

## CAUCHY-RIEMANN ORBIFOLDS

By

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**Abstract.** For any CR orbifold<sup>1</sup>  $B$ , of CR dimension  $n$ , we build a vector bundle (in the sense of J. Girbau & M. Nicolau, [13])  $T_{1,0}(B)$  over  $B$ , so that  $T_{1,0}(B)_p \approx \mathbb{C}^n/G_x$  at any singular point  $p = \varphi(x) \in B$  (and the portion of  $T_{1,0}(B)$  over the regular part of  $B$  is an ordinary CR structure), hence study the tangential Cauchy-Riemann equations on orbifolds. As an application, we build a two-sided parametrix for the Kohn-Rossi laplacian  $\square_\Omega$  (on the domain  $\Omega$  of a local uniformizing system  $\{\Omega, G, \varphi\}$  of  $B$ ) inverting  $\square_\Omega$  over the  $G$ -invariant  $(0, q)$ -forms ( $1 \leq q \leq n - 1$ ) up to (smoothing) operators of type 1 (in the sense<sup>2</sup> of G. B. Folland & E. M. Stein, [12]).

### 1. Introduction

An  $N$ -dimensional orbifold (or  $V$ -manifold, cf. I. Satake, [20], to whom the notion is due) is a Hausdorff space  $B$  looking locally like a quotient of (an open set in) the Euclidean space, by the action of some finite group of  $C^\infty$  diffeomorphisms (cf. [1]–[3], [7], [19]–[22]). That is, each point  $p \in B$  admits a neighborhood  $U$  which is uniformized by a domain  $\Omega \subset \mathbb{R}^N$  and a continuous map  $\varphi : \Omega \rightarrow U$ , in the sense that there is a finite subgroup  $G \subset \text{Diff}^\infty(\Omega)$  so that  $\varphi$  is  $G$ -invariant and factors to a homeomorphism  $\Omega/G \approx U$ . Such (local) uniformizing systems  $\{\Omega, G, \varphi\}$  (shortly l.u.s.'s) play the role of local coordinate charts in manifold theory, and as well as for ordinary manifolds, are required to agree smoothly on overlaps: if  $p \in U' \cap V$  and  $\{\Omega', G', \varphi'\}$ ,  $\{D, H, \psi\}$  uniformize  $U', V$  respectively, then there is a neighborhood  $U \subset U' \cap V$  of  $p$  uniformized by some  $\{\Omega, G, \varphi\}$ , and an injection  $\lambda : \Omega \rightarrow \Omega'$ , i.e. a smooth map which is a  $C^\infty$  diffeomorphism on some open subset of  $\Omega'$  and satisfies  $\varphi' \circ \lambda = \varphi$ . This being the

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case, various  $G$ -structures of current use in differential geometry, such as Riemannian metrics, complex structures, etc., may be prescribed on orbifolds, by merely assigning an ordinary  $G$ -structure to  $\Omega$ , for each l.u.s.  $\{\Omega, G, \varphi\}$ , and requiring that injections preserve these (local)  $G$ -structures (cf. [5], [8], [16], [23]). For instance, if  $B$  is a  $(2n + k)$ -dimensional orbifold, whose  $V$ -manifold structure is described by some fixed family of l.u.s.'s  $\mathcal{A}$ , then a  $CR$  structure on  $B$  is a set

$$\{T_{1,0}(\Omega) : \{\Omega, G, \varphi\} \in \mathcal{A}\} \quad (1)$$

where  $T_{1,0}(\Omega)$  is a  $CR$  structure (of type  $(n, k)$ ) on  $\Omega$  and each injection  $\lambda : \Omega \rightarrow \Omega'$  is a  $CR$  map (i.e.  $(d_x \lambda)T_{1,0}(\Omega)_x \subseteq T_{1,0}(\Omega')_{\lambda(x)}$ ,  $x \in \Omega$ ). A  $CR$  structure (1) on  $B$  is easily seen to be a vector bundle over  $B$ , in the sense of W. L. Baily, [3], p. 863, i.e. there is a group monomorphism

$$h_\Omega : G \rightarrow \text{Hom}(T_{1,0}(\Omega), T_{1,0}(\Omega))$$

for each l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{A}$ , and a bundle map

