

## ACTIONS OF SYMPLECTIC GROUPS ON CERTAIN MANIFOLDS

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**0. Introduction.** In previous papers [12], [14] smooth actions of special unitary (resp. symplectic) groups on a product of complex (resp. quaternion) projective spaces have been studied. Here we shall study smooth actions of symplectic group  $Sp(n)$  on certain product manifolds and we shall prove the following.

**THEOREM.** *Let  $X$  be a closed orientable manifold on which  $Sp(n)$  acts smoothly and non-trivially. Suppose  $n \geq 7$ .*

(i) *Suppose  $X \sim P_a(C) \times P_b(C)$ ,  $1 \leq b \leq a < 2n$ , and  $a + b \leq 4n - 3$ . Then  $a = 2n - 1$  and  $X$  is equivariantly diffeomorphic to  $P_{2n-1}(C) \times Y_0$ , where  $Y_0$  is a closed orientable manifold such that  $Y_0 \sim P_b(C)$ , and  $Sp(n)$  acts naturally on  $P_{2n-1}(C)$  and trivially on  $Y_0$ .*

(ii) *Suppose  $X \sim P_a(H) \times P_b(C)$ ,  $1 \leq a \leq n - 1$ ,  $1 \leq b \leq 2n - 1$ , and  $2a + b \leq 4n - 4$ . Then there are three cases:*

(a)  *$a = n - 1$  and  $X$  is equivariantly diffeomorphic to  $P_{n-1}(H) \times Y_1$ , where  $Y_1$  is a closed orientable manifold such that  $Y_1 \sim P_b(C)$ , and  $Sp(n)$  acts naturally on  $P_{n-1}(H)$  and trivially on  $Y_1$ ,*

(b)  *$b = 2n - 1$  and  $X$  is equivariantly diffeomorphic to  $P_{2n-1}(C) \times Y_2$ , where  $Y_2$  is a closed orientable manifold such that  $Y_2 \sim P_a(H)$ , and  $Sp(n)$  acts naturally on  $P_{2n-1}(C)$  and trivially on  $Y_2$ ,*

(c)  *$b = 2n - 1$  and  $X$  is equivariantly diffeomorphic to  $(S^{4n-1} \times Y_3)/Sp(1)$ , where  $Y_3$  is a closed orientable  $Sp(1)$  manifold such that  $Y_3 \sim S^2 \times P_a(H)$ ,  $Sp(1)$  acts as right scalar multiplication on  $S^{4n-1}$ , the unit sphere of  $H^n$ , and  $Sp(n)$  acts naturally on  $S^{4n-1}$  and trivially on  $Y_3$ . In addition,  $F \sim S^0 \times P_a(C)$  and the induced homomorphism  $i^*: H^2(Y_3) \rightarrow H^2(F)$  is trivial, where  $F$  denotes the fixed point set of the restricted  $U(1)$  action on  $Y_3$ . Conversely, if  $Y_3$  satisfies the above conditions, then  $(S^{4n-1} \times Y_3)/Sp(1) \sim P_{2n-1}(C) \times P_a(H)$  for  $1 \leq a \leq n - 2$ .*

Throughout this paper, let  $H^*( )$  denote the singular cohomology theory with rational coefficients. By  $X_1 \sim X_2$  we mean  $H^*(X_1) \cong H^*(X_2)$  as graded algebras. Denote by  $P_n(C)$  and  $P_n(H)$  the complex (resp. quaternion) projective  $n$ -space.

**1. Preliminary results.** First we present the following two lemmas which are proved by a standard method (cf. [6], [7], [11]). We shall give an outline of the proof in the final section for completeness.

**LEMMA 1.1.** *Suppose  $n \geq 7$ . Let  $G$  be a closed connected proper subgroup of  $\mathbf{Sp}(n)$  such that  $\dim \mathbf{Sp}(n)/G < 8n$ . Then  $G$  coincides with  $\mathbf{Sp}(n-i) \times K$  ( $i = 1, 2, 3$ ) up to an inner automorphism of  $\mathbf{Sp}(n)$ , where  $K$  is a closed connected subgroup of  $\mathbf{Sp}(i)$ .*

**LEMMA 1.2.** *Suppose  $r \geq 5$  and  $k < 8r$ . Then an orthogonal non-trivial representation of  $\mathbf{Sp}(r)$  of degree  $k$  is equivalent to  $(\nu_r)_R \oplus \theta^{k-4r}$ . Here  $(\nu_r)_R: \mathbf{Sp}(r) \rightarrow \mathbf{O}(4r)$  is the canonical inclusion, and  $\theta^t$  is the trivial representation of degree  $t$ .*

In the following, let  $X$  be a closed connected orientable manifold with a non-trivial smooth  $\mathbf{Sp}(n)$  action, and suppose  $n \geq 7$  and  $\dim X < 8n$ . Put

$$F_{(i)} = \{x \in X: \mathbf{Sp}(n-i) \subset \mathbf{Sp}(n)_x \subset \mathbf{Sp}(n-i) \times \mathbf{Sp}(i)\},$$

$$X_{(i)} = \mathbf{Sp}(n)F_{(i)} = \{gx: g \in \mathbf{Sp}(n), x \in F_{(i)}\}.$$

Here  $\mathbf{Sp}(n)_x$  denotes the isotropy group at  $x$ . Then, by Lemma 1.1, we obtain  $X = X_{(0)} \cup X_{(1)} \cup X_{(2)} \cup X_{(3)}$ .

**PROPOSITION 1.3.** *If  $X_{(k)}$  is non-empty, then  $X_{(i)}$  is empty for each  $i \geq k+2$ .*

**PROOF.** This is proved essentially in [13], [14], but we give a proof for completeness. Let us denote by  $F(\mathbf{Sp}(n-j), X_{(i)})$  the fixed point set of the restricted  $\mathbf{Sp}(n-j)$  action on  $X_{(i)}$ . It is easy to see that  $F(\mathbf{Sp}(n-j), X_{(i)})$  is empty for each  $j < i \leq n-i$ . Suppose that  $X_{(k)}$  is non-empty and fix  $x \in F_{(k)}$ . Let  $\sigma$  be the slice representation at  $x$ . Then the restriction  $\sigma|_{\mathbf{Sp}(n-k)}$  is trivial or equivalent to  $(\nu_{n-k})_R \oplus \theta^t$  by Lemma 1.2. Anyhow, a principal isotropy group of the given action contains  $\mathbf{Sp}(n-k-1)$ , and hence  $F(\mathbf{Sp}(n-k-1), X_{(i)})$  is non-empty if so is  $X_{(i)}$ . q.e.d.

**PROPOSITION 1.4.** *Suppose  $X = X_{(k)} \cup X_{(k+1)}$ . If  $X_{(k)}$  and  $X_{(k+1)}$  are non-empty, then the codimension of each connected component of  $F_{(k)}$  in  $X$  is equal to  $4(k+1)(n-k)$ .*

**PROOF.** Fix  $x \in F_{(k)}$ . Let  $\sigma$  and  $\rho$  denote the slice representation at  $x$  and the isotropy representation of the orbit  $\mathbf{Sp}(n)x$ , respectively. The restriction  $\sigma|_{\mathbf{Sp}(n-k)}$  is equivalent to  $(\nu_{n-k})_R \oplus \theta^s$  by Lemma 1.2 and the assumption that  $X_{(k+1)}$  is non-empty. On the other hand,  $\rho|_{\mathbf{Sp}(n-k)}$

is equivalent to  $k(\nu_{n-k})_R \oplus \theta^t$  by considering adjoint representations. Hence  $(\sigma \oplus \rho)|_{\mathbf{Sp}(n-k)}$  is equivalent to  $(k+1)(\nu_{n-k})_R \oplus \theta^{s+t}$ . This shows that the codimension of  $F_{(k)}$  at  $x$  is equal to  $4(k+1)(n-k)$ . q.e.d.

**COROLLARY 1.5.** *Suppose  $X = X_{(2)} \cup X_{(3)}$ . Then either  $X_{(2)}$  or  $X_{(3)}$  is empty.*

**REMARK.**  $\dim \mathbf{Sp}(n)/\mathbf{Sp}(n-k) \times \mathbf{Sp}(k) = 4k(n-k)$  and  $\chi(\mathbf{Sp}(n)/\mathbf{Sp}(n-k) \times \mathbf{Sp}(k)) = {}_n C_k$ , where  $\chi(\ )$  denotes the Euler characteristic, and  ${}_n C_k$  denotes the binomial coefficient.

**REMARK.** If  $\dim X < 4n$ , then we see  $X = X_{(1)}$ . In addition, if  $H^{\text{odd}}(X) = 0$ , then  $X$  is equivariantly diffeomorphic to  $P_{n-1}(\mathbf{H})$ ,  $P_{n-1}(\mathbf{H}) \times S^2$  or  $P_{2n-1}(\mathbf{C})$ , where  $\mathbf{Sp}(n)$  acts naturally on  $P_{n-1}(\mathbf{H})$ ,  $P_{2n-1}(\mathbf{C})$  and trivially on  $S^2$ . So we assume  $\dim X \geq 4n$ , in the following sections.

