

## ON THE ALGEBRA OF MEASURABLE OPERATORS FOR A GENERAL $AW^*$ -ALGEBRA

KAZUYUKI SAITÔ

(Received November 19, 1968)

**1. Introduction.** It is an interesting problem in the non-commutative integration theory to construct a “measurable operator” without using unbounded linear operators. From this point of view, we shall extend Berberian’s result on “The regular ring of a finite  $AW^*$ -algebra” to general  $AW^*$ -algebras. S. K. Berberian defined a “closed operator” for a finite  $AW^*$ -algebra in algebraic fashion and studied the structure of the “closed operators” [1].

The plan of this paper is as follows. Section 3 is devoted to formulate the notions of “strongly dense domains” and “measurable operators” with respect to a given  $AW^*$ -algebra  $M$ . Our definitions are closely related to that of [1]. Along the same lines with [1], we shall construct the algebra  $\mathcal{C}$  of “measurable operators” for the general  $AW^*$ -algebras and study some preliminary algebraic properties of  $\mathcal{C}$ . Section 5 deals with the spectral theorem for “self-adjoint measurable operators” using the Cayley transform. Theorem 5.1 gives the necessary and sufficient condition for a unitary element in  $M$  to be the Cayley transform of some “self-adjoint element” of  $\mathcal{C}$ . In particular, Lemma 4.1 and Theorem 5.1 play essential rôles in our discussions. In section 6, Theorem 6.2 gives an alternative proof of ([5] Theorem): If  $\mathcal{C}$  is regular ([10], Definition 2.2), then  $M$  is finite. Theorem 6.3 concerns with the polar decomposition of a “measurable operator” which is one of the main theorems in this paper. Moreover, we shall show that  $\mathcal{C}$  is a Baer\*-ring in the sense of [6].

Before going into discussions, the author wishes to express his gratitude to Prof. M. Takesaki for calling his attention to the reference [1], and he is also grateful to Prof. J. Tomiyama for useful conversations with him.

**2. Notations and Definitions.** An  $AW^*$ -algebra  $M$  is a  $C^*$ -algebra satisfying the following two conditions:

- (a) In the set of projections any collection of orthogonal projections has a least upper bound.
- (b) Any maximal commutative self-adjoint subalgebra is generated by its projections.

Denote the set of all self-adjoint elements, projections, partial isometries and unitary elements in  $M$  by  $M_{sa}$ ,  $M_p$ ,  $M_{pi}$  and  $M_u$ , respectively.

Let  $\mathfrak{M}$  be the two sided ideal generated by all finite projections in  $M$ , then  $\mathfrak{M}_p$  contains only finite projections.

If  $\{e_n\}$  is a sequence in  $M_p$ ,  $e_n \uparrow$  means  $e_n \leq e_{n+1}$ ; if moreover  $\sup\{e_n, n \geq 1\} = e$ , we write  $e_n \uparrow e$ . The notations  $e_n \downarrow$  and  $e_n \downarrow e$  have the dual meanings.

The right projection of an element  $x \in M$  is  $RP(x)$ ,  $LP(x)$  is the left projection; the relation  $RP(x) \sim RP(x)$  will be needed. For a subset  $S \subset M$ ,  $S'$  is the set of all elements of  $M$  which commute with each element of  $S$ . If  $S$  is a self-adjoint subset, then  $S'$  is an  $AW^*$ -subalgebra of  $M$  (that is,  $S'$  is itself an  $AW^*$ -algebra and the least upper bound of orthogonal projections computed in  $S'$  is the same as computed in  $M$ ). If  $S$  consists of a single unitary element  $u$ ,  $S'$  is an  $AW^*$ -subalgebra of  $M$  and  $S''$  is a commutative  $AW^*$ -subalgebra of  $M$ .

### 3. Strongly dense domains and Measurable operators.

DEFINITION 3.1. ([1], p.228). A sequence  $\{e_n\}$  in  $M_p$  is a strongly dense domain (SDD), in case  $e_n \uparrow 1$  and  $1 - e_n \in \mathfrak{M}$ .

An essentially measurable operator (EMO) is a pair of sequences  $\{x_n, e_n\}$  with  $x_n \in M$ ,  $\{e_n\}$  an SDD, and such that  $m < n$  implies  $x_n e_m = x_m e_m$  and  $(x_n)^* e_m = (x_m)^* e_m$ .

For example if  $x \in M$ , we can take  $x_n = x$  and  $e_n = 1$  for all  $n$ ;  $\{x_n, e_n\}$  is an EMO, written briefly  $\{x, 1\}$ .

To introduce the algebraic operations in EMO, we need the following definition and lemma.

DEFINITION 3.2. If  $x \in M$ , and  $e \in M_p$ , we denote the largest projection right-annihilating  $(1 - e)x$  by  $x^{-1}[e]$ ; that is,  $1 - x^{-1}[e]$  is the right projection of  $(1 - e)x$ .

LEMMA 3.1. Let  $\{e_n\}$ ,  $\{f_n\}, \dots, \{g_n\}$  be SDD, and  $x$  be any element of  $M$ , then  $\{e_n \wedge f_n \wedge \dots \wedge g_n\}$  and  $\{x^{-1}[e_n]\}$  are SDD.

PROOF. It is sufficient to consider the case of two SDD  $\{e_n\}$  and  $\{f_n\}$ . Putting  $g_n = e_n \wedge f_n$ ,  $g = \sup\{g_n, n \geq 1\}$ ,  $h_n = x^{-1}[e_n]$  and  $h = \sup\{h_n, n \geq 1\}$ ; evidently  $g_n \uparrow g$ . Since  $1 - g \leq 1 - g_n = (1 - e_n) \vee (1 - f_n)$ , and  $1 - e_n, 1 - f_n \in \mathfrak{M}$ , by ([3], Theorem 6.2), we have  $(1 - e_n) \vee (1 - f_n) \in \mathfrak{M}$ ,  $1 - g_n$  and  $1 - g \in \mathfrak{M}$ . By Definition 3.2,  $(1 - e_k)h_k = 0$  and  $h_k$  is the largest such projection. If  $m < n$ , then  $(1 - e_n)xh_m = (1 - e_n)(1 - e_m)xh_m = 0$ , hence  $h_m \leq h_n$ . Since  $1 - h_n = 1 - x^{-1}[e_n]$

$=RP((1-e_n)x) \sim LP((1-e_n)x) \leq 1-e_n, 1-x^{-1}[e_n] \in \mathfrak{M}$  for all  $n$ . Noting that  $\{1-e_n, 1-f_n, 1-g_n, 1-h_n, 1-g, 1-h; n=1, 2, \dots\} \subset ((1-e_1) \vee (1-f_1) \vee (1-h_1)) M((1-e_1) \vee (1-f_1) \vee (1-h_1))$  (Note that this is a finite  $AW^*$ -algebra), by ([3], p.248), for the unique normalized center-valued dimension function  $D(\cdot)$  of  $((1-e_1) \vee (1-f_1) \vee (1-h_1)) M((1-e_1) \vee (1-f_1) \vee (1-h_1))$ , we have

$$D(1-h_n) \leq D(1-e_n),$$

and

$$D(1-g) \leq D(1-g_n) \leq D(1-e_n) + D(1-f_n);$$

$D(1-h) = D(1-g) = 0$  result from  $D(1-e_n) \downarrow 0$  and  $D(1-f_n) \downarrow 0$ . This completes the proof of Lemma 3.1.

Suggested by ([9], Corollary 5.1), we introduce an equivalence relation in the set of all EMO:

DEFINITION 3.3. ([1], Definition 2.2) Two EMO  $\{x_n, e_n\}$  and  $\{y_n, f_n\}$  are equivalent, denoted by  $\{x_n, e_n\} \equiv \{y_n, f_n\}$ , if there exists an SDD  $\{g_n\}$  such that  $x_n g_n = y_n g_n, (x_n)^* g_n = (y_n)^* g_n$  for all  $n$ . The SDD  $\{g_n\}$  implements the equivalence.

It is immediate that the relation just defined is indeed an equivalence relation. The next remarks, which are easy to verify, will be used frequently.

REMARK. If  $\{x_n, e_n\}$  is an EMO and  $\{f_n\}$  is any SDD, then  $\{x_n, e_n \wedge f_n\}$  is an EMO, and  $\{x_n, e_n\} \equiv \{x_n, e_n \wedge f_n\}$ . If an SDD  $\{g_n\}$  implements  $\{x_n, e_n\} \equiv \{y_n, f_n\}$ , and  $h_n = e_n \wedge f_n \wedge g_n$ , then  $\{x_n, h_n\}$  and  $\{y_n, h_n\}$  are EMO, and SDD  $\{h_n\}$  implements  $\{x_n, h_n\} \equiv \{y_n, h_n\}$ .

DEFINITION 3.4. ([1], Definition 2.3) Let  $\{x_n, e_n\}$  be an EMO and  $[x_n, e_n]$  be its equivalence class.  $[x_n, e_n]$  is said a "measurable operator" (MO). Denote the set of all MO by  $\mathcal{C}$  and we use letters  $x, y, z, \dots$  for the elements of  $\mathcal{C}$ .

After suitable operations are defined,  $\mathcal{C}$  is the Baer\*-ring promised in the introduction, and  $x \rightarrow [x, 1]$  is the imbedding of  $M$  in  $\mathcal{C}$ .

Now we are in the position to define the operations in  $\mathcal{C}$ . If  $\{x_n, e_n\}$  and  $\{y_n, f_n\}$  are EMO, and  $\lambda$  is a complex number, we define  $\lambda\{x_n, e_n\} = \{\lambda x_n, e_n\}$ ,  $\{x_n, e_n\} + \{y_n, f_n\} = \{x_n + y_n, e_n \wedge f_n\}$  and  $\{x_n, e_n\}^* = \{(x_n)^*, e_n\}$ ; the right-hand members of these definitions are easily seen to be EMO. Set  $g_n = e_n \wedge f_n \wedge ((y_n)^{-1}[e_n]) \wedge (((x_n)^*)^{-1}[f_n])$ ; it is straightforward to verify that  $\{g_n\}$  is an

SDD, and that if  $m < n$ , then  $(x_n y_n)g_m = (x_m y_m)g_m$  and  $((y_n)^*(x_n)^*)g_m = ((y_m)^*(x_m)^*)g_m$ , that is,  $(x_n y_n)^*g_m = (x_m y_m)^*g_m$ . This implies that  $\{x_n y_n, g_n\}$  is an EMO, and this is our definition for  $\{x_n, e_n\}\{y_n, f_n\}$ . Moreover, if  $\{x_n, e_n\} \equiv \{x'_n, e'_n\}$  and  $\{y_n, f_n\} \equiv \{y'_n, f'_n\}$ , then  $\lambda\{x_n, e_n\} \equiv \lambda\{x'_n, e'_n\}$ ,  $\{x_n, e_n\} + \{y_n, f_n\} \equiv \{x'_n, e'_n\} + \{y'_n, f'_n\}$ ,  $\{x_n, e_n\}^* \equiv \{x'_n, e'_n\}^*$ , and  $\{x_n, e_n\}\{y_n, f_n\} \equiv \{x'_n, e'_n\}\{y'_n, f'_n\}$ . Thus if  $\mathbf{x} = [x_n, e_n]$  and  $\mathbf{y} = [y_n, f_n]$ , the definitions  $\lambda\mathbf{x} = [\lambda x_n, e_n]$ ,  $\mathbf{x} + \mathbf{y} = [x_n + y_n, e_n \wedge f_n]$ ,  $\mathbf{x}^* = [(x_n)^*, e_n]$ , and  $\mathbf{x}\mathbf{y} = [x_n y_n, g_n]$ , are unambiguous. With these definitions,  $\mathcal{C}$  becomes an associative algebra over the complex numbers, with involution  $*$ :  $\mathbf{x}^{**} = \mathbf{x}$ ,  $(\mathbf{x} + \mathbf{y})^* = \mathbf{x}^* + \mathbf{y}^*$ ,  $(\lambda\mathbf{x})^* = \bar{\lambda}\mathbf{x}^*$  and  $(\mathbf{x}\mathbf{y})^* = \mathbf{y}^*\mathbf{x}^*$ . If  $x, y \in M$ , and  $\lambda$  is a complex number, clearly  $\{x, 1\} + \{y, 1\} \equiv \{x + y, 1\}$ ,  $\lambda\{x, 1\} \equiv \{\lambda x, 1\}$ ,  $\{x, 1\}^* \equiv \{x^*, 1\}$ , and  $\{x, 1\}\{y, 1\} \equiv \{xy, 1\}$ ; passing from  $\{\cdot, \cdot\}$  to  $[\cdot, \cdot]$ ,  $[x, 1] + [y, 1] = [x + y, 1]$ ,  $\lambda[x, 1] = [\lambda x, 1]$ ,  $[x, 1]^* = [x^*, 1]$ , and  $[x, 1][y, 1] = [xy, 1]$ , thus the mapping  $x \rightarrow [x, 1] (x \in M)$  is a  $*$ -isomorphism of  $M$  into  $\mathcal{C}$ ; for if  $[x, 1] = [y, 1]$ , then  $\{x, 1\} \equiv \{y, 1\}$ , so there exists an SDD  $\{e_n\}$  such that  $(x - y)e_n = 0$  for all  $n$ . The result follows from ([3], Lemma 2.2).