$$\lambda^* : T_{1,0}(\Omega')|_{\lambda(\Omega)} \rightarrow T_{1,0}(\Omega)$$

for each injection  $\lambda : \Omega \rightarrow \Omega'$ , so that 1)  $h_\Omega(\sigma)T_{1,0}(\Omega)_x \subseteq T_{1,0}(\Omega)_{\sigma^{-1}(x)}$ ,  $x \in \Omega$ , 2)  $h_\Omega(\sigma) \circ \lambda^* = \lambda^* \circ h_{\Omega'}(\eta(\sigma))$ ,  $\sigma \in G$ , and 3)  $(\mu \circ \lambda)^* = \lambda^* \circ \mu^*$ , for any pair of injections  $\lambda : \Omega \rightarrow \Omega'$  and  $\mu : \Omega' \rightarrow \Omega''$ , where  $\eta : G \rightarrow G'$  is a natural group monomorphism associated with  $\lambda$  (cf. our section 3). Indeed,  $h_\Omega(\sigma)_x := d_x \sigma^{-1}$ ,  $\sigma \in G$ ,  $x \in \Omega$ , respectively  $\lambda^*(v') = (d_{\lambda(x)} \mu)v'$ ,  $v' \in T_{1,0}(\Omega')_{\lambda(x)}$ ,  $x \in \Omega$ , where  $\mu := (\lambda : \Omega \rightarrow \lambda(\Omega))^{-1}$ , satisfy the requirements (1) to (3) (each  $\sigma \in G$  is in particular an injection, hence  $G \subset \text{Aut}_{CR}(\Omega)$ ). One may proceed to define  $CR$  functions as continuous functions  $f : B \rightarrow C$  for which each  $f_\Omega := f \circ \varphi : \Omega \rightarrow C$  is smooth and

$$\bar{\partial}_\Omega f_\Omega = 0 \quad (2)$$

in  $\Omega$ , where  $\bar{\partial}_\Omega$  is the tangential Cauchy-Riemann operator on  $(\Omega, T_{1,0}(\Omega))$ . The equations (2) may then be referred to as the tangential Cauchy-Riemann equations on (the  $CR$  orbifold)  $B$  and it appears that a satisfactory scheme for recovering  $CR$  geometry and analysis, on  $V$ -manifolds, has been devised.

The weakness of this approach consists in the lack of relationship between the  $G$ -structure (here  $CR$  structure) so assigned to  $B$  and its singular locus. A point  $p \in B$  is *singular* if it admits a neighborhood  $U$ , uniformized by some l.u.s.  $\{\Omega, G, \varphi\}$  for which a point  $x \in \Omega$  with nontrivial isotropy group (i.e.  $G_x := \{\sigma \in G : \sigma(x) = x\} \neq \{1_\Omega\}$ ) and lying over  $p$  (i.e.  $\varphi(x) = p$ ) may be found. If  $\Sigma$  is the set of all singular points of  $B$  (its *singular locus*) then  $B_{reg} := B \setminus \Sigma$  is an

ordinary *CR* manifold. Although  $\Sigma$  has a quite simple local structure (locally, it is a finite union of real algebraic *CR* submanifolds) there is no obvious relationship between  $T_{1,0}(\Omega)$  and  $S := \{x \in \Omega : G_x \neq \{1_\Omega\}\}$ , and generally speaking, expressions such as the behaviour of the *CR* structure  $T_{1,0}(B_{reg})$  (a bundle over  $B \setminus \Sigma$ ), or of a *CR* function  $f \in CR^\infty(B_{reg})$ , near  $\Sigma$ , lack a precise meaning. To ask a more concrete question, given a *CR* orbifold  $B$ , can one construct a ‘bundle’  $T_{1,0}(B)$  over the whole of  $B$  so that  $T_{1,0}(B)|_{B_{reg}} = T_{1,0}(B_{reg})$  and the fibres  $T_{1,0}(B)_p$  reflect the nature of  $p$  (i.e. whether  $p$  is singular or regular)? In other words, can one write a set of equations on  $B$  reducing to the ordinary Cauchy-Riemann equations  $\bar{\partial}_{B_{reg}} f = 0$  on the regular part of  $B$ , and exhibiting at  $\Sigma$  a feature related to the nature of  $\Sigma$ ?