**2. Cohomological aspects.** Throughout this section, suppose that  $X$  is a closed orientable manifold with a non-trivial smooth  $\mathbf{Sp}(n)$  action,  $n \geq 7$  and  $X = X_{(0)} \cup X_{(1)}$ .

**PROPOSITION 2.1.** *Suppose either  $X \sim P_a(\mathbf{C}) \times P_b(\mathbf{C})$ ,  $1 \leq b \leq a < 2n \leq a + b \leq 4n - 3$ , or  $X \sim P_a(\mathbf{H}) \times P_b(\mathbf{C})$ ,  $1 \leq a \leq n - 1$ ,  $1 \leq b \leq 2n - 1$ ,  $2n \leq 2a + b \leq 4n - 4$ . Then  $X_{(0)}$  is empty.*

**PROOF.** Suppose that  $X_{(0)}$  is non-empty. Let  $U$  be an invariant closed tubular neighborhood of  $X_{(0)}$  in  $X$ , and put  $E = X - \text{int}U$ . Let  $i: E \rightarrow X$  be the inclusion. Then  $i^*: H^t(X) \rightarrow H^t(E)$  is an isomorphism for each  $t \leq 4n - 2$ , because the codimension of each connected component of  $X_{(0)}$  is  $4n$  by Lemma 1.2. Put  $Y = E \cap F_{(1)}$ . Then  $Y$  is a connected compact orientable manifold with non-empty boundary  $\partial Y$ , and  $\mathbf{Sp}(1)$  acts naturally on  $Y$ . There is a natural diffeomorphism  $E = (S^{4n-1} \times Y)/\mathbf{Sp}(1)$ . By the Gysin sequence of the principal  $\mathbf{Sp}(1)$  bundle  $p: S^{4n-1} \times Y \rightarrow E$ , we obtain an exact sequence:

$$0 \rightarrow H^{2k-1}(S^{4n-1} \times Y) \rightarrow H^{2k-4}(E) \rightarrow H^{2k}(E) \rightarrow H^{2k}(S^{4n-1} \times Y) \rightarrow 0,$$

where  $2k = \dim Y = \dim X - (4n - 4)$ . Hence we obtain  $\text{rank } H^{2k}(Y) - \text{rank } H^{2k-1}(Y) \geq 1$ , by the cohomology ring structure of  $X$ . Considering the homology exact sequence of the pair  $(Y, \partial Y)$  and the Poincaré-Lefschetz duality, we obtain

$$\text{rank } H_0(\partial Y) \leq \text{rank } H_0(Y) + \text{rank } H^{2k-1}(Y) - \text{rank } H^{2k}(Y) \leq 0.$$

Therefore  $\partial Y$  is empty; this is a contradiction. q.e.d.

In the remaining of this section, we assume  $X = X_{(1)} = (S^{4n-1} \times F_{(1)})/\mathbf{Sp}(1)$ , where  $F_{(1)}$  is a closed connected orientable manifold with a

natural  $Sp(1)$  action.

Here we describe certain situations which appear repeatedly in the following. Let  $Y$  be a closed orientable  $Sp(1)$  manifold such that  $H^{\text{odd}}(Y) = 0$ . Put  $M = S^{4n-1} \times Y$ , where  $Sp(1)$  acts as right scalar multiplication on  $S^{4n-1}$ . Let  $T$  be a closed toral subgroup of  $Sp(1)$ . Consider the following commutative diagram:

$$(D-1) \quad \begin{array}{ccc} M/T & \xrightarrow{p_1} & M/Sp(1) \\ \downarrow \pi_1 & & \downarrow \pi_2 \\ P_{2n-1}(C) & \xrightarrow{q} & P_{n-1}(H), \end{array}$$

where  $\pi_1, \pi_2$  are projections of fiber bundles with  $Y$  as the fiber, and  $p_1, q$  are projections of 2-sphere bundles. Since  $H^{\text{odd}}(Y) = 0$ , we can apply the Leray-Hirsch theorem to the fibrations  $\pi_1, \pi_2$ . In particular, we see  $H^{\text{odd}}(M/Sp(1)) = 0$ . By the Gysin sequence of the principal  $Sp(1)$  bundle  $p: M \rightarrow M/Sp(1)$ , we obtain an exact sequence:

$$(A_i) \quad 0 \rightarrow H^{2i-1}(M) \rightarrow H^{2i-4}(M/Sp(1)) \xrightarrow{\mu} H^{2i}(M/Sp(1)) \xrightarrow{p^*} H^{2i}(M) \rightarrow 0$$

for each  $i$ , where  $\mu$  is the multiplication by  $e(p)$ , the Euler class.

We regard  $S^\infty$  as the inductive limit of  $S^{4N-1}$  on which  $T$  acts naturally. Let  $F$  denote the fixed point set of the restricted  $T$  action on  $Y$ . Consider the following commutative diagram:

$$(D-2) \quad \begin{array}{ccc} H^r((S^\infty \times Y)/T) & \xrightarrow{j^*} & H^r(M/T) \\ \downarrow i_\infty^* & & \downarrow i_1^* \\ H^r((S^\infty/T) \times F) & \xrightarrow{j_F^*} & H^r(P_{2n-1}(C) \times F), \end{array}$$

where  $i_1, i_\infty, j, j_F$  are natural inclusions. Since  $H^{\text{odd}}(Y) = 0$ , we see that (cf. [5])

(1)  $i_\infty^*$  is injective,  $j^*$  is surjective and  $i_1^*$  is surjective for  $r > \dim Y$ .

On the other hand,  $j_F^*$  is an isomorphism for  $r \leq 4n - 2$ , and hence

(2)  $i_1^*$  is injective for  $r \leq 4n - 2$ .

2-A. Now we consider the case  $X \sim P_a(C) \times P_b(C)$ .

**PROPOSITION 2.2.** *Suppose  $X \sim P_a(C) \times P_b(C)$ ,  $1 \leq b \leq a < 2n \leq a + b \leq 4n - 3$ . Then  $a = 2n - 1$  and  $F_{(1)} \sim S^2 \times P_b(C)$ .*

**PROOF.** The cohomology ring is as follows.

$$H^*(X) = \mathbf{Q}[u, v]/(u^{a+1}, v^{b+1}); \deg u = \deg v = 2.$$

We can express  $e(p) = \alpha u^2 + \beta uv + \gamma v^2$ ;  $\alpha, \beta, \gamma \in \mathbf{Q}$ , where  $p: S^{4n-1} \times F_{(1)} \rightarrow X$  is the principal  $\mathbf{Sp}(1)$  bundle. By  $(A_1)$ , we obtain  $H^1(F_{(1)}) = 0$  and hence  $H^{2k-1}(F_{(1)}) = 0$  by the Poincaré duality, where  $2k = \dim F_{(1)} = 2(a + b - 2n + 2)$ . Then by  $(A_k)$  we obtain an exact sequence:

$$0 \rightarrow H^{2k-4}(X) \xrightarrow{\mu} H^{2k}(X) \xrightarrow{p^*} H^{2k}(F_{(1)}) \rightarrow 0.$$

By the ring structure of  $H^*(X)$ , we obtain

$$\begin{aligned} \text{rank } H^{2k-4}(X) &= k - 1, \\ \text{rank } H^{2k}(X) &= k + 1 \text{ (for } k \leq b) \text{ and } k \text{ (for } k = b + 1). \end{aligned}$$

Since  $F_{(1)}$  is a closed connected orientable  $2k$ -manifold, we obtain  $k = b + 1$  and hence  $a = 2n - 1$ . Next, we shall show  $e(p) = \alpha u^2$ ,  $\alpha \neq 0$ . By definition, the  $\mathbf{Sp}(1)$  bundle  $p$  is a pull-back of the canonical principal  $\mathbf{Sp}(1)$  bundle over  $P_{n-1}(\mathbf{H})$ , and hence  $e(p)^n = 0$ . Thus we obtain  $\alpha\beta = 0$ , by considering the term  $u^{2n-1}v$  in the expression of  $e(p)^n$ . Suppose  $\alpha = 0$ . Then  $p^*(u^{2n-1}) \neq 0$  by  $(A_{2n-1})$ , and hence  $\dim F_{(1)} \geq 4n - 2$ . Thus we obtain  $k = b + 1 = 2n - 1$ . By considering the term  $u^n v^n$  in the expression of  $e(p)^n$ , we obtain  $\beta = 0$ , and hence  $e(p) = \gamma v^2$ . Then  $p^*(u^{2n-1}v) \neq 0$  by  $(A_{2n})$ . On the other hand  $H^{4n}(S^{4n-1} \times F_{(1)}) = 0$ , since  $H^1(F_{(1)}) = 0$  and  $\dim F_{(1)} = 4n - 2$ . This is a contradiction. Thus we obtain  $e(p) = \alpha u^2 + \gamma v^2$ ,  $\alpha \neq 0$ . By considering the term  $u^{2n-2}v^2$  in the expression of  $e(p)^n$ , we obtain  $\alpha\gamma = 0$ . Therefore we obtain  $e(p) = \alpha u^2$ ,  $\alpha \neq 0$ , and hence  $F_{(1)} \sim S^2 \times P_b(\mathbf{C})$ , by  $(A_i)$ . q.e.d.

