

## ON THE DEFICIENCIES OF MEROMORPHIC FUNCTIONS

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**1. Introduction.** The Nevanlinna theory for meromorphic functions in  $|z| < +\infty$  was extended by Hällström [2] and Tsuji [3] to meromorphic functions defined in  $\hat{C} - E$ , where  $E$  is a bounded closed set of capacity zero in the complex plane  $C$  and  $\hat{C}$  denotes the extended complex plane. This was done by using the level curves of the so called Evans' function.

In this paper, we treat special cases that  $E$  is a finite set or a countable set, and prove relations between the order and the deficiencies of a meromorphic function in  $\hat{C} - E$ . These relations are closely related with a theorem due to Edrei-Fuchs [1]. However, our result (Theorem 1) can not be obtained from properties of the function in a neighbourhood of an isolated singularity.

Let  $E$  be a bounded closed set of capacity zero on  $C$ ,  $u(z)$  be an Evans' function with respect to  $E$ , and let  $v(z)$  be its conjugate harmonic function. The level curve  $C_r: u(z) = \log r$  consists of a finite number of analytic Jordan curves clustering to  $E$  as  $r \rightarrow +\infty$ . Let  $A_r$  be the unbounded domain surrounded by  $C_r$ . It is well known that  $\int_{C_r} dv = 2\pi$ .

For a single-valued meromorphic function  $w = f(z)$  in  $\hat{C} - E$  with an essential singularity at every point of  $E$ , we put

$$m(r, w) = \frac{1}{2\pi} \int_{C_r} \log \frac{1}{[f(z), w]} dv(z) \geq 0,$$

where  $[w_1, w_2]$  denotes the spherical distance between  $w_1$  and  $w_2$ . For a fixed  $r_0 > 0$ , we write

$$N(r, w) = \int_{r_0}^r n(t, w) \frac{dt}{t} - m(r_0, w) + k_0(w) \log \left( \frac{r}{r_0} \right),$$

where  $n(t, w)$  denotes the number of zero points of  $f(z) - w$  in  $A_t \setminus \bar{A}_{r_0}$  and  $k_0(w) = (1/2\pi) \int_{C_{r_0}} d \arg (f(z) - w)$ .

We now write

$$T(r, f) = m(r, w) + N(r, w)$$

which is independent of  $w$ , and we call  $T(r, f)$  the characteristic function of  $f(z)$ . We note that  $T(r, f)$  depends on the choice of an Evans' function  $u(z)$ .

The order  $\lambda_u$  and the lower order  $\mu_u$  of  $f(z)$  with respect to  $u(z)$  are defined as

$$\lambda_u = \limsup_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} \quad \text{and} \quad \mu_u = \liminf_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} .$$

Again the order  $\lambda$  and the lower order  $\mu$  of  $f(z)$  are defined as

$$\lambda = \inf_u \lambda_u \quad \text{and} \quad \mu = \inf_u \mu_u .$$

We put

$$m^*(r, w) = \frac{1}{2\pi} \int_{C_r} \log^+ \left| \frac{1}{f(z) - w} \right| dv(z)$$

and

$$N^*(r, w) = \int_{r_0}^r n(t, w) \frac{dt}{t} .$$

Then we see  $m(r, w) - m^*(r, w) = O(1)$  and  $N(r, w) - N^*(r, w) = O(\log r)$ .

Denoting by  $n^0(r)$  the number of components of  $C_r$ , we write

$$F(r) = \int_{r_0}^r n^0(t) \frac{dt}{t} , \quad \xi = \limsup_{r \rightarrow \infty} \frac{F(r)}{T(r, f)}$$

and

$$\delta(w, f) = \liminf_{r \rightarrow \infty} \frac{m(r, w)}{T(r, f)} \left( = 1 - \limsup_{r \rightarrow \infty} \frac{N(r, w)}{T(r, f)} \right) .$$

Hällström [2] proved a defect relation:

**DEFECT RELATION.** Suppose that  $f(z)$  is a meromorphic function in  $\hat{C} - E$  with an essential singularity at every point of  $E$ . Then, for any  $q$  distinct complex numbers  $w_1, \dots, w_q$ ,

$$\sum_{j=1}^q \delta(w_j, f) \leq 2 + \xi .$$

**REMARK.** If  $E$  is a finite set, then  $\xi$  is always zero. However, if  $E$  consists of an infinite number of points,  $\xi$  need not be finite.

2. Edrei and Fuchs [1] showed that every meromorphic function defined in  $C$  having more than one deficient value is of positive lower order. Here we shall prove that this property still holds for holomor-

phic functions defined in  $\hat{C} - E$ , when  $E$  is a finite set, and that this property need not be true for meromorphic functions in  $\hat{C} - E$ , when  $E$  consists of more than one point. Further, we can prove that if  $E$  consists of an infinite number of points, then the above property need not be true for holomorphic function in  $\hat{C} - E$ .

3. First we prove the following lemma.

LEMMA. Let  $f(z)$  be a single valued holomorphic function in  $\hat{C} - \{z_1, \dots, z_n\}$ . If  $f(z)$  has no zero point, then  $f(z)$  can be expressed as follows:

$$f(z) = c \prod_{j=1}^n (z - z_j)^{\nu_j} \exp\left(\sum_{j=1}^n \psi_j(z)\right),$$

where  $\psi_j(z)$  is holomorphic in  $\hat{C} - \{z_j\}$  ( $j = 1, \dots, n$ ),  $c$  is a constant and  $\nu_j$  is some integer.

