

ON RAMANUJAN'S CUBIC CONTINUED FRACTION AS A MODULAR FUNCTION

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Abstract. We first extend the results of Chan ([4]) and Baruah ([2]) on the modular equations of Ramanujan's cubic continued fraction $C(\tau)$ to all primes p by finding the affine models of modular curves and then derive Kronecker's congruence relations for these modular equations. We further show that by its singular values we can generate ray class fields modulo 6 over imaginary quadratic fields and find their class polynomials after proving that $1/C(\tau)$ is an algebraic integer.

1. Introduction. Let \mathfrak{H} be the complex upper half plane and $\tau \in \mathfrak{H}$. We define the Rogers-Ramanujan continued fraction by

$$r(\tau) = \frac{q^{1/5}}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \dots}}}} = q^{1/5} \prod_{n=1}^{\infty} (1 - q^n)^{(n/5)}$$

where $q = e^{2\pi i \tau}$ and $(n/5)$ is the Legendre symbol.

In Ramanujan's first letter to Hardy, he showed that

$$r(i) = \sqrt{\frac{5 + \sqrt{5}}{2}} - \frac{\sqrt{5} + 1}{2}, \quad r\left(\frac{5+i}{2}\right) = \sqrt{\frac{5 - \sqrt{5}}{2}} - \frac{\sqrt{5} - 1}{2}.$$

Since $r(\tau)$ is a modular function, the existence of radical expressions is clear by class field theory. Strictly speaking $r(\tau)$ is a modular function for $\Gamma(5)$ ([10, Lemma 2.2]) so that any singular value of $r(\tau)$ at imaginary quadratic argument is contained in some ray class field. Thus the splitting field of its minimal polynomial is abelian. In other words its Galois group is solvable and hence any singular value of $r(\tau)$ can be written by radicals. But finding the radical expressions explicitly is another problem which was settled down by Gee and Honsbeek who used, to this end, the Shimura reciprocity law ([10]).

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Besides, one of other important subjects is the one about modular equations. Since the modular function field of level 5 has genus 0, there should be certain polynomials giving relations between $r(\tau)$ and $r(n\tau)$ for all positive integers n . These are what we call the modular equations. Most of the followings were originally stated by Ramanujan and later on proved by several people.

n	mathematician (year)
2	Rogers (1920)
3	Rogers (1920)
4	Andrews, Berndt, Jacobsen, Lamphere (1992)
5	Rogers, Watson, Ramanathan (1984)
7	Yi (2001)
11	Rogers (1920)

These modular equations for $r(\tau)$ satisfy certain Kronecker’s congruences in prime level. Moreover, for an element τ of an imaginary quadratic field the singular value $r(\tau)$ is a unit that can be expressed in terms of radicals over \mathcal{Q} . For more details, the reader should refer to [8]. On the other hand, Cais and Conrad succeeded in generalizing the above results on modular equations to all primes p by means of geometric method, namely using the theory of arithmetic models of modular curves ([3, Theorem 6.8]).

In [8], Duke mentioned that Ramanujan’s cubic continued fraction $C(\tau)$ defined as

$$C(\tau) = \frac{q^{1/3}}{1 + \frac{q + q^2}{1 + \frac{q^2 + q^4}{1 + \frac{q^3 + q^6}{1 + \dots}}}} = q^{1/3} \prod_{n=1}^{\infty} \frac{(1 - q^{6n-1})(1 - q^{6n-5})}{(1 - q^{6n-3})^2},$$

has modularity for $\Gamma(6)$. Like the case of Rogers-Ramanujan continued fraction there are some known results on modular equations with $v := C(\tau)$ and $u := C(n\tau)$ on a case-by-case basis.

n	mathematician (year)	equation
2	Chan (1995)	$v^2 + 2vu^2 - u = 0$
3	Chan (1995)	$4v^3u^2 + 2v^3u + v^3 - u + u^2 - u^3 = 0$
5	Baruah(2002)	$v^6 - vu + 5vu(v^3 + u^3)(1 - vu) + u^6 - v^2u^2(16v^3u^3 - 20v^2u^2 + 20vu - 5) = 0$
7	Baruah(2002)	$v^8 - vu - 56v^3u^3(v^2 + u^2) + 7vu(v^3 + u^3)(1 - 8v^3u^3) + 28v^2u^2(v^4 + u^4 + u^8 + v^4u^4(21 - 64v^3u^3)) = 0$

Chan’s results can be found in [4, Theorem 1] and Baruah’s results in [2, Theorem 3.1 and 3.2], in which they used the theory of combinatorics. And the latter further presented the modular equation for the case $n = 11$ in the same paper which is too long to write it down

so that we omit here. In general their existence was known to Klein long ago, but in our case there does not seem to have been a systematic construction given before for all primes p .

Unlike the arguments of Chan-Baruah and Cais-Conrad we first find in Section 3 the affine models of some modular curves from the theory of algebraic functions and then extend the above results to all primes p (Theorem 9), from which we rediscover Chan's results when $n = 2, 3$ (Theorem 8). And, we also provide a table of modular equations for $n = 5, 7, 11, 13, 17$ by means of our algorithm and the Maple program. We then further give an analytic proof of the Kronecker congruence relations for these modular equations (Theorem 10).

By *Hauptmodul* t we mean the normalized generator of a genus zero function field and we write $t = q^{-1} + 0 + \sum_{k=1}^{\infty} c_k q^k$ for its q -series. Obviously it is unique for its function field.

Since $C(\tau)$ is a generator for the function field of $\Gamma_1(6) \cap \Gamma^0(3)$ (Theorem 4), we show in Section 4 that the singular value of $C(\tau)$ generates the ray class field $K_{(6)}$ modulo 6 over an imaginary quadratic field K (Theorem 13) by means of certain new method of Cho and Koo ([6]). Here we also use the fact that $1/C(3\tau)$ is the Hauptmodul of $\Gamma_1(6) \cap \Gamma_0(18)$. Although singular values of the Rogers-Ramanujan and Ramanujan-Göllnitz-Gordon continued fractions at imaginary quadratic arguments are known to be units ([8, Theorem 2] or [7, Theorem 12]), we can hardly say that in our case the Ramanujan's cubic continued fraction $C(\tau)$ is a unit or even an algebraic integer. For a counterexample, we have $C((3 + \sqrt{-3})/6) = -1/\sqrt[3]{4}([1])$ (or $C((1+i)/2) = (1 - \sqrt{3})/2$ ([4])). Hence, in the matter of estimating class polynomials we first prove that $1/C(\tau)$ instead becomes an algebraic integer (Theorem 16) and then by using this fact and the idea of Gee ([9]) we establish relevant class polynomials of $K_{(6)}$ whose coefficients seem to be relatively small when compared with others' works ([5], [13] and [16]).

In Section 2 we provide necessary preliminaries about modular functions and Klein forms, and give some lemmas illustrating the cusps of congruence subgroups which will be used in Section 3.

2. Preliminaries. Before discussing the main results we would like to state some necessary definitions and properties from the theory of modular functions. Let $\Gamma(1) = \text{SL}_2(\mathbf{Z})$ be the full modular group. For any integer $N \geq 1$, we have congruent subgroups $\Gamma(N)$, $\Gamma_1(N)$, $\Gamma_0(N)$ and $\Gamma^0(N)$ of $\Gamma(1)$ consisting of matrices $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ congruent modulo N to $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$, $\begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ and $\begin{pmatrix} * & 0 \\ * & * \end{pmatrix}$ respectively. And, let $\mathfrak{H} = \{\tau \in \mathbf{C} ; \text{Im } \tau > 0\}$ be the complex upper half plane and $\mathfrak{H}^* = \mathfrak{H} \cup \mathbf{Q} \cup \{\infty\}$.

Then a congruence subgroup Γ acts on \mathfrak{H}^* by linear fractional transformations as $\gamma(\tau) = (a\tau + b)/(c\tau + d)$ for $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ and the quotient space $\Gamma \backslash \mathfrak{H}^*$ becomes a compact Riemann surface with an appropriate complex structure. We identify γ with its action on \mathfrak{H}^* . By definition an element s of $\mathbf{Q} \cup \{\infty\}$ is called a cusp, and two cusps s_1, s_2 are equivalent under Γ if there exists $\gamma \in \Gamma$ such that $\gamma(s_1) = s_2$. The equivalence class of such s is called a cusp. We also call s itself a cusp by abuse of terminology. Indeed, there exist at most finitely many inequivalent cusps of Γ . Let s be any cusp of Γ , and let ρ be an element of $\text{SL}_2(\mathbf{Z})$

such that $\rho(s) = \infty$. We define the width of the cusp s in $\Gamma \backslash \mathfrak{H}^*$ by the smallest positive integer h satisfying $\rho^{-1} \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \rho \in \{\pm 1\} \cdot \Gamma$. Then the width depends only on the equivalence class of the cusp s under Γ and is independent of the choice of ρ .

By a modular function with respect to a congruence subgroup Γ we mean a \mathbf{C} -valued function $f(\tau)$ of \mathfrak{H} satisfying the following three conditions.

- (1) $f(\tau)$ is meromorphic on \mathfrak{H} .
- (2) $f(\tau)$ is invariant under Γ , i.e., $f \circ \gamma = f$ for all $\gamma \in \Gamma$.
- (3) $f(\tau)$ is meromorphic at all cusps of Γ .

The precise meaning of the last condition is as follows. For a cusp s for Γ , let h be the width for s and ρ be an element of $\text{SL}_2(\mathbf{Z})$ such that $\rho(s) = \infty$. Since

$$(f \circ \rho^{-1})(\tau + h) = \left(f \circ \rho^{-1} \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \rho \right) (\rho^{-1} \tau) = (f \circ \rho^{-1})(\tau),$$

$f \circ \rho^{-1}$ has a Laurent series expansion in $q_h = e^{2\pi i \tau / h}$, namely for some integer n_0 , $(f \circ \rho^{-1})(\tau) = \sum_{n \geq n_0} a_n q_h^n$ with $a_{n_0} \neq 0$. This integer n_0 is called the order of $f(\tau)$ at the cusp s and denoted by $\text{ord}_s f(\tau)$. If $\text{ord}_s f(\tau)$ is positive (resp. negative), then we say that $f(\tau)$ has a zero (resp. a pole) at s . If a modular function $f(\tau)$ is holomorphic on \mathfrak{H} and $\text{ord}_s f(\tau)$ is non-negative for all cusps s , then we say that $f(\tau)$ is holomorphic on \mathfrak{H}^* . Since we may identify a modular function with respect to Γ with a meromorphic function on the compact Riemann surface $\Gamma \backslash \mathfrak{H}^*$, any holomorphic modular function with respect to Γ is a constant.

Let $A_0(\Gamma)$ be the field of all modular functions with respect to Γ , and $A_0(\Gamma)_{\mathcal{Q}}$ be the subfield of $A_0(\Gamma)$ which consists of all modular functions $f(\tau)$ whose Fourier coefficients belong to \mathcal{Q} . We may identify $A_0(\Gamma)$ with the field $\mathbf{C}(\Gamma \backslash \mathfrak{H}^*)$ of all meromorphic functions on the compact Riemann surface $\Gamma \backslash \mathfrak{H}^*$, and if $f(\tau) \in A_0(\Gamma)$ is non-constant, then the field extension degree $[A_0(\Gamma) : \mathbf{C}(f(\tau))]$ is finite and is equal to the total degree of poles of $f(\tau)$. Since we will consider the modular functions with neither zeros nor poles on \mathfrak{H} , the total degree of poles of $f(\tau)$ is $-\sum_s \text{ord}_s f(\tau)$ where the summation runs over all the inequivalent cusps s at which $f(\tau)$ has poles.

Next, we illustrate some facts about the Klein forms which will be used in the expression of $C(\tau)$. For a complete treatment, the reader may consult [15].

Let $\tau \in \mathfrak{H}$ and $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbf{Z})$. And let $\mathbf{a} = (a_1, a_2) \in \mathbf{R}^2 - \mathbf{Z}^2$. Then the Klein form $\mathfrak{k}_{\mathbf{a}}(\tau)$ satisfies the followings:

- (K0) $\mathfrak{k}_{-\mathbf{a}}(\tau) = -\mathfrak{k}_{\mathbf{a}}(\tau)$.
- (K1) $\mathfrak{k}_{\mathbf{a}}(\gamma(\tau)) = (c\tau + d)^{-1} \mathfrak{k}_{\mathbf{a}\gamma}(\tau)$.
- (K2) For any $\mathbf{b} = (b_1, b_2) \in \mathbf{Z}^2$ we have $\mathfrak{k}_{\mathbf{a}+\mathbf{b}}(\tau) = \varepsilon(\mathbf{a}, \mathbf{b}) \mathfrak{k}_{\mathbf{a}}(\tau)$, where $\varepsilon(\mathbf{a}, \mathbf{b}) = (-1)^{b_1 b_2 + b_1 + b_2} e^{\pi i (b_2 a_1 - b_1 a_2)}$.
- (K3) For $\mathbf{a} = (r/N, s/N) \in (1/N)\mathbf{Z}^2 - \mathbf{Z}^2$ and any $\gamma \in \Gamma(N)$ with an integer $N > 1$, $\mathfrak{k}_{\mathbf{a}}(\gamma(\tau)) = \varepsilon_{\mathbf{a}}(\gamma) \cdot (c\tau + d)^{-1} \cdot \mathfrak{k}_{\mathbf{a}}(\tau)$ where

$$\varepsilon_{\mathbf{a}}(\gamma) = -(-1)^{((a-1)r+cs+N)(br+(d-1)s+N)/N^2} \cdot e^{\pi i (br^2+(d-a)rs-cs^2)/N^2}.$$

(K4) Let $\tau \in \mathfrak{H}$, $z = a_1\tau + a_2$ with $\mathbf{a} = (a_1, a_2) \in \mathcal{Q}^2 - \mathcal{Z}^2$, and further let $q = e^{2\pi i\tau}$, $q_z = e^{2\pi iz} = e^{2\pi ia_2} e^{2\pi ia_1\tau}$. Then

$$\mathfrak{k}_{\mathbf{a}}(\tau) = -\frac{1}{2\pi i} e^{\pi ia_2(a_1-1)} \cdot q^{a_1(a_1-1)/2} \cdot (1 - q_z) \cdot \prod_{n=1}^{\infty} \frac{(1 - q^n q_z)(1 - q^n q_z^{-1})}{(1 - q^n)^2},$$

and $\text{ord}_q \mathfrak{k}_{\mathbf{a}}(\tau) = \langle a_1 \rangle (\langle a_1 \rangle - 1)/2$ where $\langle a_1 \rangle$ denotes the number such that $0 \leq \langle a_1 \rangle < 1$ and $a_1 - \langle a_1 \rangle \in \mathcal{Z}$.