Now we consider the  $\mathbf{Sp}(1)$  action on  $F_{(1)} \sim S^2 \times P_b(\mathbf{C})$ . Let  $T$  be a toral subgroup of  $\mathbf{Sp}(1)$ . Denote by  $F$  the fixed point set of the restricted  $T$  action on  $F_{(1)}$ . Since  $\chi(F_{(1)}) \neq 0$ , we see that  $F$  is non-empty.

**PROPOSITION 2.3.**  $F \sim S^0 \times P_b(\mathbf{C})$ .

**PROOF.** Put  $Y = F_{(1)}$  in the diagram  $(D - 1)$ . Let  $t \in H^2(P_{2n-1}(\mathbf{C}))$  and  $w \in H^4(P_{n-1}(\mathbf{H}))$  be the canonical generators such that  $q^*(w) = t^2$ . By definition,  $\pi_2^*(w) = e(p) = \alpha u^2$ . Put  $u_1 = p_1^*(u)$ ,  $v_1 = p_1^*(v)$  and  $t_1 = \pi_1^*(t)$ . We can apply the Leray-Hirsch theorem to the bundles  $\pi_1, \pi_2$  in the diagram  $(D - 1)$ , and we obtain

$$H^*(M/T) = \mathbf{Q}[t_1, u_1, v_1] / (u_1^{2n}, v_1^{b+1}, t_1^2 - \alpha u_1^2), \quad \alpha \neq 0.$$

Consider the diagram  $(D - 2)$  for  $Y = F_{(1)}$ . Let  $u_2, v_2$  be elements of  $H^2((S^\infty \times F_{(1)})/T)$  such that  $j^*(u_2) = u_1$  and  $j^*(v_2) = v_1$ . Let  $t$  be the canonical generator of  $H^2(S^\infty/T) = H^2(P_{2n-1}(\mathbf{C}))$ . Then we can express

$$i_\infty^*(u_2) = t \times f_0 + 1 \times f_1, \quad i_\infty^*(v_2) = t \times g_0 + 1 \times g_1,$$

where  $f_k, g_k$  are elements of  $H^{2k}(F)$  for  $k = 0, 1$ . Since

$$j_F^* i_\infty^*(\alpha u_2^2) = i_1^*(\alpha u_1^2) = i_1^*(t_1^2) = j_F^*(t^2 \times 1),$$

we obtain  $f_0^2 = \alpha^{-1}$  and  $f_1 = 0$ . Moreover we see that  $f_0$  is not constant, and hence  $F$  is not connected. Since  $j_F^* i_\infty^*(v_2^{b+1}) = 0$ , we obtain  $g_0 = 0$  and hence  $i_\infty^*(v_2) = 1 \times g_1$ . Then  $H^*(F)$  is generated by two elements  $f_0$  and  $g_1$ , because  $i_\infty^*$  is surjective for sufficiently large degree and  $H^*((S^\infty \times F_{(1)})/T)$  is generated by two elements  $u_2, v_2$  as a graded  $H^*(S^\infty/T)$ -algebra. Let  $F_1$  (resp.  $F_2$ ) be the union of connected components  $F_s$  of  $F$  on which  $f_0|_{F_s}$  is positive (resp. negative). Then  $H^*(F_s)$  is generated by only one element  $g_1|_{F_s}$  for  $s = 1, 2$ . Since  $i_1^*(v_1) = 1 \times g_1$ , we obtain  $(g_1|_{F_s})^{b+1} = 0$ , and hence  $F_s \sim P_b(\mathbb{C})$  for  $s = 1, 2$ , because  $\chi(F_1) + \chi(F_2) = \chi(F_{(1)}) = 2b$ .  
q.e.d.

We need the following.

**LEMMA 2.4.** *Let  $S$  be a closed connected smooth  $\mathbf{Sp}(1)$  manifold. Let  $F$  be the fixed point set of the restricted  $T$  action on  $S$ , where  $T$  is a closed toral subgroup of  $\mathbf{Sp}(1)$ . Suppose that  $\text{codim } F = 2$  and  $F$  is not connected. Then there is an equivariant diffeomorphism:  $S = \mathbf{Sp}(1)/T \times F_1$ , where  $F_1$  is a connected component of  $F$ .*

**PROOF.** Since  $\text{codim } F = 2$ ,  $T$  is the identity component of a principal isotropy group (cf. [9]), and hence there is an equivariant diffeomorphism:

$$S - F_0 = (\mathbf{Sp}(1)/T \times (F - F_0))/(NT/T),$$

where  $F_0$  denotes the fixed point set of the  $\mathbf{Sp}(1)$  action and  $NT$  denotes the normalizer of  $T$ . Since  $\text{codim } F_0 > 2$ ,  $S - F_0$  is connected and hence the orbit space of the  $NT/T$  action on  $F - F_0$  is connected. Therefore  $F$  has just two components and  $NT/T$  acts freely on  $F$ . In particular,  $F_0$  is empty and there is an equivariant diffeomorphism:  $F = NT/T \times F_1$ . Hence we obtain the desired result.  
q.e.d.

By Proposition 2.3 and Lemma 2.4, there is an equivariant diffeomorphism:  $F_{(1)} = \mathbf{Sp}(1)/T \times Y_0$ , where  $Y_0$  is a connected component of  $F$ . Thus we obtain an equivariant diffeomorphism:

$$X = X_{(1)} = (S^{4n-1} \times F_{(1)})/\mathbf{Sp}(1) = P_{2n-1}(\mathbb{C}) \times Y_0.$$

Consequently we obtain the following.

**THEOREM 2.5.** *Let  $X$  be a closed orientable manifold with a non-trivial smooth  $\mathbf{Sp}(n)$  action. Suppose  $n \geq 7$ ,  $X = X_{(0)} \cup X_{(1)}$  and  $X \sim P_a(\mathbb{C}) \times P_b(\mathbb{C})$ ,  $1 \leq b \leq a < 2n \leq a + b \leq 4n - 3$ . Then  $a = 2n - 1$  and  $X$  is equivariantly diffeomorphic to  $P_{2n-1}(\mathbb{C}) \times Y_0$ , where  $Y_0$  is a closed orientable manifold such that  $Y_0 \sim P_b(\mathbb{C})$ .*

2-B. Next we consider the case  $X \sim P_a(\mathbf{H}) \times P_b(\mathbf{C})$ .

PROPOSITION 2.6. *Suppose  $X \sim P_a(\mathbf{H}) \times P_b(\mathbf{C})$ ,  $1 \leq a \leq n - 1$ ,  $1 \leq b \leq 2n - 1$ ,  $2n \leq 2a + b \leq 4n - 4$ . Then either  $a = n - 1$  and  $F_{(1)} \sim P_b(\mathbf{C})$ , or  $b = 2n - 1$  and  $F_{(1)} \sim S^2 \times P_a(\mathbf{H})$ .*

PROOF. The cohomology ring is as follows.

$$H^*(X) = \mathbf{Q}[u, v]/(u^{a+1}, v^{b+1}); \quad \deg u = 4, \quad \deg v = 2.$$

We can express  $e(p) = \alpha u + \beta v^2$ ;  $\alpha, \beta \in \mathbf{Q}$ , where  $p: S^{4n-1} \times F_{(1)} \rightarrow X$  is the principal  $Sp(1)$  bundle. By definition, the  $Sp(1)$  bundle  $p$  is a pull-back of the canonical principal  $Sp(1)$  bundle over  $P_{n-1}(\mathbf{H})$ , and hence  $e(p)^n = 0$ . Thus we obtain  $\alpha\beta = 0$ , by considering the term  $u^a v^{2n-2a}$  in the expression of  $e(p)^n$ . On the other hand, we can prove  $e(p) \neq 0$  by making use of the exact sequence  $(A_i)$ . Moreover we see, from  $(A_i)$ , that if  $\beta = 0$  then  $a = n - 1$  and  $F_{(1)} \sim P_b(\mathbf{C})$ ; if  $\alpha = 0$  then  $b = 2n - 1$  and  $F_{(1)} \sim S^2 \times P_a(\mathbf{H})$ . q.e.d.

Now we consider the  $Sp(1)$  action on  $F_{(1)}$ . Let  $T$  be a toral subgroup of  $Sp(1)$ . Denote by  $F$  the fixed point set of the restricted  $T$  action on  $F_{(1)}$ . Since  $\chi(F_{(1)}) \neq 0$ , we see that  $F$  is non-empty. We shall show the following.