PROOF. Clearly we see  $f'(z)/f(z) = \sum_{j=1}^n \phi_j(z)$ , where  $\phi_j(z)$  is holomorphic in  $\hat{C} - \{z_j\}$  ( $j = 1, \dots, n$ ), since  $f'(z)/f(z)$  is holomorphic in  $\hat{C} - \{z_1, \dots, z_n\}$ . We expand  $\phi_j(z)$  in the Laurent series of power of  $z - z_j$ , that is

$$\phi_j(z) = \sum_{\nu=0}^{\infty} \frac{a_{-\nu}^j}{(z - z_j)^\nu} = \sum_{\substack{\nu \neq -1 \\ \nu \geq 0}} \frac{a_{-\nu}^j}{(z - z_j)^\nu} + \frac{a_{-1}^j}{(z - z_j)},$$

where

$$a_{\nu}^j = \frac{1}{2\pi i} \int_{|\zeta - z_j| = r} \frac{\phi_j(\zeta)}{(\zeta - z_j)^{\nu+1}} d\zeta.$$

Put

$$h(z) = \int_{z_0}^z \frac{f'(z)}{f(z)} dz$$

for a fixed  $z_0 \neq z_j$  ( $j = 1, \dots, n$ ). Then

$$\begin{aligned} h(z) &= \sum_{j=1}^n \int_{z_0}^z \left( \sum_{\substack{\nu \neq -1 \\ \nu \geq 0}} \frac{a_{-\nu}^j}{(z - z_j)^\nu} + \frac{a_{-1}^j}{(z - z_j)} \right) dz \\ &= \sum_{j=1}^n \{ \psi_j(z) + a_{-1}^j (\log(z - z_j) + 2m_j \pi i) \}, \end{aligned}$$

where  $\psi_j(z)$  is holomorphic in  $\hat{C} - \{z_j\}$ . We note that  $a_{-1}^j$  is an integer. In fact, we have for a sufficiently small  $r$ ,

$$\begin{aligned} a_{-1}^j &= \frac{1}{2\pi i} \int_{|\zeta-z_j|=r} \phi_j(\zeta) d\zeta = \frac{1}{2\pi i} \int_{|\zeta-z_j|=r} \left( \frac{f'(\zeta)}{f(\zeta)} - \sum_{k \neq j} \phi_k(\zeta) \right) d\zeta \\ &= \frac{1}{2\pi i} \int_{|\zeta-z_j|=r} \frac{f'(\zeta)}{f(\zeta)} d\zeta \end{aligned}$$

and therefore  $a_{-1}^j$  is equal to an integer  $\nu_j$ . Thus we have

$$h(z) = \sum_{j=1}^n \psi_j(z) + \nu_j \log(z - z_j) + 2m_j \pi i, \quad (\nu_j, m_j \in \mathbf{Z}).$$

It is easy to see that  $f(z) = c \cdot \exp h(z)$  for some constant  $c$ . Therefore we obtain  $f(z) = c \cdot \prod_{j=1}^n (z - z_j)^{\nu_j} \exp \{ \sum_{j=1}^n \psi_j(z) \}$ , where  $\psi_j(z)$  is holomorphic in  $\hat{C} - \{z_j\}$  ( $j = 1, \dots, n$ ), and  $\nu_j$  is an integer.

Now we can prove the following.

**THEOREM 1.** *Let  $E$  be a finite set,  $E = \{z_1, \dots, z_n\}$ ,  $u(z)$  be an Evans' function with respect to  $E$  and let  $w = f(z)$  be a meromorphic function in  $\hat{C} - E$  with an essential singularity at every point of  $E$ . Suppose that there exist two values  $\alpha$  and  $\beta$  ( $\alpha \neq \beta$ ) such that  $\delta(\alpha, f) + \delta(\beta, f) > 1$  for some  $u(z)$ . Then the lower order  $\mu$  of  $f(z)$  is positive.*

**PROOF.** We may assume that  $\alpha = \infty$  and  $\beta = 0$ . Let  $\{a_\nu^j\}_{\nu=1}^\infty$  be the zeros and  $\{b_\nu^j\}_{\nu=1}^\infty$  the poles of  $f(z)$  in the component  $D_{r_0}^j$  of  $D_{r_0}$  containing  $z = z_j$  ( $j = 1, \dots, n$ ), where  $D_{r_0} = \{z; \log r_0 < u(z) < +\infty$  for a fixed  $r_0 > 0$  such that  $D_{r_0}$  consists of  $n$  components}, and let  $\{c_\nu\}_{\nu=1}^N$  be the zeros and  $\{d_\nu\}_{\nu=1}^M$  the poles of  $f(z)$  in  $\{z; u(z) < \log r_0\}$ .

Let  $\pi_j^0(z)$  and  $\pi_j^\infty(z)$  be the canonical products formed by the zeros  $\{a_\nu^j\}_{\nu=1}^\infty$  and the poles  $\{b_\nu^j\}_{\nu=1}^\infty$ , respectively.

Let  $R(z) = \prod_{\nu=1}^N (z - c_\nu) / \prod_{\nu=1}^M (z - d_\nu)$  be a rational function formed by zeros  $\{c_\nu\}_{\nu=1}^N$  and poles  $\{d_\nu\}_{\nu=1}^M$ . Then  $g(z) = f(z) \cdot \prod_{j=1}^n \pi_j^\infty(z) / R(z) \cdot \prod_{j=1}^n \pi_j^0(z)$  is holomorphic and not zero in  $\hat{C} - \{z_1, \dots, z_n\}$ . By Lemma, we can express  $g(z)$  as follows:

$$g(z) = c \prod_{j=1}^n (z - z_j)^{\nu_j} \exp \left\{ \sum_{j=1}^n \psi_j(z) \right\},$$

where  $\psi_j(z)$  is holomorphic in  $\hat{C} - \{z_j\}$  and  $c$  is a constant, so we can write

$$(1) \quad f(z) = \prod_{j=1}^n f_j(z),$$

where  $f_j(z)$  is meromorphic in  $\hat{C} - \{z_j\}$  ( $j = 1, \dots, n$ ).