Summarizing the above results, we have

**THEOREM 3.1.** *The set  $\mathcal{C}$  of all MO is an associative algebra over the complex numbers, with involution  $*$ , with respect to the operations*

$$\begin{aligned} [x_n, e_n] + [y_n, f_n] &= [x_n + y_n, e_n \wedge f_n], \\ \lambda[x_n, e_n] &= [\lambda x_n, e_n], \\ [x_n, e_n]^* &= [(x_n)^*, e_n] \end{aligned}$$

and

$$[x_n, e_n][y_n, f_n] = [x_n y_n, g_n],$$

where  $\{g_n\}$  is the SDD such that  $g_n = e_n \wedge f_n \wedge ((y_n)^{-1}[e_n]) \wedge (((x_n)^*)^{-1}[f_n])$ . The mapping  $x (x \in M) \rightarrow [x, 1]$  is a  $*$ -isomorphism of  $M$  into  $\mathcal{C}$ , and  $[1, 1]$  is a unit element for  $\mathcal{C}$ .

To simplify the notations, we shall denote  $[x, 1]$  by  $\bar{x}$ ; then  $\bar{1}$  is the unit element of  $\mathcal{C}$ , which we condense further to 1.  $\bar{M}$  is the image of  $M$  in  $\mathcal{C}$ .

**REMARK.** Let  $\mathbf{x} = [x_n, e_n]$  be in  $\mathcal{C}$ : for any fixed index  $m$ ,  $[x_n, e_n]\bar{e}_m = \bar{x}_m e_m$ . For  $(e_m)^{-1}[e_n]$  is the largest projection right-annihilating  $(1 - e_n)e_m$ , noting that  $(1 - e_n)e_m e_n = (1 - e_n)e_n e_m = 0$ , we have  $(e_m)^{-1}[e_n] \geq e_n$ ,  $\{x_n, e_n\}\{e_m, 1\} \equiv \{x_n e_m, e_n\}$ . On the other hand, by Definition 3.1, we have for  $n > m$ ,

$$x_n e_m e_n = x_m e_m e_n,$$

$$e_m(x_n)^*e_n = (x_n e_m)^*e_n = (x_m e_m)^*e_n = e_m(x_m)^*e_n,$$

and for  $n \leq m$ ,

$$\begin{aligned} x_n e_m e_n &= x_n e_n = x_m e_n = x_m e_m e_n, \\ e_m(x_n)^*e_n &= e_m(x_m)^*e_n. \end{aligned}$$

This implies that the SDD  $\{e_n\}$  implements the equivalence  $\{x_n, e_n\}\{e_m, 1\} \equiv \{x_m e_m, 1\}$ . It follows that if  $[x_n, e_n] = [y_n, f_n]$ , then  $x_m(e_m \wedge f_m) = y_m(e_m \wedge f_m)$  for all  $m$ , thus the equivalent “linear operators”  $\{x_n, e_n\}$  and  $\{y_n, f_n\}$  agree, so to speak, on their largest possible common domain.

If  $M$  is a  $W^*$ -algebra ([8]), it is easy to see from ([9], Corollaries 5.1 and 5.3) that the  $*$ -algebra  $\mathcal{C}$  just constructed is  $*$ -isomorphic with the  $*$ -algebra of measurable operators in the sense of [9], in such a way as to preserve the elements of  $M$ . Because of the inherent nature of the above construction, we have as an immediate corollary a theorem of Ogasawara and Yoshinaga :

**THEOREM 3.2** ([1], [7]). *Let  $M$  and  $N$  be AW\*-algebras,  $\mathcal{C}_M, \mathcal{C}_N$ , their  $*$ -algebras of measurable operators. There exists a one to one correspondence between the  $*$ -isomorphisms  $\Phi: \mathcal{C}_M \rightarrow \mathcal{C}_N$  and the  $*$ -isomorphisms  $\phi: M \rightarrow N$  and the correspondence  $\Phi \rightarrow \phi$  is obtained by restricting  $\Phi$  to  $M$ .*

**PROOF.** We may suppose  $M$  (resp.  $N$ ) to be a self-adjoint subalgebra of  $\mathcal{C}_M$  (resp.  $\mathcal{C}_N$ ). By Lemma 5.3, any  $*$ -homomorphism  $\Phi: \mathcal{C}_M \rightarrow \mathcal{C}_N$  necessarily maps  $M$  into  $N$ . On the other hand for  $\phi$  preserves the finiteness of projections, any  $*$ -isomorphism  $\phi: M \rightarrow N$  can be lifted to a  $*$ -isomorphism  $\Phi: \mathcal{C}_M \rightarrow \mathcal{C}_N$ ;  $\Phi$  is the mapping  $[x_n, e_n] \rightarrow [\phi(x_n), \phi(e_n)]$ . This induced  $\Phi$  is unique. For, given any  $\mathbf{x} \in \mathcal{C}_M$ , we can find an SDD  $\{e_n\}$  in  $M$  such that  $\mathbf{x}e_n \in M$  for all  $n$ ; then  $\Phi(\mathbf{x}e_n) = \Phi(\mathbf{x}) \cdot \Phi(e_n)$ ,  $\phi(\mathbf{x}e_n) = \Phi(\mathbf{x}) \phi(e_n)$ , and by Lemma 4.5, we see that  $\Phi$  is determined by its values on  $M$ . This completes the proof of Theorem 3.2.

Next we investigate the connection between subalgebra  $eMe$  ( $e \in M_p$ ) of  $M$  and subalgebras of  $\mathcal{C}$ . Noting that for any  $e \in M_p$ ,  $eMe$  is also an AW\*-algebra ([3]), we have

**THEOREM 3.3.** *For any projection  $e$  in  $M$ , the algebra of all measurable operators for  $eMe$  is  $*$ -isomorphic to  $\bar{e}\mathcal{C}\bar{e}$ .*

**PROOF.** We write  $\{x_n, e_n\}_e$  to indicate an EMO with respect to  $eMe$ ; in particular  $x_n \in eMe$ ,  $e_n \uparrow e$  and  $e - e_n \in \mathfrak{M}$ . Setting  $e'_n = e_n + 1 - e$ , we have  $e'_n \uparrow 1$  and  $1 - e'_n = e - e_n \in \mathfrak{M}$ , and it is easy to verify that the mappig  $[x_n, e_n]_e$

$\rightarrow [x_n, e'_n]$  is a  $*$ -isomorphism of the algebra of measurable operators for  $eMe$  into  $\bar{e}\mathcal{C}\bar{e}$ . It is sufficient to show that this mapping is onto. Suppose  $\mathbf{y}$  is a self-adjoint element of  $\bar{e}\mathcal{C}\bar{e}$ ,  $\bar{u}$  its Cayley transform (Section 5, Lemma 5.1) and  $\mathbf{y}=[y_n, f_n]$  with  $y_n, f_n \in \{u\}$ '' (Theorem. 5.2). Since  $\bar{e}$  commutes with  $\mathbf{y}$ ,  $e$  commutes with  $u$  (Remark following Lemma 5.1), hence  $e, y_n, f_n$  mutually commute. If we set  $x_n = y_n e$ ,  $e_n = f_n e$ , then  $e_n \uparrow e$  and  $e - e_n \leq 1 - f_n \in \mathfrak{M}$ , so  $\{e_n\}$  is an SDD in  $eMe$ . Moreover, an easy calculation shows that  $\{x_n, e_n\}_e$  is an EMO in  $eMe$  and  $[x_n, e'_n] = \mathbf{y}$ . This completes proof of the theorem.

#### 4. Preliminary algebraic properties of $\mathcal{C}$ .

LEMMA 4.1. *If  $\mathbf{x} = [x_n, e_n]$  ( $\mathbf{x} \in \mathcal{C}$ ) and all the  $x_n$  are invertible, then  $\mathbf{x}$  is invertible, and  $\mathbf{x}^{-1} = [(x_n)^{-1}, h_n]$  for a suitable SDD  $\{h_n\}$ .*

To prove this, we need the following lemma :

LEMMA. *For any  $e$  in  $M_p$  and any invertible element  $s$  in  $M$ ,*

$$((s^*)^{-1})^{-1}[1 - e] = 1 - s^{-1}[e],$$

and if  $1 - e \in \mathfrak{M}$ , then  $s^{-1}[1 - e]$  is also in  $\mathfrak{M}$ .

PROOF. By Definition 3.2, the right annihilator of  $e(s^*)^{-1}$  ( $RA(e(s^*)^{-1})$ )  $= (((s^*)^{-1})^{-1}[1 - e])M$ , and the right annihilator of  $(1 - e)s$  ( $RA(1 - e)s$ )  $= (s^{-1}[e])M$ . Since  $(1 - e)ss^{-1}e = 0$ , we have

$$s^{-1}e \in RA((1 - e)s),$$

and

$$(e(s^*)^{-1})(1 - (s^{-1}[e])) = 0,$$

thus we have

$$1 - s^{-1}[e] \leq ((s^*)^{-1})^{-1}[1 - e].$$

On the other hand,

$$(1 - e)s(s^{-1}[e]) = 0,$$

$$s(s^{-1}[e]) = es(s^{-1}[e]),$$

$$s^{-1}[e] = (s^{-1})es(s^{-1}[e]),$$

$$s^{-1}[e] = (s^{-1}[e])s^*e(s^*)^{-1}.$$

Hence we have

$$s^{-1}[e]((s^*)^{-1})^{-1}[1 - e] = (s^{-1}[e])s^*e(s^*)^{-1}(((s^*)^{-1})^{-1}[1 - e]) = 0$$

$$((s^*)^{-1})^{-1}[1 - e] \leq 1 - s^{-1}[e].$$

The lemma follows.