PROPOSITION 2.7. *If  $a = n - 1$  and  $F_{(1)} \sim P_b(\mathbf{C})$ , then the  $Sp(1)$  action on  $F_{(1)}$  is trivial. If  $b = 2n - 1$  and  $F_{(1)} \sim S^2 \times P_a(\mathbf{H})$ , then  $F \sim S^0 \times P_a(\mathbf{H})$  or  $F \sim S^0 \times P_a(\mathbf{C})$ . Moreover the induced homomorphism  $i^*: H^2(F_{(1)}) \rightarrow H^2(F)$  is trivial.*

PROOF. Put  $Y = F_{(1)}$  in the diagram  $(D - 1)$ . Let  $t \in H^2(P_{2n-1}(\mathbf{C}))$  and  $w \in H^4(P_{n-1}(\mathbf{H}))$  be the canonical generators as before. Then  $\pi_2^*(w) = e(p)$  by definition. We see that  $e(p) = \alpha u$ ,  $\alpha \neq 0$  or  $e(p) = \beta v^2$ ,  $\beta \neq 0$  in Proposition 2.6.

Suppose first  $e(p) = \alpha u$ . Then  $a = n - 1$  and  $F_{(1)} \sim P_b(\mathbf{C})$ . We can prove  $M/T \sim P_{2n-1}(\mathbf{C}) \times P_b(\mathbf{C})$ ,  $b \leq 2n - 2$  by the Leray-Hirsch theorem, and hence the  $T$  action on  $F_{(1)} \sim P_b(\mathbf{C})$  is trivial (cf. [12, Proposition 3.3]). Therefore the  $Sp(1)$  action on  $F_{(1)}$  is trivial.

Suppose next  $e(p) = \beta v^2$ . Then  $b = 2n - 1$  and  $F_{(1)} \sim S^2 \times P_a(\mathbf{H})$ . Put  $u_1 = p_1^*(u)$ ,  $v_1 = p_1^*(v)$  and  $t_1 = \pi_1^*(t)$ . We can apply the Leray-Hirsch theorem to the bundles  $\pi_1, \pi_2$  in the diagram  $(D - 1)$ , and we obtain

$$H^*(M/T) = \mathbf{Q}[t_1, u_1, v_1]/(u_1^{a+1}, v_1^{2n}, t_1^2 - \beta v_1^2), \quad \beta \neq 0.$$

Consider the diagram  $(D - 2)$  for  $Y = F_{(1)}$ . Let  $u_2, v_2$  be homogeneous elements of  $H^*((S^\infty \times F_{(1)})/T)$  such that  $j^*(u_2) = u_1$  and  $j^*(v_2) = v_1$ . Let  $t$

be the canonical generator of  $H^2(S^\infty/T) = H^2(\mathbf{P}_{2n-1}(\mathbf{C}))$ . Then we can express

$$i_\infty^*(u_2) = t^2 \times f_0 + t \times f_1 + 1 \times f_2, \quad i_\infty^*(v_2) = t \times g_0 + 1 \times g_1,$$

where  $f_k, g_k$  are elements of  $H^{2k}(F)$ . Since

$$j_F^* i_\infty^*(\beta v_2^2) = i_1^*(\beta v_1^2) = i_1^*(t_1^2) = j_F^*(t^2 \times 1),$$

we obtain  $g_0^2 = \beta^{-1}$  and  $g_1 = 0$ . Moreover we see that  $g_0$  is not constant, and hence  $F$  is not connected. Since  $j_F^* i_\infty^*(u_2^{a+1}) = 0$  and  $a+1 \leq n-1$ , we obtain  $f_0 = 0$  and hence  $i_\infty^*(u_2) = t \times f_1 + 1 \times f_2$ . Let  $F_1$  (resp.  $F_2$ ) be the union of connected components  $F_s$  of  $F$  on which  $g_0|_{F_s}$  is positive (resp. negative). Then each element of  $H^k((S^\infty \times F_s)/T)$  for  $k > 4a+2$  is expressed as a polynomial of  $t \times 1$  and  $t \times (f_1|_{F_s}) + 1 \times (f_2|_{F_s})$  with rational coefficients for  $s = 1, 2$ , because  $H^*((S^\infty \times F_{(1)})/T)$  is generated by two elements  $u_2, v_2$  as a graded  $H^*(S^\infty/T)$ -algebra and  $i_\infty^*$  is surjective for  $k > 4a+2$ . In particular, if  $f_1|_{F_s} \neq 0$ , then we can express

$$t^{4a-1} \times (f_1|_{F_s}) = \sum_j c_j (t \times (f_1|_{F_s}) + 1 \times (f_2|_{F_s}))^j (t \times 1)^{4a-2j},$$

for  $c_j \in \mathbf{Q}$ . Then we obtain  $c_0 = 0, c_1 = 1$  and  $f_2|_{F_s} = -c_2(f_1|_{F_s})^2$ . Therefore

$$H^*(F_s) = \mathbf{Q}[x_s]/(x_s^{2+1}); \quad \deg x_s = 2 \quad \text{or} \quad 4,$$

because  $f_k^{a+1} = 0$  ( $k = 1, 2$ ) and  $\chi(F_1) + \chi(F_2) = \chi(F_{(1)}) = 2a$ . If  $F_s \sim \mathbf{P}_a(\mathbf{H})$  for some  $s$ , then  $F \sim S^0 \times \mathbf{P}_a(\mathbf{H})$  by Lemma 2.4. Thus we obtain  $F \sim S^0 \times \mathbf{P}_a(\mathbf{H})$  or  $F \sim S^0 \times \mathbf{P}_a(\mathbf{C})$ . Finally we shall show that  $i^*: H^2(F_{(1)}) \rightarrow H^2(F)$  is trivial for the case  $F \sim S^0 \times \mathbf{P}_a(\mathbf{C})$ . Consider the following commutative diagram:

$$\begin{array}{ccc} H^2(M/T) & \xrightarrow{k_1^*} & H^2(F_{(1)}) \\ \downarrow i_1^* & & \downarrow i^* \\ H^2(\mathbf{P}_{2n-1}(\mathbf{C}) \times F) & \xrightarrow{k_0^*} & H^2(F), \end{array}$$

where  $i, i_1$  are natural inclusions and  $k_0, k_1$  are inclusions of typical fiber of bundles over  $\mathbf{P}_{2n-1}(\mathbf{C})$ . We see that  $k_1^*(v_1)$  generates  $H^2(F_{(1)})$  and  $i_1^*(v_1) = t \times g_0$ , and hence  $i^* k_1^*(v_1) = k_0^*(t \times g_0) = 0$ . Thus  $i^*: H^2(F_{(1)}) \rightarrow H^2(F)$  is trivial. q.e.d.

Suppose  $F \sim S^0 \times \mathbf{P}_a(\mathbf{H})$ . Then by Lemma 2.4, there is an equivariant diffeomorphism:  $F_{(1)} = \mathbf{S}\mathbf{p}(1)/T \times Y_2$ , where  $Y_2$  is a connected component of  $F$ . Thus we obtain an equivariant diffeomorphism:

$$X = X_{(1)} = (S^{4n-1} \times F_{(1)})/\mathbf{S}\mathbf{p}(1) = \mathbf{P}_{2n-1}(\mathbf{C}) \times Y_2.$$

Consequently we obtain the following.



**THEOREM 2.8.** *Let  $X$  be a closed orientable manifold with a non-trivial smooth  $\mathbf{Sp}(n)$  action. Suppose  $n \geq 7$ ,  $X = X_{(0)} \cup X_{(1)}$  and  $X \sim \mathbf{P}_a(\mathbf{H}) \times \mathbf{P}_b(\mathbf{C})$ ;  $1 \leq a \leq n - 1$ ,  $1 \leq b \leq 2n - 1$ ,  $2n \leq 2a + b \leq 4n - 4$ . Then there are three cases:*

(a)  $a = n - 1$  and  $X$  is equivariantly diffeomorphic to  $\mathbf{P}_{n-1}(\mathbf{H}) \times Y_1$ , where  $Y_1$  is a closed orientable manifold such that  $Y_1 \sim \mathbf{P}_b(\mathbf{C})$ ,

(b)  $b = 2n - 1$  and  $X$  is equivariantly diffeomorphic to  $\mathbf{P}_{2n-1}(\mathbf{C}) \times Y_2$ , where  $Y_2$  is a closed orientable manifold such that  $Y_2 \sim \mathbf{P}_a(\mathbf{H})$ ,

(c)  $b = 2n - 1$  and  $X$  is equivariantly diffeomorphic to  $(S^{4n-1} \times Y_3)/\mathbf{Sp}(1)$ , where  $Y_3$  is a closed orientable  $\mathbf{Sp}(1)$  manifold such that  $Y_3 \sim S^2 \times \mathbf{P}_a(\mathbf{H})$ ,  $F \sim S^0 \times \mathbf{P}_a(\mathbf{C})$  and  $i^*: H^2(Y_3) \rightarrow H^2(F)$  is trivial, where  $F$  denotes the fixed point set of the restricted  $T$  action on  $Y_3$ . Conversely, if  $Y_3$  satisfies the above conditions, then  $(S^{4n-1} \times Y_3)/\mathbf{Sp}(1) \sim \mathbf{P}_{2n-1}(\mathbf{C}) \times \mathbf{P}_a(\mathbf{H})$  for  $a \leq n - 2$ .