We next show that for any  $\sigma > 1$ , the inequality

$$T(r, f) \leq \frac{4}{\sigma - 1} T(\sigma' r, f) + N(\sigma' r, 0, f) + N(\sigma' r, \infty, f) + O(\log r)$$

holds for all sufficiently large values of  $r$ , where  $\sigma' = L\sigma$  for some constant  $L$ .

From (1), we have

$$\begin{aligned} T(r, f) &= \frac{1}{2\pi} \int_{C_r} \log^+ |f(z)| dv(z) + \int_{r_0}^r \frac{n(t, \infty, f)}{t} dt + O(\log r) \\ &= \sum_{j=1}^n \frac{1}{2\pi} \int_{C_r^j} \log^+ |f(z)| dv(z) + \sum_{j=1}^n \int_{r_0}^r \frac{n^j(t, \infty, f)}{t} dt + O(\log r) \\ &= \sum_{j=1}^n \frac{1}{2\pi} \int_{C_r^j} \log^+ |f_j(z)| dv(z) + \sum_{j=1}^n \int_{r_0}^r \frac{n^j(t, \infty, f)}{t} dt + O(\log r). \end{aligned}$$

Here  $C_r^j$  is a component of  $C_r$  surrounding  $z = z_j$  and  $n^j(t, \infty, f)$  denotes the number of poles of  $f(z)$  in  $D_{r_0}^j \cap A_r \equiv A_t^j$ .

We write

$$T^j(r, f) = \frac{1}{2\pi} \int_{C_r^j} \log^+ |f(z)| dv(z) + \int_{r_0}^r \frac{n^j(t, \infty, f)}{t} dt$$

and

$$T^j_0(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log^+ \left| f\left(z_j + \frac{1}{r} e^{i\theta}\right) \right| d\theta + \int_{r_0}^r \frac{N^j_0(t, \infty, f)}{t} dt.$$

Note that for a finite set  $E = \{z_1, \dots, z_n\}$ , its Evans' function can be written in the form

$$u(z) = \sum_{j=1}^n p_j \log \frac{1}{|z - z_j|},$$

where  $0 < p_j \leq 1$  and  $\sum_{j=1}^n p_j = 1$ . We see  $C_r^j \subset \{z; 1/Ar \leq |z - z_j|^{p_j} \leq B/r\}$ , ( $j = 1, \dots, n$ ) for all sufficiently large values of  $r$ , where  $A$  and  $B$  are constants depending only on  $|z_j - z_k|$ , ( $j \neq k$ ) and  $p_j$  ( $j = 1, \dots, n$ ). Hence we have

$$\begin{aligned} T^j_0\left(\left(\frac{r}{B}\right)^{1/p_j}, f_j\right) - O(\log r) &\leq \frac{1}{p_j} T^j(r, f_j) \leq T^j_0((Ar)^{1/p_j}, f_j) \\ &\quad + O(\log r). \end{aligned}$$

Since, by Edrei and Fuchs [1, p. 310-311],

$$\begin{aligned} T^j_0((Ar)^{1/p_j}, f_j) &\leq \frac{4}{\sigma - 1} T^j_0(\sigma(Ar)^{1/p_j}, f_j) + N^j_0(\sigma(Ar)^{1/p_j}, 0, f_j) \\ &\quad + N^j_0(\sigma(Ar)^{1/p_j}, \infty, f_j), \end{aligned}$$

for any fixed  $\sigma > 1$ , we have

$$T^j(r, f_j) \leq \frac{4}{\sigma - 1} T^j(\sigma' r, f_j) + N^j(\sigma' r, 0, f_j) + N^j(\sigma', \infty, t_j) + O(\log r),$$

where  $N^j_0(r, 0, f_j) = \int_{r_0}^r (n^j_0(t, 0, f_j)/t) dt$ ,  $n^j_0(t, 0, f_j)$  denotes the number of zeros of  $f_j$  in  $1/r < |z - z_j| < 1/r_0$ , and  $\sigma'_j = \sigma^{p_j} AB$ . Therefore we have

$$\begin{aligned} T(r, f) &= \sum_{j=1}^n T^j(r, f_j) + O(\log r) \\ &\leq \frac{4}{\sigma - 1} T(\sigma' r, f) + N(\sigma' r, 0, f) + N(\sigma' r, \infty, f) + O(\log r), \end{aligned}$$

where  $\sigma' = \max_{1 \leq j \leq n} \sigma'_j$ . Since  $\delta(0, f) + \delta(\infty, f) > 1$ , by a similar argument to that of Edrei and Fuchs [1, p. 316-317] we have  $\mu_u(f) > 0$ .

We note that if  $\tilde{u}(z)$  is another Evans' function with respect to  $E$  and if  $\tilde{C}_r$  is the level curve with respect to  $\tilde{u}(z)$ , then  $T_u(r, f) \leq \max_j (p_j/\tilde{p}_j) T_{\tilde{u}}(Kr^s, f)$ , for all sufficiently large values of  $r$ , where  $K$  is a constant independent of  $r$  and  $s = \max_j (\tilde{p}_j/p_j)$ . In fact,  $\tilde{u}(z)$  has the form

$$\tilde{u}(z) = \sum_{j=1}^n \tilde{p}_j \log \frac{1}{|z - z_j|}, \quad 0 < \tilde{p}_j \leq 1 \quad \text{and} \quad \sum_{j=1}^n \tilde{p}_j = 1.$$

Further we see that there exist four constants  $A, \tilde{A}, B$  and  $\tilde{B}$  such that

$$C_r \subset \left\{ z; \frac{1}{Ar} \leq |z - z_j|^{p_j} \leq \frac{B}{r} \right\} \quad \text{and} \quad \tilde{C}_r \subset \left\{ z; \frac{1}{\tilde{A}r} \leq |z - z_j|^{\tilde{p}_j} \leq \frac{\tilde{B}}{r} \right\}.$$

