

## Characterization of topological spaces by some continuous functions.

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### Introduction.

Let  $E$  be a Banach space and  $X$  a  $T_1$ -space. We shall consider a function  $F(x)$  from  $X$  into the space  $2^E$  of all subsets of  $E$  and assume it to be *lower semi-continuous* in the following sense: the set  $\{x \in X \mid F(x) \cap U \neq \emptyset\}$  is open in  $X$  for every open subset  $U$  of  $E$ . Under these circumstances, E. A. Michael [5] has recently announced the following two theorems:

**THEOREM I.** *A necessary and sufficient condition for  $X$  to be paracompact and normal is the following:*

(A) *If  $E$  is an arbitrary Banach space and if a lower semi-continuous function  $F: X \rightarrow 2^E$  is such that  $F(x)$  is a non-empty convex closed subset of  $E$  for every  $x \in X$ , then there exists a continuous function  $f: X \rightarrow E$  such that  $f(x) \in F(x)$  for every  $x \in X$ .*

**THEOREM II.** *A necessary and sufficient condition for  $X$  to be a normal space is the following:*

(B) *If  $E$  is a separable Banach space and if a lower semi-continuous function  $F: X \rightarrow 2^E$  is such that  $F(x)$  is a non-empty convex compact subset of  $E$  for every  $x \in X$ , then there exists a continuous function  $f: X \rightarrow E$  such that  $f(x) \in F(x)$  for every  $x \in X$ .*

These two theorems suggest the following problem: what types of topological spaces will be characterized if we replace the conditions imposed upon the space  $E$  and upon the function  $F$  by other suitable ones? We shall give in this paper the characterization of the next two types of topological spaces: (1) a countably paracompact<sup>(1)</sup> normal space which is recently introduced by C. H. Dowker [3], and (2) a normal space in which every point-finite covering<sup>(2)</sup> has a locally finite refinement. (We shall call such a space to be *point-finitely paracom-*

*fact.*) Our main purpose is to prove the following two theorems:

**THEOREM III.** *A necessary and sufficient condition for  $X$  to be countably paracompact and normal is the following:*

(C) *If  $E$  is a separable Banach space and if a lower semi-continuous function  $F(x): X \rightarrow 2^E$  is such that  $F(x)$  is a non-empty convex closed subset of  $E$  for every  $x \in X$ , then there exists a continuous function  $f: X \rightarrow E$  such that  $f(x) \in F(x)$  for every  $x \in X$ .*

**THEOREM IV.** *A necessary and sufficient condition for  $X$  to be point-finitely paracompact and normal is the following:*

(D) *If  $E$  is an arbitrary Banach space and if a lower semi-continuous function  $F: X \rightarrow 2^E$  is such that  $F(x)$  is a non-empty convex compact subset of  $E$  for every  $x \in X$ , then there exists a continuous function  $f: X \rightarrow E$  such that  $f(x) \in F(x)$  for every  $x \in X$ .*

These theorems can be proved along the same line as Michael's theorems (I) and (II), whose proofs we shall include in this paper for the sake of completeness.<sup>(3)</sup>

## 1. Preliminary lemmas.

**LEMMA 1.** *Let  $\varphi(x)$  be a continuous function from a topological space  $X$  into a Banach space  $E$ , and a function  $F: X \rightarrow 2^E$  be lower semi-continuous. Then the function  $G(x) = \overline{F(x) \cap S(\varphi(x); r)}$ <sup>(4)</sup> is also lower semi-continuous, where  $r$  is any positive number.*

**PROOF.** Let  $U$  be any open subset of  $E$ , and denote by  $H$  the set  $\{x \in X \mid G(x) \cap U \neq \emptyset\}$ . We must prove that the set  $H$  is open in  $X$ . Let  $x_0$  be any point of  $H$ . Then  $G(x_0) \cap U = \overline{F(x_0) \cap S(\varphi(x_0); r)} \cap U \neq \emptyset$ . Since  $U$  is open, we have  $F(x_0) \cap S(\varphi(x_0); r) \cap U \neq \emptyset$ . Choose a point  $b$  in  $F(x_0) \cap S(\varphi(x_0); r) \cap U$  and form a sphere  $\Sigma$  about  $b$  so small as to have  $\Sigma \subset S(\varphi(x_0); r) \cap U$ . Then we have  $\Sigma \subset S(\varphi(x); r)$  if  $\varphi(x)$  is sufficiently near to  $\varphi(x_0)$ , hence by continuity of  $\varphi$ , there exists an open neighborhood  $V$  of  $x_0$  such that  $y \in V$  implies  $S(\varphi(y); r) \supset \Sigma$ . Then  $W = V \cap \{y \mid F(y) \cap \Sigma \neq \emptyset\}$  is an open set containing  $x_0$ , and  $y \in W$  implies

