

On the nilpotency of nil-algebras.

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Introduction. We say in the present paper that a ring R satisfies the condition $c(n)$, if $u^n=0$ holds for all $u \in R$, n being a (given) natural number. The purpose of the present paper is to answer a problem on the nilpotency of algebras satisfying $c(n)$, raised by Y. Kawada and N. Iwahori, in proving the following

THEOREM. *Let \mathfrak{A} be a ring with a coefficient field K . If \mathfrak{A} satisfies the condition $c(n)$ and if K is of characteristic 0, then there exists a natural number $f(n)$ depending solely on n such that $\mathfrak{A}^{f(n)}=0$.*

In the last paragraph, we shall add some remarks concerning the case when K is a general coefficient ring.

1. Preliminaries on group rings of symmetric groups.

We denote by S_t the symmetric group on letters $1, 2, \dots, t$; t being a natural number. Let K be the field in our theorem and ${}_0t$ the group ring of S_t over K .

We denote by (α) or (β) a Young diagram (of letters $1, \dots, t$). Furthermore, for an arbitrary Young diagram (α) , we denote by A_α the totality of $(-1)^{\delta(q)}q$ ($q \in S_t$) such that i and $q(i)$ are in the same column of (α) for each i ($1 \leq i \leq t$) and that $\delta(q)=1$ or 0 according as the permutation q is odd or even; and by S_α the totality of p ($p \in S_t$) such that i and $p(i)$ are in the same row of (α) for each i ($1 \leq i \leq t$). Further we set $A_\alpha^* = \sum_{a \in A_\alpha} a$ ($\in {}_0t$), $S_\alpha^* = \sum_{s \in S_\alpha} s$ ($\in {}_0t$).

Remark. A_α is a subgroup of $S_t \times \{1, -1\}$ and S_α is a subgroup of S_t .

Now the following facts are well known:

- (1) ${}_0t S_\alpha^* A_\alpha^*$ is a simple left ideal of ${}_0t$.
- (2) Every simple left ideal of ${}_0t$ is operator isomorphic to ${}_0t S_\alpha^* A_\alpha^*$ with a suitable (α) .

From these facts follows easily

LEMMA 1. $\sum_{(\alpha)} \nu_t S_{\alpha}^* A_{\alpha}^* = \nu_t$ (where (α) runs over all Young diagrams of letters $1, 2, \dots, t$).

Now let g be a given natural number.

a) For a set of arbitrary g letters i_1, i_2, \dots, i_g ($i_1 < i_2 < \dots < i_g$) among $1, 2, \dots, t$ ($t \geq g$), let $S(i_1, i_2, \dots, i_g)$ be the symmetric group on letters i_1, \dots, i_g and set $S^*(i_1, \dots, i_g) = \sum_{s \in S(i_1, \dots, i_g)} s$. Further we set $l_1 = \sum_{(i_1, \dots, i_g)} \nu_t S^*(i_1, \dots, i_g)$.

b) For a set of arbitrary g letters j_1, j_2, \dots, j_g such that $j_1 < j_1 + 1 < j_2 < j_2 + 1 < \dots < j_g < j_g + 1 \leq t$ ($t \geq 2g$), let $A(j_1, \dots, j_g)$ be the totality of elements σ of S_t such that (1) σ permutes only $j, j_1 + 1, j_2, \dots, j_g, j_g + 1$ and (2) σ transforms $\{j_1, \dots, j_g\}$ onto itself and $\sigma(j_k + 1) = \sigma(j_k) + 1$ for every $k = 1, \dots, g$. We set $A^*(j_1, \dots, j_g) = \sum_{a \in A(j_1, \dots, j_g)} a$. Further we set $l_2 = \sum_{(j_1, \dots, j_g)} \nu_t A^*(j_1, \dots, j_g)$. Then we have

LEMMA 2. If $t \geq g(g^2 - 2g + 2)$, then $l_1 + l_2 = \nu_t$.

PROOF. Since $\sigma S^*(i_1, \dots, i_g) \sigma^{-1} = S^*(\sigma(i_1), \dots, \sigma(i_g))$ ($\sigma \in S$), l_1 is a two-sided ideal. If (α) is a Young diagram with columns not less than g , $S_{\alpha}^* \in l_1$, whence $S_{\alpha}^* A_{\alpha}^* \in l_1$. Now it is sufficient to show, by virtue of Lemma 1, that for any Young diagram (β) with columns less than g , $A_{\beta}^* \in l_2$.

