

## Non-finiteness results for Nil-groups

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Generalizing an idea of Farrell we prove that for a ring  $\Lambda$  and a ring automorphism  $\alpha$  of finite order the groups  $\text{Nil}_0(\Lambda; \alpha)$  and all of its  $p$ -primary subgroups are either trivial or not finitely generated as an abelian group. We also prove that if  $\beta$  and  $\gamma$  are ring automorphisms such that  $\beta \circ \gamma$  is of finite order then  $\text{Nil}_0(\Lambda; \Lambda_\beta, \Lambda_\gamma)$  and all of its  $p$ -primary subgroups are either trivial or not finitely generated as an abelian group. These Nil-groups include the Nil-groups appearing in the decomposition of  $K_i$  of virtually cyclic groups for  $i \leq 1$ .

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### 1 Introduction

Let  $\Lambda$  be a unital ring and  $\alpha$  a ring automorphism. Farrell defined in his PhD thesis [2] twisted Nil-groups,  $\text{Nil}_i(\Lambda; \alpha)$  for  $i \in \mathbb{N}$ . We denote the twisted polynomial ring by  $\Lambda_\alpha[t]$ . The Nil-group  $\text{Nil}_i(\Lambda; \alpha)$  is the kernel of the map  $\epsilon: K_{i+1}(\Lambda_\alpha[t]) \rightarrow K_{i+1}(\Lambda)$  which is induced by the augmentation map. Farrell–Hsiang [4] and Grayson [6] generalized the *fundamental lemma of algebraic K–theory* to twisted Laurent polynomial rings. They proved the exactness of the following sequence, relating the  $K$ –theory of the twisted Laurent polynomial ring  $\Lambda_\alpha[t, t^{-1}]$  to the  $K$ –theory of  $\Lambda$ :

$$\begin{aligned} \cdots \longrightarrow K_{i+1}(\Lambda) \xrightarrow{1-\alpha_*} K_{i+1}(\Lambda) \longrightarrow \\ K_{i+1}(\Lambda_\alpha[t, t^{-1}]) / (\text{Nil}_i(\Lambda, \alpha) \oplus \text{Nil}_i(\Lambda, \alpha^{-1})) \longrightarrow K_i(\Lambda) \longrightarrow \cdots \end{aligned}$$

Let  $A$ ,  $B$  and  $C$  be rings and let  $\alpha: C \rightarrow A$  and  $\beta: C \rightarrow B$  be inclusions which are *pure* and *free* (for a definition of pure and free see Waldhausen [10]). Let  $R$  be the push-out of the diagram

$$\begin{array}{ccc} C & \xrightarrow{\alpha} & A \\ \beta \downarrow & & \\ & & B \end{array}$$

in the category of rings. Waldhausen proved that there is a Mayer-Vietoris sequence for algebraic  $K$ –theory, which is exact up to Nil-groups  $\text{Nil}_i(C; A', B')$ , where  $A'$  is

defined to be the  $C$ -bimodule such that  $A = \alpha(C) \oplus A'$  and  $B'$  is defined similarly [10; 11]. More precisely he proved that the following sequence is exact:

$$\begin{aligned} \cdots \longrightarrow K_{i+1}(C) \longrightarrow K_{i+1}(A) \oplus K_{i+1}(B) \longrightarrow \\ K_{i+1}(R)/\text{Nil}_i(C; A', B') \longrightarrow K_i(C) \longrightarrow \cdots \end{aligned}$$

In the article at hand we use an idea which goes back to Farrell [3] to prove that Nil-groups and its  $p$ -primary subgroups have the mysterious property of being either trivial or not finitely generated as an abelian group. For a ring automorphism  $\alpha: \Lambda \rightarrow \Lambda$  we denote the  $\Lambda$ -bimodule  $\Lambda$  with  $\Lambda$ -action from the left via the identity and from the right via  $\alpha$  by  $\Lambda_\alpha$ . For an abelian group  $G$  and a prime  $p$ , define

$$G_p = \{x \in G : p^n x = 0 \text{ for some } n \geq 0\}.$$

$G_p$  is called the  $p$ -primary subgroup of  $G$ .

**Theorem 1.1** *Let  $\Lambda$  be a ring,  $p$  a prime and  $\alpha$  a ring automorphism of finite order. The groups  $\text{Nil}_0(\Lambda; \alpha)$  and  $\text{Nil}_0(\Lambda; \alpha)_p$  are either trivial or not finitely generated as an abelian group. If  $\beta$  and  $\gamma$  are ring automorphisms such that  $\beta \circ \gamma$  is of finite order then  $\text{Nil}_0(\Lambda; \Lambda_\beta, \Lambda_\gamma)$  and  $\text{Nil}_0(\Lambda; \Lambda_\beta, \Lambda_\gamma)_p$  are either trivial or not finitely generated as an abelian group.*

The non-finiteness of  $\text{Nil}_0(\Lambda; \alpha)$  for  $\alpha = \text{id}$  was already known [3] and the non-finiteness of  $\text{Nil}_0(\mathbb{Z}G; \alpha)$  for a finite group  $G$  was independently proven by Ramos [9].