Thus, for any  $j$ , we have  $T^j_u(r, f) \leq (p_j/\tilde{p}_j) T^j_{\tilde{u}}(\tilde{B}(Ar)^{\tilde{p}_j/p_j}, f)$  and so  $T_u(r, f) \leq \max_j (p_j/\tilde{p}_j) T_{\tilde{u}}(\tilde{B}(Ar)^s, f)$  for all sufficiently large values of  $r$ . Therefore we obtain

$$\mu_u \leq \liminf_{r \rightarrow \infty} \frac{\log T_{\tilde{u}}(Kr^s, f)}{\log r} = s\mu_{\tilde{u}},$$

so that

$$\mu_{\tilde{u}} \geq \frac{1}{s} \mu_u = \min_j (p_j/\tilde{p}_j) \mu_u \geq \min_j (p_j) \mu_u > 0.$$

4. REMARK. Let  $F(\zeta)$  be a meromorphic function defined on  $\hat{C} - \{0, 1\}$  and having an essential singularity at each point of  $\{0, 1\}$ . We can establish the (local) Nevanlinna theory in  $D_0: 0 < |\zeta| < 1/2$  or  $D_1: 0 < |\zeta - 1| < 1/2$ . Denote by  $\delta^j(a, F)$  the deficiency appearing in the (local) Nevanlinna theory in  $D_j (j = 0, 1)$ . By giving an example, we can see

that there exists an  $F(\zeta)$  with the following property:  $F(\zeta)$  has only one (local) deficient value 0 in  $D_0$  and only one (local) deficient value  $\infty$  in  $D_1$  such that  $\delta^0(0, F) = 1$  and  $\delta^1(\infty, F) = 1$  and  $F(\zeta)$  has two deficient values such that  $\delta(0, F) = \delta(\infty, F) = 1$  with respect to an Evans' function  $u(\zeta)$ . Hence the fact that  $\delta(\alpha, F) + \delta(\beta, F) > 1$  implies  $\mu_r > 0$ , does not follow from a local argument.

EXAMPLE. We determine the sequences of positive numbers  $\{s_n\}$ ,  $\{t_n\}$ ,  $\{x_n\}$ ,  $\{y_n\}$  and positive integers  $\{\eta_n\}$ ,  $\{\nu_n\}$ ,  $\{\lambda_n\}$ ,  $\{\mu_n\}$  such that

$$y_n < e^{(\log y_n)^{3/2}} < t_n < 4t_n < x_n < e^{(\log x_n)^{3/2}} < s_{n+1} < 4s_{n+1} < y_{n+1}$$

and such that

$$\begin{aligned} e^{(\log r)^2} &\leq T_o(r, f_0) \leq e^{(\log r)^3} && (x_n + 1 \leq r \leq e^{(\log x_n)^{3/2}}), \\ T_o(r, f_0) &\leq r && (s_{n+1} \leq r \leq x_{n+1}), \\ e^{(\log r)^2} &\leq T_o(r, f_1) \leq e^{(\log r)^3} && (y_n + 1 \leq r \leq e^{(\log y_n)^{3/2}}), \\ T_o(r, f_1) &\leq r && (t_n \leq r \leq y_{n+1}), \\ T_o(r, g_0) &\geq e^{(\log r)^5} && (4s_n \leq r \leq 4t_n), \\ T_o(r, g_0) &\leq e^{(\log r)^{3/2}} && (x_n \leq r \leq s_{n+1}), \\ T_o(r, g_1) &\geq e^{(\log r)^5} && (4t_n \leq r \leq 4s_{n+1}) \end{aligned}$$

and

$$T_o(r, g_1) \leq e^{(\log r)^{3/2}} \quad (y_{n+1} \leq r \leq t_{n+1})$$

for functions  $f_0(z)$ ,  $f_1(z)$ ,  $g_0(z)$  and  $g_1(z)$  defined as follows:

$$\begin{aligned} f_0(z) &= \prod_{n=1}^{\infty} \left(1 - \left(\frac{z}{x_n}\right)^{\lambda_n}\right), & f_1(z) &= \prod_{n=1}^{\infty} \left(1 - \left(\frac{z}{y_n}\right)^{\mu_n}\right), \\ g_0(z) &= \exp \prod_{n=1}^{\infty} \left(1 + \left(\frac{z}{s_n}\right)^{\nu_n}\right) & \text{and} & g_1(z) = \exp \prod_{n=1}^{\infty} \left(1 + \left(\frac{z}{t_n}\right)^{\nu_n}\right). \end{aligned}$$

These functions have an essential singularity at infinity and  $T_o(r, *)$  denotes the usual Nevanlinna characteristic function.

We write  $G^0(z) = (f_0(z) \cdot g_0(z))^{-1}$  and  $G^1(z) = f_1(z) \cdot g_1(z)$ . For any  $K > 0$ , there exists an  $n_0$  such that the inequality

$$\log |f_0(z)| \geq K \log \max_{|z|=r} \left| \frac{1}{g_0(z)} \right|$$

holds for  $z \in \{z; 2x_n \leq |z| \leq (1/2)e^{(\log x_n)^{3/2}}, n \geq n_0\}$ . Hence we have  $|f_0(z) \cdot g_0(z)| > e^{K-1}$ , for  $z \in \{z; 2x_n \leq |z| \leq (1/2)e^{(\log x_n)^{3/2}}, n \geq n_0\}$ . Similarly, there exists an  $n_1$  such that  $|f_1(z) \cdot g_1(z)| > e^{K-1}$ , for  $z \in \{z; 2y_n \leq |z| \leq (1/2)e^{(\log y_n)^{3/2}}, n \geq n_1\}$ .