PROOF OF LEMMA 4.1. Let  $f_n$  be the left projection of  $x_n e_n$ ; we show that  $\{f_n\}$  is an SDD. If  $m < n$ , then  $f_n(x_m e_m) = f_n x_m e_m = f_n x_n e_n e_m = x_n e_n e_m = x_m e_m$  shows that  $1 - f_n \leq 1 - f_m$ , that is,  $f_m \leq f_n$ . Since the invertibility of  $x_n$  implies that by the above lemma,  $1 - f_n = 1 - RP(e_n(x_n)^*) = ((x_n)^*)^{-1}[1 - e_n] = 1 - ((x_n)^{-1})^{-1}[e_n] \leq 1 - e_n$ , by the same way as that used in the proof of Lemma 3.1, we have  $1 - f_n \in \mathfrak{M}$  and  $f_n \uparrow 1$ . Putting  $y_n = (x_n)^{-1}$ , if  $m < n$ , then  $f_n y_m = y_m f_m$ ; for

$$\begin{aligned} x_m e_m &= x_n e_m, \\ y_n x_m e_m &= y_n x_n e_m = e_n e_m = y_m x_m e_m, \\ (y_n - y_m) x_m e_m &= 0, \\ (y_n - y_m) f_m &= 0. \end{aligned}$$

Similarly on putting  $g_n = LP((x_n)^* e_n)$ , we have that  $\{g_n\}$  is an SDD and  $(y_n)^* g_m = (y_m)^* g_m$  when  $m < n$ ; hence if  $h_n = f_n \wedge g_n$ , then  $\{y_n, h_n\}$  is an EMO, and it is evident that  $\mathbf{y} = [y_n, h_n]$  satisfies  $\mathbf{x}\mathbf{y} = \mathbf{y}\mathbf{x} = 1$ . This completes the proof.

LEMMA 4.2. *If  $\mathbf{x}^* = \mathbf{x}$ , then we may write  $\mathbf{x} = [x_n, e_n]$  with  $(x_n)^* = x_n$ .*

PROOF. If  $\mathbf{x} = [y_n, f_n]$ , then  $\mathbf{x} = (1/2)(\mathbf{x} + \mathbf{x}^*) = [((x_n)^* + x_n)/2, f_n]$ .

COROLLARY 4.1. *If  $\mathbf{x}^* = \mathbf{x}$ , then  $\mathbf{x} + i1$  is invertible.*

PROOF. Let  $\mathbf{x} = [x_n, e_n]$  with  $(x_n)^* = x_n$ ; then  $\mathbf{x} + i1 = [x_n + i1, e_n]$  and each  $x_n + i1$  is invertible. The assertion is clear from Lemma 4.1.

LEMMA 4.3. *Let  $\mathbf{u} = [u_n, e_n]$ , with  $u_n \in M_u$  for all  $n$ ; then there is a unique unitary element  $u \in M$  such that  $\mathbf{u} = \bar{u}$ .*

PROOF. The proof is the same as that of ([1], Lemma 3.3). But for the sake of completeness, we sketch it. Put  $w_n = u_n e_n$ : since  $(w_n)^* w_n = e_n$ ,  $w_n$  is a partial isometry, so  $f_n = w_n (w_n)^* = u_n e_n (u_n)^*$  is the left projection of  $w_n$ . As shown in the proof of Lemma 4.1,  $\{f_n\}$  is an SDD. Set  $v_n = w_n - w_{n-1} = u_n e_n - u_{n-1} e_{n-1} = u_n e_n - u_n e_{n-1} = u_n (e_n - e_{n-1})$ , where  $u_0 = w_0 = e_0 = 0$ ;  $v_n$  is a partial isometry with initial projection  $e_n - e_{n-1}$ , and the final projection is  $u_n (e_n - e_{n-1}) (u_n)^* = u_n e_n (u_n)^* - u_{n-1} e_{n-1} (u_{n-1})^* = f_n - f_{n-1}$ , where  $f_0 = 0$ . Since the  $v_n$  have orthogonal initial projections and orthogonal final projections, by ([4], Lemma 20) there is an element  $u \in M_{pi}$  such that

$$u^*u = \sup \left\{ \sum_{i=1}^n (e_i - e_{i-1}), n \geq 1 \right\} = 1 \quad uu^* = 1, \text{ and } u(e_n - e_{n-1}) = v_n = u_n(e_n - e_{n-1}).$$

By mathematical induction,  $ue_n = u_n e_n$  for all  $n$ . Then  $e_n u e_n = e_n u_n e_n$ , for fixed  $m, n > m$  implies  $e_m(e_n u e_n) = e_m(e_n u_n e_n)$ ,  $e_m u e_n = e_m u_n e_n$ ,  $(e_m u - e_m u_m) e_n = 0$ , hence  $u^* e_m = (u_m)^* e_m$ , that is,  $\{u, 1\} \equiv \{u_n, e_n\}$ . The Lemma follows.

LEMMA 4.4. *If  $\mathbf{x}, \mathbf{y}, \dots, \mathbf{z} \in \mathcal{C}$  and  $\mathbf{x}^* \mathbf{x} + \mathbf{y}^* \mathbf{y} + \dots + \mathbf{z}^* \mathbf{z} = 0$ , then  $\mathbf{x} = \mathbf{y} = \dots = \mathbf{z} = 0$ .*

PROOF. If  $\mathbf{x} = [x_n, e_n]$ ,  $\mathbf{y} = [y_n, f_n], \dots$  and  $\mathbf{z} = [z_n, g_n]$ , then there is an SDD  $\{h_n\}$  such that  $e_n \wedge f_n \cdots \wedge g_n \geq h_n$  and  $((x_n)^* x_n + (y_n)^* y_n + \dots + (z_n)^* z_n) h_n = 0$ ,  $h_n((x_n)^* x_n + (y_n)^* y_n + \dots + (z_n)^* z_n) h_n = 0$ ,  $x_n h_n = y_n h_n = \dots = z_n h_n = 0$ . Then, for fixed  $m, n > m$  implies  $h_m x_n h_n = h_m x_m h_n = 0$ ,  $h_m x_m = 0$ ,  $(x_m)^* h_m = 0$ . Similarly  $(y_m)^* h_m = \dots = (z_m)^* h_m = 0$ ,  $\mathbf{x} = \mathbf{y} = \dots = \mathbf{z} = 0$ .

LEMMA 4.5. *Let  $\mathbf{x} = [x_n, f_n] \in \mathcal{C}$  and for some SDD  $\{e_n\}$   $\overline{\mathbf{x} e_n} = 0$  for all  $n$ ; then  $\mathbf{x} = 0$ .*

PROOF. By the Remark following Theorem 3.1, we have  $\overline{\mathbf{x}(e_n \wedge f_n)} = \overline{x_n(e_n \wedge f_n)} = \mathbf{x} e_n(e_n \wedge f_n) = 0$ . Thus  $x_n(e_n \wedge f_n) = 0$  for all  $n$ . For fixed  $m, n > m$ , implies  $(e_m \wedge f_m) x_n(e_n \wedge f_n) = (e_m \wedge f_m) x_m(e_n \wedge f_n) = 0$ , and  $(e_m \wedge f_m) x_m = 0$ , that is,  $(x_m)^*(e_m \wedge f_m) = 0$ . This implies  $\mathbf{x} = 0$ . The lemma follows.

**5. Spectral theory for  $\mathcal{C}$ .** The next lemma is elementary :

LEMMA 5.1. ([1], Lemma 4.1.) *Let  $\mathcal{B}$  be an associative algebra with unit 1 over the complex numbers, with involution  $*$ , and such that  $x + i1$  is invertible if  $x^* = x$ . Then the formulae*

$$u = (x - i1)(x + i1)^{-1}$$

$$x = i(1 + u)(1 - u)^{-1}$$

*define mutually inverse one to one correspondences between the self-adjoint elements  $x(x^* = x)$ , and the unitary elements  $u(u^* u = uu^* = 1)$  such that  $1 - u$  is invertible.*

If  $x, u$  are related as in Lemma 5.1, we call  $u$  the Cayley transform of  $x$ ; it is evident that an element of  $\mathcal{B}$  will commute with  $x$  if and only if it commutes with  $u$ . We can apply Lemma 4.1 to the algebra  $\mathcal{C}$  (Corollary 4.1), as well as to the algebra  $M$ . Then we have the following :



THEOREM 5.1. *The formulae*

$$\mathbf{u} = (\mathbf{x} - i1)(\mathbf{x} + i1)^{-1}$$

$$\mathbf{x} = i(1 + \mathbf{u})(1 - \mathbf{u})^{-1}$$

define mutually inverse one to one correspondences between the self-adjoint elements  $\mathbf{x} \in \mathcal{C}$ , and the unitary elements  $\mathbf{u} \in \mathcal{C}$  such that  $1 - \mathbf{u}$  is invertible. The unitary elements  $\mathbf{u}$  which so occur are those of the form  $\mathbf{u} = \bar{u}$  for some  $u \in M_u$ . Moreover let  $u \in M_u$ , write  $\{u\}'' = C(\Omega)$  with  $\Omega$  a Stone space ([2]), and let  $\Omega_0$  be the open set  $\Omega_0 = \{\omega; \omega \in \Omega, u(\omega) \neq 1\}$ . Then  $1 - \bar{u}$  is invertible if and only if  $\Omega_0$  is dense in  $\Omega$  and there exist clopen (open and closed) sets  $\Omega_n$  such that  $\bigcup_{n=1}^{\infty} \Omega_n = \Omega_0$ , and the characteristic functions of  $(\Omega_n)^c$  (the complement of  $\Omega_n$ ) are in  $\mathfrak{M}$ .

PROOF. If  $\mathbf{x}^* = \mathbf{x} \in \mathcal{C}$ , we can write  $\mathbf{x} = [x_n, e_n]$  with  $(x_n)^* = x_n$ ; then the Cayley transform of  $\mathbf{x}$  is  $\mathbf{u} = [(x_n - i1)(x_n + i1)^{-1}, f_n]$  where  $\{f_n\}$  is a suitable SDD. As each  $u_n = (x_n - i1)(x_n + i1)^{-1}$  is unitary, by Lemma 4.3, we get  $\mathbf{u} = \bar{u}$  for some  $u \in M_u$ . Conversely if  $\mathbf{u} \in \mathcal{C}$  is unitary and  $1 - \mathbf{u}$  is invertible, then we can define  $\mathbf{x} = i(1 + \mathbf{u})(1 - \mathbf{u})^{-1}$ ; since  $\mathbf{u}$  is the Cayley transform of  $\mathbf{x}$ , by the above argument we have that  $\mathbf{u} = \bar{u}$  for some  $u \in M_u$ .