**PROOF.** It remains to prove the final statement in the case (c). Let  $Y$  be a closed orientable  $\mathbf{Sp}(1)$  manifold such that  $Y \sim S^2 \times \mathbf{P}_a(\mathbf{H})$ ,  $F \sim S^0 \times \mathbf{P}_a(\mathbf{C})$  and  $i^*: H^2(Y) \rightarrow H^2(F)$  is trivial, where  $F$  denotes the fixed point set of the restricted  $T$  action. We shall show  $(S^{4n-1} \times Y)/\mathbf{Sp}(1) \sim \mathbf{P}_{2n-1}(\mathbf{C}) \times \mathbf{P}_a(\mathbf{H})$  for  $a \leq n - 2$ . Put  $M = S^{4n-1} \times Y$ . Consider the following commutative diagrams as before:

$$\begin{array}{ccc}
 M/T & \xrightarrow{p_1} & M/\mathbf{Sp}(1) \\
 \downarrow \pi_1 & & \downarrow \pi_2 \\
 \mathbf{P}_{2n-1}(\mathbf{C}) & \xrightarrow{q} & \mathbf{P}_{n-1}(\mathbf{H}), \\
 & & \\
 & & F \xrightarrow{i} Y \\
 & & \downarrow k_0 \quad \downarrow k_1 \\
 & & \mathbf{P}_{2n-1}(\mathbf{C}) \times F \xrightarrow{i_1} M/T.
 \end{array}$$

Let  $t \in H^2(\mathbf{P}_{2n-1}(\mathbf{C}))$  and  $w \in H^4(\mathbf{P}_{n-1}(\mathbf{H}))$  be the canonical generators such that  $q^*(w) = t^2$ . Because  $\pi_1, \pi_2$  are projections of bundles with  $Y$  as the fiber and  $H^{\text{odd}}(Y) = 0$ , we can apply the Leray-Hirsch theorem and we see that there is an element  $u_k \in H^{2k}(M/\mathbf{Sp}(1))$  for  $k = 1, 2$  such that  $H^*(M/\mathbf{Sp}(1))$  is freely generated by  $1, u_2, u_2^2, \dots, u_2^a, u_1, u_1u_2, u_1u_2^2, \dots, u_1u_2^a$  as an  $H^*(\mathbf{P}_{n-1}(\mathbf{H}))$ -module, and  $u_1^2 = c\pi_2^*(w)$  for some  $c \in \mathbf{Q}$ . Put  $v_k = p_1^*(u_k)$ . Express  $i_1^*(v_1) = t \times g_0 + 1 \times g_1$  for some  $g_j \in H^{2j}(F)$ . Then  $g_1 = k_0^*(t \times g_0 + 1 \times g_1) = i^*k_1^*(v_1) = 0$ , because  $i^*: H^2(Y) \rightarrow H^2(F)$  is trivial. Hence  $i_1^*(v_1) = t \times g_0$  and  $c = g_0^2$ . We see that  $g_0$  is not constant and  $c \neq 0$ , because  $i_1^*$  is injective for each degree  $\leq 4n - 2$  and  $v_1, \pi_1^*(t)$  are linearly independent in  $H^2(M/T)$ . Hence  $H^*(M/\mathbf{Sp}(1))$  is generated by  $u_1, u_2$  as a graded algebra. Express  $i_1^*(v_2) = t^2 \times f_0 + t \times f_1 + 1 \times f_2$  for some  $f_j \in H^{2j}(F)$ . Let  $F_1$  be a connected component of  $F$  and put  $w_2 = u_2 - d\pi_2^*(w)$ , where  $d = f_0|_{F_1}$ . Then  $H^*(M/\mathbf{Sp}(1))$  is freely generated by

$u_1^i w_2^j$ ;  $0 \leq i \leq 2n - 1$ ,  $0 \leq j \leq a$  as a graded module, and

$$w_2^{a+1} = \sum_{j=0}^a c_j w_2^j \pi_2^*(w^{a+1-j}), \quad c_j \in \mathbf{Q}.$$

By definition,  $i_1^* p_1^*(w_2) = t \times f_1 + 1 \times f_2$  on  $H^*(P_{2n-1}(\mathbf{C}) \times F_1)$ , a direct summand of  $H^*(P_{2n-1}(\mathbf{C}) \times F)$ . Since  $F_1 \sim P_a(\mathbf{C})$ , we obtain  $i_1^* p_1^*(w_2^{a+1}) = 0$  on  $H^*(P_{2n-1}(\mathbf{C}) \times F_1)$  and hence

$$0 = \sum_{j=0}^a c_j (t \times (f_1|F_1) + 1 \times (f_2|F_1))^j (t^2 \times 1)^{a+1-j}.$$

Moreover we obtain  $f_1|F_1 \neq 0$ , because  $f_1|F_1$  and  $f_2|F_1$  generate the graded algebra  $H^*(F_1)$  and  $F_1 \sim P_a(\mathbf{C})$ . Then we obtain  $c_j = 0$  for  $j = 0, 1, \dots, a$  inductively, and hence  $w_2^{a+1} = 0$ . On the other hand,  $u_1^{2n} = c^n \pi_2^*(w^n) = 0$ . Hence we obtain

$$H^*(M/S\mathbf{p}(1)) = \mathbf{Q}[u_1, w_2]/(u_1^{2n}, w_2^{a+1}); \deg u_1 = 2, \deg w_2 = 4.$$

Therefore  $M/S\mathbf{p}(1) \sim P_{2n-1}(\mathbf{C}) \times P_a(\mathbf{H})$ .

q.e.d.

**3. Cohomology of certain homogeneous spaces.** Let  $\zeta$  be a quaternion  $k$ -plane bundle and  $\zeta_{\mathbf{C}}$  its complexification under the restriction of the field. Its  $i$ -th symplectic Pontrjagin class  $e_i(\zeta)$  is by definition [3, §9.6]

$$e_i(\zeta) = (-1)^i c_{2i}(\zeta_{\mathbf{C}}),$$

where  $c_{2i}(\zeta_{\mathbf{C}})$  is the  $2i$ -th Chern class. Denote by  $\mathbf{HP}(\zeta)$  the total space of the associated projective space bundle. Let  $\hat{\zeta}$  be the canonical quaternion line bundle over  $\mathbf{HP}(\zeta)$  and  $t = e_1(\hat{\zeta})$ . It is known that there is an isomorphism:

$$(3.1) \quad H^*(\mathbf{HP}(\zeta)) = H^*(B)[t] / \left( \sum_i e_{k-i}(\zeta) t^i \right),$$

where  $B$  is the base space of the bundle  $\zeta$  (cf. [4, §3]).

We now consider the cohomology of  $V_{n,2}/G = \mathbf{Sp}(n)/\mathbf{Sp}(n-2) \times G$  for certain closed connected subgroups  $G$  of  $\mathbf{Sp}(2)$ . Let  $\xi$  be the canonical quaternion line bundle over  $P_{n-1}(\mathbf{H})$  and  $\zeta$  its orthogonal complement, that is,  $\zeta$  is a quaternion  $(n-1)$ -plane bundle over  $P_{n-1}(\mathbf{H})$  such that its total space is

$$E(\zeta) = \{(u, [v]) \in \mathbf{H}^n \times P_{n-1}(\mathbf{H}) : u \perp v\}.$$

It is easy to see that  $\mathbf{HP}(\zeta)$  is naturally diffeomorphic to  $V_{n,2}/\mathbf{Sp}(1) \times \mathbf{Sp}(1)$ . Since  $\xi \oplus \zeta$  is a trivial bundle, we obtain  $e_k(\zeta) = (-1)^k e_1(\xi)^k$ . By definition,  $\mathbf{HP}(\zeta)$  is naturally identified with a subspace of  $P_{n-1}(\mathbf{H}) \times P_{n-1}(\mathbf{H})$ . Let  $i: \mathbf{HP}(\zeta) \rightarrow P_{n-1}(\mathbf{H}) \times P_{n-1}(\mathbf{H})$  be the inclusion. Then  $\hat{\zeta} = i^*(\xi \times 1)$ . Hence by (3.1) there is an isomorphism:

$$(3.2) \quad H^*(V_{n,2}/\mathbf{Sp}(1) \times \mathbf{Sp}(1)) = \mathbf{Q}[u, v] / \left( u^n, \sum_i u^i v^{n-1-i} \right),$$

$\deg u = \deg v = 4$ , by the identification  $u = i^*(1 \times e_1(\xi))$ ,  $v = i^*(e_1(\xi) \times 1)$ .