The scope of the present paper is to answer some fundamental questions of this sort, i.e. regarding (the Cauchy-Riemann equations on) *CR* orbifolds. Precisely, for each *CR* orbifold  $B$ , we build a bundle  $T_{1,0}(B) \rightarrow B$  in the sense of J. Girbau & M. Nicolau, [13], p. 257–259, so that

$$T_{1,0}(B) \approx \mathbb{C}^n / G_x, \quad p = \varphi(x) \in B, \tag{3}$$

a bijection (hence when  $p \in \Sigma$ ,  $T_{1,0}(B)_p$  is not even a vector space) and  $T_{1,0}(B)_p = T_{1,0}(B_{reg})_p$  for any  $p \in B \setminus \Sigma$ . Moreover, by adapting (from real to complex geometry) an idea of I. Satake, [22], p. 473, who observed that  $G_x$ -invariant tangent vectors at  $x \in \Omega$  give rise, in our context, to a subset of  $T_{1,0}(B)_p$  depending only on  $p = \varphi(x)$  and possessing a  $\mathbb{C}$ -linear space structure, we are led to the equations

$$\sum_{\alpha=1}^n \bar{\zeta}^\alpha L_{\bar{\alpha}}(f)_x = 0, \tag{4}$$

$f \in C^\infty(\Omega)$ ,  $x \in \Omega$ ,  $\zeta = (\zeta^1, \dots, \zeta^n) \in \bigcap_{\sigma \in G_x} \text{Ker}[g_\sigma(x) - I_n]$ , where  $\{L_\alpha\}$  is a frame of  $T_{1,0}(\Omega)$ , which may be thought of w.l.o.g. as being defined on the whole of  $\Omega$ , and  $g_\sigma(x) \in GL(n, \mathbb{C})$  is given by

$$(d_x \sigma)L_{\alpha,x} = g_\sigma(x)^\beta_\alpha L_{\beta,\sigma(x)}, \quad x \in \Omega.$$

Clearly (4) reduces to (2) in  $\Omega \setminus S$ ; we show that for each singular point  $x \in S$  there is a neighborhood  $D$  of  $x$  in  $\Omega$  and an algebraic *CR* submanifold  $F_x \subset S \cap D$  so that each smooth solution  $f$  of (4) is a *CR* function on  $F_x$ .

Any (smooth) function  $f : B \rightarrow \mathbb{C}$  gives rise to a  $G$ -invariant function  $f_\Omega := f \circ \varphi$  on  $\Omega$ . In general, a (geometric) object prescribed on (each)  $\Omega$  must be preserved by injections, hence by each  $\sigma \in G$ , hence it is  $G$ -invariant. Therefore, another fundamental feature of any attempt to recover known facts

from  $CR$  geometry (on  $CR$  orbifolds) is, locally, to prove  $G$ -invariant analogues of the facts of interest. In view of [3] (which uses a  $G$ -average of a fundamental solution of an elliptic operator to prove a Kodaira-Hodge-de Rham decomposition theorem on  $V$ -manifolds) this part of the task is rather well understood. To illustrate this line of thought, given a domain  $\Omega$  in  $\mathbf{R}^{2n+1}$  carrying a  $G$ -invariant strictly pseudoconvex  $CR$  structure  $T_{1,0}(\Omega)$  and a pseudohermitian structure  $\theta$  so that  $G$  consists of pseudohermitian transformations of  $(\Omega, \theta)$ , we build a two-sided parametrix inverting the Kohn-Rossi operator  $\square_{\Omega}$  on the  $G$ -invariant forms of degree  $0 < q < n - 1$ , up to operators of type 1, cf. [12]; these are smoothing, in the sense that they are bounded operators  $S_k^p(\Omega) \rightarrow S_{k+1}^p(\Omega)$  of Folland-Stein spaces. Our methods in section 6 resemble closely those in [3], p. 870–874, and [13], p. 71–74.