Next we suppose  $\Omega_0$  is dense in  $\Omega$  and there are clopen sets  $\Omega_n$  such that  $\bigcup_{n=1}^{\infty} \Omega_n = \Omega_0$ , and the characteristic functions of  $(\Omega_n)^c$  are in  $\mathfrak{M}$ ; since we may suppose  $\Omega_n$  increasing, if  $e_n$  is the characteristic function of  $\Omega_n$ , then  $1 - e_n \in \mathfrak{M}$  and the density shows  $e_n \uparrow 1$ , thus  $\{e_n\}$  is an SDD. Define numerical function  $G(\omega) = (1 - u(\omega))^{-1} (\omega \in \Omega_0)$ ;  $G$  is continuous on  $\Omega_0$ . Setting  $y_n = Ge_n$ , we have clearly  $y_n \in \{u\}''$  and  $\{y_n, e_n\}$  is an EMO. As  $(1 - u)y_n = e_n = 1e_n$ ,  $[y_n, e_n]$  is the inverse of  $1 - \bar{u}$ . Conversely, if  $1 - \bar{u}$  is invertible, then  $\bar{u}$  is the Cayley transform of the self-adjoint element  $\mathbf{x} = i(1 + \bar{u})(1 - \bar{u})^{-1} (\in \mathcal{C})$ , and we can write  $\mathbf{x} = [x_n, e_n]$  with  $(x_n)^* = x_n$  and  $\mathbf{u} = [(x_n - i1)(x_n + i1)^{-1}, e_n]$ . Taking an increasing sequence  $\{r_n\}$  of positive numbers satisfying  $\|x_n\| < r_n$  and  $r_n \uparrow \infty$  ( $n \uparrow \infty$ ), we define clopen set  $\Omega_n = \{\omega; |u(\omega) - 1| > 2/((r_n)^2 + 1)^{1/2}\}^-$  (where  $A^-$  is the closure of a set  $A$ ) ([2]). Noting that  $2/((r_n)^2 + 1)^{1/2} \downarrow 0$  ( $n \uparrow \infty$ ) and

$$\begin{aligned} & \{\omega; |u(\omega) - 1| > 2/((r_n)^2 + 1)^{1/2}\} \subset \{\omega; |u(\omega) - 1| > 2/((r_n)^2 + 1)^{1/2}\}^- \\ & \subset \{\omega; |u(\omega) - 1| \geq 2/((r_n)^2 + 1)^{1/2}\} \subset \{\omega; |u(\omega) - 1| > 2/((r_{n+1})^2 + 1)^{1/2}\}, \end{aligned}$$

we have  $\Omega_n \uparrow$  and  $\bigcup_{n=1}^{\infty} \Omega_n = \Omega_0$ . If  $\Omega_0$  is not dense,  $\Omega - \Omega_0^-$  is a non-empty

clopen set, whose characteristic function  $e$  is a non-zero projection. Since  $u(\omega) = 1$  for  $\omega \in \Omega - \Omega_0$ , we have  $ue = e$ , that is,  $(1 - \bar{u})\bar{e} = 0$ , contradicting the invertibility of  $1 - \bar{u}$ . Let  $f_n$  be the characteristic function of  $(\Omega_n)^c$ . We show that  $e_n \wedge f_n = 0$ . If the contrary holds,

$$\begin{aligned} \|(1-u)(f_n \wedge e_n)\| &= \|(1-u)f_n(f_n \wedge e_n)\| \\ &\leq \|(1-u)f_n\| \leq 2/((r_n)^2 + 1)^{1/2}, \end{aligned}$$

while by Lemma 4.3,

$$\begin{aligned} (1-u)(f_n \wedge e_n) &= (1-u)e_n(f_n \wedge e_n) \\ &= \{1 - (x_n - i1)(x_n + i1)^{-1}\} e_n(f_n \wedge e_n) \end{aligned}$$

and noting that the numerical function  $f(\eta) = 4/(\eta^2 + 1)$  is strictly monotone decreasing for  $\eta \geq 0$ , we have

$$\begin{aligned} 4(e_n \wedge f_n) &\geq (e_n \wedge f_n)\{1 - (x_n - i1)(x_n + i1)^{-1}\}^* \{1 - (x_n - i1)(x_n + i1)^{-1}\} (e_n \wedge f_n) \\ &\geq 4/(\|x_n\|^2 + 1)(e_n \wedge f_n). \end{aligned}$$

This implies that

$$\begin{aligned} \|(1-u)(e_n \wedge f_n)\| &= \|\{1 - (x_n - i1)(x_n + i1)^{-1}\}(e_n \wedge f_n)\| \\ &\geq 2/(\|x_n\|^2 + 1)^{1/2} > 2/((r_n)^2 + 1)^{1/2}. \end{aligned}$$

Hence this is a contradiction. By ([3], Theorem 5.4), we have  $f_n = f_n - e_n \wedge f_n \sim e_n \vee f_n - e_n \leq 1 - e_n \in \mathfrak{M}$ , as desired.

REMARK. In finite case, as Berberian showed in ([1], Lemma 4.2), it is sufficient for  $1 - u$  to be invertible that  $\Omega_0$  is dense in  $\Omega$ , but in infinite case, as the following example shows, we cannot drop the last condition: there exist clopen sets  $\Omega_n$  such that  $\bigcup_{n=1}^{\infty} \Omega_n = \Omega_0$ , and the characteristic function of  $(\Omega_n)^c$  is in  $\mathfrak{M}$ . Let  $\mathfrak{H}$  be an infinite dimensional separable Hilbert space,  $\{\xi_i\}_{i=1}^{\infty}$  an orthonormal basis for it, and  $\mathbf{M}$  be the full operator algebra on  $\mathfrak{H}$ . Then we know that  $\mathfrak{M}_p$  is the set of all projections of finite rank. For a sequence  $\{\lambda_i\}_{i=1}^{\infty}$  of positive numbers ( $\lambda_i \uparrow \infty$  ( $i \uparrow \infty$ )), setting  $\mathfrak{D}(T) = \{\xi; \sum_{i=1}^{\infty} (\lambda_i)^2 |(\xi, \xi_i)|^2 < \infty\}$ , then  $\mathfrak{D}(T)$  is a dense linear manifold in  $\mathfrak{H}$ . Define linear operators  $T$  on  $\mathfrak{D}(T)$  and  $E_\lambda$  ( $-\infty < \lambda < \infty$ ) on  $\mathfrak{H}$  by;

$$T\xi = \sum_{i=1}^{\infty} \lambda_i(\xi, \xi_i)\xi_i \quad \xi \in \mathfrak{D}(T),$$

and

$$E_\lambda \xi = P_{[\xi_1, \xi_2, \dots, \xi_{n-1}]} \xi \quad \xi \in \mathfrak{H}$$

(where  $n$  is the minimal  $n$  such that  $\lambda_n \geq \lambda$ ,  $\xi_0 = 0$ , and  $P_{[\xi_1, \dots, \xi_{n-1}]}$  is the orthogonal projection on the linear manifold  $[\xi_1, \dots, \xi_{n-1}]$ ), then  $T$  is a densely defined self-adjoint operator and  $\{E_\lambda\}_{-\infty < \lambda < \infty}$  is the resolution of unity for  $T$ . If  $T$  is measurable in the sense of [9], then there exists a projection  $P \in \mathbf{M}$  such that  $TP$  is bounded and  $1 - P \in \mathfrak{M}$ . Let  $\|TP\| < \lambda_0$ , we have that  $P \wedge (1 - E_{\lambda_0}) = 0$ . If otherwise, there is a non-zero  $\xi \in \mathfrak{H}$  with  $(P \wedge (1 - E_{\lambda_0}))\xi = \xi$ .  $\|T\xi\| = \|TP\xi\| < \lambda_0 \|\xi\|$ , while  $\|T\xi\| = \|T(1 - E_{\lambda_0})\xi\| \geq \lambda_0 \|\xi\|$ . This is a contradiction. Since for every projection  $Q, R \in \mathbf{M}$ ,  $Q - Q \wedge R \sim Q \vee R - R$ , we have  $1 - E_{\lambda_0} = (1 - E_{\lambda_0}) - P \wedge (1 - E_{\lambda_0}) \sim P \vee (1 - E_{\lambda_0}) - P \leq 1 - P \in \mathfrak{M}$ , contradicting the definition of  $E_{\lambda_0}$ , that is,  $T$  is a non-measurable self-adjoint operator. Let  $U$  be the Cayley transform of  $T$ ,  $\{U\}'' = C(\Omega)$  with  $\Omega$  a Stone space, and  $\Omega_0$  be the set  $\{\omega; U(\omega) \neq 1\}$ . For  $1 - U$  is one to one, we have that  $\Omega_0$  is dense in  $\Omega$ . But  $1 - U$  is not invertible in  $\mathcal{C}$  (The preceding Remark of Theorem 3.2). For if  $1 - U$  is invertible in  $\mathcal{C}$ , then  $T = i(1 + U)(1 - U)^{-1}$  is in  $\mathcal{C}$ , contradicting the above argument.

The rest of our discussions in this section is the slight modifications of ([1], sections 4, 5 and 6), but for the sake of completeness, we sketch them.

As a spectral theorem for a self-adjoint MO, we have:

**THEOREM 5.2.** *Let  $x$  be a self-adjoint element of  $\mathcal{C}$ ,  $u = \bar{u}$  its Cayley transform. We can write  $x = [x_n, e_n]$  with  $x_n, e_n \in \{u\}''$ ,  $(x_n)^* = x_n$ ,  $x_n e_n = x_n$  and  $(x_n)^2 \uparrow$ .*

**PROOF.** Write  $\{u\}'' = C(\Omega)$ , where  $\Omega$  is a Stone space, by Theorem 5.1, there exists an increasing family of clopen sets  $\{\Omega_n\}$  such that  $\bigcup_{n=1}^{\infty} \Omega_n = \{\omega; u(\omega) \neq 1\} (\equiv \Omega_0)$ ,  $\left(\bigcup_{n=1}^{\infty} \Omega_n\right)^c = \Omega$ , and the characteristic function of  $(\Omega_n)^c$  is in  $\mathfrak{M}$ , thus the family  $\{e_n\}$  of the characteristic functions of  $\Omega_n$  is an SDD. Let  $F$  and  $G$  be the numerical functions defined for  $\omega \in \Omega_0$  by

$$G(\omega) = (1 - u(\omega))^{-1}$$

$$F(\omega) = i(1 + u(\omega))(1 - u(\omega))^{-1};$$

it is clear that  $F$  is real valued. Put  $x_n = Fe_n$ , and  $y_n = Ge_n$ , then  $(x_n)^* = x_n$

$= x_n e_n$ ,  $\{x_n, e_n\}$  and  $\{y_n, e_n\}$  are EMO, and  $[y_n, e_n]$  is the inverse of  $1-u$ . As  $x_n = Fe_n = i(1+u)Ge_n = i(1+u)y_n$ , we have  $[x_n, e_n] = i(1+\bar{u})(1-\bar{u})^{-1} = \mathbf{x}$ . If  $m < n$ , then  $(x_m)^2 = (x_n e_m)^2 = (x_n)^* e_m x_n \leq (x_n)^*(x_n) = (x_n)^2$ . This completes the proof of Theorem 5.2.

Next, we characterize  $\bar{M}$  as a subalgebra of  $\mathcal{C}$ , in terms of the algebraic structure of  $\mathcal{C}$ .

**THEOREM 5.3.** *If  $\mathbf{x} = [x_n, e_n]$ , with  $\|x_n\| \leq k$  for all  $n$ , then there is a unique element  $x \in M$  ( $\|x\| \leq k$ ) such that  $\mathbf{x} = \bar{x}$ .*