$$G(y) \cap U \supset F(y) \cap S(\varphi(y); r) \cap \Sigma = F(y) \cap \Sigma \neq \emptyset$$

and  $y \in H$ . Therefore  $W \subset H$  and  $H$  is an open set in  $X$ .

**LEMMA 2.** *A countable covering of a normal space is a normal*

covering if and only if it has a countable star-finite refinement. Any point-finite countable covering of a normal space has a countable star-finite refinement.

See K. Morita [6; Theorem 6 and Corollary of Theorem 5].

LEMMA 3. If a family of sets  $\{G_\alpha | \alpha \in \Omega\}$  in  $X$  is locally finite, then we have  $\overline{\bigcup_{\alpha \in \Omega} G_\alpha} = \bigcup_{\alpha \in \Omega} \overline{G_\alpha}$ .

LEMMA 4. Let  $\{U_\alpha | \alpha \in \Omega\}$  be a locally finite covering of a normal space  $X$ . Then there exists a family of continuous real-valued non-negative functions  $\{\varphi_\alpha | \alpha \in \Omega\}$  such that

- (i)  $\sum_{\alpha \in \Omega} \varphi_\alpha(x) = 1$  for every  $x \in X$ ;
- (ii)  $x \notin U_\alpha$  implies  $\varphi_\alpha(x) = 0$ .

This family of functions  $\{\varphi_\alpha\}$  is called "partition of unity" subordinated to the covering  $\{U_\alpha\}$ . See C. H. Dowker [2].

LEMMA 5. Let  $\mathfrak{U} = \{U_\alpha | \alpha \in \Omega\}$  be a covering of a space  $X$  and suppose that there exists a family  $\{\varphi_\alpha | \alpha \in \Omega\}$  of real-valued non-negative continuous functions such that:

(i) the family  $\{\varphi_\alpha\}$  is equicontinuous, i. e., given  $x_0 \in X$  and  $\epsilon > 0$ , there exists a neighborhood  $V$  of  $x_0$  such that  $|\varphi_\alpha(x) - \varphi_\alpha(x_0)| < \epsilon$  for every  $x \in V$  and for all  $\alpha \in \Omega$ ;

(ii)  $\sum_{\alpha \in \Omega} \varphi_\alpha(x) = 1$  for all  $x \in X$ ;

(iii)  $\varphi_\alpha(x) > 0$  implies  $x \in U_\alpha$ .

Then the covering  $\mathfrak{U}$  has a locally finite refinement.

PROOF. For every positive integer  $n$  we define the open sets  $U_\alpha^n = \{x \in X | \varphi_\alpha(x) > 1/n\}$  and  $X_n = \bigcup_{\alpha \in \Omega} U_\alpha^n$ . Then clearly  $\overline{U_\alpha^n} \subset U_\alpha^{n+1}$ .

(1) The family of sets  $\{U_\alpha^n | \alpha \in \Omega\}$  is locally finite for a fixed  $n$ . In fact, let  $x_0$  be any point of  $X$ . Then there exist at most a finite number of indices  $\alpha_1, \dots, \alpha_s$  such that  $\varphi_{\alpha_i}(x_0) > 1/(n+1)$  for  $i=1, \dots, s$  by

(ii). From (i) we can find a neighborhood  $V$  of  $x_0$  such that  $y \in V$  implies  $|\varphi_\alpha(y) - \varphi_\alpha(x_0)| < 1/n - 1/(n+1)$  for every  $\alpha \in \Omega$ . If  $\beta \neq \alpha_1, \dots, \alpha_s$ , then  $\varphi_\beta(y) < \varphi_\beta(x_0) + (1/n - 1/(n+1)) \leq 1/(n+1) + (1/n - 1/(n+1)) = 1/n$ , therefore,  $V \cap U_\beta^n = \emptyset$ .