The paper is organized as follows. In section 2 we recall the material we need as to  $CR$  manifolds and pseudohermitian geometry. In section 3 we discuss the case of complex orbifolds ( $CR$  codimension  $k = 0$ ), the local structure of their singular locus, and  $V$ -holomorphic functions. Sections 4 and 5 are devoted to  $CR$  orbifolds of  $CR$  codimension 1 (certain local aspects are examined in section 4). In section 6 we prove our main result (inverting the Kohn-Rossi operator over the  $G$ -invariant forms).

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## 2. $CR$ Geometry

In this section we discuss basic notions such as pseudohermitian structures, the Levi form (of a  $CR$  manifold of hypersurface type), and pseudohermitian transformations. The main tool is the Tanaka-Webster connection (of a nondegenerate  $CR$  manifold endowed with a contact form) and the corresponding parabolic exponential map (leading to a choice of pseudohermitian normal coordinates at each point of the given  $CR$  manifold). The notion is due to D. Jerison & J. M. Lee, [15]; Lemma 1 is however new.

Let  $(M, T_{1,0}(M))$  be a  $CR$  manifold, of type  $(n, 1)$ , i.e. of  $CR$  dimension  $n$  and  $CR$  codimension 1 (cf. e.g. [4], p. 120). The *maximally complex* (or *Levi*) distribution of  $M$

$$H(M) = \text{Re}\{T_{1,0}(M) \oplus T_{0,1}(M)\}$$

carries the complex structure

$$J(Z + \bar{Z}) = i(Z - \bar{Z}), \quad Z \in T_{1,0}(M),$$

where  $i = \sqrt{-1}$ . Here  $T_{0,1}(M) = \overline{T_{1,0}(M)}$  and an overbar denotes complex conjugation. If  $M$  is oriented then the conormal bundle  $H(M)^\perp := \{\omega \in T^*(M) : \text{Ker}(\omega) \supset H(M)\}$  (a line bundle over  $M$ ) is trivial, and each global nowhere zero section  $\theta \in \Gamma^\infty(H(M)^\perp)$  is a *pseudohermitian structure* on  $M$ . Given two pseudohermitian structures  $\theta$  and  $\hat{\theta}$  there is a unique  $C^\infty$  function  $u : M \rightarrow \mathbf{R} \setminus \{0\}$  so that  $\hat{\theta} = u\theta$ . The *Levi form* is

$$L_\theta(Z, \bar{W}) = -i(d\theta)(Z, \bar{W}), \quad Z, W \in T_{1,0}(M).$$

A *CR manifold* is *nondegenerate* (respectively *strictly pseudoconvex*) if  $L_\theta$  is nondegenerate (respectively positive-definite) for some  $\theta$ .

A  $C^\infty$  map  $f : M \rightarrow N$  of *CR manifolds* is a *CR map* if  $(d_x f)T_{1,0}(M)_x \subseteq T_{1,0}(N)_{f(x)}$ , for any  $x \in M$ . A *CR isomorphism* is a  $C^\infty$  diffeomorphism and a *CR map*, and  $\text{Aut}_{CR}(M)$  is the group of all *CR isomorphisms* of  $M$  in itself. A *pseudohermitian transformation* is a *CR isomorphism* between two *CR manifolds*  $M, N$  on which pseudohermitian structures  $\theta, \theta_N$  have been fixed, so that  $f^*\theta_N = a(f)\theta$ , for some  $a(f) \in \mathbf{R} \setminus \{0\}$ . If  $a(f) \equiv 1$  then  $f$  is *isopseudohermitian*.

Let  $M$  be a nondegenerate *CR manifold*. Then any pseudohermitian structure  $\theta$  is a contact form on  $M$ , i.e.  $\theta \wedge (d\theta)^n$  is a volume form on  $M$ . Once a contact form  $\theta$  has been fixed, there is a globally defined nowhere zero vector field  $T$  on  $M$ , transverse to  $H(M)$ , determined by  $\theta(T) = 1$  and  $T \lrcorner d\theta = 0$  (the *characteristic direction* of  $(M, \theta)$ ). Let  $\pi_H : T(M) \rightarrow H(M)$  be the projection associated with the direct sum decomposition  $T(M) = H(M) \oplus \mathbf{R}T$ , i.e.  $\pi_H(X) := X - \theta(X)T$ . The *Webster metric* is the semi-Riemannian (i.e. nondegenerate, of constant index) metric

$$g_\theta(X, Y) = (d\theta)(\pi_H X, J\pi_H Y) + \theta(X)\theta(Y), \quad X, Y \in T(M).$$

If  $(r, s)$  is the signature of the Levi form ( $r + s = n$ ) then  $g_\theta$  has signature  $(2r + 1, 2s)$ .