**PROOF.** Considering that  $\|(1/2)((x_n)^* + x_n)\| \leq k$ , we may assume  $\mathbf{x}^* = \mathbf{x}$ . If  $\mathbf{u} = \bar{u}$  is the Cayley transform of  $\mathbf{x}$ , then by Theorem 5.2, we can write  $\mathbf{x} = [y_n, f_n]$  with  $y_n, f_n \in \{u\}''$ ,  $(y_n)^* = y_n$  and  $(y_n)^2 \uparrow$ . Now we show that  $\|y_n\| \leq k$  for all  $n$ . Since  $\{y_n, f_n\} \equiv \{x_n, e_n\}$ , there exists an SDD  $\{g_n\}$  such that  $y_n g_n = x_n g_n$  for all  $n$ ; then also  $g_n (y_n)^2 g_n = g_n (x_n)^* x_n g_n$ . The assumption  $(x_n)^* x_n \leq k^2 \cdot 1$  implies  $g_n (x_n)^* x_n g_n \leq k^2 g_n$ , and then  $g_n (y_n)^2 g_n \leq k^2 g_n$ . For fixed  $m, n > m$  implies  $(y_m)^2 \leq (y_n)^2$ ,  $g_n (y_m)^2 g_n \leq g_n (y_n)^2 g_n \leq k^2 g_n$ ,  $g_n (k^2 \cdot 1 - (y_m)^2) g_n \geq 0$ ; we may write  $\{k^2 \cdot 1 - (y_m)^2\}''$  as the algebra  $C(\Gamma)$  of continuous complex-valued functions on a Stone space  $\Gamma$  ([2], section 4). Assume that  $(k^2 \cdot 1 - (y_m)^2)(\gamma) < 0$  for some  $\gamma \in \Gamma$ ; choose a non-zero projection  $g \in \{k^2 \cdot 1 - (y_m)^2\}''$ , and a real number  $\delta < 0$  such that  $g(k^2 \cdot 1 - (y_m)^2) \leq \delta g$ . Since  $(k^2 \cdot 1 - (y_m)^2)^{-1}[g]$  is the largest projection right-annihilating  $(1-g)(k^2 \cdot 1 - (y_m)^2)$ , clearly  $g \leq (k^2 \cdot 1 - (y_m)^2)^{-1}[g]$ . Put  $f'_n = g_n \wedge ((k^2 \cdot 1 - (y_m)^2)^{-1}[g])$ , so that  $(1-g)(k^2 \cdot 1 - (y_m)^2)f'_n = 0$ ,  $(k^2 \cdot 1 - (y_m)^2)f'_n = g(k^2 \cdot 1 - (y_m)^2)f'_n$ ,  $f'_n(k^2 \cdot 1 - (y_m)^2)f'_n = f'_n g(k^2 \cdot 1 - (y_m)^2)f'_n$ . Since  $0 \leq f'_n(g_n(k^2 \cdot 1 - (y_m)^2)g_n)f'_n = f'_n(k^2 \cdot 1 - (y_m)^2)f'_n \leq \delta f'_n g f'_n \leq 0$ , necessary  $\delta f'_n g f'_n = 0$ ,  $g f'_n = 0$ ,  $0 = g \wedge f'_n = g \wedge g_n$  for all  $n$ . By ([3], Theorem 5.4),  $g = g - g \wedge g_n \sim g_n \vee g - g_n \leq 1 - g_n \in \mathfrak{M}$ . By the same argument used in the proof of Lemma 3.1, we have that  $g = 0$ , contradicting the above result  $g \neq 0$ .  $k^2 \cdot 1 - (y_m)^2 \geq 0$  follows, thus  $\|y_n\| \leq k$  for all  $n$ .

Let  $y_n = w_n r_n$  be the polar decomposition of  $y_n$  where,  $w_n, r_n \in \{u\}''$ ,  $(w_n)^* w_n = w_n (w_n)^* = RP(y_n)$ ,  $r_n = (y_n)^{1/2}$  ([11], Lemma 2.1). The uniqueness of this decomposition, together with the fact that  $y_n e_m = y_m$  when  $m < n$ , shows that  $w_n f_m = w_m$  and  $r_n f_m = r_m$ ; thus  $\{w_n, f_n\}$  and  $\{r_n, f_n\}$  are EMO, and we have  $[y_n, f_n] = [w_n, f_n][r_n, f_n]$ . Thus it is sufficient to show that  $[w_n, f_n] = \bar{w}$  and  $[r_n, f_n] = \bar{r}$  with  $w, r \in M$ . Modifying the proof of Lemma 4.3, we have that there exists a partial isometry  $w \in \{u\}''$  such that  $[w_n, f_n] = \bar{w}$ . Finally since  $r_n \uparrow$  and  $r_n \leq k1$ , by [2], we can find  $r = \sup \{r_n, n \geq 1\}$  in the quasi complete lattice of self-adjoints of  $\{u\}''$ ; since we may write  $\{u\}''$  as the algebra  $C(\Omega)$  of continuous complex-valued functions on a Stone space, and  $r_n(\omega) \uparrow r(\omega)$  except on a set of first category, we have  $r f_n = r_n$ ,  $[r_n, f_n] = \bar{r}$  with  $\|r\| \leq k$ . This completes the proof.

COROLLARY 5.1. *If  $\mathbf{x}=[x_n, e_n]$  with  $\|e_n x_n e_n\| \leq k$  for all  $n$ , then  $\mathbf{x}=\bar{x}$  for some  $x \in M$  with  $\|x\| \leq k$ .*

PROOF. Setting  $y_n = e_n x_n e_n$ , and  $f_n = e_n \wedge ((x_n)^{-1}[e_n]) \wedge (((x_n)^*)^{-1}[e_n])$ ,  $\{y_n, f_n\}$  is an EMO equivalent to  $\{x_n, e_n\}$ ; hence  $\mathbf{x} = [y_n, f_n]$  with  $\|y_n\| \leq k$  for all  $n$ . This completes the proof of Corollary 5.1.

Next we introduce the partial ordering of self-adjoints.

DEFINITION 5.1. An element  $\mathbf{x} \in \mathcal{C}$  is positive ( $\mathbf{x} \geq 0$ ), if  $\mathbf{x} = \mathbf{y}^* \mathbf{y}$  for some  $\mathbf{y} \in \mathcal{C}$ . If  $\mathbf{x}, \mathbf{y} \in \mathcal{C}$  are self-adjoint, write  $\mathbf{x} \leq \mathbf{y}$  in case  $\mathbf{y} - \mathbf{x} \geq 0$ .

LEMMA 5.2. *If  $\mathbf{x}^* \mathbf{x} \leq 1$ , then  $\mathbf{x} = \bar{x}$  for some  $x \in M$  and  $\|x\| \leq 1$ .*

PROOF. By assumption,  $\mathbf{x}^* \mathbf{x} + \mathbf{y}^* \mathbf{y} = 1$  for some  $\mathbf{y} \in \mathcal{C}$ . Thus there exists an SDD  $\{g_n\}$  such that  $((x_n)^* x_n + (y_n)^* y_n) g_n = 1 g_n$ ;  $g_n (x_n)^* x_n g_n \leq g_n (x_n)^* x_n g_n + g_n (y_n)^* y_n g_n = g_n \leq 1$ ,  $\|x_n g_n\| \leq 1$ ,  $\|g_n x_n g_n\| \leq 1$ . Since by remarks following Definition 3.3, we may suppose  $\{x_n, g_n\}$  is an EMO, our assertion follows from Corollary 5.1.

An element  $\mathbf{e} \in \mathcal{C}$  is a projection if  $\mathbf{e}^* = \mathbf{e} = \mathbf{e}^2$ ;  $\mathbf{w} \in \mathcal{C}$  is a partial isometry if  $\mathbf{w}^* \mathbf{w}$  is a projection. The following theorem shows that  $\mathcal{C}$  contains no new projections.

THEOREM 5.4. *In  $\mathcal{C}$ , every partial isometry has the form  $\mathbf{w} = \bar{w}$  with  $w \in M_{p_i}$ . In particular every projection  $\mathbf{e}$  has the form  $\mathbf{e} = \bar{e}$  with  $e \in M_p$ . Hence the projection of  $\mathcal{C}$  form a complete lattice which is isomorphic to the projection lattice of  $M$  via the mapping  $\mathbf{e} \rightarrow \bar{e}$ .*

PROOF. Suppose  $\mathbf{w} \in \mathcal{C}$ ,  $\mathbf{w}^* \mathbf{w} = \mathbf{e}$ ,  $\mathbf{e}$  a projection. Then  $1 - \mathbf{w}^* \mathbf{w} = 1 - \mathbf{e} = (1 - \mathbf{e})^* (1 - \mathbf{e})$ , hence  $\mathbf{w}^* \mathbf{w} \leq 1$ . The assertion is clear from Theorem 3.1 and Lemma 5.2.

In the numerical Cayley transform  $\alpha = i(1 + \lambda)(1 - \lambda)^{-1}$ ,  $\lambda = (\alpha - i)(\alpha + i)^{-1}$ ,

- (1)  $\alpha = 0$  when  $\lambda = -1$ ,
- (2)  $\alpha > 0$  when  $\lambda \in \{e^{i\theta} : -\pi < \theta < 0\}$ ,
- (3)  $\alpha < 0$  when  $\lambda \in \{e^{i\theta} : 0 < \theta < \pi\}$ .

This is the basis of our theory of order in  $\mathcal{C}$ . If  $\mathbf{x} \geq 0$ , and  $\alpha \geq 0$  is a real number, then  $\alpha \mathbf{x} \geq 0$ . If  $\mathbf{x} \geq 0$  and  $-\mathbf{x} \geq 0$ ,  $\mathbf{x} = 0$ ; for if  $\mathbf{x} = \mathbf{y}^* \mathbf{y}$  and

$-\mathbf{x}=\mathbf{z}^*\mathbf{z}$ , then  $\mathbf{y}^*\mathbf{y}+\mathbf{z}^*\mathbf{z}=0$ , by Lemma 4.4,  $\mathbf{y}=0$ , that is,  $\mathbf{x}=0$ . If  $\mathbf{x}\geq 0$  and  $\mathbf{z}\in\mathcal{C}$  is arbitrary, then  $\mathbf{z}^*\mathbf{x}\mathbf{z}\geq 0$ . To show that the self-adjoint elements of  $\mathcal{C}$  form a partially ordered real linear space with respect to the ordering defined in Definition 5.1, we have only to see: if  $\mathbf{x}\geq 0$  and  $\mathbf{y}\geq 0$ , then  $\mathbf{x}+\mathbf{y}\geq 0$ . This is clear from condition (2) of the following:

**THEOREM 5.5.** *Let  $\mathbf{x}$  be a self-adjoint element of  $\mathcal{C}$ ,  $\mathbf{u}=\bar{u}$  its Cayley transform. Then the following four conditions are equivalent:*

- (1)  $\mathbf{x}\geq 0$ ;
- (2) we can write  $\mathbf{x}=[y_n, f_n]$  with  $y_n\geq 0$ ;
- (3) the spectrum of  $u$  is contained in  $\{e^{i\theta} : -\pi\leq\theta\leq 0\}$ ;
- (4) we may write  $\mathbf{x}=[x_n, e_n]$  with  $x_n, e_n\in\{\mathbf{u}\}''$ ,  $x_n\geq 0$  and  $x_n e_n=x_n$ .

**PROOF.** (1) $\rightarrow$ (2) is clear from Definition 5.1.

(2) $\rightarrow$ (3). Suppose  $\lambda=e^{i\theta}$  with  $0<\theta<\pi$ ; we must show that  $u-\lambda 1$  has an inverse in  $M$ . Write  $\lambda=(\alpha-i)(\alpha+i)^{-1}$ , and  $\alpha<0$ ,  $\alpha=i(1+\lambda)(1-\lambda)^{-1}$ . An easy calculation shows that  $u-\lambda 1=(1-\lambda)(\mathbf{x}-1)(\mathbf{x}+i1)^{-1}$ , thus  $u-\lambda 1=(1-\lambda)[(y_n-\alpha 1)(y_n+i1)^{-1}, g_n]$  for a suitable SDD  $\{g_n\}$ . As  $y_n\geq 0$  for all  $n$ , each  $y_n-\alpha 1$  is invertible in  $M$ ; by Lemma 4.1  $u-\lambda 1$  is invertible in  $\mathcal{C}$ , and  $(u-\lambda 1)^{-1}=(1-\lambda)^{-1}[(y_n+i1)(y_n-\alpha 1)^{-1}, h_n]$  for a suitable SDD  $\{h_n\}$ . The numerical function  $f(\eta)=(\eta^2+1)(\eta-\alpha)^{-2}$  defined for  $\eta\geq 0$  is bounded, say  $f(\eta)\leq k$ ; look at the functional representation for  $y_n$ , and we have that  $\|(y_n+i1)(y_n-\alpha 1)^{-1}\|^2\leq k$  for all  $n$ . By Theorem 5.3,  $(u-\lambda 1)^{-1}=\bar{x}$  for some  $x\in M$ , thus  $u-\lambda 1$  is invertible in  $M$ .

(3) $\rightarrow$ (4). By assumption (3) and the proof of Theorem 5.2, the assertion is clear.