We now consider

$$F(\zeta) = G^0\left(-\frac{1}{\zeta}\right) \cdot G^1\left(\frac{1}{\zeta-1}\right).$$

Obviously  $F(\zeta)$  is meromorphic in  $\hat{C} - \{0, 1\}$  and has essential singularities at two points  $\zeta = 0$  and  $\zeta = 1$ .

From the above, we can easily see that  $\delta^0(0, F) = 1$ ,  $\delta^0(\tau, F) = 0$  ( $\tau \neq 0$ ),  $\delta^1(\infty, F) = 1$  and  $\delta^1(\tau, F) = 0$  ( $\tau \neq \infty$ ). On the other hand, if we use the level curve of an Evans' function  $u(\zeta) = (1/2) \log(1/|\zeta(\zeta-1)|)$ , then we can easily see that

$$\max(N(r, 0, F), N(r, \infty, F)) = o(T(r, F)),$$

as  $r \rightarrow \infty$ . Hence we have  $\delta(0, F) = \delta(\infty, F) = 1$ , where  $N(r)$ ,  $T(r)$  and  $\delta$  denote the counting function, the characteristic function and the deficiency with respect to the Evans' function  $u(\zeta)$ , respectively. Therefore we obtain a desired example.

5. By giving an example, we next show that the assertion of Theorem 1 is not true for meromorphic function having no deficient values  $\alpha$  and  $\beta$  with  $\delta(\alpha, f) + \delta(\beta, f) > 1$  and being defined on  $\hat{C} - E$ , where  $E$  consists of two points.

Let  $E$  be the set  $\{z_1, z_2\}$ ,  $z_1 = 0$ ,  $z_2 = 1/2$ . Put

$$u(z) = \frac{1}{2} \log \frac{1}{|z|} + \frac{1}{2} \log \frac{1}{|z-1/2|},$$

and denote by  $C_r$  the level curve  $u(z) = \log r$ . Then we see easily  $C_r \subset \{(1/r^2 \leq |z| \leq 3/r^2) \cup (1/r^2 \leq |z-1/2| \leq 3/r^2)\}$  for all sufficiently large values of  $r$ .

Consider the function

$$f(z) = \prod_{\nu=1}^{\infty} \left(1 - \frac{1}{z \cdot 2^{2\nu}}\right) / \prod_{\nu=1}^{\infty} \left(1 - \frac{1}{(z-1/2)2^{2\nu}}\right) = \frac{f_1(z)}{f_2(z)},$$

say. Then we have

$$\begin{aligned} T(r, f(z)) &= \frac{1}{2\pi} \int_{C_r^0} \log^+ |f(z)| dv(z) + \frac{1}{2\pi} \int_{C_r^{1/2}} \log^+ |f(z)| dv(z) \\ &\quad + \int_{r_0}^r \frac{n(t, \infty, f)}{t} dt + O(\log r), \end{aligned}$$

where  $C_r^0$  and  $C_r^{1/2}$  denote components of  $C_r$  surrounding  $z = 0$  and  $z = 1/2$ , respectively. We write  $n(t, 0)$  for the number of zeros of  $f(z)$  in  $\Delta_t$ . Then  $(1/\log 2) \log \log(t/\sqrt{3}) + 1 < n(t, 0) < (1/\log 2) \log \log t + 2$ . Hence



we have

$$\int_{r_0}^r \frac{n(t, 0)}{t} dt = (1/\log 2) \log r \cdot \log \log r + O(\log r) .$$

Next, we estimate  $|f(z)|$  on  $C_r^0$ . Now, we see

$$\begin{aligned} \log \prod_{\nu=1}^{\infty} \left| 1 - \frac{1}{z \cdot 2^{2^\nu}} \right| &\leq \sum_{\nu=1}^{\infty} \log \left( 1 + \frac{r^2}{2^{2^\nu}} \right) \leq \int_{r^2}^{r^2} \frac{n(t, 0)}{t} dt + O(1) \\ &\leq (2/\log 2) \log r \cdot \log \log r + O(\log r) . \end{aligned}$$

On the other hand, we have

$$\begin{aligned} \log \prod_{\nu=1}^{\infty} \left| 1 - \frac{1}{z \cdot 2^{2^\nu}} \right| &\geq \sum_{\nu=1}^{\infty} \log \frac{\sqrt{|z|^2 + (1/2^{2^\nu})^2}}{|z|} \geq \sum_{\nu=1}^{\infty} \frac{1}{2} \log \left( 1 + \left( \frac{r}{3 \cdot 2^{2^\nu}} \right)^2 \right) \\ &\geq (2/\log 2) \log r \cdot \log \log r + O(\log r) \end{aligned}$$

for  $z \in C_r^0 \cap (\operatorname{Re} z < 0)$ .

We note again that  $|\log \prod_{\nu=1}^{\infty} |1 - 1/(z - 1/2) \cdot 2^{2^\nu}|| = O(1)$  for  $z \in C_r^0$ , ( $r > 5$ ). Thus we have

$$\log^+ |f(z)| \leq (2/\log 2) \log r \cdot \log \log r + O(\log r) ,$$

on  $C_r^0$  and

$$\log^+ |f(z)| \geq (2/\log 2) \log r \cdot \log \log r - O(\log r) ,$$

on  $C_r^0 \cap (\operatorname{Re} z < 0)$ . Hence we have

$$\begin{aligned} (1/2 \log 2) \log r \cdot \log \log r - O(\log r) &\leq \frac{1}{2\pi} \int_{C_r^0} \log^+ |f(z)| dv \\ &\leq (1/\log 2) \log r \cdot \log \log r + O(\log r) \end{aligned}$$

for all sufficiently large values of  $r$ . We note that  $\int_{C_r^{1/2}} \log^+ |f(z)| dv$  is not so large. Therefore we obtain

$$\delta(0, f) \geq$$

$$\begin{aligned} &\frac{1}{\log 2} \log r \cdot \log \log r + O(\log r) \\ 1 - \limsup_{r \rightarrow \infty} &\frac{\left\{ \frac{1}{\log 2} \log r \cdot \log \log r + O(\log r) \right\} + \left\{ \frac{1}{2 \log 2} \log r \cdot \log \log r + O(\log r) \right\}}{\left\{ \frac{1}{\log 2} \log r \cdot \log \log r + O(\log r) \right\} + \left\{ \frac{1}{2 \log 2} \log r \cdot \log \log r + O(\log r) \right\}} \\ &= 1 - \frac{2}{3} = \frac{1}{3} . \end{aligned}$$