Let  $\pi: P_{2n-1}(C) \rightarrow P_{n-1}(H)$  be the natural projection defined by

$$(u_1: \cdots: u_n: v_1: \cdots: v_n) \rightarrow (u_1 + jv_1: \cdots: u_n + jv_n),$$

where  $j$  is a quaternion such that  $j^2 = -1$  and  $jz = \bar{z}j$  for each complex number  $z$ . Then  $\pi^*(\xi_c) = \eta \oplus \eta^*$ , where  $\eta$  is the canonical complex line bundle over  $P_{2n-1}(C)$  and  $\eta^*$  its dual line bundle. Moreover  $\pi^*e_1(\xi) = c_1(\eta)^2$ . We see that the total space  $CP(\pi^*(\zeta_c))$  of the complex projective space bundle is naturally diffeomorphic to  $V_{n,2}/T^2$  and there is a natural inclusion  $i': CP(\pi^*(\zeta_c)) \rightarrow P_{2n-1}(C) \times P_{2n-1}(C)$ , where  $T^2$  is the standard maximal torus of  $\mathbf{Sp}(2)$ . Then we obtain an isomorphism (cf. [4, §3]):

$$(3.3) \quad H^*(V_{n,2}/T^2) = \mathbf{Q}[x, y] / \left( x^{2n}, \sum_i x^{2i} y^{2n-2-2i} \right),$$

$\deg x = \deg y = 2$ , by the identification  $x = i'^*(1 \times c_1(\eta))$ ,  $y = i'^*(c_1(\eta) \times 1)$ .

Let  $p: V_{n,2}/\mathbf{Sp}(1) \times \mathbf{Sp}(1) \rightarrow V_{n,2}/\mathbf{Sp}(2)$  be the natural projection and  $\xi_2$  be the canonical quaternion 2-plane bundle over  $V_{n,2}/\mathbf{Sp}(2)$ .

**LEMMA 3.4.** *The graded algebra  $H^*(V_{n,2}/\mathbf{Sp}(2))$  is generated by  $e_1(\xi_2)$ ,  $e_2(\xi_2)$ . The algebra is isomorphic to the subalgebra of  $\mathbf{Q}[u, v]/(u^n, \sum_i u^i v^{n-1-i})$ , consisting of symmetric polynomials.*

**PROOF.** Since the fibration  $p$  is a 4-sphere bundle and  $H^{\text{odd}}(V_{n,2}/\mathbf{Sp}(2)) = 0$  (cf. [2, §26]), the homomorphism  $p^*: H^*(V_{n,2}/\mathbf{Sp}(2)) \rightarrow H^*(V_{n,2}/\mathbf{Sp}(1) \times \mathbf{Sp}(1))$  is injective. Since  $p^*(\xi_2) = i^*(\xi \times \xi)$ , we obtain

$$\begin{aligned} p^*e_1(\xi_2) &= i^*e_1(\xi \times \xi) = u + v, \\ p^*e_2(\xi_2) &= i^*e_2(\xi \times \xi) = uv. \end{aligned}$$

Then the desired result is obtained by the Leray-Hirsch theorem.

q.e.d.

Let  $p_1: V_{n,2}/T^2 \rightarrow V_{n,2}/U(2)$  be the natural projection and  $\eta_2$  be the canonical complex 2-plane bundle over  $V_{n,2}/U(2)$ . Then we obtain the following by the same argument as above.

**LEMMA 3.5.** *The graded algebra  $H^*(V_{n,2}/U(2))$  is generated by  $c_1(\eta_2)$ ,  $c_2(\eta_2)$ . The algebra is isomorphic to the subalgebra of  $\mathbf{Q}[x, y]/(x^{2n}, \sum_i x^{2i} y^{2n-2-2i})$ , consisting of symmetric polynomials.*

**LEMMA 3.6.** *The graded algebra  $H^*(V_{n,2}/U(1) \times \mathbf{Sp}(1))$  is isomorphic to the subalgebra of  $\mathbf{Q}[x, y]/(x^{2n}, \sum_i x^{2i} y^{2n-2-2i})$ , generated by  $x^2, y$ .*

PROOF. Consider the natural mappings

$$V_{n,2}/T^2 \xrightarrow{p_2} V_{n,2}/U(1) \times Sp(1) \xrightarrow{i_2^*} P_{2n-1}(C) \times P_{n-1}(H).$$

We see that  $i_2^*$  is surjective and  $p_2^*$  is injective. On the other hand, there are the following equations

$$p_2^* i_2^*(1 \times e_1(\xi)) = x^2, \quad p_2^* i_2^*(c_1(\gamma) \times 1) = y.$$

Thus we obtain the desired result.

q.e.d.

Here we state the following for later use.

PROPOSITION 3.7. *Let  $G$  be one of  $T^2$ ,  $U(2)$  and  $U(1) \times Sp(1)$ . Let  $w_1, w_2$  be any non-zero homogeneous elements of  $H^*(V_{n,2}/G)$  such that  $\deg w_k = 2k$ . Then  $w_1^{2n-1}$  and  $w_2^{2n-1}$  are non-zero elements.*

PROOF. For  $G = T^2$ , we obtain the result from (3.3). For  $G = U(2)$  or  $U(1) \times Sp(1)$ , we obtain the result from Lemmas 3.5, 3.6 and the result for  $G = T^2$ .

q.e.d.

4. **Finish of the proof.** Throughout this section, suppose that  $n \geq 7$  and  $X$  is a closed orientable manifold with a non-trivial smooth  $Sp(n)$  action, and  $X \sim P_a(C) \times P_b(C)$  for some  $a, b$  such that

$$1 \leq b \leq a < 2n \leq a + b \leq 4n - 3,$$

or  $X \sim P_c(H) \times P_d(C)$  for some  $c, d$  such that

$$1 \leq c \leq n - 1, 1 \leq d \leq 2n - 1 \quad \text{and} \quad 2n \leq 2c + d \leq 4n - 4.$$

We shall show that  $X_{(2)}$  and  $X_{(3)}$  are empty sets.

PROPOSITION 4.1.  $X \neq X_{(k)}$ ;  $k = 2, 3$ .

PROOF. Suppose  $X = X_{(k)}$ . Then there is an equivariant diffeomorphism:  $X = (Sp(n)/Sp(n-k) \times F_{(k)})/Sp(k)$ . In particular, we obtain  $\chi(X) = {}_n C_k \chi(F_{(k)})$ . Looking at the Euler characteristic of  $X$ , we see that  $k \neq 3$ . Thus only the following possibilities remain:

- (a)  $\dim F_{(2)} = 8, \chi(F_{(2)}) = 8; (a, b) = (2n - 1, 2n - 3),$
- (b)  $\dim F_{(2)} = 6, \chi(F_{(2)}) = 4; (c, d) = (n - 1, 2n - 3), (n - 2, 2n - 1),$
- (c)  $\dim F_{(2)} \leq 4.$

If  $\dim F_{(2)} \leq 4$ , then  $X = V_{n,2}/Sp(1) \times Sp(1)$  or  $X = V_{n,2}/Sp(2) \times F_{(2)}$ , and hence the case (c) does not happen by (3.2) and Lemma 3.4. In the cases (a), (b) if the  $Sp(2)$  action on  $F_{(2)}$  is transitive, then  $X = V_{n,2}/T^2, V_{n,2}/U(2)$  or  $V_{n,2}/U(1) \times Sp(1)$ , and hence such cases do not happen by Proposition 3.7.

Consider the case (a). Since  $\chi(F_{(2)}) \neq 0$  and the  $Sp(2)$  action on  $F_{(2)}$  is non-transitive, the restricted  $G$  action on  $F_{(2)}$  has a fixed point, and

hence the natural projection  $\pi_1: (V_{n,2} \times F_{(2)})/G \rightarrow V_{n,2}/G$  has a cross-section  $s$ , where  $G = U(2)$  or  $U(1) \times Sp(1)$ . Consider the following commutative diagram:

$$\begin{array}{ccc} (V_{n,2} \times F_{(2)})/G & \xrightarrow{\pi_1} & V_{n,2}/G \\ \downarrow q & & \downarrow p \\ X = (V_{n,2} \times F_{(2)})/Sp(2) & \xrightarrow{\pi_2} & V_{n,2}/Sp(2) \end{array}$$

where  $\pi_1, \pi_2, p, q$  are natural projections. We can express

$$\pi_2^* e_1(\xi_2) = \alpha x^2 + \beta xy + \gamma y^2; x, y \in H^2(X), \alpha, \beta, \gamma \in \mathbf{Q}.$$

Moreover we can express  $s^*q^*x = \mu t, s^*q^*y = \nu t$  ( $\mu, \nu \in \mathbf{Q}$ ) for some non-zero element  $t \in H^2(V_{n,2}/G)$  because  $\text{rank } H^2(V_{n,2}/G) = 1$  by Lemmas 3.5, 3.6. Hence we obtain

$$p^*e_1(\xi_2) = s^*\pi_1^*p^*e_1(\xi_2) = s^*q^*\pi_2^*e_1(\xi_2) = \delta t^2,$$

where  $\delta = \alpha\mu^2 + \beta\mu\nu + \gamma\nu^2$ . Let  $i: Sp(2)/G \rightarrow V_{n,2}/G$  be the natural inclusion. Then  $i^*t \neq 0$  and  $i^*p^*e_1(\xi_2) = 0$ , and hence  $\delta = 0$ , because  $Sp(2)/G \sim P_3(\mathbf{C})$ . Thus we obtain  $p^*e_1(\xi_2) = 0$ ; this is a contradiction to the fact that  $p^*$  is injective. Therefore, the case (a) does not happen.