(4) $\rightarrow$ (1). Put  $z_n=(x_n)^{1/2}$ ; if  $m<n$  then  $x_n e_n=x_m$ , from the unicity of positive square roots we have  $z_n e_m=z_m$ . Hence  $\{z_n, e_n\}$  is an EMO, and putting  $\mathbf{y}=[z_n, e_n]$  we show that  $\mathbf{y}^*=\mathbf{y}$ ,  $\mathbf{x}=\mathbf{y}^2$ ; thus  $\mathbf{x}\geq 0$ . This completes the proof.

**COROLLARY 5.2.** *If  $\mathbf{x}\geq 0$ , then there is a unique  $\mathbf{y}\geq 0$  such that  $\mathbf{x}=\mathbf{y}^2$ ; we have  $\mathbf{y}\in\{\mathbf{x}\}''$ .*

**PROOF.** From the above proof of (4) $\rightarrow$ (1), we have  $\mathbf{x}=\mathbf{y}^2$  with  $\mathbf{y}\geq 0$ , and  $\mathbf{y}\in\{\mathbf{x}\}''$  follows from Theorem 5.2. Thus assuming  $\mathbf{z}\geq 0$ , we must

show that  $\mathbf{y}=\mathbf{z}$ . Clearly  $\mathbf{xz}=\mathbf{zx}$ , thus also  $\mathbf{yz}=\mathbf{zy}$ ; then  $(\mathbf{y}+\mathbf{z})(\mathbf{y}-\mathbf{z}) = \mathbf{y}^2 - \mathbf{z}^2 = 0$ ,  $(\mathbf{y}-\mathbf{z})(\mathbf{y}+\mathbf{z})(\mathbf{y}-\mathbf{z}) = 0$ . Write  $\mathbf{y} = \mathbf{r}^*\mathbf{r}$ ,  $\mathbf{z} = \mathbf{s}^*\mathbf{s}$  for some  $\mathbf{r}, \mathbf{s} \in \mathcal{C}$ , and we have  $0 = (\mathbf{y}-\mathbf{z})(\mathbf{r}^*\mathbf{r} + \mathbf{s}^*\mathbf{s})(\mathbf{y}-\mathbf{z}) = \{\mathbf{r}(\mathbf{y}-\mathbf{z})\}^*\{\mathbf{r}(\mathbf{y}-\mathbf{z})\} + \{\mathbf{s}(\mathbf{y}-\mathbf{z})\}^*\{\mathbf{s}(\mathbf{y}-\mathbf{z})\}$ . By Lemma 4.4,  $\mathbf{r}(\mathbf{y}-\mathbf{z}) = \mathbf{s}(\mathbf{y}-\mathbf{z}) = 0$ , thus  $\mathbf{r}^*\mathbf{r}(\mathbf{y}-\mathbf{z}) = \mathbf{s}^*\mathbf{s}(\mathbf{y}-\mathbf{z}) = 0$ ,  $\mathbf{y}(\mathbf{y}-\mathbf{z}) = \mathbf{z}(\mathbf{y}-\mathbf{z}) = 0$ ,  $(\mathbf{y}-\mathbf{z})^*(\mathbf{y}-\mathbf{z}) = 0$ .

DEFINITION 5.2. If  $\mathbf{x} \geq 0$  write  $\mathbf{y} = \mathbf{x}^{1/2}$  for the unique  $\mathbf{y} \geq 0$  such that  $\mathbf{x} = \mathbf{y}^2$ . For  $\mathbf{x} \in \mathcal{C}$ , write  $|\mathbf{x}| = (\mathbf{x}^*\mathbf{x})^{1/2}$ .

REMARK. Let  $\mathbf{x}$  be a positive element of  $\mathcal{C}$ , and,  $\bar{u}$  the unique Cayley transform of  $\mathbf{x}$ . Then by Theorem 5.2, we can write  $\mathbf{x} = [x_n, e_n]$ , with  $x_n, e_n \in \{u\}''$ , and we have  $\mathbf{x} = [x_n e_n, e_n]$ . Looking at the functional representation of the elements of  $\{u\}''$ ,  $m < n$  implies  $(x_n e_n)^p e_m = (x_m e_m)^p e_m$  for an arbitrary non-negative real number  $p$ . Set  $\mathbf{y} = [(x_n e_n)^p, e_n]$  and if  $\mathbf{x} = [(x_n)', (e_n)']$  with  $(x_n)', (e_n)' \in \{u\}''$ , then  $x_n e_n (e_n \wedge (e_n)') = (x_n)' (e_n)' (e_n \wedge (e_n)')$ . By the same reason as above, we have that  $(x_n e_n)^p (e_n \wedge (e_n)') = ((x_n)' (e_n)')^p (e_n \wedge (e_n)')$ , and hence  $[(x_n e_n)^p, e_n] = [((x_n)' (e_n)')^p, (e_n)']$ , that is,  $\mathbf{y}$  is independent of the representation of  $\mathbf{x}$  in  $\{u\}''$  and is therefore unambiguously defined. We denote  $\mathbf{y}$  by  $\mathbf{x}^p$  (Note that  $\mathbf{x}^p \in \{\mathbf{x}\}''$ ).

6. Algebraic structure of  $\mathcal{C}$ .

THEOREM 6.1. Let  $\mathbf{x} \in \mathcal{C}$ ,  $\mathbf{x} \geq 0$ , and  $\bar{u}$  be the Cayley transform of  $\mathbf{x}$ , writing  $\{u\}''$ , as the algebra  $C(\Omega)$  of continuous complex-valued functions on a Stone space  $\Omega$  ([2]),  $\Omega_0^+$  be the set  $\{\omega \in \Omega, i(1+u(\omega))(1-u(\omega))^{-1} > 0\} = \{\omega \in \Omega; u(\omega) = e^{i\theta}, -\pi < \theta < 0\}$ . Then there is an element  $\mathbf{y} \in \mathcal{C}$  and a projection  $\bar{e} \in \mathcal{C}$ , such that

$$(1) \quad \mathbf{xy} = \bar{e}, \quad \bar{e}\mathbf{x} = \mathbf{x}, \quad \bar{e}\mathbf{y} = \mathbf{y},$$

$$(2) \quad \mathbf{y}, \bar{e} \in \{\mathbf{x}\}'', \quad \mathbf{y} \geq 0,$$

if and only if there exists a family of clopen sets  $\{\Gamma_n\}$  such that  $\bigcup_{n=1}^{\infty} \Gamma_n = \Omega_0^+$  and the characteristic function of  $(\Omega_0^+)^- - \Gamma_n$  is an element of  $\mathfrak{M}$  for all  $n$  (where  $E^-$  is the closure of a set  $E$ ).

PROOF. Let  $\mathbf{x} = [x_n, e_n]$ , notation as in the proof of Theorem 5.2. If  $f_n$  (resp.  $f$ ) is the characteristic function of  $\Gamma_n$  (resp.  $(\bigcup_{n=1}^{\infty} \Gamma_n)^- = (\Omega_0^+)^-$ ), we have  $f_n \uparrow f$  and  $f - f_n \in \mathfrak{M}$ . Put  $g_n = f_n + (1 - f)$ , so that  $g_n \uparrow 1$  and  $1 - g_n = f - f_n \in \mathfrak{M}$ . Define  $z_n = Ff_n$ ; since  $F(\omega) = 0$  for  $\Omega_0 \cap (\Omega - (\Omega_0^+)^-)$ , we easily see that  $\{z_n,$

$g_n\}$  is an EMO, and that the SDD  $\{e_n g_n\}$  implements  $\{x_n, e_n\} \equiv \{z_n, g_n\}$ , thus  $\mathbf{x} = [z_n, g_n]$ . As  $z_n(\omega) > 0$  for  $\omega \in \Gamma_n$  (compact set), there exists a unique  $y_n \in \{u\}''$  such that  $z_n y_n = f_n$ ,  $y_n f_n = y_n$ . By the unicity we show that  $y_n g_m = y_m$  when  $m < n$ , hence  $\{y_n, g_n\}$  is an EMO. Then  $\mathbf{y} = [y_n, g_n]$  and  $e = f$  satisfy (1) and (2).

Conversely, suppose that there are  $\mathbf{y}$  and  $\bar{e}$  satisfying (1) and (2). Let  $\bar{u}$  be the Cayley transform of  $\mathbf{x}$ , and setting  $\mathbf{w} = ((\mathbf{x} + i1)/2)\mathbf{y}$ , an easy calculation shows that

$$\begin{aligned} \bar{e}\mathbf{w} &= \mathbf{w}\bar{e} = \mathbf{w}, & \mathbf{w} \in \{\mathbf{x}\}'', \\ \mathbf{w}(1 + \bar{u}) &= (1 + \bar{u})\mathbf{w} = \bar{e}, \end{aligned}$$

and  $e$  is the characteristic function of  $\{\omega; (1+u)(\omega) \neq 0\}^-$ . Setting  $\mathbf{w}_n = \mathbf{w}\bar{e}_n$ , we have

$$\mathbf{w}_n \bar{e} = \bar{e}\mathbf{w}_n = \mathbf{w}_n$$

and

$$(*) \quad \mathbf{w}_n(1 + \bar{u}) = (1 + \bar{u})\mathbf{w}_n = \bar{e}_n \bar{e}.$$

Let  $\mathbf{w}_n = [\mathbf{w}_n^n, g_n^n]$ , and  $\|\mathbf{w}_m^n\| < r_m^n$  where  $r_m^n$  is a real number such that  $r_m^n \uparrow \infty (m \uparrow \infty)$ . Noting that

$$\{\omega; |(1+u)(\omega)| > 1/r_m^n\} \subset \{\omega; |(1+u)(\omega)| > 1/(r_{m+1}^n)\},$$

the set  $H_m^n = \{\omega; |(1+u)(\omega)| > 1/r_m^n\}^-$  is a clopen set ([2]) and putting  $H_m^n \bigcap \Omega_n = \Omega_m^n$ , an easy calculation shows that

$$\begin{aligned} \bigcup_{n=1}^{\infty} \bigcup_{m=1}^{\infty} \Omega_m^n &= \{\omega; (1+u)(\omega) \neq 0\} \bigcap \{\omega; (1-u)(\omega) \neq 0\} \\ &= \Omega_0^+. \end{aligned}$$

Set  $h_m^n$  is the characteristic function of  $(\Omega_m^n)^c$ , and by the equation (\*), we can choose an SDD  $\{g_m^n\}_{m=1}^{\infty}$  such that

$$\mathbf{w}_m^n(1+u)g_m^n = (1+u)\mathbf{w}_m^n g_m^n = e_n e g_m^n.$$

If  $(e_n e) \wedge g_m^n \wedge h_m^n (\equiv f_m^n) \neq 0$ , then

$$\mathbf{w}_m^n(1+u)f_m^n = (1+u)\mathbf{w}_m^n f_m^n = f_m^n,$$

and we have

$$1 = \|(1+u)\mathbf{w}_m^n f_m^n\| = \|\mathbf{w}_m^n(1+u)f_m^n\| \leq \|\mathbf{w}_m^n\| \|(1+u)f_m^n\|,$$



and since

$$\|(1+u)f_m^n\| = \|(1+u)h_m^n f_m^n\| \leq 1/r_m^n,$$

we get  $\|w_m^n\| \geq r_m^n$ . This is a contradiction and so  $(e_n e h_m^n) \wedge g_m^n = 0$ . Thus  $e_n e h_m^n = e_n e h_m^n - (e_n e h_m^n) \wedge g_m^n \sim (e_n e h_m^n) \vee g_m^n - g_m^n \leq 1 - g_m^n \in \mathfrak{M}$ , and  $e - e_n e (1 - h_m^n) = e - e e_n + e e_n h_m^n \leq 1 - e_n + e e_n h_m^n \in \mathfrak{M}$  ([3], Theorem 4.2).  $\{\Omega_m^n\}_{n,m=1}^\infty$  meets all requirements.