By virtue of the property (1), we have at once

$$(2) \quad \overline{X_n} = \bigcup_{\alpha} \overline{U_\alpha^n} \quad \text{by Lemma 3;}$$

$$(3) \quad X_n \subset \overline{X_n} \subset X_{n+1}.$$

The condition (ii) and the definition of  $X_n$  imply

$$(4) \quad \bigcup_n X_n = X.$$

Now, let  $Y_n = X_n - \overline{X_{n-2}}$  (where  $X_{-1} = X_0 = \emptyset$ ). Each  $Y_n$  is clearly open and  $\bigcup_n Y_n = X$ ; moreover,

$$(5) \quad Y_m \cap Y_n = \emptyset \quad \text{if} \quad |m - n| \geq 2.$$

Finally, define a covering  $\mathfrak{B} = \{V_{m,\alpha} \mid m=1,2,\dots; \alpha \in \mathcal{Q}\}$ , where  $V_{m,\alpha} = Y_m \cap U_\alpha^m$ . Then by virtue of properties (1) and (5), we can conclude that  $V$  is a locally finite refinement of  $U$ . This completes the proof.

## 2. Proof of necessity.

The essential part of this section lies in the proof of the following

**LEMMA 6.** *Let  $F$  be a lower semi-continuous function from  $X$  into  $2^E$  and  $\epsilon$  a prescribed positive number. Then there exists a continuous function  $f$  from  $X$  into  $E$  such that  $f(x) \in S(F(x); \epsilon)^{(4)}$  for every  $x \in X$ , if any one of the following conditions is satisfied:*

(I)  *$X$  is a paracompact normal space,  $E$  is an arbitrary Banach space, and  $F(x)$  is a non-empty convex closed subset of  $E$  for every  $x \in X$ .*

(II)  *$X$  is a normal space,  $E$  is a separable Banach space, and  $F(x)$  is a non-empty convex compact subset of  $E$  for every  $x \in X$ .*

(III)  *$X$  is a countably paracompact normal space,  $E$  is a separable Banach space, and  $F(x)$  is a non-empty convex closed subset of  $E$  for every  $x \in X$ .*

(IV)  *$X$  is a point-finitely paracompact normal space,  $E$  is an arbitrary Banach space, and  $F(x)$  is a non-empty convex compact subset of  $E$  for every  $x \in X$ .*

**PROOF.** *Case (I):* Construct the covering  $\mathfrak{S} = \{S_b \mid b \in E\}$  of  $E$ , where each  $S_b$  is an open sphere  $S(b; \epsilon)^{(4)}$ . Then by virtue of lower semi-continuity of  $F$ , the sets  $U_b = \{x \in X \mid F(x) \cap S_b \neq \emptyset\}$  are all open in  $X$  and form a covering  $\mathfrak{U}$  of  $X$ .  $X$  being paracompact,  $\mathfrak{U}$  has a locally finite refinement  $\mathfrak{V} = \{V_\alpha \mid \alpha \in \mathcal{Q}\}$ . Let  $\{\varphi_\alpha \mid \alpha \in \mathcal{Q}\}$  be the partition of unity subordinated to  $\mathfrak{V}$  (Lemma 4). To each  $\alpha$ , we choose an element  $b$  such that  $V_\alpha \subset \{x \in X \mid F(x) \cap S_b \neq \emptyset\}$  and define  $f: X \rightarrow E$  by

$$(6) \quad f(x) = \sum_\alpha \varphi_\alpha(x) b_\alpha.$$

Given any point  $x \in X$ , there exists a neighborhood  $W$  meeting only with a finite number of elements of  $\mathfrak{B}$ . Then (6) being a finite sum in  $W$ ,  $f(x)$  is continuous there, hence in  $X$ . Next, let  $\alpha_1, \dots, \alpha_s$  be the indices  $\alpha$  such that  $\varphi_\alpha(x) \neq 0$ . Then  $F(x) \cap S_{b_{\alpha_i}} \neq \emptyset$ . Choose an element  $c_{\alpha_i}$  from each  $F(x) \cap S_{b_{\alpha_i}}$ . Then  $\|b_{\alpha_i} - c_{\alpha_i}\| < \epsilon$ , and