For topology the  $K$ -theory of group rings is of special importance and the Farrell-Jones conjecture, which is known to be true for a large class of groups, predicts that the building blocks of the  $K$ -theory of a group ring is the  $K$ -theory of virtually cyclic groups. There are two types of infinite virtually cyclic groups:

- (i) the semidirect product  $G \rtimes \mathbb{Z}$  of a finite group  $G$  and the infinite cyclic group;
- (ii) the amalgamated product  $G_1 *_H G_2$  of two finite groups  $G_1$  and  $G_2$  over a subgroup  $H$  such that  $[G_1 : H] = 2 = [G_2 : H]$ ;

If one decomposes the  $K$ -theory of infinite virtually cyclic groups the Nil-groups of finite groups appear. Since for a finite group all automorphisms are of finite order we obtain the following corollary about Nil-groups of finite groups.

**Corollary 1.2** *Let  $R$  be a ring,  $G$  a finite group,  $p$  a prime and  $\alpha$  and  $\beta$  group automorphisms. The groups  $\text{Nil}_i(RG; \alpha)$ ,  $\text{Nil}_i(RG; \alpha)_p$ ,  $\text{Nil}_i(RG; RG_\alpha, RG_\beta)$  and  $\text{Nil}_i(RG; RG_\alpha, RG_\beta)_p$  are either trivial or not finitely generated as an abelian group for  $i \leq 0$ .*

For  $R = \mathbb{Z}$  the considered Nil-groups are known to vanish for  $i \leq -2$  (see Farrell and Jones [5]) and are known to be  $n$ -torsion for an arbitrary group of finite order  $n$  (see Kuku and Tang [8]).

## 2 Non-finiteness results for Nil-groups

In the following  $\Lambda$  will always be a unital ring and  $\alpha$  a ring automorphism of finite order  $n$ , that is,  $\alpha^n = \text{id}$ .

For  $m \in \mathbb{N}$  we have canonical inclusion maps

$$\sigma_m: \Lambda_{\alpha^{nm+1}}[t^{nm+1}] \rightarrow \Lambda_{\alpha}[t].$$

Those maps induce transfer and induction maps

$$\begin{aligned} \sigma_*^m: K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) &\rightarrow K_1(\Lambda_{\alpha}[t]) \\ \sigma_m^*: K_1(\Lambda_{\alpha}[t]) &\rightarrow K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]). \end{aligned}$$

Since  $\text{Nil}_0(\Lambda; \alpha) = \text{Nil}_0(\Lambda; \alpha^{nm+1})$  we have an embedding

$$\iota': \text{Nil}_0(\Lambda; \alpha) \hookrightarrow K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]).$$

The proof of the non-finiteness result is based on the following diagram:

$$\begin{array}{ccc} \text{Nil}_0(\Lambda; \alpha) & \xrightarrow{\iota'} & K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) \\ & & \downarrow \sigma_*^m \\ \text{Nil}_0(\Lambda; \alpha) & \xrightarrow{\iota} & K_1(\Lambda_{\alpha}[t]) \\ & & \downarrow \sigma_m^* \\ & & K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]). \end{array}$$

The idea is to choose, for a finitely generated Nil-group,  $m$  such that  $\sigma_m^* \sigma_*^m \iota'$  is a monomorphism (Lemma 2.1) and trivial (Proposition 2.3). Thus every finitely generated Nil-group is trivial.

**Lemma 2.1** *Let  $G$  be a finitely generated subgroup of  $K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}])$ . For every  $K \in \mathbb{N}$  there is an  $m \geq K$  such that  $\sigma_m^* \sigma_*^m$  is a monomorphism on  $G$ .*

**Proof** Let  $T$  be the exponent of the torsion subgroup of  $G$  and let  $F$  be the rank of a maximal torsion free subgroup. Choose  $\ell \in \mathbb{N}$  such that  $\ell \cdot T \geq K$ . For  $x \in$

