

## IMBEDDINGS OF HOMOGENEOUS SPACES WITH MINIMUM TOTAL CURVATURE

SHOSHICHI KOBAYASHI<sup>\*)</sup>

(Received September 21, 1966)

**1. Introduction.** Let  $M$  be an  $n$ -dimensional compact differentiable manifold immersed in the Euclidean space  $R^{n+k}$ . Let  $B$  be the set of unit normal vectors of  $M$ . Then  $B$  is a bundle of  $(k-1)$ -sphere over  $M$  and is a manifold of dimension  $n+k-1$ . Let  $S$  be the unit  $(n+k-1)$ -sphere about the origin of  $R^{n+k}$ . Let  $d\sigma$  be the volume element of  $S$  and  $c_{n+k-1} = \int_S d\sigma$  the volume of  $S$ . If we denote by  $\nu$  the canonical map  $B \rightarrow S$ , then the total curvature of the immersed manifold  $M$  is defined by (cf. Chern and Lashof [3])

$$\frac{1}{c_{n+k-1}} \int_B \nu^*(d\sigma).$$

Since the total curvature defined above depends not only on  $M$  but on the immersion  $\varphi: M \rightarrow R^{n+k}$ , we shall denote it by  $\tau(M, \varphi, R^{n+k})$  or simply by  $\tau(\varphi)$ .

Let  $F$  be the set of functions  $f$  on  $M$  whose critical points are all non-degenerate. The number of the critical points of index  $i$  of  $f \in F$  will be denoted by  $\beta_i(M, f)$ . We set

$$\left\{ \begin{array}{l} \beta_i(M) = \min_{f \in F} \beta_i(M, f), \\ \beta(M, f) = \sum_{i=0}^n \beta_i(M, f), \\ \beta(M) = \min_{f \in F} \beta(M, f). \end{array} \right.$$

Since  $\beta_i(M, f) = \beta_{n-i}(M, -f)$ , we have

---

<sup>\*)</sup> Supported partially by NSF GP-3982.

$$\beta_i(M) = \beta_{n-i}(M).$$

Evidently we have also

$$\beta(M) \geq \sum_{i=0}^n \beta_i(M).$$

For an arbitrarily fixed coefficient field, let  $b_i(M)$  be the  $i$ -th Betti number of  $M$ . Then the Morse inequalities state

$$\beta_i(M) \geq b_i(M).$$

Chern-Lashof [4] proved the inequality

$$\tau(M, \varphi, R^{n+k}) \geq \sum_{i=0}^n b_i(M).$$

Kuiper [8] obtained a stronger inequality:

$$\tau(M, \varphi, R^{n+k}) \geq \beta(M).$$

Thus

$$\tau(M, \varphi, R^{n+k}) \geq \beta(M) \geq \sum_{i=0}^n \beta_i(M) \geq \sum_{i=0}^n b_i(M).$$

He also proved that if  $M$  is fixed, then for variable immersion  $\varphi : M \rightarrow R^{n+k}$  and variable  $k$ :

$$\inf \tau(M, \varphi, R^{n+k}) = \beta(M).$$

An immersion  $\varphi : M \rightarrow R^{n+k}$  is said to be minimal if  $\tau(\varphi) = \beta(M)$ . Given a compact manifold  $M$ , in general there does not exist a minimal immersion of  $M$ . Kuiper pointed out that an exotic sphere cannot be minimally immersed. In fact, if  $M$  is an exotic sphere, it admits a function with two isolated singularities (one maximum and one minimum) and hence  $\beta(M)=2$ . On the other hand, by a theorem of Chern-Lashof [3] an immersed compact manifold  $M$  with  $\tau(M, \varphi, R^{n+k}) = 2$  is a convex hypersurface in some  $R^{n+1} \subset R^{n+k}$ , which implies that  $M$  is diffeomorphic with a usual sphere. It is an interesting but difficult problem to decide which manifolds can be minimally immersed, since it involves not only topological but differentiable structures of manifolds. Kuiper [9] proved that every orientable closed surface and also

every non-orientable closed surface with Euler number  $\leq -2$  can be minimally immersed in  $R^3$  and that the real projective plane and the Klein bottle can not be minimally immersed in  $R^3$ . In an earlier paper (Kuiper [8]) he exhibited a minimal immersion of the real projective plane in  $R^4$ .<sup>1)</sup>