$$\begin{aligned} \|f(x) - \sum_{i=1}^s \varphi_{\alpha_i}(x) c_{\alpha_i}\| &= \|\sum \varphi_{\alpha_i}(x) b_{\alpha_i} - \sum \varphi_{\alpha_i}(x) c_{\alpha_i}\| \\ &\leq \sum \varphi_{\alpha_i}(x) \|b_{\alpha_i} - c_{\alpha_i}\| < \epsilon \sum \varphi_{\alpha_i}(x) = \epsilon \end{aligned}$$

and  $\sum \varphi_{\alpha_i}(x) c_{\alpha_i} \in F(x)$  by convexity of the set  $F(x)$ . Consequently,  $f(x) \in S(F(x); \epsilon)$ .

*Case (II):* Let  $B = \{b_n | n = 1, 2, \dots\}$  be a countable dense subset of  $E$  and construct the open spheres  $S_n = S(b_n; \epsilon)$ . Then  $\mathfrak{S}_1 = \{S_n | n = 1, 2, \dots\}$  is a countable covering of  $E$ .  $E$  being a metric space, it is paracompact (see A. H. Stone [7]), and so  $\mathfrak{S}_1$  has a countable locally finite refinement  $\mathfrak{T} = \{T_n | n = 1, 2, \dots\}$ . Let  $U_n = \{x \in X | F(x) \cap T_n \neq \emptyset\}$ . Then  $\{U_n\}$  is a point-finite covering of  $X$ , for, given  $x \in X$ ,  $F(x)$  is compact, hence at most a finite number of  $T_n$ , say  $T_{n_1}, \dots, T_{n_s}$ , intersect with  $F(x)$ . Then  $x \in U_i$  only for  $i = n_1, \dots, n_s$ . Since  $X$  is a normal space, the point-finite countable covering  $\{U_n\}$  has a locally finite refinement  $\mathfrak{B}$  by Lemma 2, and the proof may be accomplished precisely by the same way as above.

*Case (III):* Let  $\mathfrak{S}_1$  be the same as in case (II). Then the open sets  $U_n = \{x \in X | F(x) \cap S_n \neq \emptyset\}$  form a countable covering of  $X$ . By countable paracompactness of  $X$ , there exists a locally finite refinement  $\mathfrak{B} = \{V_\alpha | \alpha \in \mathcal{Q}\}$  of this covering. The desired function  $f$  may be constructed by the same way as above.

*Case (IV):* Since  $E$  is paracompact, the covering  $\mathfrak{S}$  of  $E$  constructed in the proof of Case (I) has a locally finite refinement  $\mathfrak{B} = \{V_\alpha | \alpha \in \mathcal{Q}\}$ . Let  $U_\alpha = \{x \in X | F(x) \cap V_\alpha \neq \emptyset\}$ . Then the covering  $\mathfrak{U} = \{U_\alpha | \alpha \in \mathcal{Q}\}$  is as above a point-finite covering of  $X$ . By virtue of point-finite paracompactness of  $X$ , this covering has a locally finite refinement. From this point, we can proceed as above.

**PROOF OF NECESSITY.** By virtue of the above lemma, we can inductively define a sequence  $\{f_n\}$  of continuous functions from  $X$  to

$E$  with the properties (i)  $f_n(x) \in S(F(x); 1/2^n)$ , and (ii)  $\|f_n(x) - f_{n+1}(x)\| < 1/2^n$  for every  $x \in X$ . In fact, apply Lemma 6 to  $F(x)$  for  $\varepsilon = 1/2$ , and we have a function  $f_1$  satisfying (i). Suppose that we have defined the function  $f_n(x)$  with the properties (i) and (ii). Then the function  $G(x) = \overline{F(x) \cap S(f_n(x); 1/2^n)}$  is lower semi-continuous by Lemma 1 and satisfies the same conditions as  $F(x)$ . Thus applying Lemma 6 to  $G(x)$  instead of  $F(x)$ , we have a continuous function  $f_{n+1}$  such that  $f_{n+1}(x) \in S(G(x); 1/2^{n+1})$ . This  $f_{n+1}$  is easily seen to satisfy (i) and (ii), and our induction is completed.