Let B be the set of letters which are in the first column of (β) and set $B' = \{s; s \in B, s + 1 \in B\}$, $B'' = \{s; s \in B, s \neq t, s + 1 \notin B\}$.

(1) When the number of letters of B' is not less than $2g - 1$, we can select $j_1, j_2, \dots, j_g \in B'$ as in b) above. Then since $A(j_1, \dots, j_g) \subseteq A_{\beta}$, we see that $A_{\beta}^* \in l_2$.

(2) When the number of letters of B' is less than $2g - 1$, that of B'' is not less than $g^2 - 3g + 3 = (g - 1)(g - 2) + 1$. For, since $t \geq g(g^2 - 2g + 2)$ and since (β) has at most $g - 1$ columns, the number of letters of B is not less than $g^2 - g + 2$ (observe that $(g^2 - g + 1)(g - 1) = g^3 - 2g^2 + 2g - 1$). For all $s \in B''$, we consider $s + 1$; they are in columns other than the first. Therefore at least one contains at least g of such $s + 1$, i. e., we can select $j_1 < \dots < j_g$ from B'' such that $j_1 + 1, \dots, j_g + 1$ are in the same column. Then $A(j_1, \dots, j_g) \subseteq A_{\beta}$, whence $A_{\beta}^* \in l_2$. Thus the proof is completed.

2. Preliminaries on rings satisfying the condition $c(n)$.

We denote by R a ring which has K as a coefficient field. When $y_1, \dots, y_t \in R$ and $X = \sum_i a_i \sigma_i$ ($a_i \in K, \sigma_i \in S_t$), we denote by $X(y_1 \dots y_t)$ the

sum $\sum_i a_i y_{\sigma_i(1)} \cdots y_{\sigma_i(t)}$. Let (K, R) be the ring obtained from R by adjoining an identity having K as the coefficient field.

LEMMA 3. Let d and t be given natural numbers and let $V = \{(i_1, \dots, i_t)\}$ be the totality of vectors of dimension t such that (1) each component i_k is a non-negative integer and (2) the sum $\sum_{k=1}^t i_k$ of the components i_k is equal to d (for every vector $(i_1, \dots, i_t) \in V$). Now suppose that to every vector $(i_1, \dots, i_t) \in V$ there corresponds an element $u(i_1, \dots, i_t)$ of R . If for arbitrary elements c_2, \dots, c_t of K it holds $\sum c_2^{i_2} \cdots c_t^{i_t} u(i_1, \dots, i_t) = 0$, then each $u(i_1, \dots, i_t)$ is 0.

PROOF. When $t=1$ our assertion is evident. When $t=2$, since the determinant

$$\begin{vmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & a_1 & a_1^2 & \cdots & a_1^d \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 1 & a_d & a_d^2 & \cdots & a_d^d \end{vmatrix}$$

is the fundamental alternative function of $1, a_1, \dots, a_d$, our assertion follows easily. Now assuming that our assertion holds when $t=s$, we consider the case $t=s+1$. Since

$$\sum_{i_{s+1}=0}^d c_{s+1}^{i_{s+1}} \left(\sum_{i_{s+1} \text{ fixed}} c_2^{i_2} \cdots c_s^{i_s} u(i_1, \dots, i_{s+1}) \right) = 0$$

we see, by the case $t=2$, that $\sum_{i_{s+1} \text{ fixed}} c_2^{i_2} \cdots c_s^{i_s} u(i_1, \dots, i_{s+1}) = 0$, which is the case $t=s$. Therefore $u(i_1, \dots, i_{s+1}) = 0$.

LEMMA 4. Assume that R satisfies the condition $c(n)$. Then for arbitrary elements y_1, \dots, y_n of R , we have

$$S_n^*(y_1 \cdots y_n) = 0 \quad (S_n^* = \sum_{s \in S_n} s).$$

PROOF. Since $(y_1 + c_2 y_2 + \cdots + c_n y_n)^n = 0$ for arbitrary elements c_2, \dots, c_n of K , we have our assertion by Lemma 3.

COROLLARY. With the same R , we have $y^{n-1} R y^{n-1} = 0$ for every $y \in R$.

