\ I(V^8) &\equiv 0 \pmod{7}, \end{aligned}$$

and the integrality of \hat{A} -genus $\hat{A}(V^8) = \frac{1}{2^7 \cdot 45}(-4 p_2(V^8) + 7 p_1^2(V^8))[V^8]$ (Atiyah and Hirzebruch [1], Borel and Hirzebruch [2]) implies

$$p_2(V^8) \equiv 0 \pmod{2^5 \cdot 45}.$$

Thus we have

$$I(V^8) \equiv 0 \pmod{2^5 \cdot 7}.$$

Since $I(V^8) = I(W_1^8) - I(W_2^8)$, we have

$$I(W_1^8) \equiv I(W_2^8) \pmod{2^5 \cdot 7}.$$

This completes the proof.

DEFINITION. The residue class of $I(W^8) \pmod{2^5 \cdot 7}$ will be denoted by $\bar{\lambda}(M^7)$.

LEMMA 6. *The determinant of the matrix of the quadratic form ϕ is ± 3 .*

PROOF. Since $H_4(W^8; Z)$ has no torsion, the Poincaré-Lefschetz duality theorem implies $H_4(W^8, M^7; Z) \approx \text{Hom}(H_4(W^8; Z), Z)$. The natural homomorphism

$$H_4(W^8; Z) \longrightarrow H_4(W^8, M^7; Z) \approx \text{Hom}(H_4(W^8; Z), Z)$$

is determined by the matrix of intersection numbers of $H_4(W^8; Z)$. Thus the lemma follows from the exactness of the homology sequence of (W^8, M^7) and $H_3(M^7; Z) \approx Z_3$.

Let C and U denote matrices

$$C = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}, \quad U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

LEMMA 7. *The index $I(W^8)$ is equal to ± 2 modulo 8.*

PROOF. Let

$$G_q(\phi) = \sum_{(\alpha)} e^{\frac{2\pi i}{q} \phi(\alpha, \alpha)}$$

denote the Gauss sum of the quadratic form ϕ , where the sum is extended over all residue classes of $H_4(W^8; Z) \pmod{q}$. Then the index $I(W^8)$ satisfies

$$G_{8 \cdot 27}(\phi) = e^{\frac{\pi i}{4} I(W^8)} (2 \cdot 8 \cdot 27)^{\frac{r}{2}} \sqrt{3},$$

where r denotes the 4th Betti number of W^8 (Braun [3; § 1, (ϵ)]). We shall prove that $G_{8 \cdot 27}(\phi)$ is purely imaginary.

Every diagonal entry of the matrix of the quadratic form 27ϕ is even (Milnor [9]). Thus the matrix of the quadratic form 27ϕ is equivalent to $\text{diag}(U, \dots, U)$ or $\text{diag}(C, U, \dots, U)$ over the 2-adic integers [8; Theorem 33a], which implies that $G_8(27\phi)$ is a positive integer. (Compare Milnor [9].)

Since $G_{8 \cdot 27}(\phi) = G_8(27\phi)G_{27}(8\phi)$ (Braun [3; § 2, (4)]), it is sufficient to prove that $G_{27}(8\phi)$ is purely imaginary. According to [8; Theorem 25], there exists a basis $\alpha_1, \alpha_2, \dots, \alpha_r$ of $H_4(W^8; Z) \pmod{27}$ such that

$$8\phi\left(\sum_{i=1}^r x_i \alpha_i, \sum_{i=1}^r x_i \alpha_i\right) \equiv \sum_{i=1}^r a_i x_i^2 \pmod{27}.$$

Thus we have

$$G_{27}(8\phi) = \sum_j e^{\frac{2\pi i}{27} \sum_j a_j x_j^2} = \sum_j \prod_j e^{\frac{2\pi i}{27} a_j x_j^2} \\ = \prod_j \sum_{x=0}^{26} e^{\frac{2\pi i}{27} a_j x^2}.$$

It is easy to see that if $a \not\equiv 0 \pmod 3$, $\sum_{x=0}^{26} e^{\frac{2\pi i}{27} a x^2}$ is purely imaginary and that if $a \equiv 0 \pmod 3$, $\sum_{x=0}^{26} e^{\frac{2\pi i}{27} a x^2}$ is real. Since Lemma 6 implies

$$\prod_j a_j \equiv 0 \pmod 3, \quad \not\equiv 0 \pmod 9,$$

it follows that if r is an even integer $G_{27}(8\phi)$ is purely imaginary and if r is an odd integer $G_{27}(8\phi)$ is real. Therefore, in either case, the index $I(W^8)$ is an even integer, which shows that r is even. This completes the proof.