The sequence  $\{f_n(x)\}$  is obviously a Cauchy sequence and converges uniformly to a continuous function  $f: X \rightarrow E$  by (ii). From (i) and the closedness of the set  $F(x)$ ; we have readily  $f(x) \in F(x)$ , which completes the proof.

### 3. Proof of sufficiency.

LEMMA 7. Let  $\mathfrak{U} = \{U_\alpha | \alpha \in \Omega\}$  be any covering of a space  $X$  and  $E = E(\mathfrak{U})$  be a Banach space formed of all  $b = \sum \lambda_\alpha b_\alpha$  such that  $\|b\| = \sum_\alpha |\lambda_\alpha| < \infty$ .<sup>(5)</sup> Define a function  $F = F_{\mathfrak{U}}$  as follows:  $F(x)$  is formed of all  $b = \sum \lambda_\alpha b_\alpha \in E$  such that  $\|b\| = 1$  and that  $x \notin U_\alpha$  implies  $\lambda_\alpha = 0$ . Then (i) each  $F(x)$  is a non-empty convex closed subset of  $E$ , and (ii) the function  $F: X \rightarrow 2^E$  is lower semi-continuous.

PROOF. (i) is obvious. To prove (ii), it suffices to show that the set  $G = \{x \in X | F(x) \cap S \neq \emptyset\}$  is open in  $X$  for every open sphere  $S = S(b_0; r)$ . Let  $x_0 \in G$ . Then there exists an element  $b = \sum \lambda_\alpha b_\alpha \in F(x_0)$  such that  $\rho = \|b - b_0\| < r$ . Since  $\|b\| = \sum |\lambda_\alpha| = 1$ , at most countably infinite  $\lambda_\alpha$ 's, say  $\lambda_{\alpha_1}, \lambda_{\alpha_2}, \dots$ , are not equal to zero. Choose  $n$  so large as to have  $1 - L_n < (r - \rho)/2$ , where  $L_n = \sum_{i=1}^n |\lambda_{\alpha_i}|$ . Then  $x_0 \in U_{\alpha_i}$  since  $\lambda_{\alpha_i} \neq 0$ , and  $V = \bigcap_{i=1}^n U_{\alpha_i}$  is a neighborhood of  $x_0$ . Let  $y \in V$ . Then  $b' = 1/L_n \sum_{i=1}^n \lambda_{\alpha_i} b_{\alpha_i} \in F(y)$ , and

$$\begin{aligned} \|b' - b_0\| &\leq \|b' - \sum_{i=1}^n \lambda_{\alpha_i} b_{\alpha_i}\| + \|\sum_{i=1}^n \lambda_{\alpha_i} b_{\alpha_i} - b\| + \|b - b_0\| \\ &= \sum_{i=1}^n |\lambda_{\alpha_i}/L_n - \lambda_{\alpha_i}| + \sum_{i=n+1}^{\infty} |\lambda_{\alpha_i}| + \rho \\ &= (1 - L_n) + (1 - L_n) + \rho < 2 \cdot (r - \rho)/2 + \rho = r. \end{aligned}$$

Consequently  $b' \in F(y) \cap S$  and  $y \in G$ , whence  $V \subset G$ . This completes the proof of the lemma.

Our proof is now divided into the following four cases:

(I) *In case the condition (B) holds.* In this case, we choose  $E$  as the space  $\mathbf{R}$  of all real numbers. Let  $M$  and  $N$  be disjoint non-void closed subsets of  $X$  and define  $F(x): X \rightarrow \mathbf{R}$  as follows:  $F(x) = \{0\}$  if  $x \in M$ ,  $= \{1\}$  if  $x \in N$ , and  $= I$  the closed interval  $[0, 1]$ , otherwise. Then the function  $F(x)$  is easily seen to satisfy the assumption in (B), and therefore a continuous function  $f: X \rightarrow \mathbf{R}$  is obtained so that  $f(x) \in F(x)$ , i. e.,  $f(x) = 0$  if  $x \in M$ , and  $= 1$  if  $x \in N$ , and always  $0 \leq f(x) \leq 1$ . This shows that  $X$  is a normal space.