Similarly we obtain  $\delta(\infty, f) \geq 1/3$ . Moreover, we can easily see that the order of  $f(z)$  with respect to  $u(z)$  is zero.

6. Here we show an example for which the assertion of Theorem 1 does not hold for an infinite set  $E$ , even if  $f(z)$  is holomorphic in  $\hat{C} - E$ .

Let  $E$  be the set  $\{z_k\}_{k=0}^{\infty}$ , where  $z_0 = 0$ ,  $z_k = 1/2^{2k}$  ( $k = 1, 2, \dots$ ) and put

$$u(z) = \sum_{k=0}^{\infty} p_k \log \frac{1}{|z - z_k|}, \quad \text{where } p_0 = \frac{1}{2} \quad \text{and} \quad p_k = \frac{1}{2^{k+1}} \\ (k = 1, 2, \dots).$$

Clearly  $u(z)$  is an Evans' function with respect to  $E$ . Let  $C_r$  be the level curve  $u(z) = \log r$ . Then  $C_r = \bigcup_{j=0}^{N(r)} C_r^j$ , where  $C_r^0$  is a component of  $C_r$  surrounding  $z = 0$  and  $C_r^j$  is a component of  $C_r$  not surrounding  $z = 0$ , ( $j = 1, \dots, N(r)$ ). Consider the function

$$f(z) = \prod_{k=0}^{\infty} f_k(z),$$

where

$$f_0(z) = \prod_{\nu=1}^{\infty} \left(1 - \frac{1}{2^{2\nu} \cdot z}\right)^{\nu} \quad \text{and} \quad f_k(z) = \prod_{\nu=1}^{\infty} \left(1 - \frac{1}{2^{2\nu/p_k} \cdot (z - z_k)}\right)$$

for  $k = 1, 2, \dots$ .

We show that  $f(z)$  is of order zero with respect to  $u(z)$  and  $\delta(0, f) = \delta(\infty, f) = 1$ .

(i) We note that

$$\bigcap_{k=0}^{\infty} \left(|z - z_k| > \frac{1}{r}\right) \cap C_r = \emptyset \quad \text{and} \quad \bigcup_{k=0}^{\infty} \left(|z - z_k| < \left(\frac{1}{r}\right)^{1/p_k}\right) \cap C_r = \emptyset,$$

so that  $C_r \subset (|z| < 1)$  for all sufficiently large values of  $r$ . We note again that  $(\operatorname{Re} z < 0) \cap C_r \subset \{z; 1/r^2 < |z| < 2/r^2\}$ .

(ii) We next show that the order of  $f(z)$  with respect to  $u(z)$  is zero. If  $z \in C_r$ , then  $|z - z_0| = |z| > 1/r^2$ , so

$$\begin{aligned} \log |f_0(z)| &\leq \sum_{\nu=1}^{\infty} \log (1 + (r^2/2^{2\nu})^{\nu}) \\ &\leq \sum_{2^{2\nu} < r^2} \nu \log (r^2/2^{2\nu}) + \sum_{2^{2\nu} < r^2} \nu (2^{2\nu}/r^2) \\ &\quad + \sum_{r^2 < 2^{2\nu}} \nu (r^2/2^{2\nu}) \\ &= I_1 + I_2 + I_3, \quad \text{say.} \end{aligned}$$

Now, we denote by  $n_0(t)$  the number of zeros of  $f_0(z)$  in  $|z| > 1/t$ . Then the integer  $\nu$  such that  $2^{2\nu} \leq t < 2^{2\nu+1}$  belongs to the interval

$$\frac{\log \log t - \log \log 2}{\log 2} - 1 < \nu \leq \frac{\log \log t - \log \log 2}{\log 2},$$

so that  $n_0(t) < 1/2 (\log \log t / \log 2 + 2)^2$ .

Hence we have

$$I_1 = \int_2^{r^2} \frac{n_0(t)}{t} dt = O(\log r (\log \log r)^2).$$

Next, the integer  $\nu$  satisfying  $2^{2^\nu} < r^2$  fulfils  $\nu < \log \log r / \log 2 + 2$ , so that

$$I_2 = \sum_{2^{2^\nu} < r^2} \nu(2^{2^\nu} / r^2) \leq O(\log \log r).$$

Further, if  $\nu_0 = [\log \log r / \log 2] + 2$ , then

$$I_3 = \sum_{2^{2^\nu} > r^2} \nu(r^2 / 2^{2^\nu}) = \sum_{\nu=\nu_0}^{\infty} \nu(r^2 / 2^{2^\nu}) = O(\log \log r).$$