The purpose of this paper is to prove

**THEOREM 1.** *Every compact homogeneous Kaehler manifold can be minimally imbedded into a Euclidean space.*

We can also estimate the dimension of the receiving Euclidean space. Every compact homogeneous Kaehler manifold  $M$  can be written as a coset space  $G/H$  of a compact Lie group  $G$ , (see references given in § 2). Let  $S$  be the semi-simple part of  $G$  and  $C$  the center of  $G$ . Then  $M$  can be minimally imbedded into a Euclidean space of dimension  $\dim S + \frac{3}{2} \dim C$ .

For further comments on the imbedding we construct, see the last section.

**2. Reduction to the simply connected case.** Let  $M$  and  $M'$  be compact manifolds and  $\varphi: M \rightarrow R^N$  and  $\varphi': M' \rightarrow R^{N'}$  be immersions with total curvature  $\tau(M, \varphi, R^N)$  and  $\tau(M', \varphi', R^{N'})$ . Then the total curvature  $\tau(M \times M', \varphi \times \varphi', R^{N+N'})$  is given by

$$\tau(M \times M', \varphi \times \varphi', R^{N+N'}) = \tau(M, \varphi, R^N) \cdot \tau(M', \varphi', R^{N'});$$

see Kuiper [8] for the proof.

From the definition of  $\beta(M)$  and  $\beta(M')$ , it is clear that

$$\begin{aligned} \beta(M)\beta(M') &\geq \beta(M \times M') \geq \sum_k b_k(M \times M') \\ &= \left(\sum_i b_i(M)\right)\left(\sum_j b_j(M')\right). \end{aligned}$$

Hence if  $\beta(M) = \sum_i b_i(M)$  and  $\beta(M') = \sum_j b_j(M')$ , then

$$\beta(M)\beta(M') = \beta(M \times M').$$

We may now conclude

---

1) For the total curvature of immersed manifolds, see also an excellent exposition by D. Ferus, Die absolute Totalkrümmung Riemannscher Immersionen, Diplomarbeiten, Bonn 1966.

LEMMA 1. *Let  $M$  and  $M'$  be compact manifolds minimally immersed in  $R^N$  and  $R^{N'}$  respectively. If  $\beta(M) = \sum_i b_i(M)$  and  $\beta(M') = \sum_j b_j(M')$ , then  $M \times M'$  is minimally immersed in  $R^{N+N'}$  in a natural manner.*

Since a torus  $T$  is a product of circles, we have

LEMMA 2. *For a torus  $T$ , we have the equality  $\beta(T) = \sum_i b_i(T)$ .*

The following is due to Frankel [5].

LEMMA 3. *Let  $M$  be a compact Kaehler manifold with  $b_1(M) = 0$ . If it admits a Killing vector field  $X$  with isolated zeros, then  $\beta(M) = \sum_i b_i(M)$ .*

Since we need the proof in the next section, we shall describe it briefly. Let  $\xi$  be the 1-form corresponding to  $X$  under the duality defined by the metric. Let  $J$  be the complex structure of  $M$ . Then  $J\xi$  is a closed 1-form and hence  $J\xi = df$  for some function  $f$ . Clearly the critical points of  $f$  coincide with the zeros of  $X$ . Frankel shows that the isolated critical points of  $f$  are all non-degenerate and of even index, i.e.,

$$\beta_i(M, f) = 0 \quad \text{for all odd } i.$$

From the Morse relations it follows that

$$b_i(M) = \beta_i(M, f) \quad \text{for all } i,$$

which implies Lemma 3.