(K5) Let $f(\tau) = \prod_{\mathbf{a}} \mathfrak{k}_{\mathbf{a}}^{m(\mathbf{a})}(\tau)$ be a finite product of Klein forms with $\mathbf{a} = (r/N, s/N) \in (1/N)\mathcal{Z}^2 - \mathcal{Z}^2$ for an integer $N > 1$, and let $k = -\sum_{\mathbf{a}} m(\mathbf{a})$. Then $f(\tau)$ is a modular function with respect to $\Gamma(N)$ if and only if $k = 0$ and

$$\begin{cases} \sum_{\mathbf{a}} m(\mathbf{a})r^2 \equiv \sum_{\mathbf{a}} m(\mathbf{a})s^2 \equiv \sum_{\mathbf{a}} m(\mathbf{a})rs \equiv 0 \pmod{N} & \text{if } N \text{ is odd} \\ \sum_{\mathbf{a}} m(\mathbf{a})r^2 \equiv \sum_{\mathbf{a}} m(\mathbf{a})s^2 \equiv 0 \pmod{2N}, \sum_{\mathbf{a}} m(\mathbf{a})rs \equiv 0 \pmod{N} & \text{if } N \text{ is even.} \end{cases}$$

Furthermore, we need the following three lemmas for later use which can be proved by using the standard theory of modular functions.

Let N, m be positive integers and $\Gamma = \Gamma_1(N) \cap \Gamma_0(mN)$. Note that if we let $\Gamma \backslash \Gamma(1)/\Gamma(1)_{\infty} = \{\Gamma\gamma_1\Gamma(1)_{\infty}, \dots, \Gamma\gamma_g\Gamma(1)_{\infty}\}$, then $\{\gamma_1(\infty), \dots, \gamma_g(\infty)\}$ is a set of all non-equivalent cusps of Γ which satisfies that $\gamma_i(\infty)$ and $\gamma_j(\infty)$ are not equivalent under Γ for any $i \neq j$. Let

$$M = \{(\bar{c}, \bar{d}) \in \mathcal{Z}/mN\mathcal{Z} \times \mathcal{Z}/mN\mathcal{Z}; (\bar{c}, \bar{d}) = \bar{1}, \text{ i.e., } (c, d, mN) = 1\}.$$

and Δ be a subgroup of $(\mathcal{Z}/mN\mathcal{Z})^{\times}$ defined as

$$\Delta = \{\pm(1 + Nk) \in (\mathcal{Z}/mN\mathcal{Z})^{\times}; k = 0, \dots, m - 1\}.$$

For example, if $N = 5$ and $m = 3$, then $\Delta = \{\pm 1, \pm(1 + 5 \cdot 2)\}$ because $(15, 1 + 5 \cdot 1) \neq 1$. For $(\bar{c}_1, \bar{d}_1), (\bar{c}_2, \bar{d}_2)$, we define a relation \sim on M by $(\bar{c}_1, \bar{d}_1) \sim (\bar{c}_2, \bar{d}_2)$ if there exist $\bar{s} \in \Delta$ and $\bar{n} \in \mathcal{Z}/mN\mathcal{Z}$ such that $\bar{c}_2 = \bar{s} \cdot \bar{c}_1$ and $\bar{d}_2 = \bar{s} \cdot \bar{d}_1 + \bar{n} \cdot \bar{c}_1$. It is easy to see that \sim is an equivalence relation. We further define a map $\phi : \Gamma \backslash \Gamma(1)/\Gamma(1)_{\infty} \rightarrow M/\sim$ by $\phi(\Gamma((\begin{smallmatrix} a & b \\ c & d \end{smallmatrix}))\Gamma(1)_{\infty}) = [(\bar{c}, \bar{d})]$. Here we see without difficulty that the map ϕ is well-defined and bijective. Thus we get the following lemma.

LEMMA 1. *Suppose that $a, c, a', c' \in \mathcal{Z}$ and $(a, c) = (a', c') = 1$. We understand that $\pm 1/0 = \infty$. Then, with the notation Δ as above, a/c and a'/c' are equivalent under $\Gamma_1(N) \cap \Gamma_0(mN)$ if and only if there exist $\bar{s} \in \Delta \subset (\mathcal{Z}/mN\mathcal{Z})^{\times}$ and $n \in \mathcal{Z}$ such that $(\begin{smallmatrix} a' \\ c' \end{smallmatrix}) \equiv (\begin{smallmatrix} \bar{s}^{-1}a+nc \\ \bar{s}c \end{smallmatrix}) \pmod{mN}$.*

PROOF. Let $\Gamma = \Gamma_1(N) \cap \Gamma_0(mN)$. We take $b, d, b', d' \in \mathcal{Z}$ such that $(\begin{smallmatrix} a & b \\ c & d \end{smallmatrix}), (\begin{smallmatrix} a' & b' \\ c' & d' \end{smallmatrix}) \in \Gamma(1)$. Note that the followings are equivalent:

- (1) a/c and a'/c' are equivalent under Γ .
- (2) $\Gamma((\begin{smallmatrix} a & b \\ c & d \end{smallmatrix}))\Gamma(1)_{\infty} = \Gamma((\begin{smallmatrix} a' & b' \\ c' & d' \end{smallmatrix}))\Gamma(1)_{\infty}$.
- (3) $[(\bar{c}, \bar{d})] = [(\bar{c}', \bar{d}')] \text{ in } M/\sim$.
- (4) There exist $\bar{s} \in \Delta$ and $\bar{n} \in \mathcal{Z}/mN\mathcal{Z}$ such that $\bar{c}' = \bar{s}\bar{c}$ and $\bar{d}' = \bar{s}\bar{d} + \bar{n}\bar{c}$.

Since $ad - bc = a'd' - b'c' = 1$, we rewrite (4) as follows:

(4') There exist $\bar{s} \in \Delta$ and $\bar{n} \in \mathbf{Z}/mN\mathbf{Z}$ such that $\overline{c'} = \bar{s}\bar{c}$ and $\overline{(ad - bc) \cdot d'} = \bar{s} \cdot \overline{(a'd' - b'c')} \cdot \bar{d} + \bar{n}\bar{c}$.

And we get the following statements equivalent to (4'):

(5) There exist $\bar{s} \in \Delta$ and $\bar{n} \in \mathbf{Z}/mN\mathbf{Z}$ such that $\overline{c'} = \bar{s}\bar{c}$ and $\overline{a'dd'} = \bar{s}\bar{a}'\bar{d}\bar{d}' + \bar{n}\bar{c}$.

(6) There exist $\bar{s} \in \Delta$ and $\bar{n} \in \mathbf{Z}/mN\mathbf{Z}$ such that $\overline{c'} = \bar{s}\bar{c}$ and $\bar{a} = \bar{s}\bar{a}' + \bar{n}\bar{c}$

by observing $(\overline{d'd'}, \bar{c}) = \bar{1}$. This completes the proof. □

For a positive divisor x of mN , let $\pi_x : (\mathbf{Z}/mN\mathbf{Z})^\times \rightarrow (\mathbf{Z}/x\mathbf{Z})^\times$ be the natural homomorphism. Observe that π_x is surjective. For a positive divisor c of mN , let $\overline{s'_{c,1}}, \dots, \overline{s'_{c,n_c}} \in (\mathbf{Z}/(mN/c)\mathbf{Z})^\times$ be all the distinct coset representatives of $\pi_{mN/c}(\Delta)$ in $(\mathbf{Z}/(mN/c)\mathbf{Z})^\times$ where $n_c = \varphi(mN/c)/|\pi_{mN/c}(\Delta)|$. Here, φ is the Euler's φ -function. Then for any $\overline{s'_{c,i}}$ with $i = 1, \dots, n_c$ we take $\overline{s_{c,i}} \in (\mathbf{Z}/mN\mathbf{Z})^\times$ such that $\pi_{mN/c}(\overline{s_{c,i}}) = \overline{s'_{c,i}}$. We further let $S_c = \{\overline{s_{c,1}}, \dots, \overline{s_{c,n_c}} \in (\mathbf{Z}/mN\mathbf{Z})^\times\}$.

For a positive divisor c of mN , let $\overline{a'_{c,1}}, \dots, \overline{a'_{c,m_c}} \in (\mathbf{Z}/c\mathbf{Z})^\times$ be all the distinct coset representatives of $\pi_c(\Delta \cap \ker(\pi_{mN/c}))$ in $(\mathbf{Z}/c\mathbf{Z})^\times$, where

$$m_c = \frac{\varphi(c)}{|\pi_c(\Delta \cap \ker(\pi_{mN/c}))|} = \frac{\varphi(c)}{|\pi_{mN/(c,mN/c)}(\Delta)|/|\pi_{mN/c}(\Delta)|}.$$

Then for any $\overline{a'_{c,j}}$ with $j = 1, \dots, m_c$ we take $\overline{a_{c,j}} \in (\mathbf{Z}/mN\mathbf{Z})^\times$ such that $\pi_c(\overline{a_{c,j}}) = \overline{a'_{c,j}}$. We choose representatives $a_{c,j}$ of $\overline{a_{c,j}}$ so that $0 < a_{c,1}, \dots, a_{c,m_c} < mN$, $(a_{c,j}, mN) = 1$ and put $A_c = \{a_{c,1}, \dots, a_{c,m_c}\}$.

LEMMA 2. *With the notations as above, let $S = \{(\bar{c} \cdot \overline{s_{c,i}}, \overline{a_{c,j}}) \in \mathbf{Z}/mN\mathbf{Z} \times \mathbf{Z}/mN\mathbf{Z} ; 0 < c|mN, \overline{s_{c,i}} \in S_c, a_{c,j} \in A_c\}$. For given $(\bar{c} \cdot \overline{s_{c,i}}, \overline{a_{c,j}}) \in S$, we can take $x, y \in \mathbf{Z}$ such that $(x, y) = 1, \bar{x} = \bar{c} \cdot \overline{s_{c,i}}$ and $\bar{y} = \overline{a_{c,j}}$ because $(c \cdot s_{c,i}, a_{c,j}, mN) = 1$. Then the set of y/x for such x, y is a set of all the inequivalent cusps of $\Gamma_1(N) \cap \Gamma_0(mN)$ and the number of such cusps is*

$$|S| = \sum_{\substack{c>0 \\ c|mN}} n_c \cdot m_c = \sum_{\substack{c>0 \\ c|mN}} \frac{\varphi(c)\varphi(mN/c)}{|\pi_{mN/(c,mN/c)}(\Delta)|}.$$

PROOF. Let M' be the set

$$\{(\bar{c}, \bar{a}) \in \mathbf{Z}/mN\mathbf{Z} \times \mathbf{Z}/mN\mathbf{Z} ; (\bar{c}, \bar{a}) = \bar{1}, \text{ i.e., } (c, a, mN) = 1\}$$

and define a relation $(\bar{c}_1, \bar{a}_1) \sim (\bar{c}_2, \bar{a}_2)$ if there exist $\bar{s} \in \Delta$ and $\bar{n} \in \mathbf{Z}/mN\mathbf{Z}$ such that $\bar{c}_2 = \bar{s} \cdot \bar{c}_1 \in \mathbf{Z}/mN\mathbf{Z}$ and $\bar{a}_2 = \bar{s}^{-1}\bar{a}_1 + \bar{n}\bar{c}_1 \in \mathbf{Z}/mN\mathbf{Z}$. Since \sim is an equivalence relation on M' and there is a bijection between $\Gamma \backslash \Gamma(1)/\Gamma(1)_\infty$ and M'/\sim , it is enough to prove that the natural map $f : S \rightarrow M'/\sim$ is a bijection.

We first prove the injectivity. Suppose that $[(\bar{c} \cdot \overline{s_{c,i}}, \overline{a_{c,j}})] = [(\overline{c'} \cdot \overline{s_{c',i'}}, \overline{a_{c',j'}})]$. Then there exist $\bar{s} \in \Delta$ and $\bar{n} \in \mathbf{Z}/mN\mathbf{Z}$ such that $\overline{c'} \cdot \overline{s_{c',i'}} = \bar{s} \cdot \bar{c} \cdot \overline{s_{c,i}} \in \mathbf{Z}/mN\mathbf{Z}$ and $\overline{a_{c',j'}} = \bar{s}^{-1}\overline{a_{c,j}} + \bar{n} \cdot \bar{c} \cdot \overline{s_{c,i}} \in \mathbf{Z}/mN\mathbf{Z}$. Since $\bar{s}, \overline{s_{c,i}}, \overline{s_{c',i'}} \in (\mathbf{Z}/mN\mathbf{Z})^\times$ and $c, c' | mN$, we obtain $c = c'$. Hence $\pi_{mN/c}(\overline{s_{c,i'}}) = \pi_{mN/c}(\bar{s}) \cdot \pi_{mN/c}(\overline{s_{c,i}})$ which implies that $\overline{s'_{c,i'}} \in \pi_{mN/c}(\Delta)\overline{s'_{c,i}}$ and by the choice of $s_{c,i}, i' = i$. Therefore $\pi_{mN/c}(\bar{s}) = \bar{1}$, i.e., $\bar{s} \in \Delta \cap \ker(\pi_{mN/c})$. Thus

$\overline{a_{c,j'}} = \pi_c(\overline{s^{-1}a_{c,j}}) \in (\mathbf{Z}/c\mathbf{Z})^\times$, which implies $\overline{a_{c,j'}} \in \pi_c(\Delta \cap \ker(\pi_{mN/c}))\overline{a_{c,j}}$, from which we get $a_{c,j'} = a_{c,j}$.