LEMMA 8. *If the index $I(W^8)$ is equal to 2, then the matrix of the quadratic form ϕ , with respect to a suitable basis, is*

$$\text{diag}(C, U, \dots, U) = \begin{pmatrix} C & & & \\ & U & & \\ & & \dots & \\ & & & U \end{pmatrix}.$$

PROOF. Choosing a basis of $H_4(W^8; Z)$, let us denote $\phi = \sum_{i,j} a_{ij} x_i x_j$ ($a_{ij} = a_{ji}$). The determinant of the matrix $A = (a_{ij})$ is ± 3 (Lemma 6). Every diagonal entry of the matrix A is even (Milnor [9]). If ϕ is a form of rank 2, then ϕ is positive definite. Therefore, according to [8; Theorem 76], the matrix A is equivalent to C . If ϕ is a form of rank ≥ 4 , then ϕ is indefinite. According to [8; Theorem 36], the matrices A and $\text{diag}(C, U, \dots, U)$ are equivalent over the p -adic integers for every prime $p \neq 3$. The Hasse symbols $c_p(A)$ and $c_p(\text{diag}(C, U, \dots, U))$ are equal for every prime $p \neq 3$ [8; Theorem 12], which implies $c_3(A) = c_3(\text{diag}(C, U, \dots, U))$ [8; §12, 2]. The matrices A and $\text{diag}(C, U, \dots, U)$ are equivalent to the matrix $\text{diag}(\pm 3, \pm 1, 1, \dots, 1)$ over the 3-adic integers [8; Theorems 35, 36b], where signs are determined by the Hasse symbol. Thus the matrices A and $\text{diag}(C, U, \dots, U)$ have the same genus.

There exists a matrix X with rational elements such that ${}^t X A X = \text{diag}(C, U, \dots, U)$ [8; Theorem 28]. Let L denote the lattice $H_4(W^8; Z)$ in $H_4(W^8; Q)$ and let L' denote the lattice L transformed by X , where Q is the field of rational numbers. The lattices L and L' are both maximal [4; Sätze 9.3, 12.3]. Thus Eichler's theorem ([4; Satz 15.2], [5; Satz 3]) implies that the matrix A is equivalent to the matrix $\text{diag}(C, U, \dots, U)$. (See Milnor [9]). This completes the proof.

Let T be a closed tubular neighborhood of the diagonal S^4 in $S^4 \times S^4$, the product of two copies of S^4 with a fixed orientation. Then T is a compact parallelizable oriented differentiable 8-manifold-with-boundary. The self-inter-

section number of S^4 in T is 2. Let (T, S^4, D^4, π) denote the D^4 -bundle over S^4 . Let W_e^8 be the parallelizable 3-connected oriented differentiable 8-manifold-with-boundary obtained by straightening the angle of the quotient space of two copies $'T$ and $''T$ of T under an identification of $'\pi^{-1}('S^4)$ with $''\pi^{-1}('S^4)$ in such a way that the images of base spaces $'S^4$ and $''S^4$ in W_e^8 have intersection number 1, where $'\sigma^4$ and $''\sigma^4$ are 4-cells of $'S^4$ and $''S^4$ respectively. Denote by M_e^7 the boundary of W_e^8 with the orientation compatible with that of W_e^8 . Then M_e^7 is a compact unbounded 2-connected oriented differentiable 7-manifold such that $H_3(M_e^7; Z) \approx Z_3$ and that $p_1(M_e^7) = 0$. The invariant $\bar{\lambda}$ of M_e^7 is 2.

LEMMA 9. *If the index $I(W^8)$ equals 2, then M^7 is diffeomorphic to M_e^7 .*

PROOF. By Lemma 8, there exists a basis $\alpha, \beta, \alpha_1, \beta_1, \dots, \alpha_s, \beta_s$ of $H_4(W^8; Z)$ such that

$$\begin{aligned} \alpha \circ \alpha &= \beta \circ \beta = 2, & \alpha \circ \beta &= 1, \\ \alpha \circ \alpha_i &= \alpha \circ \beta_j = \beta \circ \alpha_i = \beta \circ \beta_j = 0, \\ \alpha_i \circ \alpha_j &= \beta_i \circ \beta_j = 0, & \alpha_i \circ \beta_j &= \delta_{ij}. \end{aligned}$$