By a result of N. Tanaka, [24], and S. Webster, [25], for any nondegenerate *CR manifold*, on which a contact form  $\theta$  has been fixed, there is a unique linear connection  $\nabla$  (the *Tanaka-Webster connection* of  $(M, \theta)$ ) so that 1)  $H(M)$  is parallel with respect to  $\nabla$ , 2)  $\nabla J = 0$  and  $\nabla g_\theta = 0$ , 3)  $T_\nabla(Z, W) = 0$  and  $T_\nabla(Z, \bar{W}) = 2iL_\theta(Z, \bar{W})T$ , for any  $Z, W \in T_{1,0}(M)$ , and 4)  $\tau \circ J + J \circ \tau = 0$ . Here  $T_\nabla$  is the torsion tensor field of  $\nabla$  and  $\tau(X) := T_\nabla(T, X)$ ,  $X \in T(M)$  (the *pseudohermitian torsion* of  $\nabla$ ).

If  $\Omega \subset \mathbf{C}^{n+1}$  is a domain with smooth boundary, i.e. there is a  $\mathbf{R}$ -valued function  $\rho \in C^\infty(U)$ , for some open set  $U \subseteq \mathbf{C}^{n+1}$  with  $U \supset \bar{\Omega}$ , so that  $\Omega = \{z \in U : \rho(z) > 0\}$ ,  $\partial\Omega = \{z \in U : \rho(z) = 0\}$ , and  $\nabla\rho(z) \neq 0$  for any  $z \in \partial\Omega$ , then

$\partial\Omega$  admits a natural  $CR$  structure, recalled in some detail in section 4. The pullback  $\theta$  of  $\frac{i}{2}(\bar{\partial} - \partial)\rho$ , via  $j : \partial\Omega \subset \mathbf{C}^{n+1}$ , is a pseudohermitian structure on  $\partial\Omega$ . The bundle-theoretic recast of (13)–(14) in section 4 consists in observing that

$$T_{1,0}(M) = T_{1,0}(\mathbf{C}^{n+1}) \cap [T(M) \otimes \mathbf{C}], \quad M = \partial\Omega,$$

and any  $CR$  manifold obtained this way is said to be *embedded*. Here  $T_{1,0}(\mathbf{C}^{n+1})$  is the holomorphic tangent bundle over  $\mathbf{C}^{n+1}$ . A  $CR$  manifold is (locally) *embeddable* if there is a  $CR$  isomorphism of  $M$  (respectively of a neighborhood of each point of  $M$ ) onto some embedded  $CR$  manifold.

Let  $(M, T_{1,0}(M))$  be a nondegenerate  $CR$  manifold and  $\theta$  a contact form on  $M$ . A  $(0, q)$ -form on  $M$  is a complex  $q$ -form  $\eta$  so that  $T_{1,0}(M) \lrcorner \eta = 0$  and  $T \lrcorner \eta = 0$ . Let  $\Lambda^{0,q}(M) \rightarrow M$  be the bundle of all  $(0, q)$ -forms on  $M$ . The *tangential Cauchy-Riemann operator* is the first order differential operator

$$\bar{\partial}_M : \Gamma^\infty(\Lambda^{0,q}(M)) \rightarrow \Gamma^\infty(\Lambda^{0,q+1}(M)), \quad q \geq 0,$$

defined as follows. If  $\eta$  is a  $(0, q)$ -form then  $\bar{\partial}_M \eta$  is the unique  $(0, q+1)$ -form on  $M$  coinciding with  $d\eta$  on  $T_{0,1}(M) \otimes \cdots \otimes T_{0,1}(M)$  ( $q+1$  terms). Let  $\bar{\partial}_M^*$  be the (formal) adjoint of  $\bar{\partial}_M$  with respect to the  $L^2$  inner product

$$(\varphi, \psi) = \int_M L_\theta^*(\varphi, \bar{\psi}) \theta \wedge (d\theta)^n,$$

for any  $\varphi, \psi \in \Omega^{0,q}(M)$  (at least one of compact support). The *Kohn-Rossi laplacian* is

$$\square_M = \bar{\partial}_M \bar{\partial}_M^* + \bar{\partial}_M^* \bar{\partial}_M.$$