LEMMA 5. Assume again that R satisfies the condition $c(n)$. Let m be the least integer greater than $n/2$. Then for an arbitrary element u of R , $\bar{u} = u(K, R) + (u^2)/(u^2)$ satisfies the condition $c(m)$, where $(u^2) = (K, R)u^2(K, R)$.

PROOF. It is sufficient to prove that for an arbitrary element z of R , $(uz)^{m-1}u \equiv 0 \pmod{u^2}$. In the equalities $S_n^*(y_1 \cdots y_n) = 0$ and $S_n^*(y_1 \cdots y_n)u = 0$, we put $y_1 = \cdots = y_{n+1-m} = u$, $y_{n+2-m} = \cdots = y_n = z$. Then we see $(uz)^{m-1}u \equiv 0 \pmod{u^2}$ from the former or the latter according as n is odd or even.

LEMMA 6. Let R , n and m be the same as in Lemma 5. Let r be the least integer such that $n-1 \leq 2^r$. If there exists $f(m)$ (in the theorem with n replaced by m), and if $u \in R$, then $(u)^{g(n)} = 0$, where $g(n)$ is $2f(m)^r$ or $f(m)^r$ according as $n-1 = 2^r$ or $n-1 < 2^r$.

PROOF. By Lemma 5, we see that $(u(K, R))^{f(m)} \subseteq (u^2)$. Therefore $(u)^{f(m)} \subseteq (u^2)$. Thus we see $(u)^{f(m)^r} \subseteq (u^2)^{f(m)^{r-1}} \subseteq \cdots \subseteq (u^{2^r})$. Now our assertion follows from the corollary to Lemma 4.

LEMMA 7. With the same R and $g(n)$ (and assuming the existence of $f(m)$), let y_1, \dots, y_t ($t \geq g(n)$) be arbitrary elements of R and let $i_1 < i_2 < \cdots < i_{g(n)}$ be arbitrary integers among $1, 2, \dots, t$. Then

$$S^*(i_1, \dots, i_{g(n)})(y_1 \cdots y_t) = 0.$$

PROOF. We may assume without loss of generality that $i_1 = 1$ and $i_{g(n)} = t$. Take $r_1 = 1, s_1, r_2, s_2, \dots, r_k, s_k = t$ such that $\{i_1, \dots, i_{g(n)}\} = \{r_1 = 1, 2, \dots, s_1, r_2, r_2 + 1, \dots, s_2, \dots, r_k, r_k + 1, \dots, s_k\}$ and that $r_{j+1} > s_j + 1$ ($j = 1, \dots, k-1$). We set $u = (y_{i_1} + c_2 y_{i_2} + \cdots + c_{g(n)} y_{i_{g(n)}})$ with arbitrary elements $c_2, \dots, c_{g(n)}$ of K . Then by Lemma 6 we have

$$u^{s_1} y_{s_1+1} \cdots y_{r_2-1} u^{s_2-r_2+1} y_{s_2+1} \cdots y_{r_k-1} u^{s_k-r_k+1} = 0.$$

Since $c_2, \dots, c_{g(n)}$ are arbitrary, we have our assertion by Lemma 3.

COROLLARY. When $t \geq 2g(n)$, take $j_1, \dots, j_{g(n)}$ such that $A(j_1, \dots, j_{g(n)})$ can be defined. Then

$$A^*(j_1, \dots, j_{g(n)})(y_1 \cdots y_t) = 0.$$

3. Proof of Theorem.

Since we may set $f(2) = 3$, as is easily seen, we prove the theorem by induction on n : We assume the existence of $f(m)$, m being the

least integer greater than $n/2$ (observe that when $n \geq 3$, $m < n$).

We take $g = g(n)$ given by Lemma 6 and let $t = f(n) = g(g^2 - 2g + 2)$: We prove $\mathfrak{A}^t = 0$. For this purpose, we may assume without loss of generality that $\mathfrak{A} = F/\mathfrak{N}$, F being the ring freely generated by sufficiently many indeterminates x_λ over K and \mathfrak{N} being the (two-sided) ideal of F generated by all of the n -th powers of elements of F .