Now we prove the surjectivity. Let $[(\overline{c'}, \overline{a'})] \in M'/\sim$. We take $c = (c', mN)$. Then $\overline{c'}/c \in (\mathbf{Z}/(mN/c)\mathbf{Z})^\times$ implies $\overline{c'}/c \in \pi_{mN/c}(\Delta)\overline{s'_{c,i}} = \pi_{mN/c}(\Delta)\pi_{mN/c}(\overline{s_{c,i}})$ for some i . Since $(\overline{c'}, \overline{a'}) = \overline{1} \in \mathbf{Z}/mN\mathbf{Z}$, we get $1 = (c', a', mN) = (c, a')$, namely $\overline{a'} \in (\mathbf{Z}/c\mathbf{Z})^\times$, and hence $\overline{a'} \in \pi_c(\Delta \cap \ker(\pi_{mN/c}))\overline{a'_{c,j}}$ for some j . We further claim that there exist $\overline{s} \in \Delta$ and $\overline{n} \in \mathbf{Z}/mN\mathbf{Z}$ such that $\overline{c'} = \overline{s} \cdot \overline{c} \cdot \overline{s_{c,i}}$ and $\overline{a'} = \overline{s}^{-1}\overline{a_{c,j}} + \overline{n} \cdot \overline{c} \cdot \overline{s_{c,i}}$. It is enough to prove that there exists $\overline{s} \in \Delta$ such that $\pi_{mN/c}(\overline{s}) = \overline{c'}/c \cdot \pi_{mN/c}(\overline{s_{c,i}})^{-1} \in \pi_{mN/c}(\Delta) \subset (\mathbf{Z}/(mN/c)\mathbf{Z})^\times$ and $\pi_c(\overline{s}) = \overline{a'}^{-1}\overline{a'_{c,j}} \in \pi_c(\Delta \cap \ker(\pi_{mN/c})) \subset (\mathbf{Z}/c\mathbf{Z})^\times$ which is equivalent to prove the following isomorphisms

$$\pi_{mN/(c,mN/c)}(\Delta)/\pi_{mN/(c,mN/c)}(\Delta \cap \ker(\pi_{mN/c})) \cong \pi_{mN/c}(\Delta) \quad \text{and}$$

$$\pi_{mN/(c,mN/c)}(\Delta \cap \ker(\pi_{mN/c})) \cong \pi_c(\Delta \cap \ker(\pi_{mN/c}))$$

under the natural maps. Now note that the kernel of the natural map $\pi_{mN/(c,mN/c)}(\Delta) \rightarrow \pi_{mN/c}(\Delta)$ is obviously equal to $\pi_{mN/(c,mN/c)}(\Delta \cap \ker(\pi_{mN/c}))$. Suppose that $\overline{s} \in \Delta \cap \ker(\pi_{mN/c})$ and $\pi_c(\overline{s}) = \overline{1} \in (\mathbf{Z}/c\mathbf{Z})^\times$. Then $s \equiv 1 \pmod{mN/c}$ and $s \equiv 1 \pmod{c}$, which implies $s \equiv 1 \pmod{mN/(c, mN/c)}$. This completes the proof. \square

Here we observe that Lemma 2 gives us a set of all the inequivalent cusps of $\Gamma_1(N) \cap \Gamma_0(mN)$. And we can figure out the width of each cusp by the following lemma. We understand $\pm 1/0$ as ∞ .

LEMMA 3. *Let a/c be a cusp of $\Gamma = \Gamma_1(N) \cap \Gamma_0(mN)$ with $a, c \in \mathbf{Z}$ and $(a, c) = 1$. Then the width h of a cusp a/c in $\Gamma \backslash \mathfrak{H}^*$ is given by*

$$h = \frac{m}{(c^2/4, m)}$$

if $N = 4$, $(m, 2) = 1$ and $(c, 4) = 2$,

$$h = \frac{mN}{((c, N) \cdot (m, c^2/(c, N)))}$$

otherwise.

PROOF. First, we consider the case where N does not divide 4. We take $b, d \in \mathbf{Z}$ such that $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbf{Z})$. Observe that the width of the cusp a/c in $\Gamma \backslash \mathfrak{H}^*$ is the smallest positive integer h such that

$$\begin{pmatrix} 1 - ach & * \\ -c^2h & 1 + ach \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} \in \{\pm 1\} \cdot (\Gamma_1(N) \cap \Gamma_0(mN)).$$

If $\begin{pmatrix} 1 - ach & * \\ -c^2h & 1 + ach \end{pmatrix} \in \{-1\} \cdot (\Gamma_1(N) \cap \Gamma_0(mN))$, then by taking the trace we have $2 \equiv -2 \pmod{N}$, which is a contradiction. So $\begin{pmatrix} 1 - ach & * \\ -c^2h & 1 + ach \end{pmatrix} \in \Gamma_1(N) \cap \Gamma_0(mN)$. Thus $h \in (N/(ac, N))\mathbf{Z} \cap (mN/(c^2, mN))\mathbf{Z} = (mN/c_m)\mathbf{Z}$ if $c_m = (c, N) \cdot (m, c^2/(c, N))$. We can verify our statement for the cases $N = 1, 2, 4$. \square

Now, we remark that an arbitrary intersection

$$\Gamma = \Gamma_0(N_1) \cap \Gamma^0(N_2) \cap \Gamma_1(N_3) \cap \Gamma^1(N_4) \cap \Gamma(N_5)$$

is in fact conjugate to the above form $\Gamma_1(N) \cap \Gamma_0(mN)$. More precisely,

$$\alpha^{-1}\Gamma\alpha = \Gamma_1(N) \cap \Gamma_0(mN)$$

where $\alpha = \begin{pmatrix} \text{lcm}(N_2, N_4, N_5) & 0 \\ 0 & 1 \end{pmatrix}$, $N = \text{lcm}(N_3, N_4, N_5)$ and $m = \text{lcm}(N_1, N_3, N_5) \cdot \text{lcm}(N_2, N_4, N_5)/N$. Note that if we let $\{s_1, \dots, s_g\}$ be a set of all the inequivalent cusps of some congruence subgroup Γ' and set $\Gamma' = \alpha^{-1}\Gamma\alpha$ for some α , then $\{\alpha(s_1), \dots, \alpha(s_g)\}$ gives us a set of all the inequivalent cusps of Γ .

3. Ramanujan’s cubic continued fraction $C(\tau)$. Hereafter we use ζ_n as $\exp(2\pi i/n)$. In this section, by using the lemmas in Section 2 we establish certain properties of Ramanujan’s cubic continued fraction $C(\tau)$. Since $C(\tau)$ has an infinite product expression, we can show by routine calculations that it has the following finite product of Klein forms

$$C(\tau) = \zeta_{12}^5 \prod_{j=0}^5 \frac{\mathfrak{k}(1/6 \ j/6)}{\mathfrak{k}(3/6 \ j/6)}(\tau).$$

THEOREM 4. *Let $C(\tau)$ be the Ramanujan’s cubic continued fraction as before. Then $C(\tau)$ is a generator for the function field of $\Gamma_1(6) \cap \Gamma^0(3)$.*

PROOF. Using (K5) we can check that the level of $C(\tau)$ is 6. Write γ_n as the matrix $\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} \in \text{SL}_2(\mathbf{Z})$. By the definition of $C(\tau)$ in Section 1, it is readily verified that $C(\gamma_1\tau) = C(\tau + 1) = \zeta_3 C(\tau)$. Hence $C(\tau)^3$ is invariant under γ_1 . Since $\Gamma_1(6) = \langle \Gamma(6), \gamma_1 \rangle$, we obtain that $C(\tau)^3 \in A_0(\Gamma_1(6))$.

We first show that $\mathcal{C}(C(\tau)^3) = A_0(\Gamma_1(6))$. Lemmas 2 and 3 imply that cusps are of the form $6/c$ where $c|6$ and the width is c . Applying (K1) and (K4), $C(\begin{pmatrix} 1 & 0 \\ c & 1 \end{pmatrix} \tau)^3$ is of the form

$$(\text{some root of unity}) \cdot q_{6/c}^r + (\text{higher terms}),$$

where $r = 9/c \sum_{j=0}^5 ((1+cj)/6)((1+cj)/6 - 1) - \langle (3+cj)/6 \rangle ((3+cj)/6 - 1)$. An easy calculation shows that $r = 0, 0, -1, 1$ according as $c = 1, 2, 3, 6$. Therefore $C^3(\tau)$ has only a simple pole at $1/3$ and only a simple zero at ∞ , which proves the claim.

Let Γ' be a subgroup of $\Gamma(1)$ such that $\mathcal{C}(C(\tau)) = A_0(\Gamma')$, which is possible by the above claim. Then $[A_0(\Gamma') : A_0(\Gamma_1(6))] = [\mathcal{C}(C(\tau)) : \mathcal{C}(C(\tau)^3)] = 3$, i.e., $[\Gamma_1(6) : \Gamma'] = 3$. Note that $C(\tau)$ is invariant under the action of γ_3 because $C(\gamma_1\tau) = C(\tau + 1) = \zeta_3 C(\tau)$. So $\Gamma' \supseteq \langle \Gamma(6), \gamma_3 \rangle = \Gamma_1(6) \cap \Gamma^0(3)$. Observing that $[\Gamma_1(6) : \Gamma_1(6) \cap \Gamma^0(3)] = 3$ we can conclude that $\Gamma' = \Gamma_1(6) \cap \Gamma^0(3)$. □

Since $C(\tau)$ has rational Fourier coefficients, the above theorem implies that $\mathcal{Q}(C(\tau)) = A_0(\Gamma_1(6) \cap \Gamma^0(3))\mathcal{Q}$. Thus the following proposition indicates the existence of modular equation.

PROPOSITION 5. *Let n be a positive integer. Then*

$$\mathcal{Q}(C(\tau), C(n\tau)) = A_0(\Gamma_1(6) \cap \Gamma^0(3) \cap \Gamma_0(6n))_{\mathcal{Q}}.$$

PROOF. Since $\mathcal{Q}(C(\tau)) = A_0(\Gamma_1(6) \cap \Gamma^0(3))_{\mathcal{Q}}$, we see that for any $\alpha \in GL_2^+(\mathcal{Q})$, $C(\alpha\tau) = C(\tau)$ implies $\alpha \in \mathcal{Q}^\times \cdot (\Gamma_1(6) \cap \Gamma^0(3))$. Let $\Gamma = \Gamma_1(6) \cap \Gamma^0(3)$ and $\beta = \begin{pmatrix} n & 0 \\ 0 & 1 \end{pmatrix}$. Note that

$$\Gamma \cap \Gamma_0(6n) = \Gamma_1(6) \cap \Gamma^0(3) \cap \Gamma_0(6n) = \Gamma \cap \beta^{-1}\Gamma\beta.$$

Hence it is clear that $C(\tau), C(n\tau) \in A_0(\Gamma \cap \beta^{-1}\Gamma\beta)_{\mathcal{Q}}$. Thus it is enough to show that $\mathcal{Q}(C(\tau), C(n\tau)) \supset A_0(\Gamma \cap \beta^{-1}\Gamma\beta)_{\mathcal{Q}}$. Let Γ' be the subgroup of $SL_2(\mathbf{Z})$ such that $\mathcal{Q}(C(\tau), C(n\tau)) = A_0(\Gamma')_{\mathcal{Q}}$ and let γ be an element of Γ' . Since $\mathcal{Q}(C(\tau)) = A_0(\Gamma_1(6) \cap \Gamma^0(3))_{\mathcal{Q}}$ and $C(\tau)$ is invariant under $\gamma, \gamma \in \Gamma$. Moreover, $C(n\tau)$ is invariant under γ and $C(\tau)$ is invariant under $\beta\gamma\beta^{-1}$, from which we have $\gamma \in \Gamma \cap \beta^{-1}\Gamma\beta$. Therefore, $\Gamma' \subset \Gamma \cap \beta^{-1}\Gamma\beta$, namely $A_0(\Gamma')_{\mathcal{Q}} \supset A_0(\Gamma \cap \beta^{-1}\Gamma\beta)_{\mathcal{Q}}$. This completes the proof. \square

In general, if we let $\mathcal{C}(f_1(\tau), f_2(\tau))$ be the field of all modular functions with respect to some congruence subgroup for which $f_1(\tau)$ and $f_2(\tau)$ are nonconstant, then $[\mathcal{C}(f_1(\tau), f_2(\tau)) : \mathcal{C}(f_i(\tau))]$ is equal to the total degree d_i of poles of $f_i(\tau)$ for $i = 1, 2$. So there exists a polynomial $\Phi(X, Y) \in \mathcal{C}[X, Y]$ such that $\Phi(f_1(\tau), Y)$ is a minimal polynomial of $f_2(\tau)$ over $\mathcal{C}(f_1(\tau))$ with degree d_1 , and similarly so is $\Phi(X, f_2(\tau))$ with degree d_2 . Then for every positive integer n , Proposition 5 guarantees the existence of a polynomial $\Phi_n(X, Y) \in \mathcal{Q}[X, Y]$ such that $\Phi_n(C(\tau), C(n\tau)) = 0$ and $\Phi_n(X, Y)$ is irreducible both as a polynomial in X over $\mathcal{C}(Y)$ and as a polynomial in Y over $\mathcal{C}(X)$, because if an element of $\mathcal{C}[X, Y]$ is irreducible, then it is irreducible as an element of $\mathcal{C}(X)[Y]$ or $\mathcal{C}(Y)[X]$.

Let $\Gamma' = \Gamma_1(6) \cap \Gamma_0(18n)$. Then Γ' is conjugate to $\Gamma_1(6) \cap \Gamma^0(3) \cap \Gamma_0(6n)$ as follows:

$$\begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix} \Gamma' \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}^{-1} = \Gamma_1(6) \cap \Gamma^0(3) \cap \Gamma_0(6n).$$

So $\mathcal{Q}(C(3\tau), C(3n\tau)) = A_0(\Gamma')_{\mathcal{Q}}$. Since it is much easier to handle with Γ' than with the group $\Gamma_1(6) \cap \Gamma^0(3) \cap \Gamma_0(6n)$, we will concentrate on the modular equation for $C(3\tau)$ and $C(3n\tau)$, which gives rise to in return the modular equation of $C(\tau)$ and $C(n\tau)$. Now that it is also easier to handle with a Hauptmodul having a simple pole at ∞ , we hereafter let

$$f(\tau) = \frac{1}{C(3\tau)} \text{ and } \Gamma = \Gamma_1(6) \cap \Gamma_0(18)$$

and consider the modular equation $F_n(X, Y) \in \mathcal{Q}[X, Y]$ for $f(\tau)$ and $f(n\tau)$. Actually $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in \Gamma$ and $f(\tau) = q^{-1} + q^2 + O(q^5)$. It means that $f(\tau)$ is the Hauptmodul for Γ . Since $\mathcal{Q}(C(\tau)) = A_0(\Gamma_1(6) \cap \Gamma^0(3))_{\mathcal{Q}}$, we see from the proof of Theorem 4 that $C(\tau)$ has a simple pole only at $1/3$ and a simple zero only at ∞ . Thus for inequivalent cusps under Γ , $f(\tau)$ has its only simple pole at ∞ and a simple zero only at $1/9$.

LEMMA 6. *Let $a, c, a', c' \in \mathbf{Z}$ and $f(\tau) = 1/C(3\tau)$. Then we obtain the following assertions.*

- (1) $f(\tau)$ has a pole at $a/c \in \mathcal{Q} \cup \{\infty\}$ with $(a, c) = 1$ if and only if $(a, c) = 1, c \equiv 0 \pmod{18}$.
- (2) $f(n\tau)$ has a pole at $a'/c' \in \mathcal{Q} \cup \{\infty\}$ if and only if there exist $a, c \in \mathcal{Z}$ such that $a/c = na'/c', (a, c) = 1, c \equiv 0 \pmod{18}$.
- (3) $f(\tau)$ has a zero at $a/c \in \mathcal{Q} \cup \{\infty\}$ with $(a, c) = 1$ if and only if $(a, c) = 1, c \equiv 9 \pmod{18}$.
- (4) $f(n\tau)$ has a zero at $a'/c' \in \mathcal{Q} \cup \{\infty\}$ if and only if there exist $a, c \in \mathcal{Z}$ such that $a/c = na'/c', (a, c) = 1, c \equiv 9 \pmod{18}$.