By performing a series of surgeries (spherical modifications) on W^8 (Milnor [10; Theorem 5.6], [11; Theorem 4]), we obtain a compact parallelizable 3-connected oriented differentiable 8-manifold W'^8 with boundary M^7 such that α and β are generators of $H_4(W'^8; Z) \approx Z + Z$. Let

$$f: S^4 \longrightarrow W'^8, \quad g: S^4 \longrightarrow W'^8$$

be differentiable imbeddings which represent homology classes α, β respectively. Since $\alpha \circ \beta = 1$, making use of the method of Whitney [15; Theorem 4], we may assume that $f(S^4)$ and $g(S^4)$ intersect regularly at one point. Let N_f, N_g be tubular neighborhoods of $f(S^4), g(S^4)$ respectively. The self-intersection number of base space and the first Pontrjagin classes characterize a D^4 -bundle over S^4 (see [14]). Since N_f and N_g are parallelizable, it follows that N_f and N_g are diffeomorphic to T . Thus we may assume that $N_f \cup N_g$ is diffeomorphic to W_e^8 . The exactness of the Mayer-Vietoris homology sequence of a proper triad $(W'^8; N_f \cup N_g, W'^8 - \text{Int}(N_f \cup N_g))$

$$\begin{aligned} \dots &\longrightarrow H_{q+1}(W'^8; Z) \longrightarrow H_q(\partial(N_f \cup N_g); Z) \\ &\longrightarrow H_q(N_f \cup N_g; Z) + H_q(W'^8 - \text{Int}(N_f \cup N_g); Z) \longrightarrow H_q(W'^8; Z) \longrightarrow \dots \end{aligned}$$

implies that $\partial(N_f \cup N_g)$ is a deformation retract of $W'^8 - \text{Int}(N_f \cup N_g)$. The exactness of the homology sequence of a triple $(W'^8, W'^8 - \text{Int}(N_f \cup N_g), M^7)$

$$\begin{aligned} \dots &\longrightarrow H_q(W'^8 - \text{Int}(N_f \cup N_g), M^7; Z) \longrightarrow H_q(W'^8, M^7; Z) \\ &\longrightarrow H_q(W'^8, W'^8 - \text{Int}(N_f \cup N_g); Z) \longrightarrow H_{q-1}(W'^8 - \text{Int}(N_f \cup N_g), M^7; Z) \longrightarrow \dots \end{aligned}$$

and the Poincaré-Lefschetz duality

$$\begin{aligned} H_q(W'^8, M^7; Z) &\approx H^{8-q}(W'^8; Z), \\ H_q(W'^8, W'^8 - \text{Int}(N_f \cup N_g); Z) &\approx H^{8-q}(N_f \cup N_g; Z) \end{aligned}$$

imply

$$H_q(W^8 - \text{Int}(N_f \cup N_g), M^7; Z) = 0 \quad q = 0, 1, \dots, 8,$$

which shows that M^7 is a deformation retract of $W^8 - \text{Int}(N_f \cup N_g)$. Therefore $W^8 - \text{Int}(N_f \cup N_g)$ defines a J -equivalence (h -cobordism) between M^7 and $\partial(N_f \cup N_g)$. By a result of Smale [13; Theorem I], M^7 is diffeomorphic to $\partial(N_f \cup N_g)$. This completes the proof.

REMARK. Since $\bar{\lambda}(-M^7) = -\bar{\lambda}(M^7)$, M^7 with $\bar{\lambda}(M^7) = -2$ is diffeomorphic to $-M_e^7$.

Let M_0^7 denote the oriented differentiable 7-manifold homeomorphic to S^7 which bounds the compact parallelizable 3-connected oriented differentiable 8-manifold W_0^8 with $I(W_0^8) = 8$ (Milnor [10; §4]). M_0^7 is a generator of the group Θ^7 .