**THEOREM 6.2.** *C is regular in the sense of ([10], Part II, Chap. II, Definition 2.2) if and only if M is finite.*

**PROOF.** Suppose M is finite, then by ([1], Corollary 7.1), C is regular. But for the sake of completeness, we sketch the proof. Since M is finite, for  $|x|$  ( $x \in C$ ), the condition of Theorem 6.1 is always satisfied and hence there exist  $s \geq 0$ , and a projection  $e$ , such that  $|x|s = e$ ,  $e|x| = |x|$ , and  $es = s$ . Since  $e = s^2|x|^2 = (s^2x^*)x$ , we have  $Ce \subset Cx$ ; conversely  $|x|e = |x|$ ,  $|x|^2(1-e) = 0$ ,  $x^*x(1-e) = 0$ ,  $(1-e)x^*x(1-e) = 0$ ,  $x(1-e) = 0$ ,  $xe = x$ , thus  $Cx \subset Ce$ .

Conversely suppose that C is regular. By ([3], Theorem 4.2), there exists a central projection  $e$  such that  $M(1-e)$  is finite algebra,  $e = 0$ , or  $Me$  is a properly infinite algebra and  $M = Me \oplus M(1-e)$ . If  $e \neq 0$ , then  $Me$  is properly infinite and by ([3], Lemma 4.4), there is a family of increasing projections  $\{e_i\}_{i=1}^\infty (\subset M_p)$  such that  $1 - e_i \notin \mathfrak{M}$  and  $e_i \uparrow 1$ . Taking an increasing sequence  $\{\lambda_i\}_{i=1}^\infty$  of positive real numbers such that  $\lambda_i \uparrow \infty$  ( $i \uparrow \infty$ ), we define  $s_n$  by;

$$s_n = \sum_{i=2}^n (1/\lambda_i)(e_i - e_{i-1}) + (1/\lambda_1)e_1 \quad (\in M).$$

Then, as  $\lambda_i \uparrow \infty$  ( $i \uparrow \infty$ ),  $s_n \leq (1/\lambda_1)1$  for all  $n$ , and  $\{s_n\}$  is the family of mutually commuting increasing positive elements majorized by  $(1/\lambda_1) \cdot 1$ . Considering a maximal commutative subalgebra  $A (= C(\Delta))$ , the algebra of all continuous complex-valued functions on a Stone space  $\Delta$  [2]) generated by  $\{e_n\}$ ,  $\{s_n\}$  has the least upper bound  $s$  in  $A$ , and the right projection of  $s$  is 1; for if  $se = 0$ ,  $e \in M_p$ , then  $e$  commutes with  $s$  and  $e \in A$ , and since  $s_n(\delta) \uparrow s(\delta)$  without on a set of first category, we have that

$$e_n s e = e e_n s = e s_n = 0,$$

and

$$(1/\lambda_n) e e_n \leq e s_n = 0, \text{ that is, } e e_n = 0 \text{ for all } n.$$

By Lemma ([3], Lemma 2.2),  $e = 0$ . By the regularity of C, we can choose a projection  $e$  ( $e \in M_p$ ) such that  $C\bar{s} = C\bar{e}$ . An easy computation shows that

$e=1$  and  $\bar{s}$  is invertible in  $\mathcal{C}$ . Let  $\mathbf{y}$  be the inverse of  $\bar{s}$ , we can write  $\mathbf{y}=[x_n, f_n]$  with  $(x_n)^* = x_n$ . Then there exists an SDD  $\{g_n\}$  such that

$$x_n s g_n = s x_n g_n = g_n \quad \text{for all } n.$$

Taking an increasing sequence  $\{\mu_n\}_{n=1}^\infty$  of positive real numbers such that  $\|x_n\| < \mu_n$  and  $\mu_n \uparrow \infty (n \uparrow \infty)$ , let  $m_p$  be the largest integer  $k$  such that  $\lambda_k \leq \mu_p$ . If  $(1 - e_{m_n}) \wedge g_n \neq 0$ , then by the same reason as above, we have

$$\begin{aligned} x_n s((1 - e_{m_n}) \wedge g_n) &= x_n [\sup \{ \sum_{i=2}^n (1/\lambda_i)(e_i - e_{i-1}) + (1/\lambda_1)e_1, n \geq 1 \}] ((1 - e_{m_n}) \wedge g_n) \\ &= x_n [\sup \{ \sum_{i=m_n+1}^p (1/\lambda_i)(e_i - e_{i-1}), p \geq m_n + 1 \}] ((1 - e_{m_n}) \wedge g_n) \\ &= (1 - e_{m_n}) \wedge g_n, \end{aligned}$$

and

$$\begin{aligned} \|x_n\| &\| \sup \{ \sum_{i=m_n+1}^p (1/\lambda_i)(e_i - e_{i-1}), p \geq m_n + 1 \} \| \\ &\geq \|x_n [\sup \{ \sum_{i=m_n+1}^p (1/\lambda_i)(e_i - e_{i-1}), p \geq m_n + 1 \}]\| \\ &= 1. \end{aligned}$$

Noting that  $0 < (g_n \wedge (1 - e_{m_n})) [\sup \{ \sum_{i=m_n+1}^p (1/\lambda_i)(e_i - e_{i-1}) \}]^2 (g_n \wedge (1 - e_{m_n})) \leq (1/\lambda_{m_n+1})^2 (g_n \wedge (1 - e_{m_n}))$ , we have that

$$\begin{aligned} \|x_n\| &\geq 1 / \| [\sup \{ \sum_{i=m_n+1}^p (1/\lambda_i)(e_i - e_{i-1}), p \geq m_n + 1 \}] ((1 - e_{m_n}) \wedge g_n) \| \\ &\geq \lambda_{m_n+1} > \mu_n, \end{aligned}$$

and contradicting the inequality  $\|x_n\| < \mu_n$ . Thus  $(1 - e_{m_n}) \wedge g_n = 0$ .  $(1 - e_{m_n}) = (1 - e_{m_n}) - (1 - e_{m_n}) \wedge g_n \sim g_n \vee (1 - e_{m_n}) - g_n \leq 1 - g_n \in \mathfrak{M}$ , and contradicting the choice of  $\{e_n\}$ , that is,  $M$  is a finite algebra. This completes the proof of Theorem 6.2.

The polar decomposition of measurable operators is one of the important tools in the construction of non-commutative integration theory, and next we show that the decomposition is true in  $\mathcal{C}$ .

**THEOREM 6.3.** *Let  $x \in \mathcal{C}$ ,  $\bar{u}$  (resp.  $\bar{v}$ ), the Cayley transform of  $x^*x$  (resp.  $xx^*$ ),  $e = LP(1+u)$  and  $f = LP(1+v)$ . Then we can write  $x = w|x|$  with*

$w$  a partial isometry such that  $w^*w = \bar{e}$ ,  $ww^* = \bar{f}$ . In particular  $e \sim f$ .

PROOF. The proof is a modification of the argument used in ([11], Lemma 2.1). By [2], we can write  $\{u\}''$  (resp.  $\{v\}''$ ) as the algebra  $C(\Omega)$  (resp.  $C(\Gamma)$ ) of continuous complex-valued functions on a Stone space  $\Omega$  (resp.  $\Gamma$ ). Then an easy calculation shows that  $e$  (resp.  $f$ ) is the characteristic function of the set  $\{\omega; u(\omega) \neq -1\}$  (resp.  $\{\gamma; v(\gamma) \neq -1\}$ ). By Theorem 5.2, we may write  $\mathbf{x}^*\mathbf{x} = [y_n, e_n]$ ,  $y_n, e_n \in C(\Omega)$ ,  $\{e_n\}$  an SDD,  $0 \leq y_n \leq y_{n+1}$ , and  $y_n e_m = y_m e_m = y_m$ , when  $m < n$ . For  $n, m = 1, 2, \dots$ , there are positive elements  $c_m^n$  and projections  $e_m^n (\in C(\Omega))$  with the following properties :

- (1)  $y_n(c_m^n)^2$  is a projection  $\leq ee_n$ ,  $y_n(c_m^n)^2 = e_m^n$ .
- (2)  $y_n \geq (1/m)e_m^n$ , and  $y_n \leq (1/m)(e - e_m^n)$  in  $(e - e_m^n)e_n$ .
- (3)  $c_1^n \leq c_2^n \leq c_3^n \leq \dots$  for all  $n$  and  $c_{m-1}^n(c_m^n - c_{m-1}^n) = 0$   
for  $m = 2, 3, \dots$  for all  $n$ .
- (4)  $c_m^1 \leq c_m^2 \leq c_m^3 \leq \dots$  for all  $m$  and  $c_m^k e_k = c_m^n e_k (k < n) m = 1, 2, \dots$ .
- (5)  $e_m^j e_i = e_m^i$  if  $j > i$  for all  $m$ .

Because, setting  $e_m^i$  is the characteristic function of the set  $\{\omega; \omega \in \Omega_i, y_i(\omega) > (1/m)\}$  and  $c_m^i(\omega) = (1/y_i(\omega))^{1/2} e_m^i(\omega)$ ,  $\{e_m^i, c_m^i\}$  meets all requirements. By the Remark following Theorem 3.1, we have

$$\begin{aligned} (\overline{\mathbf{x}c_m^n})^*(\overline{\mathbf{x}c_m^n}) &= \overline{\mathbf{x}c_m^n}^* \mathbf{x}c_m^n = \mathbf{x}^* \mathbf{x} (\overline{c_m^n})^2 = [y_i, e_i][(\overline{c_m^n})^2, 1] \\ &= [y_i, e_i][e_n, 1][(\overline{c_m^n})^2, 1] \quad (\text{by (5)}) \\ &= [y_n e_n, 1][(\overline{c_m^n})^2, 1] \\ &= [y_n (c_m^n)^2, 1] = [e_m^n, 1] = \overline{e_m^n} \end{aligned}$$

and by Theorem 5.3, there is a partial isometry  $w_m^n (\in M_{p_i})$  such that  $\overline{\mathbf{x}c_m^n} = \overline{w_m^n}$  and  $(w_m^n)^* w_m^n = e_m^n$ . Since

$$\mathbf{x} \mathbf{x}^* (1 - \bar{f}) = i(1 + \bar{v})(1 - \bar{v})^{-1} (1 - \bar{f}) = i(1 + \bar{v})(1 - \bar{v})^{-1} = 0,$$

we have  $\bar{f} \mathbf{x} = \mathbf{x}$  and putting  $w_m^n (w_m^n)^* = f_m^n (\in M_p)$ ,

$$\bar{f} \overline{f_m^n} = \bar{f} \overline{\mathbf{x} (c_m^n)^2 \mathbf{x}^*} = \overline{\mathbf{x} (c_m^n)^2 \mathbf{x}^*} = \overline{w_m^n (w_m^n)^*} = \overline{f_m^n},$$

and

$$\begin{aligned} \overline{f_{m-1}^n f_m^n} &= \mathbf{x}(\overline{c_{m-1}^n})^2 \mathbf{x}^* \mathbf{x}(\overline{c_m^n})^2 \mathbf{x}^* = \mathbf{x}(\overline{c_{m-1}^n})^2 \overline{e_m^n} \mathbf{x}^* \\ &= \mathbf{x}(\overline{c_{m-1}^n})^2 \mathbf{x}^* = \overline{f_{m-1}^n}, \end{aligned}$$

thus we have  $f_{m-1}^n \leq f_m^n \leq f$ . Set  $f_n = \sup\{f_m^n, m \geq 1\}$  and noting that  $(c_m^i)^2 \leq (c_m^j)^2 (i < j)$ , we see  $f_n \uparrow$ , and we write  $f' = \sup\{f_n, n \geq 1\} (\leq f)$ . Put  $v_m^n = w_m^n(e_m^n - e_{m-1}^n)$ , where  $f_0^n = e_0 = v_0^n = w_0^n = 0$  for all  $n$ , and considering that  $\overline{w_m^n e_{m-1}^n} = \overline{\mathbf{x} c_m^n e_{m-1}^n} = \overline{\mathbf{x} c_{m-1}^n} = \overline{w_{m-1}^n}$ , we have

$$\begin{aligned} (v_m^n)^* v_m^n &= e_m^n - e_{m-1}^n, \\ v_m^n (v_m^n)^* &= w_m^n (e_m^n - e_{m-1}^n) (w_m^n)^* \\ &= w_m^n e_m^n (w_m^n)^* - w_m^n e_{m-1}^n (w_m^n)^* \\ &= f_m^n - f_{m-1}^n. \end{aligned}$$