(II) *In case the condition (A) holds.* In this case the condition (B) is also satisfied, which implies that  $X$  is normal. It suffices therefore to show that every covering  $\mathfrak{U} = \{U_\alpha | \alpha \in \mathcal{Q}\}$  of  $X$  has a locally finite refinement. To this end, let us construct a Banach space  $E = E(\mathfrak{U})$  and a function  $F = F_{\mathfrak{U}}$  as in Lemma 7. The condition (A) implies the existence of a continuous function  $f: X \rightarrow E$  such that  $f(x) = \sum_{\alpha} \lambda_{\alpha}(x) b_{\alpha} \in F(x)$  for every  $x \in X$ . Define  $\varphi_{\alpha}(x) = |\lambda_{\alpha}(x)|$ . Then  $\{\varphi_{\alpha}\}$  is easily seen to satisfy the hypotheses of Lemma 5 and we can conclude the existence of a locally finite refinement of  $\mathfrak{U}$ .

(III) *In case the condition (C) holds.* The condition (B) implies as above that  $X$  is normal. Let  $\mathfrak{U} = \{U_n\}$  be any countable covering of  $X$  and construct a Banach space  $E = E(\mathfrak{U})$  and a function  $F = F_{\mathfrak{U}}$  as in Lemma 7. In this case the space  $E$  is clearly separable and the condition (C) implies the existence of a locally finite refinement of  $\mathfrak{U}$  as above.

(IV) *In case the condition (D) holds.* Let  $\mathfrak{U} = \{U_{\alpha} | \alpha \in \mathcal{Q}\}$  be any point-finite covering of  $X$  and construct  $E = E(\mathfrak{U})$  and  $F(x) = F_{\mathfrak{U}}(x)$  as in Lemma 7. Then each set  $F(x)$  is compact. Indeed, given any point  $x \in X$ , let  $U_{\alpha_i}$  ( $1 \leq i \leq n$ ) be the elements of  $\mathfrak{U}$  containing  $x$ . By point-finiteness of the covering  $\mathfrak{U}$ ,  $n$  is finite and the set  $F(x)$  is homeomorphic to the compact set in the Euclidean  $n$ -space defined by the equation  $|x_1| + |x_2| + \dots + |x_n| = 1$ , hence  $F(x)$  is compact. From this point, we can proceed in the same way as above.

#### 4. Some applications.

As is stated in E. A. Michael [5], the above theorems may be applied to obtain Arens' theorem [1] which asserts the extensibility of continuous Banach space-valued function  $f$  defined on a closed subset  $A$  of a paracompact normal space  $X$  to the whole space, or to obtain the inserting theorem of a continuous function between lower and upper semi-continuous real-valued functions defined on a normal space (cf. H. Tong [8]). Some similar result may be obtained for other class of topological spaces.

**COROLLARY 1.** *Let  $A$  be a closed subset of a countably paracompact normal space  $X$  and  $E$  be a separable Banach space. Then every continuous function  $f: A \rightarrow E$  may be extended to a continuous function  $X \rightarrow E$ .*

**PROOF.** Let  $F(x)$  be defined as follows:  $F(x) = \{f(x)\}$  if  $x \in A$  and  $= E$  otherwise. Then  $F(x)$  is easily seen to be semi-continuous and Theorem III is applied to obtain the desired extension of  $f$ .

**COROLLARY 2.** *Let  $A$  be a compact subset of a point-finitely paracompact normal space  $X$ . Then every continuous function from  $A$  into any Banach space  $E$  may be extended to a continuous function  $X \rightarrow E$ .*

**COROLLARY 3.** *Let  $A$  be a compact subset of a normal space  $X$ . Then every continuous function from  $A$  into a separable Banach space  $E$  may be extended continuously all over the space  $X$ .*

Corollary 2 and 3 may be proved analogously as Corollary 1.

#### 5. An example of a point-finitely paracompact space.

Finally, we shall give an example of a point-finitely paracompact space which is not paracompact. Let  $X$  be the set of all countable transfinite ordinals and the neighborhood of its point  $x_0 \in X$  be the set of the form  $\{x | y < x \leq x_0\}$ . Then the space  $X$  is well known to be completely normal and locally compact but not compact.