PROOF. Since $f(\tau)$ is a Hauptmodul for Γ with a simple pole only at ∞ , $f(\tau)$ has the only simple pole at all $a/c \in \mathcal{Q} \cup \{\infty\}$ such that has a pole only at all $a/c \in \mathcal{Q} \cup \{\infty\}$ such that a/c is equivalent to ∞ under Γ . By Lemma 1 a/c is equivalent to ∞ under Γ if and only if there exist $\bar{s} \in \Delta = \{\pm 1, \pm 7, \pm 13 \in (\mathcal{Z}/18\mathcal{Z})^\times\} = (\mathcal{Z}/18\mathcal{Z})^\times$ and $n \in \mathcal{Z}$ such that $\begin{pmatrix} a \\ c \end{pmatrix} \equiv \begin{pmatrix} \bar{s}^{-1} \\ 0 \end{pmatrix} \pmod{18}$. So the first assertion follows. Furthermore, $f(\tau)$ has a zero at a/c if and only if a/c is equivalent to $1/9$ under Γ . Applying Lemma 1 we have $\begin{pmatrix} a \\ c \end{pmatrix} \equiv \begin{pmatrix} \bar{s}^{-1} + 9n \\ 9 \end{pmatrix} \pmod{18}$. Hence we get the statement (3). Statements (2) and (4) easily follow from (1) and (3). □

Let d_1 (resp. d_n) be the total degree of poles of $f(\tau)$ (resp. $f(n\tau)$). Let $F_n(X, Y)$ be a polynomial such that

$$F_n(X, Y) = \sum_{\substack{0 \leq i \leq d_n \\ 0 \leq j \leq d_1}} C_{i,j} X^i Y^j \in \mathcal{Q}[X, Y]$$

and $F_n(f(\tau), f(n\tau)) = 0$. Ishida and Ishii ([12, Lemmas 3 and 6]) showed the following theorem by means of the standard theory of algebraic functions, which will be useful in knowing which coefficients $C_{i,j}$ are zero in $F_n(X, Y)$.

THEOREM 7. For any congruence subgroup Γ' , let $f_1(\tau), f_2(\tau)$ be nonconstants such that $\mathcal{C}(f_1(\tau), f_2(\tau)) = A_0(\Gamma')$ with the total degree D_k of poles of $f_k(\tau)$ for $k = 1, 2$, and let

$$F(X, Y) = \sum_{\substack{0 \leq i \leq D_2 \\ 0 \leq j \leq D_1}} C_{i,j} X^i Y^j \in \mathcal{C}[X, Y]$$

be such that $F(f_1(\tau), f_2(\tau)) = 0$. Let $S_{\Gamma'}$ be a set of all the inequivalent cusps of Γ' , and for $k = 1, 2$,

$$S_{k,0} = \{s \in S_{\Gamma'} ; f_k(\tau) \text{ has zeros at } s\}$$

and

$$S_{k,\infty} = \{s \in S_{\Gamma'} ; f_k(\tau) \text{ has poles at } s\}.$$

Further let

$$a = - \sum_{s \in S_{1,\infty} \cap S_{2,0}} \text{ord}_s f_1(\tau) \quad \text{and} \quad b = \sum_{s \in S_{1,0} \cap S_{2,0}} \text{ord}_s f_1(\tau).$$

Here we assume that a (resp. b) is 0 if $S_{1,\infty} \cap S_{2,0}$ (resp. $S_{1,0} \cap S_{2,0}$) is empty. Then we obtain the following assertions.

- (1) $C_{D_2,a} \neq 0$. If further $S_{1,\infty} \subset S_{2,\infty} \cup S_{2,0}$, then $C_{D_2,j} = 0$ for any $j \neq a$.
- (2) $C_{0,b} \neq 0$. If further $S_{1,0} \subset S_{2,\infty} \cup S_{2,0}$, then $C_{0,j} = 0$ for any $j \neq b$.
- (3) $C_{i,D_1} = 0$ for all i satisfying $0 \leq i < |S_{1,0} \cap S_{2,\infty}|$ or $D_2 - |S_{1,\infty} \cap S_{2,\infty}| < i \leq D_2$.
- (4) $C_{i,0} = 0$ for all i satisfying $0 \leq i < |S_{1,0} \cap S_{2,0}|$ or $D_2 - |S_{1,\infty} \cap S_{2,0}| < i \leq D_2$.

If we interchange the roles of $f_1(\tau)$ and $f_2(\tau)$, then we may obtain further properties similar to (1) through (4). Suppose further that there exist $r \in \mathbf{R}$ and $N, n_1, n_2 \in \mathbf{Z}$ with $N > 0$ such that $f_k(\tau + r) = \zeta_N^{nk} f_k(\tau)$ for $k = 1, 2$, where $\zeta_N = e^{2\pi i/N}$. Then we obtain the following assertion.

- (5) $n_1 i + n_2 j \not\equiv n_1 D_2 + n_2 a \pmod N \Rightarrow C_{i,j} = 0$. Here note that $n_2 b \equiv n_1 D_2 + n_2 a \pmod N$.

We now give another proof of Chan's result [4, Theorem 1] using Theorem 7.

THEOREM 8. *Let $C(\tau)$ be Ramanujan's cubic continued fraction. Then*

- (1) $\{C(\tau)\}^2 + 2C(\tau)\{C(2\tau)\}^2 - C(2\tau) = 0$.
- (2) $\{C(\tau)\}^3 = C(3\tau)(1 - C(3\tau) + \{C(3\tau)\}^2)/(1 + 2C(3\tau) + 4\{C(3\tau)\}^2)$.

PROOF. To prove (1) (resp. (2)), we should find the modular equation $F_2(X, Y)$ (resp. $F_3(X, Y)$) for $f(\tau)$ and $f(2\tau)$ (resp. $f(3\tau)$), where $f(\tau) = 1/C(3\tau)$.

(1) By Proposition 5 the congruence subgroup which we should consider is $\Gamma_1(6) \cap \Gamma_0(36)$. Hence

$$\Delta_2 = \{\overline{\pm 1}, \overline{\pm 5}, \overline{\pm 7}, \overline{\pm 11}, \overline{\pm 13}, \overline{\pm 17} \in (\mathbf{Z}/36\mathbf{Z})^\times\} = (\mathbf{Z}/36\mathbf{Z})^\times,$$

where Δ_2 is the subgroup in Section 2. We will first calculate d_1 . By Lemmas 2 and 6 we must consider S_{18}, A_{18}, S_{36} and A_{36} . It is easy to see that $S_{18} = S_{36} = \{\overline{1}\}$ and $A_{18} = A_{36} = \{1\}$, because n_{18}, m_{18}, n_{36} and m_{36} are 1. So all the cusps of $\Gamma_1(6) \cap \Gamma_0(36)$ at which $f(\tau)$ has poles are $1/18$ and $1/36$ by (1) of Lemma 6, where $1/36$ is equivalent to ∞ by Lemma 1. By Lemma 3 the widths of both $1/18$ and ∞ are 1. Since $f(\tau) = q^{-1} + O(1)$, $\text{ord}_\infty f(\tau) = -1$.

For convenience, we write α_n as the matrix $\begin{pmatrix} 1 & 0 \\ n & 1 \end{pmatrix} \in \text{SL}_2(\mathbf{Z})$. Since $\alpha_{18} \in \Gamma_1(6) \cap \Gamma_0(18)$, $(f \circ \alpha_{18})(\tau) = f(\tau) = q^{-1} + O(1)$ and we obtain $\text{ord}_{1/18} f(\tau) = -1$. Thus the total degree d_1 of poles of $f(\tau)$ is 2. Next, we will estimate d_2 . Similarly, by Lemmas 2 and 6 we should consider S_{36} and A_{36} , which are already obtained in the above as $S_{36} = \{\overline{1}\}$ and $A_{36} = \{1\}$.

All the cusps of $\Gamma_1(6) \cap \Gamma_0(36)$ at which $f(2\tau)$ has poles is $1/36$ by (2) of Lemma 6. Since $1/36$ is equivalent to ∞ , the width of ∞ is 1 and $f(2\tau) = q^{-2} + O(1)$, we obtain $\text{ord}_\infty f(2\tau) = -2$. So the total degree d_2 of poles of $f(2\tau)$ is 2. Hence, $F_2(X, Y)$ is of the form $\sum_{0 \leq i \leq 2, 0 \leq j \leq 2} C_{i,j} X^i Y^j$.

Now, using Theorem 7 we can determine which coefficients $C_{i,j}$ are zero. If we let $f_1(\tau) = f(\tau)$ and $f_2(\tau) = f(2\tau)$ in the theorem, we know that $S_{1,\infty} = \{1/18, 1/36\}$, $S_{1,0} = \{1/9\}$, $S_{2,\infty} = \{1/36\}$ and $S_{2,0} = \{1/9, 1/18\}$. Since $S_{1,\infty} \cap S_{2,0} = \{1/18\}$, we have $a = 1$.

Note that

$$(f \circ \alpha_9)(\tau) = 1/(C \circ \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix} \alpha_9)(\tau) = 1/(C \circ \alpha_3)(3\tau) = q^{1/2} + \dots$$

and the width of $1/9$ is 4 in $(\Gamma_1(6) \cap \Gamma_0(36)) \setminus \mathfrak{H}^*$. Then $b = \text{ord}_{1/9} f_1(\tau) = 2$.

It follows from Theorem 7 (1) that $C_{2,1} \neq 0$ and $C_{2,0} = C_{2,2} = 0$, and from (2) that $C_{0,2} \neq 0$ and $C_{0,1} = C_{0,0} = 0$. In order to use (5) of the theorem we calculate the followings in advance:

$$f_1\left(\tau + \frac{1}{3}\right) = f\left(\tau + \frac{1}{3}\right) = \frac{1}{C(3\tau + 1)} = \zeta_3^2 f(\tau) = \zeta_3^2 f_1(\tau)$$

$$f_2\left(\tau + \frac{1}{3}\right) = f\left(2\tau + \frac{2}{3}\right) = \frac{1}{C(6\tau + 2)} = \zeta_3 f(2\tau) = \zeta_3 f_2(\tau).$$

So we may assume that $N = 3, n_1 = 2, n_2 = 1$. Applying these to (5) of Theorem 7, $C_{2,2} = C_{1,2} = C_{1,1} = C_{0,1} = C_{2,0} = C_{0,0} = 0$. Hence, we have simplified our modular equation to the form $F_2(X, Y) = C_{2,1}X^2Y + C_{1,0}X + C_{0,2}Y^2$. Since $C_{0,2} \neq 0$, we may assume that $C_{0,2} = 1$.

Next, by replacing X (resp. Y) by the q -expansion of $f(\tau)$ (resp. $f(2\tau)$), we get that $C_{2,1} = -1$ and $C_{1,0} = 2$. Thus, $F_2(X, Y) = -X^2Y + 2X + Y^2$. Multiplying $F_2(1/C(\tau), 1/C(2\tau))$ by $C(\tau)^2C(2\tau)^2$ we obtain the first assertion.

In a similar way, by considering $\Gamma_1(6) \cap \Gamma_0(54)$ and $\Delta_3 = (\mathbf{Z}/54\mathbf{Z})^\times$ we can evaluate the polynomial

$$F_3(X, Y) = \sum_{\substack{0 \leq i \leq d_3 \\ 0 \leq j \leq d_1}} C_{i,j} X^i Y^j$$

such that $F_3(f(\tau), f(3\tau)) = 0$. In this case, since $S_{18} = S_{54} = \{\bar{1}\}$, $A_{18} = \{1, 5\}$ and $A_{54} = \{1\}$, $f(\tau)$ has poles at $1/18, 5/18$ and $1/54$ all with width 1 by Lemma 3, where $1/54$ is equivalent to ∞ under $\Gamma_1(6) \cap \Gamma_0(54)$.

We already know that $f(\tau) = q^{-1} + O(1)$ and $(f \circ \alpha_{18})(\tau) = f(\tau) = q^{-1} + O(1)$. By the properties (K1) through (K5)

$$\left(f \circ \begin{pmatrix} 5 & -2 \\ 18 & -7 \end{pmatrix}\right)(\tau) = (\text{some root of unity}) \cdot f(\tau) = (\text{some root of unity}) \cdot q^{-1} + O(1).$$

Considering the widths of cusps we have $\text{ord}_\infty f(\tau) = \text{ord}_{1/18} f(\tau) = \text{ord}_{5/18} f(\tau) = -1$. Therefore, $d_1 = 3$. Likewise, $f(3\tau)$ has a pole only at $1/54 \sim \infty$ and $f(3\tau) = q^{-3} + O(1)$. Hence, $\text{ord}_\infty f(3\tau)$ is -3 . Therefore, $d_3 = 3$.

We let $f_1(\tau) = f(\tau)$ and $f_2(\tau) = f(3\tau)$ in Theorem 7. Then $S_{1,\infty} = \{1/18, 5/18, 1/54\}$, $S_{1,0} = \{1/9, 2/9, 1/27\}$, $S_{2,\infty} = \{1/54\}$ and $S_{2,0} = \{1/27\}$. Since $S_{1,\infty} \cap S_{2,0} = \emptyset$, the number a in Theorem 7 is 0. By (1) of Theorem 7, we have $C_{3,0} \neq 0$. Changing the roles of $f_1(\tau)$ and $f_2(\tau)$ we get $C_{0,3} \neq 0$ and $C_{j,3} = 0$ for all $j \neq 0$. Then by the same argument as above, substituting $\tau + 1/3$ for τ in $f(\tau)$ and $f(3\tau)$ we obtain that $C_{1,0} = C_{1,1} = C_{1,2} = C_{1,3} = C_{2,0} = C_{2,1} = C_{2,2} = C_{2,3} = 0$. So, we may write $F_3(X, Y) =$

$C_{0,0} + C_{0,1}Y + C_{0,2}Y^2 + C_{0,3}Y^3 + C_{3,0}X^3 + C_{3,1}X^3Y + C_{3,2}X^3Y^2$. Since $C_{0,3} \neq 0$, we let it be 1.