$K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}])$  we have

$$\sigma_{\ell \cdot T}^* \sigma_*^{\ell \cdot T}(x) = \sum_{i=0}^{n \cdot \ell \cdot T} \alpha_*^i(x) = x + \ell \cdot T \sum_{i=1}^n \alpha_*^i(x)$$

where  $\alpha_*: K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) \rightarrow K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}])$  is the map which is induced by the ring automorphism on  $\Lambda_{\alpha^{nm+1}}[t^{nm+1}]$  which sends an element  $\sum r_i t^i$  to the element  $\sum \alpha(r_i) t^i$ . The automorphism  $\alpha_*$  restricts to an automorphism of  $A := \cup_{i=1}^n \alpha^i(\mathbb{Z}^F)$  where  $\mathbb{Z}^F$  is a maximal torsion free subgroup of  $G$ . The map  $\alpha_*|_A$  is conjugate to a diagonal matrix, that is,

$$g \alpha_*|_A g^{-1} = \begin{pmatrix} \zeta_1 & & \\ & \ddots & \\ & & \zeta_r \end{pmatrix}$$

where  $g \in GL_r(\mathbb{C})$  and  $\zeta_1, \dots, \zeta_r \in \mathbb{C}$  are  $n$ th roots of unity. We can find  $k \in \mathbb{N}$  such that  $\sum_{i=1}^{k \cdot \ell \cdot T} \zeta_j^i \neq -1$  for all  $j \in \{1, \dots, r\}$ . One verifies easily that  $\sigma_{k \cdot \ell \cdot T}^* \sigma_*^{k \cdot \ell \cdot T}(x)$  is a monomorphism.  $\square$

**Lemma 2.2** *The image of  $\iota'$  is mapped into the image of  $\iota$  by every  $\sigma_*^m$ .*

**Proof** The result follows since the diagram

$$\begin{array}{ccc} K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) & \xrightarrow{\epsilon} & K_1(\Lambda) \\ \sigma_*^m \downarrow & & \downarrow \text{id} \\ K_1(\Lambda_{\alpha}[t]) & \xrightarrow{\epsilon} & K_1(\Lambda) \end{array}$$

commutes. We denote the maps which are induced by the augmentation map by  $\epsilon$ .  $\square$

**Proposition 2.3** *For every  $x \in \text{Nil}_0(\Lambda; \alpha)$ , there exists an integer  $K(x)$  such that  $\sigma_m^*(x) = 0$  for all integers  $m \geq K(x)$ .*

For the proof of [Proposition 2.3](#) we need the following lemma. We denote by  $GL_n(\Lambda)$  the group of invertible  $n \times n$  matrices, by  $GL(\Lambda)$  the colimit over  $GL_n(\Lambda)$  and by  $E(\Lambda_{\alpha}[t])$  the subgroup of  $GL(\Lambda_{\alpha}[t])$  generated by all elementary matrices. For a matrix  $N$  we denote by  $\alpha(N)$  the matrix obtained for  $N$  by applying  $\alpha$  to each component.

**Lemma 2.4** *Every matrix  $B \in GL(\Lambda_{\alpha}[t])$  can be reduced, modulo  $GL(\Lambda)$  and  $E(\Lambda_{\alpha}[t])$ , to a matrix of the form  $1 + Nt$ , where*

$$\prod_{j=0}^M \alpha^{-j}(N) = 0$$

for some  $M \in \mathbb{N}$ .

**Proof** We have

$$B = B_0 + B_1t + \dots + B_nt^n$$

with  $B_i \in \text{Mat}_m(\Lambda)$ . In  $\text{GL}(\Lambda_\alpha[t])$  we have

$$B = \begin{pmatrix} B & 0 \\ 0 & \text{id} \end{pmatrix}.$$

Modulo  $E(\Lambda_\alpha[t])$  we have:

$$\begin{pmatrix} B & 0 \\ 0 & \text{id} \end{pmatrix} = \begin{pmatrix} B & B_nt^n \\ 0 & \text{id} \end{pmatrix} = \begin{pmatrix} B - B_nt^n & B_nt^n \\ -t & \text{id} \end{pmatrix}.$$

This implies by induction that

$$B = \tilde{B}_0 + \tilde{B}_1t.$$

Since  $B \in \text{GL}_k(\Lambda_\alpha[t])$  there exists  $B^{-1}$  with

$$B^{-1} = C_0 + C_1t + \dots + C_mt^m.$$

where  $C_i \in \text{Mat}_k(\Lambda)$ . We have

$$1 = BB^{-1} = B_0C_0 + B_1tC_0 + \dots + B_1tC_mt^m.$$

Thus  $B_0C_0 = 1$  and therefore  $B = 1 + Nt$  module  $\text{GL}(\Lambda)$ . Let  $L = L_0 + L_1t + \dots + L_mt^m$  be the inverse of  $(1 + Nt)$ . We have

$$\begin{aligned} 1 &= (1 + Nt)(L_0 + L_1t + \dots + L_mt^m) \\ &= L_0 + NtL_0 + L_1t + NtL_1t + \dots + L_mt^m + NtL_mt^m \\ &= L_0 + \sum_{i=0}^{m-1} (N\alpha^{-1}(L_i) + L_{i+1})t^{i+1} + N\alpha^{-1}(L_m)t^{m+1} \end{aligned}$$