**LEMMA 8.** *Let  $f: X \rightarrow X$  be a mapping such that  $f(x) < x$  for sufficiently large  $x \in X$ . Then there is an element  $c \in X$  such that, for every  $x \in X$ , there exists an element  $y \geq x$  satisfying  $f(y) < c$ . See N. Bourbaki [10, Chap. I, § 10, ex. 21].*

**PROOF.** If the lemma were not true, we could inductively define a sequence  $\{z_n\}$  such that  $y \geq z_{n+1}$  implies  $f(y) \geq z_n$ . Clearly  $z_1 < z_2 < \dots$ .



Let  $z_0$  be the ordinal which follows immediately after  $\{z_n\}$ . Then  $z_0 \in X$  and  $z_0 \geq z_{n+1}$ , hence  $z_0 > f(z_0) \geq z_n$  for every  $n$ , which contradicts the definition of  $z_0$ .

From this lemma, we see that  $X$  is point-finitely paracompact. More precisely, we have the following

**THEOREM.** *Every point-finite covering of the space  $X$  has a finite subcovering.*

**PROOF.** Let  $\mathfrak{U} = \{U_a | a \in A\}$  be a point-finite covering of  $X$ . Define a mapping  $f: X \rightarrow X$  as follows:  $f(\alpha) = 1$  if  $\alpha = 1$ . In case  $\alpha > 1$ , let  $\{U_b | b \in B\}$  be the collection of all  $U_a$ 's ( $a \in A$ ) containing  $\alpha$ . By virtue of point-finiteness of  $\mathfrak{U}$ ,  $B$  is a finite set and the set  $V = \bigcap \{U_b | b \in B\}$  is an open set containing  $\alpha$ . Put  $f(\alpha) = \beta$ , where  $\beta$  is the smallest  $\beta$  such that  $\{\gamma | \beta < \gamma \leq \alpha\} \subset V$ . Let  $c$  be the ordinal obtained by the above lemma and  $U_1, U_2, \dots, U_s$  be the elements of  $\mathfrak{U}$  which contain  $c$ . For any  $\alpha > c$ , there exists an ordinal  $\beta \geq c$  such that  $f(\beta) < c < \alpha \leq \beta$ . Then the neighborhood  $\{\gamma | f(\beta) < \gamma \leq \beta\}$  is contained in some  $U_a \in \mathfrak{U}$ . Since  $c$  is contained in  $U_a$ ,  $U_a$  must be one of the  $U_i$ 's,  $1 \leq i \leq s$ , and  $\alpha \in U_1 \cup U_2 \cup \dots \cup U_s$ . The segment  $\{\alpha | \alpha \leq c\}$  of  $X$  is easily seen to be compact and is covered by some finite number of  $U_a$ . Consequently,  $X$  is covered by finite number of  $U_a$ , and the proof is complete.

**COROLLARY.** *The space  $X$  is not paracompact.*

In fact, let  $\mathfrak{U}$  be a covering of  $X$  which has no finite subcoverings (such a covering may be easily constructed.) Then the above theorem shows that  $\mathfrak{U}$  has no locally finite refinements.

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### Notes.

(1) According to C. H. Dowker [3], a topological space is called countably paracompact, if every countable covering has a locally finite refinement. In this paper we use the term "covering" as "open covering", and locally finite = neighborhood-finite in the sense of S. Lefschetz [4].

(2) See S. Lefschetz [4, p. 13].

(3) Michael's original proof is not yet known to us.

(4) We denote by  $S(b_0; r)$  the open sphere of radius  $r$  about  $b_0$  in the Banach space  $E$  i. e., the set  $\{b \mid \|b - b_0\| < r, b \in E\}$  and by  $S(A; \varepsilon)$  the open  $\varepsilon$ -neighborhood of  $A \subset E$ , i. e., the set  $\{b \mid \|b - a\| < \varepsilon \text{ for some } a \in A\}$ .

(5) We assume that the vectors  $\{b_\alpha\}$  are linearly independent; therefore the space  $E$  is an  $(\aleph)$ -space not necessarily of countable dimension.

**Added in proof:** Corollary 3 in §4 is valid for an arbitrary Banach space  $E$ . In fact, let  $H$  be the closed linear subspace of  $E$  spanned by  $f(A)$ .  $f(A)$  being compact metric, hence separable,  $H$  is a separable Banach space, and the given function  $f$  may be considered as a function from  $A$  into  $H$ .

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