Now, by replacing X (resp. Y) by the q -expansion of $f(\tau)$ (resp. $f(3\tau)$), we conclude that $C_{0,0} = 0, C_{0,1} = 4, C_{0,2} = 2, C_{3,0} = -1, C_{3,1} = 1$ and $C_{3,2} = -1$. So, $F_3(X, Y) = 4Y + 2Y^2 + Y^3 - X^3 + X^3Y - X^3Y^2$. If we multiply $F_3(1/C(\tau), 1/C(3\tau))$ by $C(\tau)^3C(3\tau)^3$, our second assertion is established. \square

We shall find a relation between $f(\tau)$ and $f(p\tau)$ for a prime $p > 3$ since we have dealt with the cases $p = 2, 3$ already.

THEOREM 9. *Let p be a prime greater than 3. Then $F_p(X, Y) = \sum_{0 \leq i, j \leq p+1} C_{i,j} X^i Y^j \in \mathcal{Q}[X, Y]$ satisfies the following conditions.*

- (1) $C_{p+1,0} \neq 0$ and $C_{p+1,1} = C_{p+1,2} = \dots = C_{p+1,p+1} = 0, C_{0,0} = 0$.
- (2) If $p \equiv 1 \pmod 6$ and $i + j \equiv 0$ or $1 \pmod 3$, then $C_{i,j} = 0$.
- (3) If $p \equiv -1 \pmod 6$ and $i - j \equiv 1$ or $2 \pmod 3$, then $C_{i,j} = 0$.

PROOF. The congruence subgroup under consideration is $\Gamma' = \Gamma_1(6) \cap \Gamma_0(18p)$, and hence $\Delta = \{\pm(1+6k) \in (\mathbf{Z}/18p\mathbf{Z})^\times ; k = 0, 1, \dots, 3p - 1\}$ where Δ is the subgroup as in Section 2. Since $(\mathbf{Z}/6\mathbf{Z})^\times = \{\bar{1}, \bar{-1}\}$, we have to consider S_j and A_j only for $j \in \{9, 18, 9p, 18p\}$ by Lemmas 2 and 6. Since $n_j = 1$ for all $j = 9, 18, 9p$ and $18p, S_j = \{\bar{1}\}$. Thus all the inequivalent cusps under consideration are $1/9, 1/18, 1/9p$ and $1/18p$ with widths $2p, p, 2$ and 1 , respectively by Lemma 3. It follows from Lemma 1 that $1/18p$ is equivalent to ∞ . If we let $f_1(\tau) = f(\tau)$ and $f_2(\tau) = f(p\tau)$ in Theorem 7, then by Lemma 6, $S_{1,\infty} = \{1/18, 1/18p\}$ and $S_{1,0} = \{1/9, 1/9p\}$. Further we obtain that $S_{2,\infty} = \{1/18, 1/18p\}$ and $S_{2,0} = \{1/9, 1/9p\}$. Let α_n be an matrix $\begin{pmatrix} 1 & 0 \\ n & 1 \end{pmatrix}$ in $SL_2(\mathbf{Z})$. Since $\alpha_{18} \in \Gamma, (f \circ \alpha_{18})(\tau) = f(\tau) = q^{-1} + O(1)$ and we obtain $\text{ord}_\infty f(\tau) = -1$ and $\text{ord}_{1/18} f(\tau) = -p$. So the total degree d_1 of poles of $f(\tau)$ is $p + 1$. Since $f(p\tau) = q^{-p} + O(1)$, we get $\text{ord}_\infty f(p\tau) = -p$. In order to find $\text{ord}_{1/18} f(p\tau)$, we first take $b, d \in \mathbf{Z}$ such that $\begin{pmatrix} 1 & b \\ 18 & d \end{pmatrix} \in SL_2(\mathbf{Z})$. Since there exists $x \in \mathbf{Z}$ such that $d - 6x \equiv 0 \pmod p$,

$$\begin{pmatrix} 3p & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & b \\ 18 & d \end{pmatrix} = \begin{pmatrix} p & 3b - x \\ 6 & (d - 6x)/p \end{pmatrix} \begin{pmatrix} 3 & x \\ 0 & p \end{pmatrix} \text{ where } \begin{pmatrix} p & 3b - x \\ 6 & (d - 6x)/p \end{pmatrix} \in SL_2(\mathbf{Z}).$$

Thus the Fourier expansion of $f(p\tau)$ at $1/18$ can be derived from

$$\begin{aligned} \left(f \circ \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & b \\ 18 & d \end{pmatrix} \right) (\tau) &= 1 / \left(C \circ \begin{pmatrix} 3p & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & b \\ 18 & d \end{pmatrix} \right) (\tau) \\ &= \left(\frac{1}{C} \circ \begin{pmatrix} p & 3b - x \\ 6 & (d - 6x)/p \end{pmatrix} \begin{pmatrix} 3 & x \\ 0 & p \end{pmatrix} \right) (\tau) \end{aligned}$$

by (K1) and (K2). We see by (K4) that the above expression is of the form

$$(\text{some root of unity}) \cdot q_p^k + \text{higher order term},$$

where $k = 9(\langle p/2 \rangle (\langle p/2 \rangle - 1) - \langle p/6 \rangle (\langle p/6 \rangle - 1))$ with the notation as in (K4). Since $p \equiv \pm 1 \pmod 6$ we have $k = -1$. Hence $\text{ord}_{1/18} f(p\tau) = -1$ and the total degree of poles

of $f(p\tau)$ is $p + 1$. Therefore $F_p(X, Y)$ is of the form $F_p(X, Y) = \sum_{0 \leq i, j \leq p+1} C_{i,j} X^i Y^j$. Since $S_{1,\infty} \cap S_{2,0}$ is empty, $a = 0$ in Theorem 7 and hence $C_{p+1,0} \neq 0, C_{p+1,1} = C_{p+1,2} = \dots = C_{p+1,p+1} = 0$. Changing the role of $f_1(\tau)$ and $f_2(\tau)$ we have $b = \text{ord}_{1/9} f_2(\tau) + \text{ord}_{1/9p} f_2(\tau) = p + 1$ and $C_{0,0} = 0$. Then all the other assertions follows from Theorem 7 (5). Next, we observe that $f_1(\tau + 1/3) = f(\tau + 1/3) = 1/C(3\tau + 1) = \zeta_3^2 f(\tau) = \zeta_3^2 f_1(\tau)$ and that $f_2(\tau + 1/3) = f(p(\tau + 1/3)) = 1/C(3p\tau + p) = \zeta_3^{-p} f(p\tau)$ implies $f_2(\tau + 1/3) = \zeta_3^2 f_2(\tau)$ (resp. $\zeta_3 f_2(\tau)$) if $p \equiv 1 \pmod 6$ (resp. if $p \equiv -1 \pmod 6$). Therefore, $C_{i,j} = 0$ when $i + j \equiv 0, 1 \pmod 3$ (resp. $i - j \equiv 1, 2 \pmod 3$) if $p \equiv 1 \pmod 6$ (resp. $p \equiv -1 \pmod 6$). This completes the proof. \square

p	the modular equation of $v(= C(\tau))$ and $w(= C(p\tau))$
5	$v^6 - v^5 w^5 - 5v^5(3w^5 + 2w^2) + 5v^4(4w^4 + w) - 20v^3 w^3 - 5v^2(2w^5 - w^2) + 5v w^4 - v w + w^6 = 0$
7	$v^8 - v^7 w^7 - 7v^7(9w^7 + 8w^4) + 28v^6 w^2 - 56v^5 w^3 - 7v^4(8w^7 - 3w^4 - w) - 56v^3 w^5 + 28v^2 w^6 + 7v w^4 - v w + w^8 = 0$
11	$v^{12} - v^{11} w^{11} - 11v^{11}(93w^{11} + 128w^8 + 32w^5 - 4w^2) - 22v^{10}(128w^{10} + 96w^7 - 34w^4 + w) - 44v^9(32w^9 + 28w^6 + w^3) - 11v^8(128w^{11} + 128w^8 + 28w^5 - 17w^2) - 22v^7(96w^{10} + 124w^7 - 7w^4 + w) - 154v^6(8w^9 + w^6 - w^3) - 22v^5(16w^{11} + 14w^8 + 31w^5 - 3w^2) + 11v^4(68w^{10} + 14w^7 - 8w^4 + w) - 22v^3(2w^9 - 7v^3 w^6 + w^3) + 11v^2(4w^{11} + 17w^8 + 6w^5 - w^2) - 11v(2w^{10} + 2w^7 - w^4) - v w + w^{12} = 0$
13	$v^{14} - v^{13} w^{13} - 13v^{13}(315w^{13} + 512w^{10} + 192w^7 - 8w^4 - 2w) + 13v^{12}(1024w^{11} + 768w^8 - 240w^5 + 23w^2) + 52v^{11}(256w^{12} + 48w^9 - 186w^6 + 15w^3) - 13v^{10}(512w^{13} + 832w^{10} + 264w^7 - 132w^4 + w) + 26v^9(96w^{11} - 36w^8 - 194w^5 + 15w^2) + 39v^8(256w^{12} - 24w^9 - 172w^6 + 31w^3) - 39v^7(64w^{13} + 88w^{10} + 100w^7 - 11w^4 + w) - 39v^6(248w^{11} + 172w^8 - 3w^5 - 4w^2) - 13v^5(240w^{12} + 388w^9 - 9w^6 - 3w^3) + 13v^4(8w^{13} + 132w^{10} + 33w^7 - 13w^4 + w) + 13v^3(60w^{11} + 93w^8 + 3w^5 - 2w^2) + 13v^2(23w^{12} + 30w^9 + 12w^6 - 2w^3) + 13v(2w^{13} - w^{10} - 3w^7 + w^4) - v w + w^{14} = 0$
17	$v^{18} - v^{17} w^{17} - 17v^{17}(3855w^{17} + 8192w^{14} + 5120w^{11} + 640w^8 - 144w^5 - 2w^2) + 17v^{16}(16384w^{16} + 36864w^{13} + 12288w^{10} - 7488w^7 + 712w^4 - w) - 136v^{15}(3072w^{15} - 1024w^{12} - 2952w^9 + 1059w^6 - 79w^3) - 34v^{14}(4096w^{17} + 37888w^{14} + 25280w^{11} - 7512w^8 + 1001w^5 - 89w^2) + 17v^{13}(36864w^{16} + 33792w^{13} - 13120w^{10} - 9560w^7 + 1001w^4 + 9w) + 17v^{12}(8192w^{15} + 38016w^{12} + 17496w^9 - 10177w^6 + 1059w^3) - 34v^{11}(2560w^{17} + 25280w^{14} + 19328w^{11} - 3016w^8 + 1195w^5 - 117w^2) + 17v^{10}(12288w^{16} - 13120w^{13} - 26784w^{10} - 3016w^7 + 939w^4 + 5w) + 17v^9(23616w^{15} + 17496w^{12} - 11536w^9 - 2187w^6 + 369w^3) - 34v^8(320w^{17} - 7512w^{14} - 3016w^{11} + 3348w^8 - 205w^5 - 24w^2) - 17v^7(7488w^{16} + 9560w^{13} + 3016w^{10} + 2416w^7 - 395w^4 + 5w) - 17v^6(8472w^{15} + 10177w^{12} + 2187w^9 - 594w^6 + 16w^3) + 34v^5(72w^{17} - 1001w^{14} - 1195w^{11} + 205w^8 + 132w^5 - 18w^2) + 17v^4(712w^{16} + 1001w^{13} + 939w^{10} + 395w^7 - 74w^4 + w) + 17(632w^{15} + 1059w^{12} + 369w^9 - 16w^6 - 6w^3) + 17v^2(2w^{17} + 178w^{14} + 234w^{11} + 48w^8 - 18w^5 + w^2) - 17v(w^{16} - 9w^{13} - 5w^{10} + 5w^7 - w^4) - v w + w^{18} = 0$

Now we can determine the modular equation $\Phi_p(X, Y) = 0$ by considering enough terms of the Fourier expansion of $F_p(f(\tau), f(p\tau))$ in Theorem 9 with the observation $\Phi_p(X, Y) = X^{p+1}Y^{p+1}F_p(1/X, 1/Y)$.

The above table shows that the coefficients of the modular equations are congruent to zero modulo p ($p = 5, 7, 11, 13, 17$) except for those of the terms v^{p+1}, w^{p+1}, vw and $v^p w^p$, which indicates the existence of Kronecker's congruences. For instance, when $p = 5$ the modular equation of $v = C(\tau)$ and $w = C(5\tau)$ is

$$\begin{aligned} &v^6 - v^5 w^5 - vw + w^6 \\ &- 5v^5(3w^5 + 2w^2) + 5v^4(4w^4 + w) - 20v^3 w^3 - 5v^2(2w^5 - w^2) + 5vw^4 \\ &\equiv (v^5 - w)(v - w^5) \pmod{5}. \end{aligned}$$

As before, let $\Gamma = \Gamma_1(6) \cap \Gamma_0(18)$. For any integer a with $(a, 6) = 1$, we choose $\sigma_a \in \Gamma(1)$ so that $\sigma_a \equiv \begin{pmatrix} a^{-1} & 0 \\ 0 & a \end{pmatrix} \pmod{18}$. Then for every integer n prime to 6 one has

$$(3.1) \quad \Gamma \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \Gamma = \bigcup_{\substack{a>0 \\ a|n}} \bigcup_{0 \leq b < n/a} \Gamma \sigma_a \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix},$$

in which the right-hand side is a disjoint union. Indeed, first note that $|\Gamma \backslash \Gamma \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \Gamma| = n \prod_{p|n} (1 + 1/p)$ and then use [17], Proposition 3.36.

Since σ_a depends only on a modulo 18, we fix σ_a as $\sigma_{\pm 1} = \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, $\sigma_{\pm 5} = \pm \begin{pmatrix} 65 & 18 \\ 18 & 5 \end{pmatrix}$ and $\sigma_{\pm 7} = \pm \begin{pmatrix} -5 & 18 \\ 18 & -65 \end{pmatrix}$. Actually, since $\sigma_a \in (\pm 1) \cdot \Gamma$ for $a \in \{\pm 1, \pm 5, \pm 7\}$, we have $f \circ \sigma_a = f$.

For convenience, we let $\alpha_{a,b}$ be $\sigma_a \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix}$ for a, b in (3.1). We now consider the following polynomial $\Psi_n(X, \tau)$ with the indeterminate X

$$\Psi_n(X, \tau) = \prod_{\substack{a>0 \\ a|n}} \prod_{\substack{0 \leq b < n/a \\ (a,b,n/a)=1}} (X - (f \circ \alpha_{a,b})(\tau)).$$

Note that $\deg \Psi_n(X, \tau) = n \prod_{p|n} (1 + 1/p)$. Since all the coefficients of $\Psi_n(X, \tau)$ are elementary symmetric functions of the $f \circ \alpha_{a,b}$, they are invariant under Γ , i.e., $\Psi_n(X, \tau) \in \mathcal{C}(f(\tau))[X]$. Hence we may write $\Psi_n(X, f(\tau))$ instead of $\Psi_n(X, \tau)$.