Let x_1, \dots, x_t be arbitrary, mutually distinct elements among x_λ . Then, as \mathfrak{N} is left-invariant under ν_t , $\mathfrak{l} = \{X; X \in \nu_t, X(x_1 \cdots x_t) \in \mathfrak{N}\}$ forms a left ideal of ν_t . By Lemma 7 and its corollary, every $S^*(i_1, \dots, i_g)$ and every $A^*(j_1, \dots, j_g)$ are in \mathfrak{l} . Therefore by Lemma 2 $\mathfrak{l} \ni 1$, whence $x_1 \cdots x_t \in \mathfrak{N}$, and this shows $\mathfrak{A}^t = 0$.

4. A more restricted case.

We say in this paragraph that a ring R^* satisfies the condition $c^*(n)$, if R^* satisfies the condition $c(n)$ and R^* possesses a system M of generators such that $x^2 = 0$, $xR^*x = 0$ for every $x \in M$.

By our theorem we see that there exists a natural number $h(n)$ such that $\mathfrak{B}^{h(n)} = 0$, if \mathfrak{B} satisfies the condition $c^*(n)$ and if \mathfrak{B} has a coefficient field, say K , of characteristic 0.

It is remarkable that *for this $h(n)$ we have $\mathfrak{A}^{h(n)} = 0$ for any ring \mathfrak{A} which satisfies the condition $c(n)$ and which has also a coefficient field of characteristic 0, that is if $f(n)$ and $h(n)$ are chosen to be the least possible value, then $f(n) = h(n)$.*

Indeed, let F , \mathfrak{N} and \mathfrak{A} be the same as in § 3, and let \mathfrak{N}_1 be the ideal generated by \mathfrak{N} and all of both $x_\lambda F x_\lambda$ and x_λ^2 . We may assume that $\mathfrak{B} = F/\mathfrak{N}_1$. $\mathfrak{B}^{h(n)} = 0$ shows $x_1 \cdots x_{h(n)} \in \mathfrak{N}_1$ for any (mutually distinct) $x_1, \dots, x_{h(n)} \in \{x\}$. This shows that

$$x_1 \cdots x_{h(n)} = \sum_i a_i u_i s_i v_i + Q,$$

where (1) $s_i = S_n^*(y_1^{(i)} \cdots y_n^{(i)})$ with $y_j^{(i)} \in F$, (2) $a_i \in K$, (3) each of u_i and v_i are in F unless it is the identity and (4) Q is a sum of terms which are of weight greater than 1 on some x_λ . It is evident that when $y_k = z_k + w_k$ for one k ($1 \leq k \leq n$), then $S_n^*(y_1 \cdots y_n) = S_n^*(z_1 \cdots z_n) + S_n^*(w_1 \cdots w_n)$ with $y_i = z_i = w_i$ for every $i \neq k$. Therefore we may assume without loss of generality that (1) u_i , v_i and $y_j^{(i)}$ are monomials on $x_1, \dots, x_{h(n)}$ (u_i and v_i may be 1) and (2) every $u_i s_i v_i$ contains no term of weight greater than 1 for any x_λ . Then since $x_1 \cdots x_{h(n)}$ is of weight

1 for every $x_1, \dots, x_{h(n)}$, we have $Q=0$, i. e., $x_1 \cdots x_{h(n)} \in \mathfrak{N}$, which shows $\mathfrak{N}^{h(n)}=0$.

5. Remarks.

(I) *When K is a field of characteristic $p \neq 0$: If $p \leq n$, the conclusion of our theorem does not hold. A counter-example can be easily constructed even when (1) $n=p$ and (2) \mathfrak{A} is commutative; namely as follows: Let A_k be the algebra over K of the rank n^k-1 with the generating elements x_1, \dots, x_k with the fundamental relations $x_i^n=0$, $x_i x_j = x_j x_i$ for $i, j=1, 2, \dots, k$; and put $A = \sum_{k=1}^{\infty} A_k$ (direct sum!). Then A satisfies $c(p)$, but A is not nilpotent.*

If p is greater than $f(n)$ given by the theorem, then the same conclusion holds. This fact is established by an easy modification of our above proof.

(II) *When K is a commutative ring with identity: The conclusion of our theorem holds for K , if and only if it holds for every residue fields of K . The proof is easily obtained if we consider the group rings of symmetric groups over K .*

(III) *Conjecture: The conclusion of our theorem will hold, also when K is a field of characteristic $p > n$.*

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