LEMMA 4. *A torus  $T$  of dimension  $r$  can be minimally imbedded into  $R^N$  where  $N = \frac{3}{2}r$  if  $r$  is even and  $N = \frac{3}{2}(r-1) + 2$  if  $r$  is odd.*

If  $r$  is even, we write  $T$  as a product of 2-dimensional tori. If  $r$  is odd, we write  $T$  as a product of 2-dimensional tori and a circle. A 2-dimensional torus can be minimally imbedded into  $R^3$  (in an ordinary doughnut shaped form) and a circle can be minimally imbedded into  $R^2$  in a usual manner. Lemma 4 follows from Lemmas 1 and 2.

The following main lemma will be proved in the next section.

LEMMA 5. *Let  $M = G/H$  be a simply connected homogeneous Kaehler*

manifold with  $G$  compact. Then  $\beta(M) = \sum_i b_i(M)$  and  $M$  can be minimally imbedded into  $R^N$  where  $N = \dim G$ .

The following lemma is due to Borel-Remmert [2].

LEMMA 6. *A compact homogeneous Kaehler manifold is a direct product of a complex torus and a compact simply connected homogeneous Kaehler manifold and can be written as a coset space  $G/H$  of a compact Lie group  $G$ .*

The following lemma is due to Matsushima [12].

LEMMA 7. *Let  $M = G/H$  be a homogeneous Kaehler manifold with  $G$  compact. Then  $G = S \times C$  where  $S$  is semi-simple and  $C$  is the center of  $G$ . Moreover  $S$  contains  $H$  so that  $G/H = (S/H) \times C$  is the decomposition described in Lemma 6, i.e.,  $S/H$  is a simply connected homogeneous Kaehler manifold and  $C$  is a complex torus.*

It is now clear that the proof of Theorem is reduced to that of the main lemma (lemma 5).

**3. Proof of Lemma 5.** Let  $M = G/H$  be a simply connected homogeneous Kaehler manifold on which a compact Lie group  $G$  acts effectively. Let  $\mathfrak{g}$  denote the Lie algebra of  $G$  whose elements are considered as Killing vector fields on  $M$ . Let  $\mathfrak{g}^*$  denote the space of 1-forms corresponding to the Killing vector fields  $X \in \mathfrak{g}$  under the duality defined by the metric. Let  $\Delta = d\delta + \delta d$  denote the Laplacian. We define a space  $E$  of functions on  $M$  by

$$E = \{ \delta(J\xi) ; \xi \in \mathfrak{g}^* \} .$$

Let  $E^*$  be the dual space of  $E$  and  $\varphi : M \rightarrow E^*$  the evaluation map, i.e.,

$$\langle \varphi(x), f \rangle = f(x) \quad \text{for } x \in M \quad \text{and } f \in E .$$

We shall show that  $\varphi$  gives a minimal imbedding of  $M$  into  $E^*$ .

A compact simply connected homogeneous Kaehler manifold  $M$  carries an Einstein-Kaehler metric (cf. Borel [1] and Koszul [7]). If  $\xi$  is a 1-form corresponding to a Killing vector field of  $M$ , then (cf. Yano and Bochner [14; p. 33])

$$\Delta(J\xi) = J\Delta(\xi) = 2J\xi .$$

Since  $J\xi$  is closed (cf. Matsushima [13; lemme 4]), we have

$$d\delta J\xi = 2J\xi.$$

This shows that the mapping  $\xi \in \mathfrak{g}^* \rightarrow \delta J\xi \in E$  is a linear isomorphism and that the critical points of the function  $f = \delta J\xi$  coincide with the zeros of  $\xi$ . From the proof of Lemma 3 described above, we have

LEMMA 8. *Let  $f = \delta J\xi \in E$  be a function having only isolated points. Then the critical points of  $f$  are all non-degenerate and of even index. Hence*

$$\mathcal{B}(M, f) = \sum_{\text{even } i} \mathcal{B}_i(M, f) = \sum_{\text{even } i} b_i(M) = \mathcal{B}(M).$$

Note that the last equality follows from the first two and from

$$\mathcal{B}(M, f) \geq \mathcal{B}(M) \geq \sum_i b_i(M).$$

Since  $G$  is transitive on  $M$ , it follows that the set

$$\{(df)_x; f \in E\} = \{(d\delta J\xi_x; \xi \in \mathfrak{g}^*)\} = \{(J\xi)_x; \xi \in \mathfrak{g}^*\}$$

coincides with the cotangent space of  $M$  at  $x$ . Hence the evaluation map  $\varphi: M \rightarrow E^*$  is an immersion.