Therefore we have

$$\log |f_0(z)| = O(\log r (\log \log r)^2), \quad (z \in C_r).$$

Next, we estimate  $\prod_{k=1}^{\infty} |f_k(z)|$  on  $C_r$ . Let  $k = k_0$  be the largest integer satisfying  $z_k \in (|z| > 1/r^2)$ . Then  $k_0 < [\log \log r / \log 2] + 2$ . If  $k > k_0 + 1$ , then

$$(|z - z_k| \cdot 2^{2^\nu / p_k})^{-1} < 1,$$

and hence  $\log |f_k(z)| < (2^{2^{k-2}})^{-1}$ , so  $\log \prod_{k > k_0+1} |f_k(z)| < 1$ . If  $k \leq k_0 + 1$ , then  $|z - z_k| > (1/r)^{1/p_k}$  for  $z \in C_r$ . Thus we have

$$\begin{aligned} \log |f_k(z)| &\leq \sum_{\nu=1}^{\infty} \log \{1 + (|z - z_k| \cdot 2^{2^\nu / p_k})^{-1}\} \\ &\leq \sum_{\nu=1}^{\infty} \log \{1 + (r^{1/p_k}) \cdot (2^{2^\nu / p_k})^{-1}\} \\ &\leq \frac{2p_k}{\log 2} \log r^{1/p_k} \log \log r^{1/p_k} + O(1) \\ &= O(\log r \log \log r), \end{aligned}$$

where  $n_k(t)$  denotes the number of zeros of  $f_k(z)$  in  $|z - z_k| > t$ , since  $k < k_0 + 1 < \log \log r / \log 2 + 3$ . Hence we have

$$\log \prod_{k=1}^{k_0+1} |f_k(z)| \leq O(k_0 \log r \cdot \log \log r) = O(\log r (\log \log r)^2).$$

Therefore we have

$$\log |f(z)| = \log \prod_{k=0}^{\infty} |f_k(z)| = O(\log r (\log \log r)^2)$$

for  $z \in C_r$ . Thus we obtain

$$T(r, f) = \frac{1}{2\pi} \int_{C_r} \log^+ |f(z)| dv(z) + O(\log r) = O(\log r(\log \log r)^2),$$

where  $v(z)$  is a conjugate harmonic function of  $u(z)$ , and we also see

$$\mu \leq \lambda \leq \lambda_u(f) = \limsup_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} = 0.$$

Therefore  $f(z)$  is of order zero with respect to  $u(z)$ .

(iii) If  $z \in C_r \cap (\operatorname{Re} z < 0)$ , then  $|z| < 2/r^2$  and

$$\left| z - \frac{1}{2^{2\nu}} \right| > \sqrt{\left(\frac{2}{r^2}\right)^2 + \left(\frac{1}{2^{2\nu}}\right)^2},$$

and hence we have

$$\begin{aligned} \log |f_0(z)| &= \sum_{\nu=1}^{\infty} \log |1 - (2^{2\nu} \cdot z)^{-\nu}| \\ &\geq \sum_{\nu=1}^{\infty} \frac{\nu}{2} \log \left\{ 1 + \left(\frac{r^2}{2}\right)^2 \cdot (2^{2\nu})^{-2} \right\} \\ &\geq \frac{2}{3} \log r(\log \log r)^2. \end{aligned}$$

We also see  $|z - z_k| \geq \sqrt{|z_k|^2 + (1/r^2)^2} \geq \max(|z_k|, 1/r^2)$  for  $z \in C_r \cap (\operatorname{Re} z < 0)$ , so that  $|z - z_k| \cdot 2^{2\nu p k} > 4$ . Thus we deduce that for  $f_k(z)$  ( $k = 1, 2, \dots$ ),

$$\log \left| \frac{1}{f_k(z)} \right| < (2^{2^{2k-1}})^{-1},$$

whence

$$\log \frac{1}{\prod_{k=1}^{\infty} |f_k(z)|} = \sum_{k=1}^{\infty} \log \frac{1}{|f_k(z)|} \leq \sum_{k=1}^{\infty} (2^{2^{2k-1}})^{-1} < 1.$$

Therefore we obtain

$$\begin{aligned} T(r, f) &= m(r, f) = \frac{1}{2\pi} \int_{C_r} \log^+ |f(z)| dv(z) + O(1) \\ &\geq \frac{1}{2\pi} \int_{C_r \cap (\operatorname{Re} z < 0)} \log^+ |f(z)| dv(z) + O(1) \\ &= \frac{1}{2\pi} \int_{C_r \cap (\operatorname{Re} z < 0)} \log^+ |f_0(z)| dv(z) + O(1) \\ &= K \log r(\log \log r)^2 \end{aligned}$$

for some constant  $K(> 0)$  independent from  $r$ .

(iv) Finally we estimate the number of zeros of  $f(z)$  in  $\Delta_r$ . We note that  $C_r \subset (|z| > 1/r^2) \cap (\bigcup_{k=1}^{\infty} (|z - z_k| > (1/r)^{1/p_k}))$  and that the number  $N_r$  of  $z_k \in (|z| > 1/r^2)$  satisfies  $N_r < \log \log r / \log 2 + 2$ . For each  $k$ , the number of zeros of  $f_k(z)$  in  $\Delta_r$  is less than  $p_k \cdot \log \log r + 1$ . Thus we see that the number  $n(r, 0)$  of zeros of  $f(z) = \prod_{k=0}^{\infty} f_k(z)$  satisfies

$$n(r, 0) < \sum_{k=1}^{N_r} (p_k \log \log r + 1) < 2 \log \log r .$$

Hence we obtain

$$N(r, 0, f) \leq 4 \log r \cdot \log \log r$$

for all sufficiently large values of  $r$ . Therefore we have

$$1 \geq \delta(0, f) = 1 - \limsup_{r \rightarrow \infty} \frac{N(r, 0, f)}{T(r, f)} = 1 ,$$

so  $\delta(0, f) = 1$ . Clearly, we see  $\delta(\infty, f) = 1$ .