Consider the case (b). Since the  $Sp(2)$  action on  $F_{(2)}$  is non-transitive, the identity component of an isotropy group is conjugate to  $Sp(1) \times Sp(1)$  or  $Sp(2)$ . If the  $Sp(2)$  action on  $F_{(2)}$  is trivial, then  $X = V_{n,2}/Sp(2) \times F_{(2)}$  and hence such a case does not happen by Lemma 3.4.

Suppose first that the  $Sp(2)$  action on  $F_{(2)}$  has no fixed point. Denote by  $F$  the fixed point set of the restricted  $Sp(1) \times Sp(1)$  action on  $F_{(2)}$ . Then we see that  $F$  is a closed orientable surface with  $\chi(F) = 4$  and  $F$  has at most two components. Therefore,  $X = (V_{n,2}/Sp(1) \times Sp(1)) \times S^2$ , and hence such a case does not happen by (3.2).

Suppose next that the  $Sp(2)$  action on  $F_{(2)}$  has a fixed point. Then we see that the fixed point set of the  $Sp(2)$  action is one-dimensional by considering the isotropy representations. Let  $U$  be its closed invariant tubular neighborhood and denote by  $F'$  the fixed point set of the restricted  $Sp(1) \times Sp(1)$  action on  $F_{(2)} - \text{int}U$ . Then we see that  $F'$  is a compact orientable surface with  $\chi(F') = 4$ ,  $F'$  has at most two components and each component of  $F'$  has a non-empty boundary. Such a case does not happen, because  $\chi \leq 1$  for each compact connected orientable surface with non-empty boundary. q.e.d.

**PROPOSITION 4.2.** *If  $X_{(1)}$  is non-empty, then  $X_{(2)}$  is empty.*

**PROOF.** Suppose that both  $X_{(1)}$  and  $X_{(2)}$  are non-empty. Then  $X =$

$X_{(1)} \cup X_{(2)}$  and  $\text{codim } F_{(1)} = 8n - 8$ , by Propositions 1.3, 1.4. Since  $\dim X \leq 8n - 6$ , we obtain  $\dim F_{(1)} = 0$  or 2.

Suppose first that the  $\mathbf{Sp}(1)$  action on  $F_{(1)}$  is non-trivial. Then  $\dim F_{(1)} = 2$  and  $X \sim \mathbf{P}_{2n-1}(\mathbf{C}) \times \mathbf{P}_{2n-2}(\mathbf{C})$ . Considering the slice representation at a point of  $F_{(1)}$ , we see that the  $\mathbf{Sp}(n)$  action on  $X$  has a codimension one orbit, and hence  $X$  is a union of closed invariant tubular neighborhoods of just two non-principal orbits (cf. [10]). Calculating the Euler characteristics, we see that two non-principal orbits are  $\mathbf{P}_{2n-1}(\mathbf{C})$  and  $V_{n,2}/T^2$ . Since  $\text{codim } \mathbf{P}_{2n-1}(\mathbf{C}) = 4n - 4$  in  $X$ , the inclusion  $i: V_{n,2}/T^2 \rightarrow X$  induces an isomorphism  $i^*: H^2(X) \rightarrow H^2(V_{n,2}/T^2)$ , and hence  $x^{2n-1} \neq 0$  for each non-zero element  $x \in H^2(X)$  by Proposition 3.7. This is a contradiction.

Suppose next that the  $\mathbf{Sp}(1)$  action on  $F_{(1)}$  is trivial. Considering the slice representation at a point of  $F_{(1)}$ , we see that the codimension of the principal orbit is equal to  $1 + \dim F_{(1)}$ , for the  $\mathbf{Sp}(n)$  action on  $X$ . There are just two cases:

- (d)  $\dim F_{(1)} = 0$ ;  $(a, b) = (2n - 1, 2n - 3)$  or  $(2n - 2, 2n - 2)$ ,  
 $(c, d) = (n - 1, 2n - 2)$ ,  
 (e)  $\dim F_{(1)} = 2$ ;  $(a, b) = (2n - 1, 2n - 2)$ .

Consider the case (d). The  $\mathbf{Sp}(n)$  action has a codimension one orbit. Calculating the Euler characteristics, we see that two non-principal orbits are  $\mathbf{P}_{n-1}(\mathbf{H})$  and  $V_{n,2}/G$ , where  $G = U(2)$  or  $U(1) \times \mathbf{Sp}(1)$ , and the possibility remains only when  $X \sim \mathbf{P}_{n-1}(\mathbf{H}) \times \mathbf{P}_{2n-2}(\mathbf{C})$ . Since  $\text{codim } \mathbf{P}_{n-1}(\mathbf{H}) = 4n - 4$  in  $X$ , the inclusion  $i: V_{n,2}/G \rightarrow X$  induces an isomorphism  $i^*: H^2(X) \rightarrow H^2(V_{n,2}/G)$ , and hence  $x^{2n-1} \neq 0$  for each non-zero element  $x \in H^2(X)$  by Proposition 3.7. This is a contradiction.

Consider the case (e). The isotropy group is  $\mathbf{Sp}(n-1) \times \mathbf{Sp}(1)$  at each point of  $F_{(1)}$ . Considering the slice representation at a point of  $F_{(1)}$ , we see that the principal isotropy group is  $\mathbf{Sp}(n-2) \times K$ , where  $K$  is a closed connected 3-dimensional subgroup of  $\mathbf{Sp}(2)$ . Denote by  $G$  the identity component of the normalizer of  $K$  in  $\mathbf{Sp}(2)$ . Then  $G$  is conjugate to  $U(2)$  or  $U(1) \times \mathbf{Sp}(1)$ . Suppose that the restricted  $G$  action on  $F_{(2)}$  has a fixed point. Then the natural projection of  $(V_{n,2} \times F_{(2)})/G$  to  $V_{n,2}/G$  has a cross-section. Since the inclusion  $i: X_{(2)} \rightarrow X$  induces an isomorphism  $i^*: H^k(X) \rightarrow H^k(X_{(2)})$  for  $k \leq 4n - 6$ , we obtain a contradiction by the same way as in the proof of Proposition 4.1. Therefore the  $\mathbf{Sp}(n)$  action on  $X_{(2)}$  has no singular orbit. Denote by  $T^n$  the standard maximal torus of  $\mathbf{Sp}(n)$ . Since  $X_{(1)} = \mathbf{P}_{n-1}(\mathbf{H}) \times F_{(1)}$  and the restricted  $T^n$  action on  $X_{(2)}$  has no fixed point, we see that the fixed point set of the restricted  $T^n$  action on  $X$  is diffeomorphic to  $n$  copies of  $F_{(1)}$ , and hence  $\chi(F_{(1)}) = \chi(X)/n = 4n - 2$ . Let  $U$  be a closed invariant tubular neigh-

borhood of  $X_{(1)}$  in  $X$ . Put  $E = X - \text{int}U$ , and  $E_{(2)} = E \cap F_{(2)}$ . Then  $E$  is an equivariant deformation retract of  $X_{(2)}$ , and  $E_{(2)}$  is a compact connected orientable 10-manifold. Moreover the  $\mathbf{Sp}(n)$  action on  $\partial E = \partial U$  has only one isotropy type  $\mathbf{Sp}(n-2) \times K$ , and its orbit space is diffeomorphic to  $F_{(1)}$ . We shall evaluate the number of connected components of  $\partial E$ . Let  $\mathbf{Sp}(1)$  be standardly embedded in  $\mathbf{Sp}(2)$ . Considering the Gysin sequences for sphere bundles

$$\begin{aligned} \mathbf{Sp}(2)/\mathbf{Sp}(1) &\rightarrow (V_{n,2} \times E_{(2)})/\mathbf{Sp}(1) \rightarrow E, \\ \mathbf{Sp}(1) &\rightarrow V_{n,2} \times E_{(2)} \rightarrow (V_{n,2} \times E_{(2)})/\mathbf{Sp}(1), \end{aligned}$$

we obtain  $\text{rank } H^0((V_{n,2} \times E_{(2)})/\mathbf{Sp}(1)) \leq 2$ ,  $\text{rank } H^0((V_{n,2} \times E_{(2)})/\mathbf{Sp}(1)) \leq 4$ , and hence  $\text{rank } H^0(V_{n,2} \times E_{(2)}) \leq 6$ . Thus we obtain  $\text{rank } H^0(\partial E_{(2)}) \leq 7$ , by the cohomology exact sequence of the pair  $(E_{(2)}, \partial E_{(2)})$  and the Poincaré-Lefschetz duality for  $E_{(2)}$ . Therefore the number of connected components of  $\partial E$  is at most seven, and hence the number of components of the closed surface  $F_{(1)}$  is at most seven. This is a contradiction to  $\chi(F_{(1)}) = 4n - 2$ . q.e.d.