When $f(n\tau) = f_1(\tau)$ and $f(\tau) = f_2(\tau)$, we define $S_{j,\infty}$ to be the set of cusps which are poles of $f_j(\tau)$. By $S_{j,0}$ we mean the set of cusps where $f_j(\tau)$ has zero. We recall from Theorem 7 that a is a nonnegative integer defined by

$$a = \begin{cases} 0 & \text{if } S_{1,\infty} \cap S_{2,0} = \emptyset, \\ -\sum_{s \in S_{1,\infty} \cap S_{2,0}} \text{ord}_s f_1(\tau) & \text{otherwise.} \end{cases}$$

If we multiply a suitable power of $f(\tau)$ to $\Psi_n(X, f(\tau))$, we have a polynomial $F_n(X, f(\tau)) \in \mathcal{C}[X, f(\tau)]$ such that $F_n(f(n\tau), f(\tau)) = 0$. Note that $S_{1,\infty} = \{1/18n\}$ and $S_{2,\infty} = \{1/18, \dots, 1/18n\}$. It means that $S_{1,\infty} \cap S_{2,0} = \emptyset$ and so $a = 0$. Hence we are to work with just $\Psi_n(X, f(\tau))$ as a polynomial of X and $f(\tau)$ to prove the following theorem.

THEOREM 10. *With the notation as above, for any positive integer n with $(n, 6) = 1$ let $\Psi_n(X, Y)$ be a polynomial such that $\Psi_n(f(\tau), f(n\tau)) = 0$. Then we get the following assertions.*

- (1) $\Psi_n(X, Y) \in \mathbf{Z}[X, Y]$ and $\deg_X \Psi_n(X, Y) = \deg_Y \Psi_n(X, Y) = n \prod_{p|n} (1 + 1/p)$.
- (2) $\Psi_n(X, Y)$ is irreducible both as a polynomial in X over $\mathbf{C}(Y)$ and as a polynomial in Y over $\mathbf{C}(X)$.
- (3) $\Psi_n(X, Y) = \Psi_n(Y, X)$.
- (4) If n is not a square, then $\Psi_n(X, X)$ is a polynomial of degree > 1 whose leading coefficient is ± 1 .
- (5) (Kronecker's congruence) Let p be an odd prime. Then

$$\Psi_p(X, Y) \equiv (X^p - Y)(X - Y^p) \pmod{p\mathbf{Z}[X, Y]}.$$

PROOF. Since

$$f(\tau) = \frac{1}{C(3\tau)} = q^{-1} \prod_{n=1}^{\infty} \frac{(1 - q^{18n-9})^2}{(1 - q^{18n-3})(1 - q^{18n-15})},$$

we let $f(\tau) = q^{-1} + \sum_{m=1}^{\infty} c_m q^m$ with $c_m \in \mathbf{Z}$. We further let $d = n \prod_{p|n} (1 + 1/p)$ and ψ_k be an automorphism of $\mathcal{Q}(\zeta_n)$ over \mathcal{Q} defined by $\psi_k(\zeta_n) = \zeta_n^k$ if $(k, n) = 1$. Then ψ_k induces an automorphism of the function field of formal power series $\mathcal{Q}(\zeta_n)((q^{1/n}))$ over $\mathcal{Q}(\zeta_n)$ by the action on the coefficients. We denote the induced automorphism by the same notation ψ_k . Since

$$\begin{aligned} \left(f \circ \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix} \right) (\tau) &= f \left(\begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix} \tau \right) = f \left(\frac{a^2\tau + ab}{n} \right) \\ &= \zeta_n^{-ab} q^{-a^2/n} + \sum_{m=1}^{\infty} c_m \zeta_n^{abm} q^{a^2m/n}, \end{aligned}$$

we obtain that $\psi_k((f \circ \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix})(\tau)) = \zeta_n^{-abk} q^{-a^2/n} + \sum_{m=1}^{\infty} c_m \zeta_n^{abkm} q^{a^2m/n}$. Let b' be the unique integer such that $0 \leq b' < n/a$ and $b' \equiv bk \pmod{n/a}$. Then $\psi_k(f \circ \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix}) = f \circ \begin{pmatrix} a & b' \\ 0 & n/a \end{pmatrix}$ because $\zeta_n^{abk} = \zeta_n^{ab'}$. For all $a \in \{\pm 1, \pm 5, \pm 7\}$ we have $f \circ \sigma_a = f$, from which we get that $\psi_k(f \circ \alpha_{a,b}) = f \circ \alpha_{a,b'}$ and all the coefficients of $\Psi_n(X, f(\tau))$ are contained in $\mathcal{Q}((q^{1/n}))$.

Note that $\Psi_n(f(\tau/n), f(\tau)) = 0$ yields $[\mathbf{C}(f(\tau/n), f(\tau)) : \mathbf{C}(f(\tau))] \leq d$. Let \mathfrak{F} be the field of all meromorphic functions on \mathfrak{H} which contains $\mathbf{C}(f(\tau/n), f(\tau))$ as a subfield. We further observe that for any element γ of Γ the map $h(\tau) \mapsto h(\gamma(\tau))$ gives an embedding of $\mathbf{C}(f(\tau/n), f(\tau))$ into \mathfrak{F} , which is trivial on $\mathbf{C}(f(\tau))$. Also, note that for any $\alpha_{a,b} = \sigma_a \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix}$ in (3.1) there exists $\gamma_{a,b} \in \Gamma$ such that $\begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \gamma_{a,b} \alpha_{a,b}^{-1} \in \Gamma$. Since $f(\alpha_{a,b}(\tau)) \neq f(\alpha_{a',b'}(\tau))$ for $\alpha_{a,b} \neq \alpha_{a',b'}$, there are at least d distinct embeddings of $\mathbf{C}(f(\tau/n), f(\tau))$ into \mathfrak{F} over $\mathbf{C}(f(\tau))$ defined by $f(\tau/n) \mapsto (f \circ \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \circ \gamma_{a,b})(\tau) = f(\alpha_{a,b}(\tau))$. Hence $[\mathbf{C}(f(\tau/n), f(\tau)) : \mathbf{C}(f(\tau))] = d$. This implies that $\Psi_n(X, f(\tau))$ is irreducible over $\mathbf{C}(f(\tau))$.

With the notations as in Theorem 7, if we let

$$a = -\sum_{s \in S_{1,\infty} \cap S_{2,0}} \text{ord}_s f(\tau), \quad b = \sum_{s \in S_{1,0} \cap S_{2,0}} \text{ord}_s f(\tau),$$

$$a' = -\sum_{s \in S_{2,\infty} \cap S_{1,0}} \text{ord}_s f(n\tau), \quad b' = \sum_{s \in S_{2,0} \cap S_{1,0}} \text{ord}_s f(n\tau),$$

then $F(X, Y)$ in Theorem 7 is of the form

$$C_{d_n,a} X^{d_n} Y^a + C_{0,b} Y^b + C_{a',d_1} X^{a'} Y^{d_1} + C_{b',0} X^{b'} + \sum_{\substack{0 < i < d_n \\ 0 < j < d_1}} C_{i,j} X^i Y^j,$$

where d_1 (resp. d_n) is the total degree of poles for $f_1(\tau)$ (resp. $f_n(\tau)$). Since $F(X, f(\tau))$ is a minimal polynomial of $f(\tau/n)$ over $\mathbf{C}(f(\tau))$ and $F(f(\tau/n), Y)$ is also a minimal polynomial of $f(\tau)$ over $\mathbf{C}(f(\tau/n))$, we obtain that

$$f(\tau)^a \Psi_n(X, f(\tau)) = \frac{F(X, f(\tau))}{C_{d_n,a}}$$

and $F_n(X, Y)$ is a polynomial in X and Y which is irreducible both as a polynomial in X over $\mathbf{C}(Y)$ and as a polynomial in Y over $\mathbf{C}(X)$. Since $f(\tau)^a \Psi_n(X, f(\tau)) \in \mathcal{Q}[X, f(\tau)]$ and all the Fourier coefficients of the coefficients of $\Psi_n(X, f(\tau))$ are algebraic integers, we conclude that $f(\tau)^a \Psi_n(X, f(\tau)) \in \mathbf{Z}[X, f(\tau)]$, namely $F_n(X, Y) \in \mathbf{Z}[X, Y]$. But we already saw before this theorem that $a = 0$ in our case. Hence we conclude that $\Psi_n(X, Y) \in \mathbf{Z}[X, Y]$. It proves (1) and (2).

Now that $(X - (f \circ \alpha_{n,0})(\tau))$ is a factor of $\Psi_n(X, f(\tau))$ and $f \circ \alpha_{n,0} = f \circ \sigma_n \circ \begin{pmatrix} n & 0 \\ 0 & 1 \end{pmatrix} = f \circ \begin{pmatrix} n & 0 \\ 0 & 1 \end{pmatrix}$, we get $\Psi_n(f(n\tau), f(\tau)) = 0$, namely $\Psi_n(f(\tau), f(\tau/n)) = 0$. Hence, $f(\tau/n)$ is a root of the equation $\Psi_n(f(\tau), X) = 0$ and $\Psi_n(f(\tau), X) \in \mathbf{Z}[X, f(\tau)]$. Meanwhile, $f(\tau/n)$ is also a root of $\Psi_n(X, f(\tau)) = 0$ and $\Psi_n(X, f(\tau))$ is irreducible over $\mathbf{C}(f(\tau/n))$. So there exists a polynomial $g(X, f(\tau)) \in \mathbf{Z}[X, f(\tau)]$ such that $\Psi_n(f(\tau), X) = g(X, f(\tau)) \Psi_n(X, f(\tau))$. However, the identity

$$\Psi_n(f(\tau), X) = g(X, f(\tau)) \cdot g(f(\tau), X) \cdot \Psi_n(f(\tau), X)$$

implies $g(X, f(\tau)) = \pm 1$. If $g(X, f(\tau)) = -1$, $\Psi_n(f(\tau), f(\tau)) = -\Psi_n(f(\tau), f(\tau))$. Thus, $f(\tau)$ is a root of the equation $\Psi_n(X, f(\tau)) = 0$, which contradicts to the irreducibility of $\Psi_n(X, f(\tau))$ over $\mathbf{C}(f(\tau))$. Therefore, (3) is proved.

As for the verification of (4), we assume that n is not a square. Then $f(\tau) - (f \circ \alpha_{a,b})(\tau) = q^{-1} - \zeta_n^{-ab} q^{-a^2/n} + O(q^{1/n})$. The coefficient of the lowest degree in $\Psi_n(f(\tau), f(\tau))$ is a unit. Since it is an integer and $\Psi_n(X, X)$ is a polynomial of degree > 1 , (4) is proved.

In order to justify the last assertion, let p be a prime greater than 3. For $g(\tau), h(\tau) \in \mathbf{Z}[\zeta_p][[q^{1/n})]$ and $\alpha \in \mathbf{Z}[\zeta_p]$, we know that $g(\tau) \equiv h(\tau) \pmod{\alpha}$ if $g(\tau) - h(\tau) \in \alpha \mathbf{Z}[\zeta_p][[q^{1/p})]$. On the other hand, since $f(\tau) = q^{-1} + \sum_{m=1}^{\infty} c_m q^m$ with $c_m \in \mathbf{Z}$, we deduce that

$$f(\alpha_{1,b}(\tau)) = \zeta_p^{-b} q^{-1/p} + \sum_{m=1}^{\infty} c_m \zeta_p^{bm} q^{m/p} \equiv q^{-1/p} + \sum_{m=1}^{\infty} c_m q^{m/p} \pmod{(1 - \zeta_p)}.$$

Hence, $f(\alpha_{1,b}(\tau)) \equiv f(\alpha_{1,0}(\tau)) \pmod{1 - \zeta_p}$ for any $b = 0, \dots, p - 1$. Since $c_m^p \equiv c_m \pmod{p}$, we see that

$$f(\alpha_{p,0}(\tau)) = q^{-p} + \sum_{m=1}^{\infty} c_m q^{pm} \equiv q^{-p} + \sum_{m=1}^{\infty} c_m^p q^{pm} \equiv (f(\tau))^p \pmod{p}.$$

So, $f(\alpha_{p,0}(\tau)) \equiv f(\tau)^p \pmod{1 - \zeta_p}$. Similarly,

$$f(\alpha_{1,0}(\tau))^p = (q^{-1/p} + \sum_{m=1}^{\infty} c_m q^{m/p})^p \equiv q^{-1} + \sum_{m=1}^{\infty} c_m^p q^m = f(\tau) \pmod{1 - \zeta_p}.$$

Thus

$$\begin{aligned} \Psi_p(X, f(\tau)) &= \prod_{0 \leq b < p} (X - f(\alpha_{1,b}(\tau))) \times (X - f(\alpha_{p,0}(\tau))) \\ &\equiv (X - f(\alpha_{1,0}(\tau)))^p (X - f(\tau)^p) \\ &\equiv (X^p - f(\alpha_{1,0}(\tau))^p)(X - f(\tau)^p) \\ &\equiv (X^p - f(\tau))(X - f(\tau)^p) \pmod{1 - \zeta_p}. \end{aligned}$$

Now, let $\Psi_p(X, f(\tau)) - (X^p - f(\tau))(X - f(\tau)^p)$ be $\sum_v \psi_v(f(\tau))X^v \in (1 - \zeta_p)\mathbf{Z}[X, f(\tau)]$, where $\psi_v(f(\tau)) \in \mathbf{Z}[f(\tau)]$. Since all the Fourier coefficients of $\psi_v(f(\tau))$ are rational integers and divisible by $1 - \zeta_p$, we obtain that $\psi_v(f(\tau)) \in p\mathbf{Z}[f(\tau)]$. Therefore

$$\Psi_p(X, f(\tau)) \equiv (X^p - f(\tau))(X - f(\tau)^p) \pmod{p\mathbf{Z}[X, f(\tau)]}. \quad \square$$

4. Constructions of ray class fields and class polynomials. Let K be an imaginary quadratic field with discriminant d_K and N be a positive integer. Let $K_{(N)}$ be the ray class field modulo N over K and $\tau \in K \cap \mathfrak{h}$ be a root of the primitive equation $ax^2 + bx + c = 0$ such that $b^2 - 4ac = d_K$. In this section we show that $C(\tau)$ generates $K_{(6)}$ over K and then determine the class polynomial of $K_{(6)}$ by using the fact that $1/C(\tau)$ is an algebraic integer.