**7. Remark.** For the set  $E$  and the Evans' function  $u(z)$  in the above example, we see that the number  $n^*(r)$  of components of  $C_r$  satisfies  $n^*(r) < \log \log r / \log 2 + 2$ , since  $C_r \cap (|z| < 1/r_2) = \emptyset$ . Hence we have

$$F(r) = \int_{r_0}^r \frac{n^*(t)}{t} dt = O(\log r \cdot \log \log r) .$$

Thus for the holomorphic function  $f(z)$  in the above example,

$$\xi = \limsup_{r \rightarrow \infty} \frac{F(r)}{T(r, f)} = 0 ,$$

since  $T(r, f) > K \log r (\log \log r)^2$  for a constant  $K (> 0)$ . Hence  $\sum_{j=1}^{\infty} \delta(a_j, f) \leq 2$  for any distinct complex numbers  $a_j$ . On the other hand, since  $\delta(0, f) = \delta(\infty, f) = 1$  in this example, we see that  $\delta(a, f) = 0$  for all  $a \neq 0, \infty$ .

8. What can we say about the order (or the lower order) of  $f(z)$  under more stronger condition than Theorem 1? We obtain the following result about this problem.

**THEOREM 2.** *Suppose that  $f(z)$  is a meromorphic function in  $\hat{C} - E$  with an essential singularity at each point of the set  $E = \{z_1, \dots, z_n\}$  and that  $f(z)$  satisfies*

$$(2) \quad \max (\lambda_u(N(r, 0, f)), \lambda_u(N(r, \infty, f))) < \lambda_u(f) < \infty$$

*for every Evans' function  $u(z)$  with respect to  $E$ . Then the order of*

$f(z)$  is a positive integer and  $\lambda = \mu$ , where  $\lambda$  and  $\mu$  are the order and lower order of  $f(z)$ , respectively.

PROOF. Let two canonical products  $\pi_j^0(z)$ ,  $\pi_j^\infty(z)$  ( $j = 1, \dots, n$ ) and a rational function  $R(z)$  be as in the proof of Theorem 1. Then

$$g(z) = f(z) \cdot \prod_{j=1}^n \pi_j^\infty(z) / R(z) \cdot \prod_{j=1}^n \pi_j^0(z)$$

is holomorphic and not zero in  $\hat{C} - E$ . Thus, by Lemma,

$$g(z) = c \cdot \prod_{j=1}^n (z - z_j)^{\nu_j} \exp \left\{ \sum_{j=1}^n \psi_j(z) \right\},$$

where  $c \in \mathbb{C}$ ,  $\nu_j$  is an integer and  $\psi_j(z)$  is a holomorphic function in  $\hat{C} - \{z_j\}$ . Since  $f(z)$  is finite order, we see  $\psi_j(z)$  is a polynomial of  $1/(z - z_j)$  of degree  $k_j$  ( $0 \leq k_j < \infty$ ). Hence there exist non-negative constants  $\alpha_j$  and  $\beta_j$  ( $j = 1, \dots, n$ ) such that

$$\begin{aligned} \left( \beta_j \left( \frac{r}{B} \right)^{k_j/p_j} \right) &\leq T_j^0 \left( \left( \frac{r}{B} \right)^{1/p_j}, g \right) \leq \frac{1}{p_j} T^j(r, g) + O(\log r) \\ &\leq T_j^0(Ar)^{1/p_j}, g + O(\log r) \leq (\alpha_j (Ar)^{k_j/p_j}) + O(\log r) \end{aligned}$$

for all sufficiently large values of  $r$ . Hence we have

$$\sum_{j=1}^n p_j \left\{ \beta_j \left( \frac{r}{B} \right)^{k_j/p_j} \right\} \leq T(r, f) \leq \sum_{j=1}^n p_j \{ \alpha_j (Ar)^{k_j/p_j} \} + O(\log r),$$

so that

$$\limsup_{r \rightarrow \infty} \frac{\log T(r, g)}{\log r} = \liminf_{r \rightarrow \infty} \frac{\log T(r, g)}{\log r} = \max_{1 \leq j \leq n} \left( \frac{k_j}{p_j} \right).$$

Again, by  $f(z) = g(z)R(z)\pi_0(z)/\pi_\infty(z)$ , where  $\pi_0(z) = \prod_{j=1}^n \pi_j^0(z)$  and  $\pi_\infty(z) = \prod_{j=1}^n \pi_j^\infty(z)$ , we see

$$\begin{aligned} T(r, g) - T(r, \pi_0) - T(r, \pi_\infty) - O(\log r) &\leq T(r, f) \\ &\leq T(r, g) + T(r, \pi_0) + T(r, \pi_\infty) + O(\log r). \end{aligned}$$

From (2), we see  $\max(\lambda_u(N(r, 0, f)), \lambda_u(N(r, \infty, f))) < \lambda_u(g) = \mu_u(g)$ , whence

$$(1 - o(1))T(r, g) \leq T(r, f) \leq (1 + o(1))T(r, g).$$

Thus we obtain  $\lambda_u(f) = \mu_u(f) = \max_{1 \leq j \leq n} (k_j/p_j)$ .

Therefore we have

$$\lambda = \mu = \inf_u \mu_u(f) = \inf_{p_j} \max_{1 \leq j \leq n} \left( \frac{k_j}{p_j} \right).$$

This infimum is attained by taking  $(k_{j_1}/p_{j_1}) = \cdots = (k_{j_q}/p_{j_q})$ ,  $(k_{j_s} \neq 0)$ . By noting  $0 < p_j \leq 1$ ,  $\sum_{j=1}^n p_j = 1$  and by taking  $\sum_{i=q+1}^n p_{j_i} \rightarrow 0$ , we see that  $\mu = \sum_{j=1}^n k_j$  is an integer.

REMARK. In Theorem 2, if we replace the condition "for every Evans' function  $u(z)$  w.r.t.  $E$ " by "for some Evans' function  $u(z)$  w.r.t.  $E$ ", then the order  $\lambda$  (= the lower order  $\mu$ ) of  $f(z)$  need not be an integer.

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