LEMMA 10. *If $\bar{\lambda}(M^7) = 2 + 8s$, then M^7 is diffeomorphic to $M_e^7 \# M_0^7 \# \dots \# M_0^7$ (s -fold connected sum of M_0^7). If $\bar{\lambda}(M^7) = -2 + 8s$, then M^7 is diffeomorphic to $(-M_e^7) \# M_0^7 \# \dots \# M_0^7$ (s -fold connected sum of M_0^7).*

PROOF. Suppose that $\bar{\lambda}(M^7) = 2 + 8s$. There exists a compact parallelizable 3-connected oriented differentiable 8-manifold W^8 with boundary M^7 such that $I(W^8)$ equals $2 + 8s + 2^5 \cdot 7t$. We form the sum $W^8 + (-W_0^8) + \dots + (-W_0^8)$ of W^8 with the $(s + 28t)$ -fold sum of $(-W_0^8)$ in the following sense. The sum $W_1^7 + W_2^7$ will mean the compact oriented differentiable manifold-with-boundary obtained from the disjoint union of compact oriented differentiable manifolds W_1^7 and W_2^7 by identifying $f_1(x)$ with $f_2(x)$ ($x \in D^{n-1}$), where $f_1: D^{n-1} \rightarrow \partial W_1$ (resp. $f_2: D^{n-1} \rightarrow \partial W_2$) is an orientation-preserving (resp. orientation-reversing) imbedding of $(n-1)$ -disk D^{n-1} . Then $W^8 + (-W_0^8) + \dots + (-W_0^8)$ is compact parallelizable 3-connected oriented differentiable 8-manifold. Since the index of $W^8 + (-W_0^8) + \dots + (-W_0^8)$ equals 2, it follows that $\partial(W^8 + (-W_0^8) + \dots + (-W_0^8)) = M^7 \# (-M_e^7) \# \dots \# (-M_e^7)$ ($(s + 28t)$ -fold sum of $-M_e^7$) is diffeomorphic to M_e^7 (Lemma 9). Thus M^7 is diffeomorphic to $M_e^7 \# M_0^7 \# \dots \# M_0^7$ (s -fold connected sum of M_0^7). This completes the proof for the case of $\bar{\lambda}(M^7) = 2 + 8s$. For the case of $\bar{\lambda}(M^7) = -2 + 8s$, the proof is similar.

From Lemma 7 and Lemma 10 we have

THEOREM. *There exist precisely 56 distinct compact unbounded 2-connected oriented differentiable 7-manifolds whose third homology groups are cyclic of order 3, satisfying the condition (P). The invariant $\bar{\lambda}$ characterizes these manifolds. All these manifolds are homeomorphic to each other.*

2. Let $(\bar{B}_{3m,3}^8, S^4, D^4, \bar{\pi})$ be the D^4 -bundle over S^4 with the characteristic map $3m\rho + 3\sigma$. (For notations in this section, see [14].) Let α_4 be a generator of $H_4(\bar{B}_{3m,3}^8; Z) \approx Z$. We choose the orientation of $\bar{B}_{3m,3}^8$ in such a way that $\alpha_4 \circ \alpha_4$ is positive. Let $B_{3m,3}^7$ denote the boundary of $\bar{B}_{3m,3}^8$ with the orientation com-

patible with that of $\bar{B}_{3m,3}^8$. $B_{3m,3}^7$ is a compact unbounded 2-connected oriented differentiable 7-manifold such that $H_3(B_{3m,3}^7; Z) \approx Z_3$ and that $p_1(B_{3m,3}^7) = 0$ (see [14]).

Let us compute the invariant $\bar{\lambda}$ of $B_{3m,3}^7$. Suppose that $B_{3m,3}^7$ bounds a compact parallelizable 3-connected oriented differentiable 8-manifold W^8 . Let V^8 be the compact unbounded 2-connected oriented differentiable 8-manifold obtained from the disjoint union of $\bar{B}_{3m,3}^8$ and $-W^8$ by identifying $\partial\bar{B}_{3m,3}^8$ with ∂W^8 . The index theorem $I(V^8) = \frac{1}{45}(7p_2(V^8) - p_1^2(V^8))[V^8]$ implies

$$45(1 - I(W^8)) = 7p_2(V^8)[V^8] - 2^2 \cdot 3^3(2m+1)^2,$$

$$I(W^8) \equiv 4m(m+1) + 2 \pmod{7}.$$

The integrality of \hat{A} -genus $\hat{A}(V^8) = \frac{1}{2^7 \cdot 45}(-4p_2(V^8) + 7p_1^2(V^8))[V^8]$ implies

$$p_2(V^8)[V^8] \equiv 3^3 \cdot 7(2m+1)^2 \pmod{2^5 \cdot 45}.$$

Hence

$$I(W^8) \equiv 4m(m+1) - 26 \pmod{2^5}.$$

Therefore the invariant $\bar{\lambda}$ of $B_{3m,3}^7$ is equal to $4m(m+1) - 26$.

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