This implies the following identities:

$$\begin{aligned} L_0 &= 1 \\ N\alpha^{-1}(L_0) + L_1 &= 0 \\ &\vdots \\ N\alpha^{-1}(L_i) + L_{i+1} &= 0 \\ &\vdots \\ N\alpha^{-1}(L_{m-1}) + L_m &= 0 \\ N\alpha^{-1}(L_m) &= 0. \end{aligned}$$

Thus

$$\prod_{j=0}^{m-1} \alpha^{-j}(N) = 0.$$

□

**Proof of Proposition 2.3** By Lemma 2.4 we have

$$x = 1 + Nt$$

with

$$\prod_{i=0}^M \alpha^{-i}(N) = 0.$$

The element  $\sigma_*^m(x)$  is represented by the matrix

$$\begin{pmatrix} \text{id} & & & & \alpha^{-nm}(N)t^{nm+1} \\ N & \text{id} & & & \\ & \alpha^{-1}(N) & \ddots & & \\ & & \ddots & \text{id} & \\ & & & \alpha^{-nm+1}(N) & \text{id} \end{pmatrix}.$$

Thus  $\sigma_*^m(x)$  is also represented by the following matrix:

$$\begin{pmatrix} \text{id} + (-1)^{nm} (\prod_{i=0}^{nm} \alpha^{-i}(N))t^{nm+1} & \dots & (-1)^{nm-j} (\prod_{i=j}^{nm} \alpha^{-i}(N))t^{nm+1} & \dots & \alpha^{-nm}(N)t^{nm+1} \\ & \text{id} & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & \text{id} \end{pmatrix}$$

This implies that for  $m$  such that  $n \cdot m \geq M$  we have  $\sigma_*^m(x) = 0$ . □

**Theorem 2.5** Let  $\Lambda$  be a ring,  $p$  a prime and  $\alpha$  a ring automorphism of finite order. The groups  $\text{Nil}_0(\Lambda; \alpha)$  and  $\text{Nil}_0(\Lambda; \alpha)_p$  are either trivial or not finitely generated as an abelian group.

**Proof** Assume  $\text{Nil}_0(\Lambda; \alpha)$  to be a finitely generated abelian group. By Lemma 2.2 and Proposition 2.3 we can find  $K$  such that  $\sigma_m^* \sigma_*^m t'(x) = 0$  for all  $x \in \text{Nil}(\Lambda; \alpha)$  and  $m \geq K$ . By Lemma 2.1 we can find an  $m \geq K$  such that  $\sigma_m^* \sigma_*^m t'$  is a monomorphism. Thus  $\text{Nil}_0(\Lambda; \alpha)$  is the trivial group. The proof for  $\text{Nil}(\Lambda; \alpha)_p$  goes in exactly the same way. □

**Corollary 2.6** *Let  $\Lambda$  be a ring,  $p$  be a prime and  $\alpha$  and  $\beta$  be ring automorphisms such that  $\alpha \circ \beta$  is of finite order. The groups  $\text{Nil}_0(\Lambda; \Lambda_\alpha, \Lambda_\beta)$  and  $\text{Nil}_0(\Lambda; \Lambda_\alpha, \Lambda_\beta)_p$  are either trivial or not finitely generated as an abelian group.*

**Proof** It is a result of Kuku and Tang [8] that  $\text{Nil}_0(\Lambda; \Lambda_\alpha, \Lambda_\beta)$  can also be described as a Nil-group of type  $\text{Nil}_0(\Lambda \times \Lambda; \gamma)$  where  $\gamma$  is the ring automorphism defined by

$$\gamma: (a, b) \mapsto (\beta(b), \alpha(a)). \quad \square$$

**Corollary 2.7** *Let  $R$  be a ring,  $G$  a finite group,  $p$  a prime and  $\alpha$  and  $\beta$  group automorphisms. The groups  $\text{Nil}_i(RG; \alpha)$ ,  $\text{Nil}_i(RG; \alpha)_p$ ,  $\text{Nil}_i(RG; RG_\alpha, RG_\beta)$  and  $\text{Nil}_i(RG; RG_\alpha, RG_\beta)_p$  are either trivial or not finitely generated as an abelian group for  $i \leq 0$ .*

**Proof** Using the suspension ring construction as explained by Bartels and Lück [1] and by the author [7], one gets that the considered Nil-groups are covered by [Theorem 2.5](#) and [Corollary 2.6](#). □

## References

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