By ([4], Lemma 20), we can choose a partial isometry  $w_n \in M_{p_i}$  such that

$$\begin{aligned} (w_n)^* w_n &= e_n e, \quad w_n (w_n)^* = f_n \\ w_n (e_n^n - e_{n-1}^n) &= v_n^n, \\ (w_n)^* (f_n^n - f_{n-1}^n) &= (v_n^n)^*, \end{aligned}$$

and

$$w_n (e_n^n - e_{n-1}^n) = w_m^n (e_m^n - e_{m-1}^n).$$

Since  $w_m^n e_{n-1} e = w_m^{n-1}$ , we have

$$\begin{aligned} w_n (e_m^{n-1} - e_{m-1}^{n-1}) &= w_m^n (e_m^{n-1} - e_{m-1}^{n-1}) \quad (e_m^n - e_{m-1}^n \geq e_m^{n-1} - e_{m-1}^{n-1}) \\ &= w_m^{n-1} (e_m^{n-1} - e_{m-1}^{n-1}) \\ &= w_{n-1} (e_m^{n-1} - e_{m-1}^{n-1}). \end{aligned}$$

By ([3], Lemma 2.2) we have

$$w_n e_{n-1} e = w_{n-1} e_{n-1} e.$$

Set  $v_n = w_n (e_n - e_{n-1}) e$ , it follows that

$$\begin{aligned} (v_n)^* v_n &= (e_n - e_{n-1}) e, \\ v_n (v_n)^* &= w_n (e_n - e_{n-1}) e (w_n)^* = w_n e_n e (w_n)^* - w_n e_{n-1} e (w_n)^* \\ &= w_n e_n e (w_n)^* - w_{n-1} e_{n-1} e (w_{n-1})^* = f_n - f_{n-1}. \end{aligned}$$

Again by ([4], Lemma 20), there is a partial isometry  $w \in M_{p_i}$  such that

$$\begin{aligned} w^*w &= \sup\{e_n e, n \geq 1\} = e, \\ w w^* &= \sup\{f_n, n \geq 1\} = f', \\ w(e_n - e_{n-1})e &= w_n(e_n - e_{n-1})e \quad \text{where } e_0 = 0, \end{aligned}$$

and

$$w^*(f_n - f_{n-1}) = (w_n)^*(f_n - f_{n-1}) \quad \text{where } f_0 = 0.$$

By mathematical induction we have  $w e_n e = w_n e_n e$ .

Next we show  $\mathbf{x} = \bar{w}|\mathbf{x}|$ . By Lemma 4.5, it is sufficient to prove that  $(\mathbf{x} - \bar{w}|\mathbf{x}|)\bar{e}_n = 0$  for all  $n$ . Since

$$\begin{aligned} (\mathbf{x} - \bar{w}|\mathbf{x}|)\bar{e}_n &= (\mathbf{x} - \bar{w}_m^n|\mathbf{x}| + \bar{w}_m^n|\mathbf{x}| - \bar{w}|\mathbf{x}|)\bar{e}_n \\ &= (\mathbf{x} - \bar{w}_m^n|\mathbf{x}|)\bar{e}_n + (\bar{w}_m^n - \bar{w})|\mathbf{x}|\bar{e}_n \\ &= \mathbf{x}(\bar{e} - \bar{c}_m^n|\mathbf{x}|)\bar{e}_n + (\bar{w}_m^n - \bar{w}_n)|\mathbf{x}|\bar{e}_n \\ &\quad \text{(by } |\mathbf{x}|\bar{e}_n = \bar{e}_n|\mathbf{x}| \text{ and } \bar{w}_m^n = \mathbf{x}\bar{c}_m^n) \\ &= \mathbf{x}(\bar{e} - \bar{e}_m^n)\bar{e}_n + (\bar{w}_m^n - \bar{w}_n)|\mathbf{x}|\bar{e}_n. \end{aligned}$$

Then,

$$\begin{aligned} \{\mathbf{x}(\bar{e} - \bar{e}_m^n)\bar{e}_n\}^* \{\mathbf{x}(\bar{e} - \bar{e}_m^n)\bar{e}_n\} &= (\bar{e} - \bar{e}_m^n)\bar{e}_n \mathbf{x}^* \mathbf{x} (\bar{e} - \bar{e}_m^n) \\ &= \mathbf{x}^* \mathbf{x} (\bar{e}_n \bar{e} - \bar{e}_m^n) = [y_n(e_n e - e_m^n), 1] \end{aligned}$$

and noting that  $w_n e_m^n = w_m^n e_m^n = w_m^n$ , we have

$$\{(\bar{w}_m^n - \bar{w}_n)|\mathbf{x}|\bar{e}_n\}^* \{(\bar{w}_m^n - \bar{w}_n)|\mathbf{x}|\bar{e}_n\} = \bar{e}_n|\mathbf{x}|(\bar{e}_n - \bar{e}_m^n)|\mathbf{x}| = \mathbf{x}^* \mathbf{x} (\bar{e}_n \bar{e} - \bar{e}_m^n).$$

By Theorem 5.3 and (2), we see that there exist elements  $x_{(m)}, y_{(m)} (m = 1, 2, \dots)$  such that  $(\mathbf{x} - \bar{w}|\mathbf{x}|)\bar{e}_n = [x_{(m)} + y_{(m)}, 1]$  and  $\|x_{(m)}\| \leq (1/m)^{1/2}, \|y_{(m)}\| \leq (1/m)^{1/2} (m = 1, 2, \dots)$ . By Theorem 3.1, we can easily show that  $(\mathbf{x} - \bar{w}|\mathbf{x}|)\bar{e}_n = 0$ .

To see that  $f' = f$ , by the same way as in the case of  $\mathbf{x}^* \mathbf{x}$ , choosing for  $\mathbf{x} \mathbf{x}^*$  families  $\{(c_m^n)\} \{(f_m^n)\}$  satisfying the conditions (1)–(5), we have only to show that  $f'(f_m^n)' = (f_m^n)'$  for all  $m, n$ . Considering that  $\mathbf{x} \mathbf{x}^*(\bar{f}) = \mathbf{x} \mathbf{x}^*$ , the assertion is clear. Hence  $f' \geq f$ , that is,  $f' = f$ .

Finally we shall prove the uniqueness. Let  $\mathbf{x} = w_1 \mathbf{y}$  with  $\mathbf{y} \geq 0, (w_1)^* w_1 = \bar{e}, \bar{e} \mathbf{y} = \mathbf{y}$ , then  $\mathbf{x}^* \mathbf{x} = \mathbf{y} \bar{e} \mathbf{y} = \mathbf{y}^2$  and by Corollary 5.2,  $\mathbf{y} = |\mathbf{x}|$ , and  $w_1 |\mathbf{x}| = \bar{w} |\mathbf{x}|$  implies  $w_1 |\mathbf{x}| \bar{c}_m^n = \bar{w} |\mathbf{x}| \bar{c}_m^n, w_1 \bar{e}_m^n = \bar{w} \bar{e}_m^n$  for all  $m, n, w_1 \bar{e} = \bar{w} \bar{e}$ , that is,  $w_1 = \bar{w}$ . This completes the proof of Theorem 6.3.

THEOREM 6.4.  $C$  is a Baer\*-ring in the sense of ([6], Definition 2), that is, if  $S$  is any subset of  $C$ , the right annihilator of  $S$  has the form  $eC$ ,  $e$  a projection.

PROOF. For  $\mathbf{x} \in S$ , using the same notation as in the proof of Theorem 6.3,  $\mathbf{x}^*\mathbf{x}(1-\bar{e})=0$ , that is,  $\mathbf{x}=\mathbf{x}\bar{e}$ . Thus the right annihilator of  $\mathbf{x}$  includes  $(1-\bar{e})C$ . Conversely if  $\mathbf{xy}=0$ , then  $\mathbf{x}^*\mathbf{xyy}^*=0$ ,  $\bar{c}_m^n\mathbf{x}^*\mathbf{xyy}^*=0$ ,  $\bar{e}_m^n\mathbf{yy}^*=0$  for all  $m, n$ . Choosing a family  $\{d_m^n, g_m^n, g$  where  $d_m^n \geq 0$ ,  $g_m^n$  and  $g$  are projections} for  $\mathbf{yy}^*$  satisfying the conditions (1)–(5),  $e_m^n g_m^{n'}=0$  for all  $n, m, n'$  and  $m'$ ,  $e \leq 1-g$ ,  $(1-\bar{e})\mathbf{y}=(1-\bar{e})\bar{g}\mathbf{y}=\bar{g}\mathbf{y}=\mathbf{y}$ , and  $\mathbf{y} \in (1-\bar{e})C$ . Since the right annihilator of  $S$  is the intersection of all the right annihilator of  $\mathbf{x} \in S$ , an easy calculation shows that the annihilator of  $S=eC$  for some projection  $e$ . This completes the proof of Theorem 6.4.

REMARK. By above Theorem 6.4, the projection  $e$ (resp.  $f$ ) defined in Theorem 6.3 is the right (resp. left) projection of  $\mathbf{x}$  in the sense of ([3], p.244), and  $RP(\mathbf{x}) \sim LP(\mathbf{x})$  for all  $\mathbf{x} \in C$ .

#### REFERENCES

- [1] S. K. BERBERIAN, The regular ring of a finite  $AW^*$ -algebra, Ann. of Math., 65(1957), 224-240.
- [2] J. DIXMIER, Sur certains espaces considérés par M. H. Stone, Summa Brasil. Math., 2(1951), 151-182.
- [3] I. KAPLANSKY, Projections in Banach algebras Ann. of Math., 53(1951), 235-249.
- [4] I. KAPLANSKY, Algebras of type I, Ann. of Math., 56(1952), 460-472.
- [5] I. KAPLANSKY, Any orthocomplemented complete modular lattice is a continuous geometry, Ann. of Math., 61(1955), 524-541.
- [6] I. KAPLANSKY, Rings of operators (Note prepared by S. K. Berberian with an appendix by R. Blattner), Univ. of Chicago Notes, 1955.
- [7] T. OGASAWARA AND K. YOSHINAGA, A non-commutative theory of integration for operators, J. Sci. Hiroshima, 18(1955), 311-347.
- [8] S. SAKAI, The theory of  $W^*$ -algebras, Mimeographed note, Yale Univ., 1962.
- [9] I. E. SEGAL, A non-commutative extension of abstract integration, Ann. of Math., 57(1953), 401-457.
- [10] J. VON. NEUMANN, Continuous geometry, Princeton, 1960.
- [11] Ti Yen, Trace on finite  $AW^*$ -algebras, Duke Math. J., 22(1955), 207-222.

MATHEMATICAL INSTITUTE  
TÔHOKU UNIVERSITY  
SENDAI, JAPAN