The linear isomorphism  $\xi \in \mathfrak{g}^* \rightarrow \delta J\xi \in E$  induces the dual linear isomorphism  $E^* \rightarrow \mathfrak{g}$ . Let  $\rho$  be the representation of  $G$  on  $E^*$  corresponding to the adjoint representation of  $G$  on  $\mathfrak{g}$ . It is easy to verify that the immersion  $\varphi$  is equivariant with  $\rho$  in the sense that

$$\rho(s)\varphi(x) = \varphi(s(x)) \text{ for } s \in G \text{ and } x \in M.$$

The group  $G$  acts on  $\varphi(M)$  in a natural manner;  $s \in G$  sends  $\varphi(x)$  into  $\rho(s)\varphi(x) = \varphi(s(x))$ . Let  $o \in M$  be the origin corresponding to the coset  $H$  of  $G/H$  and let  $H^*$  be the isotropy subgroup of  $G$  acting on  $\varphi(M)$  at  $\varphi(o)$ , i.e.,

$$H^* = \{s \in G; \rho(s)\varphi(o) = \varphi(o)\} = \{s \in G; \varphi(s(o)) = \varphi(o)\}.$$

Since  $\varphi: M \rightarrow E^*$  is an equivariant immersion, it follows that  $\varphi: M \rightarrow \varphi(M)$  is a covering projection. In other words, the natural map  $G/H \rightarrow G/H^*$  is a covering projection.

Following Lichnerowicz [11; p.166] we shall show that  $H^* = H$  thereby proving that  $\varphi : M \rightarrow \varphi(M)$  is one-to-one. The group  $H^*$  is the isotropy subgroup of  $G$  at  $\varphi(o)$  in the representation  $\rho$ . Under the identification  $E^* \approx \mathfrak{g}$ ,  $H^*$  is the isotropy subgroup of  $G$  at  $\varphi(o)$  in the adjoint representation, i.e., the centralizer of the 1-parameter subgroup generated by  $\varphi(o) \in \mathfrak{g}$ . Since  $G$  is compact, the closure of this 1-parameter subgroup in  $G$  is a torus and  $H^*$  is the centralizer of this torus in  $G$ . It follows that  $H^*$  is connected and hence  $H^* = H$ .

Now the fact that the imbedding  $\varphi : M \rightarrow E^*$  is minimal follows from Lemma 8 and from the following lemma of Kuiper [10].

LEMMA 9. *In general let  $\varphi$  be an immersion of  $M$  into a vector space  $E^*$ . Consider each element  $f$  of the dual space  $E$  as a function  $f \circ \varphi$ . Then the immersion  $\varphi$  is minimal if and only if*

$$\beta(M, f) = \beta(M)$$

for every function  $f \in E$  having only isolated non-degenerate critical points on  $M$ .

**4. Some comments on the minimal imbedding  $\varphi$ .** Throughout this section  $M = G/H$  will be a compact simply connected homogeneous Kaehler manifold and  $G$  will be a compact semi-simple Lie group.

The minimal imbedding  $\varphi$  of  $M$  constructed in § 3 may be considered as an imbedding of  $M$  into the Lie algebra  $\mathfrak{g}$  of  $G$ . The imbedding  $\varphi$  is then equivariant with the adjoint representation of  $G$ .

There is no proper affine subspace of  $E^*$  which contains  $\varphi(M)$ . Otherwise there would be a nonzero function  $f \in E$  which is constant on  $M$ . Since  $f = \delta J \xi$  for some  $\xi \in \mathfrak{g}^*$  and  $0 = df = 2J\xi$ ,  $f$  must vanish identically on  $M$  and hence  $f$  is the zero element of  $E$ . This is absurd.

If  $2m$  denotes the real dimension of  $M$ , then  $\dim E^* = \dim G = \dim M + \dim H \leq \dim M + \dim U(m) = 2m + m^2$ , and the equality is attained when  $M$  is the complex projective space.