We first consider the principal congruence subgroup $\Gamma(N)$ of $\text{SL}_2(\mathbf{Z})$. If h is a meromorphic function on the modular curve $X(N) = \Gamma(N)\backslash\mathfrak{h}^*$, its Laurent series expansion in the parameter $q^{1/N} = e^{2\pi i\tau/N}$ is called the Fourier expansion of h . It is well known that $X(N) = \Gamma(N)\backslash\mathfrak{h}^*$ is defined over $\mathbf{Q}(\zeta_N)$ ([9, §2], [11]). Let F_N be its function field over $\mathbf{Q}(\zeta_N)$. Then $F_1 = \mathbf{Q}(j)$ for the j -invariant and define the automorphic function field \mathfrak{F} as the union $\mathfrak{F} = \bigcup_{N \geq 1} F_N$. For any subfield \mathfrak{F}' of \mathfrak{F} and $z \in K$, let $\mathfrak{F}'(z)$ be the field generated over \mathbf{Q} by the set $\{h(z); h \in \mathfrak{F}' \text{ and } h \text{ is defined and finite at } z\}$ and $K \cdot \mathfrak{F}'(z)$ be the compositum of K and $\mathfrak{F}'(z)$.

For any lattice L in \mathbf{C} , let $g_2(L) = 60 \sum_{\omega \in L - \{0\}} 1/\omega^4$, $g_3(L) = 140 \sum_{\omega \in L - \{0\}} 1/\omega^6$, $\Delta(L) = g_2(L)^3 - 27g_3(L)^2$ and $\wp(u; L)$ the Weierstrass \wp -function for $u \in \mathbf{C}$. Further for $z \in \mathfrak{h}$, $L = \mathbf{Z}z + \mathbf{Z}$ and $a \in \mathbf{Q}^2 - \mathbf{Z}^2$, let

$$f_a(z) = \frac{g_2(L)g_3(L)}{\Delta(L)} \wp\left(a \begin{pmatrix} z \\ 1 \end{pmatrix}; L\right).$$

THEOREM 11. *Let K be an imaginary quadratic field and $\tau \in K \cap \mathfrak{H}$ be a root of a primitive equation $aX^2 + bX + c = 0$ with $a, b, c \in \mathbf{Z}$ such that its discriminant is the field discriminant of K . Let x (resp. y) be the least positive integer such that $x = (Nx, a)$ (resp. $y = (Ny, c)$). We define*

- $\mathfrak{F}_{\min}^{(1)} = \mathbf{Q}(j, j \circ \begin{pmatrix} Nx & 0 \\ 0 & 1 \end{pmatrix}, f_{(0 \ 1/N)})$,
- $\mathfrak{F}_{\min}^{(2)} =$ *the field of all automorphic functions for $\Gamma_0(Nx) \cap \Gamma_1(N)$ with rational Fourier coefficients,*
- $\mathfrak{F}_{\min}^{(3)} =$ *the field of all automorphic functions for $\Gamma_0(Ny) \cap \Gamma^1(N)$ with rational Fourier coefficients,*
- $\mathfrak{F}_{\min}^{(4)} = \mathbf{Q}(j, j \circ \begin{pmatrix} 1 & 0 \\ 0 & Ny \end{pmatrix}, f_{(0 \ 1/N)} \circ \begin{pmatrix} 1 & 0 \\ 0 & Ny \end{pmatrix})$,
- $\mathfrak{F}_{\max} =$ *the field of all automorphic functions for $\Gamma^0(Nc) \cap \Gamma_0(Na) \cap \Gamma(N)$ whose Fourier coefficients with respect to $e^{2\pi iz/Nc}$ belong to $\mathbf{Q}(\zeta_N)$.*

Then for any field \mathfrak{F}' among the above five fields, $K \cdot \mathfrak{F}'(z)$ is the ray class field modulo N over K . Furthermore, if \mathfrak{F}'' is any intermediate field such that $\mathfrak{F}_{\min}^{(i)} \subset \mathfrak{F}'' \subset \mathfrak{F}_{\max}$ for some i ($1 \leq i \leq 4$) or $F_N \subset \mathfrak{F}'' \subset \mathfrak{F}_{\max}$, then $K \cdot \mathfrak{F}''(z)$ is also the ray class field modulo N over K .

PROOF. Theorem 5.1 in [6]. □

LEMMA 12. *Let K be an imaginary quadratic field with discriminant d_K and $\tau \in K \cap \mathfrak{H}$ be a root of the primitive equation $ax^2 + bx + c = 0$ such that $b^2 - 4ac = d_K$, and let Γ' be any congruence subgroup containing $\Gamma(N)$ and contained in $\Gamma_1(N)$. Suppose that $(N, a) = 1$. Then the field generated over K by all the values $h(\tau)$, where $h \in A_0(\Gamma')_{\mathbf{Q}}$ is defined and finite at τ , is the ray class field modulo N over K .*

PROOF. With the notations as in Theorem 11, if $(N, a) = 1$ then x in the theorem is equal to 1. Therefore the inclusions $\mathfrak{F}_{\min}^{(2)} = A_0(\Gamma_1(N))_{\mathbf{Q}} \subset A_0(\Gamma')_{\mathbf{Q}} \subset A_0(\Gamma(N))_{\mathbf{Q}} \subset F_N \subset \mathfrak{F}_{\max}$ imply the lemma. □

THEOREM 13. *Let K be an imaginary quadratic field with discriminant d_K and $\tau \in K \cap \mathfrak{H}$ be a root of the primitive equation $ax^2 + bx + c = 0$ such that $b^2 - 4ac = d_K$. Then $K(C(\tau))$ is the ray class field modulo 6 over K if $(6, a) = 1$. In particular, if $\mathbf{Z}[\tau]$ is the ring of integers in K , then $K(C(\tau))$ is the ray class field modulo 6 over K .*

PROOF. Since $\mathbf{Q}(C(\tau)) = A_0(\Gamma_1(6) \cap \Gamma^3(3))_{\mathbf{Q}}$ and $\Gamma(6) \subset \Gamma_1(6) \cap \Gamma^0(3) \subset \Gamma_1(6)$, we get the first assertion by Lemma 12. In particular, if $\mathbf{Z}[\tau]$ is the ring of integers in K , then $a = 1$ and hence we readily conclude the last statement.

Next, we show that $1/C(\tau)$ is an algebraic integer for an imaginary quadratic argument τ .

THEOREM 14. *Let K be an imaginary quadratic field with discriminant d_K and $t = \mathcal{N}(j_{1,N})$ be the Hauptmodul of $A_0(\Gamma_1(N))$. Let s be a cusp of $\Gamma_1(N)$ whose width is h_s and*

$S_{\Gamma_1(N)}$ is the set of inequivalent cusps of $\Gamma_1(N)\backslash\mathfrak{H}^*$. If $t \in q^{-1}\mathbf{Z}[[q]]$ and $\prod_{s \in S_{\Gamma_1(N)} - \{\infty\}} (t(z) - t(s))^{h_s}$ is a polynomial in $\mathbf{Z}[t]$, then $t(\tau)$ is an algebraic integer for $\tau \in K \cap \mathfrak{H}$.

PROOF. See [14, Theorem 5]. □

LEMMA 15. The Hauptmodul of $A_0(\Gamma_1(6))$ is $1/C^3(\tau) - 3$.

PROOF. Let $g(\tau) = 1/C^3(\tau)$. It follows from Theorem 4 that $\mathbf{C}(C(\tau)) = A_0(\Gamma_1(6) \cap \Gamma^0(3))$. So, $g \circ \gamma = g$ for $\gamma \in \Gamma_1(6) \cap \Gamma^0(3)$. Furthermore, using $C \circ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} (\tau) = e^{2\pi i/3} C(\tau)$ we have $g \circ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = g$. But, $\Gamma_1(6) = \langle \Gamma_1(6) \cap \Gamma^0(3), \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \rangle$, and so $\mathbf{C}(g(\tau)) \subset A_0(\Gamma_1(6))$. Since

$$A_0(\Gamma_1(6)) : \mathbf{C}(g(\tau)) = \frac{[\mathbf{C}(C(\tau)) : \mathbf{C}(g(\tau))]}{[A_0(\Gamma_1(6) \cap \Gamma^0(3)) : A_0(\Gamma_1(6))]} = \frac{[\mathbf{C}(C(\tau)) : \mathbf{C}(g(\tau))]}{[\Gamma_1(6) : \Gamma_1(6) \cap \Gamma^0(3)]} = 1,$$

$g(\tau)$ is a generator of $A_0(\Gamma_1(6))$ with pole at ∞ . And at ∞ we can easily find a q -expansion $g(\tau) = q^{-1} + 3 + a_1q + a_2q^2 + \dots$. Therefore, the Hauptmodul of $\Gamma_1(6)$ is $1/C^3(\tau) - 3$. □

THEOREM 16. Let K be an imaginary quadratic field with discriminant d_K and $\tau \in K \cap \mathfrak{H}$. Then $1/C(\tau)$ is an algebraic integer.

PROOF. We see by Lemma 15 that the Hauptmodul $t(\tau)$ of $A_0(\Gamma_1(6))$ is $1/C^3(\tau) - 3 \in q^{-1}\mathbf{Z}[[q]]$. We recall that h_s is the width of the cusp s and $\zeta_m = e^{2\pi i/m}$. Since $\overline{\Gamma_0(6)} = \Gamma_1(6)$, we have $S_{\Gamma_1(6)} = \{\infty, 0, 1/2, 1/3\}$.

(i)

$$\begin{aligned} C \circ \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} (\tau) &= \zeta_{12}^5 \prod_{j=0}^5 \frac{\mathfrak{E}_{(1/6 \ j/6)}}{\mathfrak{E}_{(3/6 \ j/6)}} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} (\tau) = \zeta_{12}^5 \prod_{j=0}^5 \frac{\mathfrak{E}_{(j/6 \ -1/6)}}{\mathfrak{E}_{(j/6 \ -3/6)}} (\tau) \\ &= \zeta_{12}^5 \prod_{j=0}^5 \left(\exp \frac{\pi i}{6} \left\{ - \left(\frac{j}{6} - 1 \right) + 3 \left(\frac{j}{6} - 1 \right) \right\} \right) \frac{1 - \zeta_6^{-1}}{1 - \zeta_6^{-3}} \times (1 + O(q)) \\ &= \frac{1}{2} + O(q). \end{aligned}$$

So,

$$C(0) = \lim_{\tau \rightarrow \infty} C \circ \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} (\tau) = \lim_{q \rightarrow 0} \frac{1}{2} + O(q) = \frac{1}{2}.$$

Thus we get $t(0) = 1/C^3(0) - 3 = 5$.

(ii)

$$C \circ \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix} (\tau) = \zeta_{12}^5 \prod_{j=0}^5 \frac{\mathfrak{E}_{((1+2j)/6 \ j/6)}}{\mathfrak{E}_{((3+2j)/6 \ j/6)}} (\tau) = 1 + O(q).$$

Then, $C(1/2) = \lim_{q \rightarrow 0} (1 + O(q)) = 1$ yields $t(1/2) = -2$.

(iii)

$$C \circ \begin{pmatrix} 1 & 0 \\ 3 & 1 \end{pmatrix} (\tau) = \zeta_{12}^5 \prod_{j=0}^5 \frac{\mathfrak{E}_{((1+3j)/5 \ j/6)}}{\mathfrak{E}_{((3+3j)/6 \ j/6)}} (\tau).$$

We know by (K5) in Section 2 that $\text{ord}_q C \circ \begin{pmatrix} 1 & 0 \\ 3 & 1 \end{pmatrix} (\tau) = -1/6$. In other words, $C(\tau)$ has a pole at $1/3$ and $t(1/3) = 1/C^3(\tau) - 3 = -3$.

On the other hand, it follows from Lemma 3 that $h_0 = 6, h_{1/2} = 3$ and $h_{1/3} = 2$. Hence, the polynomial $\prod_{s \in S_{F_1(6) - \{\infty\}}} (t(z) - t(s))^{h_s}$ becomes $(t - 5)^6(t + 2)^3(t + 3)^2$ and so it belongs to $\mathbf{Z}[t]$. Then by Theorem 14 that $1/C^3(\tau) - 3$ is an algebraic integer for $\tau \in K \cap \mathfrak{H}$. Therefore $1/C(\tau)$ is an algebraic integer, too. \square

We see from Theorem 13 that if an imaginary quadratic number θ generates the ring of integers in $K = \mathbf{Q}(\theta)$, then $K(C(\theta))$ is the ray class field modulo 6 over K . In this case to find its class polynomial we shall use the Shimura's reciprocity law by adopting the idea of Gee ([9]).

We first consider the finite Galois extension $F_1 \subset F_N$. Let $\alpha_N \in \text{SL}_2(\mathbf{Z}/N\mathbf{Z})$ represent the $\Gamma(N)$ -equivalence class of a linear fractional transformation $\alpha \in \text{SL}_2(\mathbf{Z})$ on \mathfrak{H}^* . For $h \in F_N$, the action $h^{\alpha_N} = h \circ \alpha$ is well defined and induces an isomorphism $\text{SL}_2(\mathbf{Z}/N\mathbf{Z})/\{\pm 1\} \cong \text{Gal}(F_N/F_1(\zeta_N)) = \text{Gal}(C \cdot F_N/C \cdot F_1)$. And for $d \in (\mathbf{Z}/N\mathbf{Z})^\times$, let σ_d denote the automorphism of $\mathbf{Q}(\zeta_N)$ given by $\zeta_N \mapsto \zeta_N^d$. Then the action of σ_d gives rise to a natural isomorphism $\text{Gal}(F_1(\zeta_N)/F_1) \cong \text{Gal}(\mathbf{Q}(\zeta_N)/\mathbf{Q}) \cong (\mathbf{Z}/N\mathbf{Z})^\times$, which we can lift to F_N by changing $h = \sum_k c_k q^{k/N} \in F_N$ to $h^{\sigma_d} = \sum_k \sigma_d(c_k) q^{k/N}$. Thus $h \mapsto h^{\sigma_d}$ defines a group action of $(\mathbf{Z}/N\mathbf{Z})^\times$ on F_N whose invariant field $F_{N, \mathbf{Q}}$ is the subfield of F_N having Fourier coefficients in \mathbf{Q} . Here we have $F_{N, \mathbf{Q}} \cap F_1(\zeta_N) = F_1$.