Here we complete the proof of the main theorem stated in Introduction, by combining Theorems 2.5, 2.8 and Propositions 4.1, 4.2, in view of Section 1.

**5. Proof of Lemmas.** We shall give an outline of the proof of Lemmas 1.1, 1.2. The method used here is essentially due to Dynkin [6] (cf. [11, § 7]).

**PROOF OF LEMMA 1.1.** Let  $G$  be a closed connected subgroup of  $\mathbf{Sp}(n)$ , and suppose  $\dim \mathbf{Sp}(n)/G < 8n$ . Notice that the inclusion  $i: G \rightarrow \mathbf{Sp}(n)$  gives a symplectic representation of  $G$ .

Suppose first that the representation  $i$  is reducible, that is, there is a positive integer  $k$  such that  $k \leq n/2$  and  $G$  is contained in  $\mathbf{Sp}(n-k) \times \mathbf{Sp}(k)$  up to an inner automorphism of  $\mathbf{Sp}(n)$ . Then

$$2kn \leq 4k(n-k) \leq \dim \mathbf{Sp}(n)/G < 8n.$$

Hence we obtain  $k \leq 3$ . Let  $p_1$  (resp.  $p_2$ ) be the natural projection of  $\mathbf{Sp}(n-k) \times \mathbf{Sp}(k)$  onto  $\mathbf{Sp}(n-k)$  (resp.  $\mathbf{Sp}(k)$ ). We obtain  $\dim \mathbf{Sp}(n-k)/p_1(G) < 8n - 4k(n-k)$ , because

$$\dim \mathbf{Sp}(n-k)/p_1(G) \leq \dim(\mathbf{Sp}(n-k) \times \mathbf{Sp}(k))/G < 8n - 4k(n-k).$$

**SUBLEMMA.** Suppose  $p_1(G) = \mathbf{Sp}(n-k)$  and  $2k < n$ . Then  $G = \mathbf{Sp}(n-k) \times K$  for some closed subgroup  $K$  of  $\mathbf{Sp}(k)$ .

**PROOF.** Let  $G'$  be the kernel of the homomorphism  $p_2|_G$ . Then

$p_1(G')$  is a positive dimensional normal subgroup of  $\mathbf{Sp}(n-k) = p_1(G)$ , and hence  $p_1(G') = \mathbf{Sp}(n-k)$ , because  $\mathbf{Sp}(n-k)$  is simple. Therefore  $G = \mathbf{Sp}(n-k) \times K$  for some closed subgroup  $K$  of  $\mathbf{Sp}(k)$ . q.e.d.

We can assume that the inclusion  $i_1: p_1(G) \rightarrow \mathbf{Sp}(n-k)$  is irreducible. Here we assume that the representation  $i: G \rightarrow \mathbf{Sp}(n)$  is irreducible and  $\dim \mathbf{Sp}(n)/G < 8n$  (i.e.  $\dim G > 2n^2 - 7n$ ) for  $n \geq 4$ . In addition, suppose  $\dim \mathbf{Sp}(n)/G < 32$  for  $n = 6$ ,  $\dim \mathbf{Sp}(n)/G < 16$  for  $n = 5$  and  $\dim \mathbf{Sp}(n)/G < 8$  for  $n = 4$ . We shall show that  $G = \mathbf{Sp}(n)$  under the above condition. This is the final step of the proof of Lemma 1.1.

Denote by  $i_c: G \rightarrow U(2n)$  the complexification of the quaternion representation  $i$ . If  $i_c$  is reducible, then

$$2n^2 - 7n < \dim G \leq \dim U(n) = n^2,$$

and hence  $n \leq 6$ . But  $\dim \mathbf{Sp}(6)/U(6) = 42 > 32$ ,  $\dim \mathbf{Sp}(5)/U(5) = 30 > 16$  and  $\dim \mathbf{Sp}(4)/U(4) = 20 > 8$ . Therefore  $i_c$  is irreducible. Since  $i_c(G)$  is contained in  $SU(2n)$ , we see that  $G$  is semi-simple.

Suppose that  $G$  is not simple. There are closed normal subgroups  $H_1, H_2$  of  $G$  and irreducible representations  $r_j: H_j \rightarrow U(n_j)$  such that the tensor product  $r_1 \otimes r_2$  is equivalent to  $i_c p$ , where  $n = n_1 n_2$ ,  $n_j \geq 2$  and  $p: H_1 \times H_2 \rightarrow G$  is a covering projection. Since  $i_c$  has a quaternion structure, we can assume that (cf. [1, Proposition 3.56])  $r_1$  has a real form and  $r_2$  has a quaternion structure. In particular,

$$\dim G = \dim H_1 + \dim H_2 \leq \dim \mathbf{O}(n_1) + \dim \mathbf{Sp}(n_2/2) < n_1^2/2 + n_2^2.$$

Then we obtain  $n \leq 3$ . This is a contradiction. Therefore  $G$  is simple.

Put  $r = \text{rank } G$ , and denote by  $G^*$  the universal covering group of  $G$ . Denote by  $L_1, \dots, L_r$  the fundamental weights of  $G^*$ . Then there is a one-to-one correspondence between complex irreducible representation of  $G^*$  and sequences  $(a_1, \dots, a_r)$  of non-negative integers such that  $a_1 L_1 + \dots + a_r L_r$  is the highest weight of a corresponding representation (cf. [6, Theorems 0.8, 0.9]; [8, §21.2]). Denote by  $d(a_1 L_1 + \dots + a_r L_r)$  the degree of the complex irreducible representation of  $G^*$  with the highest weight  $a_1 L_1 + \dots + a_r L_r$ . The degree can be computed by Weyl's dimension formula (cf. [6, Theorem 0.24, (0.148)-(0.155)]; [8, §24.3]). Notice that if  $a_i \geq a'_i$  for  $i = 1, 2, \dots, r$ , then  $d(a_1 L_1 + \dots + a_r L_r) \geq d(a'_1 L_1 + \dots + a'_r L_r)$  and the equality holds only if  $a_i = a'_i$  for  $i = 1, 2, \dots, r$ .

If  $G$  is an exceptional Lie group, then  $G^*$  has no complex irreducible representation of degree  $2n$  for each  $n$  such that  $\dim G > 2n^2 - 7n$ . Therefore  $G$  is a classical Lie group.



Suppose  $G^* = SU(r+1)$ ,  $r \geq 1$ . Then  $\dim G = r^2 + 2r$ , and  $r = \text{rank } G \leq \text{rank } Sp(n) = n$ . Hence we obtain  $n \leq 8$  by the inequality

$$2n^2 - 7n < r^2 + 2r \leq n^2 + 2n.$$

The possibilities remain only when  $(n, r) = (8, 8), (7, 7), (6, 6), (6, 5), (5, 5), (5, 4), (4, 4), (4, 3)$  or  $(4, 2)$ . We see that there is no possibility, by the value  $\dim Sp(n)/SU(n)$  for  $n \leq 6$  and the fact that  $SU(r+1)$  has no complex irreducible representation of degree  $2r$  for each  $r \geq 4$ .

Suppose  $G^* = Spin(r)$ ,  $r \geq 5$ . Since  $\dim G < \dim Sp(n)$ , we obtain  $(2n-3)(2n-4) - 12 < r(r-1) < 2n(2n+1)$ . Thus we obtain  $r = 2n-3, 2n-2, 2n-1$  or  $2n$ . By Weyl's formula, we see that  $Spin(2n-1)$  for  $n \geq 5$ ,  $Spin(2n-3)$  and  $Spin(2n-2)$  have no complex irreducible representation of degree  $2n$ ,  $Spin(2n)$  has only one complex irreducible representation  $\rho_{2n}^c$  of degree  $2n$  for  $n \geq 5$ ,  $Spin(8)$  has just three complex irreducible representations  $\rho_8^c, \Delta_8^+$  and  $\Delta_8^-$  of degree 8, and  $Spin(7)$  has only one complex irreducible representation  $\Delta_7$  of degree 8. But  $\rho_{2n}^c, \Delta_8^+, \Delta_8^-$  and  $\Delta_7$  have real forms, and hence they have no quaternion structure.

Suppose  $G^* = Sp(r)$ ,  $3 \leq r < n$ . Then we obtain  $r = n-2$  or  $n-1$ . But  $Sp(r)$  has no complex irreducible representation of degrees  $2r+2$  and  $2r+4$ .

This completes the proof of Lemma 1.1.

PROOF OF LEMMA 1.2. By Weyl's formula, we see that there is no complex irreducible representation of  $Sp(r)$  of degree  $< 8r$  except for the natural inclusion  $(\nu_r)_c: Sp(r) \rightarrow U(2r)$ . This fact assures the desired result. q.e.d.

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