For the complex projective space  $P_m(C)$  the minimal imbedding  $\varphi$  may be described as follows. Let  $(z^0, z^1, \dots, z^m)$  be a homogeneous coordinate system of  $P_m(C)$  with the condition  $z^0 \bar{z}^0 + z^1 \bar{z}^1 + \dots + z^m \bar{z}^m = 1$ . Let  $R^{(m+1)^2}$  be a Euclidean space with coordinate system  $(X^h, X^{hk}, Y^{hk})$  where  $h, k = 0, \dots, m$  and  $h \neq k$ . Consider the imbedding  $P_m(C) \rightarrow R^{(m+1)^2}$  defined by

$$X^h = \sqrt{2} z^h \bar{z}^h, X^{hk} = z^h \bar{z}^k + \bar{z}^h z^k, Y^{hk} = i(z^h \bar{z}^k - \bar{z}^h z^k).$$

Then  $P_m(C)$  lies in the hyperplane of  $R^{(m+1)^2}$  defined by

$$X^0 + \cdots + X^m = \sqrt{2}.$$

It can be shown that the imbedding of  $P_m(\mathbb{C})$  into this hyperplane is the same as  $\varphi$ . I found this imbedding in Hodge [6; p. 151].<sup>2)</sup>

Since  $P_{2m-1}(\mathbb{C})$  can be written also as  $Sp(m)/Sp(m-1) \times U(1)$ ,  $P_{2m-1}(\mathbb{C})$  can be minimally imbedded into  $R^N$  where  $N = \dim Sp(m) = m(2m+1)$ .

ADDED IN PROOF. Generalizing the minimal imbedding of a complex projective space described in §4, S. S. Tai has recently discovered minimal imbeddings of real and quaternionic projective spaces.

#### BIBLIOGRAPHY

- [1] A. BOREL, Kaehlerian coset spaces of semi-simple Lie groups, Proc. Nat. Acad. Sci., 40(1954), 1147-1151.
- [2] A. BOREL AND R. REMMERT, Über kompakt homogene Kählersche Mannigfaltigkeiten, Math. Ann., 145(1962), 429-439.
- [3] S. S. CHERN AND R. K. LASHOF, On the total curvature of immersed manifolds, Amer. Journ. Math., 79(1957), 306-318.
- [4] S. S. CHERN AND R. K. LASHOF, On the total curvature of immersed manifolds II, Michigan Math. Journ., 5(1958), 5-12.
- [5] T. FRANKEL, Fixed points and torsion on Kahler manifolds, Ann. Math., 70(1959), 1-8.
- [6] W. V. D. HODGE, The theory and applications of harmonic integrals, Cambridge University Press, 1941.
- [7] J. L. KOSZUL, Sur la forme hermitienne canonique des espaces homogènes complexes, Canadian Journ. Math., 7(1955), 562-576.
- [8] N. H. KUIPER, Immersions with minimal total absolute curvature, Colloque de géométrie différentielle globale, Bruxelles, 1958, 75-88.
- [9] N. H. KUIPER, Convex immersions of closed surfaces in  $E^3$ , Comm. Math. Helv., 35(1961), 85-92.
- [10] N. H. KUIPER, Sur les immersions à courbure totale minimale, Séminaire Ehresmann (1958/59).
- [11] A. LICHNEROWICZ, Géométrie des groupes de transformations, Dunod Paris, 1958.
- [12] Y. MATSUSHIMA, Sur les espaces homogènes kähleriens d'un groupe de Lie réductif, Nagoya Math. Journ., 11(1957), 53-60.
- [13] Y. MATSUSHIMA, Sur la structure du groupe d'homéomorphismes analytiques d'une certaine variété kaehlerienne, Nagoya Math. Journ., 11(1957), 145-150.
- [14] K. YANO AND S. BOCHNER, Curvature and Betti numbers, Annals of Math. Studies, No. 32(1953).

UNIVERSITY OF CALIFORNIA,  
BERKELEY, U. S. A.

---

<sup>2)</sup> Hodge attributes this imbedding to G. Mannoury, Nieuw Archief voor Wiskunde 4(1898), 112.