Now, define the subgroup $G_N = \{ \begin{pmatrix} 1 & 0 \\ 0 & d \end{pmatrix} ; d \in (\mathbf{Z}/N\mathbf{Z})^\times \}$ of $GL_2(\mathbf{Z}/N\mathbf{Z})$. Then the map $(\mathbf{Z}/N\mathbf{Z})^\times \xrightarrow{\sim} G_N$ gives an isomorphism $G_N \cong \text{Gal}(F_N/F_{N, \mathbf{Q}})$. From this fact we get the following exact sequence

$$1 \rightarrow \{\pm 1\} \rightarrow GL_2(\mathbf{Z}/N\mathbf{Z}) \rightarrow \text{Gal}(F_N/F_1) \rightarrow 1.$$

Passing to the projective limit we then have an exact sequence

$$1 \rightarrow \{\pm 1\} \rightarrow GL_2(\widehat{\mathbf{Z}}) \rightarrow \text{Gal}(\mathfrak{F}/F_1) \rightarrow 1.$$

Let $\mathcal{O} = \mathbf{Z}[\theta]$ be the ring of integers of K and let $K_p = \mathbf{Q}_p \otimes_{\mathbf{Q}} K$ and $\mathcal{O}_p = \mathbf{Z}_p \otimes_{\mathbf{Z}} \mathcal{O}$. By theory of complex multiplication $j(\theta)$ generates the Hilbert class field over K and the maximal abelian extension K^{ab} is equal to $K(\mathfrak{F}(\theta))$. Moreover, the sequence

$$1 \rightarrow \mathcal{O}^\times \rightarrow \prod_p \mathcal{O}_p^\times \rightarrow \text{Gal}(K^{ab}/K(j(\theta))) \rightarrow 1$$

is exact. Here the map $\prod_p \mathcal{O}_p^\times \rightarrow \text{Gal}(K^{ab}/K(j(\theta)))$ is the Artin map $[\sim, K]$. In addition, the ray class field modulo N over K is $K(F_N(\theta))$ and the subgroup of $\prod_p \mathcal{O}_p^\times$ which acts trivially on $K(F_N(\theta))$ with respect to the Artin map is generated by \mathcal{O}^\times and $\prod_p ((1 + N\mathcal{O}_p) \cap \mathcal{O}_p^\times)$.

Let J_K^f be the finite idèles $\prod'_p K_p^\times$ of K . The restricted product is taken with respect to the subgroup $\mathcal{O}_p^\times \subset K_p^\times$. For every prime p we consider the map $(g_\theta)_p$ defined by $(g_\theta)_p : K_p^\times \rightarrow GL_2(\mathbf{Q}_p)$ as the injection satisfying $(g_\theta)_p(x_p) \begin{pmatrix} \theta \\ 1 \end{pmatrix} = x_p \begin{pmatrix} \theta \\ 1 \end{pmatrix}$. Since $\mathbf{Z}[\theta]$ is the

ring of integers of K , θ has the minimal polynomial $X^2 + BX + C \in \mathbf{Z}[X]$ which satisfies $\theta^2 + B\theta + C = 0$. Then for s_p and $t_p \in \mathcal{O}_p$ we explicitly have

$$(g_\theta)_p : s_p\theta + t_p \mapsto \begin{pmatrix} t_p - B \cdot s_p & -C \cdot s_p \\ s_p & t_p \end{pmatrix}.$$

Therefore on J_K^f we get an injective map $g_\theta = \prod_p (g_\theta)_p : J_K^f \rightarrow \prod'_p GL_2(\mathcal{O}_p)$. Here the restricted product is taken with respect to the subgroups $GL_2(\mathbf{Z}_p) \subset GL_2(\mathcal{O}_p)$. Moreover, $g_\theta^{-1}(GL_2(\widehat{\mathbf{Z}})) = \prod_p \mathcal{O}_p^\times$. So we get the row exact diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathcal{O}^\times & \longrightarrow & \prod_p \mathcal{O}_p^\times & \xrightarrow{[\sim, K]} & \text{Gal}(K^{ab}/K(j(\theta))) & \longrightarrow & 1 \\ & & & & \downarrow g_\theta & & & & \\ 1 & \longrightarrow & \{\pm 1\} & \longrightarrow & GL_2(\widehat{\mathbf{Z}}) & \longrightarrow & \text{Gal}(\mathfrak{F}/F_1) & \longrightarrow & 1. \end{array}$$

And by the Shimura’s reciprocity law, $h(\theta)^{[x^{-1}, K]} = h^{(g_\theta(x))}(\theta)$ for $h \in \mathfrak{F}$ and $x \in \prod_p \mathcal{O}_p^\times$. For a positive integer N , $g_\theta^{-1}(\text{Stab}_{F_N}) = \prod_p ((1 + N\mathcal{O}_p) \cap \mathcal{O}_p^\times)$ where Stab_{F_N} is the inverse image of $\text{Gal}(\mathfrak{F}/F_N)$ in $GL_2(\widehat{\mathbf{Z}})$. Using the isomorphism $g_\theta^{-1}(\text{Stab}_{F_1})/g_\theta^{-1}(\text{Stab}_{F_N}) \simeq (\mathcal{O}/N\mathcal{O})^\times$ we define the reduction map $g_{\theta, N}$ of g_θ modulo N from $(\mathcal{O}/N\mathcal{O})^\times$ to $GL_2(\mathbf{Z}/N\mathbf{Z})$. Define $W_{N, \theta} = g_{\theta, N}((\mathcal{O}/N\mathcal{O})^\times) \subset GL_2(\mathbf{Z}/N\mathbf{Z})$. Precisely speaking, $W_{N, \theta}$ is a finite subgroup $\{(\begin{smallmatrix} t - Bs & -Cs \\ t & s \end{smallmatrix}) \in GL_2(\mathbf{Z}/N\mathbf{Z}) ; t, s \in \mathbf{Z}/N\mathbf{Z}\}$.

THEOREM 17. *Let K be an imaginary quadratic field of discriminant d_K and $\theta = \sqrt{d_K}/2$ (resp. $(3 + \sqrt{d_K})/2$) if $d_K \equiv 0 \pmod{4}$ (resp. $d_K \equiv 1 \pmod{4}$), and let $Q = [a, b, c]$ be a primitive positive definite quadratic form of discriminant d_K and τ_Q denote $(-b + \sqrt{d_K})/2a \in \mathfrak{H}$. Define $u = (u_p)_p \in \prod_p GL_2(\mathbf{Z}_p)$ as follows. (p runs over all rational primes.)*

Case 1 : $d_K \equiv 0 \pmod{4}$

$$u_p = \begin{cases} \begin{pmatrix} a & b/2 \\ 0 & 1 \end{pmatrix} & \text{if } p \nmid a, \\ \begin{pmatrix} -b/2 & -c \\ 1 & 0 \end{pmatrix} & \text{if } p|a \text{ and } p \nmid c, \\ \begin{pmatrix} -a - b/2 & -c - b/2 \\ 1 & -1 \end{pmatrix} & \text{if } p|a \text{ and } p|c. \end{cases}$$

Case 2 : $d_K \equiv 1 \pmod{4}$

$$u_p = \begin{cases} \begin{pmatrix} a & (3+b)/2 \\ 0 & 1 \end{pmatrix} & \text{if } p \nmid a, \\ \begin{pmatrix} (3-b)/2 & -c \\ 1 & 0 \end{pmatrix} & \text{if } p|a \text{ and } p \nmid c, \\ \begin{pmatrix} -a + (3-b)/2 & -c - (3+b)/2 \\ 1 & -1 \end{pmatrix} & \text{if } p|a \text{ and } p|c. \end{cases}$$

Then $h(\theta)^{[a, -b, c]} = h^u(\tau_Q)$ for any $h \in \mathcal{F}$ such that $h(\theta) \in K(j(\theta))$.

PROOF. See [9]. □

With the notations as above, if $h \in F_p$ for a prime p , then $h(\theta)^{[a,-b,c]} = h^{u_p}(\tau_Q)$ because the action h^u depends only on the p -component. Here we observe that our continued fraction $C(\tau)$ is contained in F_6 . Let $f(\tau) = 1/C(\tau)$. Then $f(\theta)^{[a,-b,c]} = f^{(u_2, u_3, u_5, \dots)}(\tau_Q) = f^{M_Q}(\tau_Q)$ where $M_Q \in M_2(\mathbf{Z}) \cap GL_2^+(\mathbf{Q})$ satisfies $M_Q \equiv u_p \pmod 6$ for all primes p . Therefore, we may take $M_Q = 3u_2 - 2u_3 \in GL_2(\mathbf{Z}/6\mathbf{Z})$.

Let H be the Hilbert class field of K . Then there is a surjective homomorphism of $W_{N,\theta}$ onto $\text{Gal}(K_{(N)}/H)$ defined by $\alpha \mapsto (h(\theta) \mapsto h^{\alpha^{-1}}(\theta))$. Let C be the kernel of this surjection. In fact, C is the image of $g_\theta(\mathcal{O}_K^\times)$ in $GL_2(\mathbf{Z}/N\mathbf{Z})$. Since $\text{Gal}(K_{(N)}/K)/\text{Gal}(K_{(N)}/H)$ is isomorphic to $\text{Gal}(H/K) \cong C(d_K)$, where $C(d_K)$ is the form class group of discriminant d_K . Thus, the image of the homomorphism

$$\begin{aligned} C(d_K) &\rightarrow \text{Gal}(K_{(N)}/K) \\ [Q]^{-1} &\mapsto (h(\theta) \mapsto h^{M_Q}(\theta)) \end{aligned}$$

gives all the coset representatives of $\text{Gal}(K_{(N)}/H)$ in $\text{Gal}(K_{(N)}/K)$. Hence, we obtain that $\{h^{\alpha \cdot M_Q} ; \alpha \in W_{N,\theta}/C$ and Q is any reduced primitive quadratic form of discriminant d_K is the set of all the conjugates of $h(\theta)$ over K .

Let

$$F(X) = \prod_{\substack{\alpha \in W_{6,\theta}/C \\ Q \in C(d_K)}} (X - f^{\alpha \cdot M_Q}(\tau_Q)) \in K[X]$$

be the minimal polynomial of $f(\theta)$ over K . Then, $F(X)$ is in $\mathbf{Z}[X]$. Indeed, since f has rational Fourier coefficients and $e^{2\pi i \theta/3} \in \mathbf{R}$ for θ defined in Theorem 17, $f(\theta)$ is always real. Observing $0 = F(f(\theta)) = \overline{F(f(\theta))} = \overline{F(\overline{f(\theta)})} = \overline{F(f(\theta))}$ we see that $F(X) \in (K \cap \mathbf{R})[X] = \mathbf{Q}[X]$. Furthermore, $f(\theta)$ is an algebraic integer by Theorem 16 so that $F(X)$ is a polynomial with integral coefficients, that is, $F(X) \in \mathbf{Z}[X]$.

Now before closing this section we present an example with $K = \mathbf{Q}(\sqrt{-3})$ as follows.

PROPOSITION 18. *Let $K = \mathbf{Q}(\sqrt{-3})$ be an imaginary quadratic field and $K_{(6)}$ be the ray class field of K modulo 6. And let $F(X)$ be the class polynomial of $K_{(6)}$. Then $F(X) = X^3 + 6X^2 + 4$.*

PROOF. If $K = \mathbf{Q}(\sqrt{-3})$, then we have $\theta = (3 + \sqrt{-3})/2$ and $d_K = -3$. We may assume that a positive definite quadratic form Q is $[1, 1, 1]$ and $\tau_Q = (-1 + \sqrt{-3})/2$. Then as is well known it is the unique reduced primitive quadratic form of discriminant -3 . It follows from Theorem 17 that $u_2 = u_3 = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$, $M_Q = 3u_2 - 2u_3 = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \in GL_2(\mathbf{Z}/6\mathbf{Z})$. And $B = -3, C = 3$ because $\theta^2 - 3\theta + 3 = 0$. Using these we get $W_{6,\theta}$ and C as follows.

$$\begin{aligned} W_{6,\theta} = & \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}, \pm \begin{pmatrix} 1 & 0 \\ 4 & 1 \end{pmatrix}, \pm \begin{pmatrix} 2 & 3 \\ 3 & 5 \end{pmatrix}, \right. \\ & \left. \pm \begin{pmatrix} 2 & 3 \\ 5 & 5 \end{pmatrix}, \pm \begin{pmatrix} 2 & 3 \\ 1 & 5 \end{pmatrix}, \pm \begin{pmatrix} 1 & 3 \\ 3 & 4 \end{pmatrix}, \pm \begin{pmatrix} 1 & 3 \\ 1 & 4 \end{pmatrix}, \pm \begin{pmatrix} 1 & 3 \\ 5 & 4 \end{pmatrix} \right\} \end{aligned}$$

$$C = \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 1 & -3 \\ 1 & -2 \end{pmatrix}, \pm \begin{pmatrix} 2 & -3 \\ 1 & -1 \end{pmatrix} \right\}.$$

So, $W_{6,\theta}/C$ has 3 distinct cosets $[\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}]$, $[\begin{pmatrix} -2 & -3 \\ 1 & 1 \end{pmatrix}]$, $[\begin{pmatrix} 1 & 0 \\ 4 & 1 \end{pmatrix}]$. Therefore

$$\begin{aligned} & \left\{ f^{\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}} \left(\frac{-1 + \sqrt{-3}}{2} \right), f^{\begin{pmatrix} -2 & -3 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}} \left(\frac{-1 + \sqrt{-3}}{2} \right), f^{\begin{pmatrix} 1 & 0 \\ 4 & 1 \end{pmatrix} \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}} \left(\frac{-1 + \sqrt{-3}}{2} \right) \right\} \\ &= \left\{ f \left(\frac{3 + \sqrt{-3}}{2} \right), f \left(\frac{-2\theta - 3}{\theta + 1} \right), f \left(\frac{\theta}{4\theta + 1} \right) \right\} \end{aligned}$$

is the set of all the conjugates of $f(\theta)$ over K . Hence, through the approximation of these three values by using the fact $F(X) \in \mathbf{Z}[X]$ we get

$$\begin{aligned} F(X) &= \left(X - f \left(\frac{3 + \sqrt{-3}}{2} \right) \right) \left(X - f \left(\frac{-2\theta - 3}{\theta + 1} \right) \right) \left(X - f \left(\frac{\theta}{4\theta + 1} \right) \right) \\ &= X^3 + 6X^2 + 4. \end{aligned}$$

□

By means of the same arguments we have the following class polynomials whose coefficients seem to be relatively small when compared with others' works, for examples, Morain ([16]), Kalfoten-Yui ([13]) and Chen-Yui ([5]).

d_K	the class polynomial of $K_{(6)}$
-3	$X^3 + 6X^2 + 4$
-4	$X^4 - 8X^3 - 8X - 8$
-7	$X^4 + 16X^3 - 8X + 16$
-8	$X^4 - 20X^3 + 12X^2 + 16X - 8$
-11	$X^6 + 30X^5 - 72X^4 + 8X^3 + 120X^2 + 16$
-15	$X^6 + 60X^5 + 132X^4 + 56X^3 + 96X^2 + 96X + 64$
-19	$X^{12} + 96X^{11} + 232X^9 - 1440X^8 + 960X^6 + 4608X^5 + 256X^3 + 6144X^2 + 256$

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