If  $f : M \rightarrow N$  is an isopseudohermitian transformation then

$$\square_M^f v = \square_N v, \quad v \in C^\infty(N), \quad (5)$$

where  $\square_M^f v := (\square_M v^{f^{-1}})^f$  and  $u^f := u \circ f^{-1}$ ,  $u \in C^\infty(M)$ .

Let  $M$  be a strictly pseudoconvex  $CR$  manifold and  $\theta$  a contact form with  $L_\theta$  positive definite. A smooth curve  $\gamma(t)$  in  $M$  satisfying the ODE

$$\left( \nabla_{d\gamma/dt} \frac{d\gamma}{dt} \right)_{\gamma(t)} = 2cT_{\gamma(t)}, \quad (6)$$

for some  $c \in \mathbf{R}$  and any value of the parameter  $t$  is a *parabolic geodesic* on  $M$ . Let  $x \in M$  and  $W \in H(M)_x$ . By standard theorems on ODEs, there is  $\delta > 0$  so that whenever  $g_{\theta,x}(W, W)^{1/2} < \delta$  the unique solution  $\gamma_{W,c}(t)$  to (6) of

initial data  $(x, W)$  may be uniquely continued to an interval containing  $t = 1$  and the map  $\Psi_x : B(0, \delta) \subset T_x(M) \rightarrow M$  given by  $\Psi_x(W + cT_x) := \gamma_{W,c}(1)$  (the *parabolic exponential map*) is a diffeomorphism of a sufficiently small neighborhood of  $0 \in T_x(M)$  onto a neighborhood of  $x \in M$ . The terminology is justified by the fact that  $\Psi_x$  maps any parabola  $t \mapsto tW + t^2cT_x$  in the tangent space onto  $\gamma_{W,c}$ .

Let now  $\{T_\alpha\}$  be a local orthonormal frame of  $T_{1,0}(M)$ , defined on a neighborhood  $U$  of  $x$  in  $M$ . It determines an isomorphism  $\lambda_x : T_x(M) \rightarrow H_n$  given by

$$\lambda_x(v) = (\theta^\alpha_x(v)e_\alpha, \theta_x(v)),$$

for any  $v \in T_x(M)$ . Here  $H_n = \mathbf{C}^n \times \mathbf{R}$  is the *Heisenberg group* (cf. e.g. [12], p. 434–435) and  $\{\theta^\alpha\}$  is the frame of  $T_{1,0}(M)^*$  determined by

$$\theta^\alpha(T_\beta) = \delta^\alpha_\beta, \quad \theta^\alpha(T_{\bar{\beta}}) = \theta^\alpha(T) = 0.$$

The resulting local coordinates  $(z, t) := \lambda_x \circ \Psi_x^{-1}$ , defined in some neighborhood of  $x$ , are the *pseudohermitian normal coordinates* at  $x$ , determined by  $\{T_\alpha\}$ . By Prop. 2.5 in [15], p. 313, these coordinates are also normal coordinates at  $x$  in the sense of G. B. Folland & E. M. Stein (cf. [12], p. 471–472). We shall need the following

**LEMMA 1.** *Let  $M$  be a nondegenerate CR manifold and  $\theta$  a contact form on  $M$ . Let  $\sigma : M \rightarrow M$  be a CR automorphism so that  $\sigma^*\theta = a(\sigma)\theta$  for some  $a(\sigma) \in \mathbf{R} \setminus \{0\}$ . Let  $\gamma_{W,c}(s)$  be the solution to  $\nabla_{d\gamma/dt}(d\gamma/dt) = 2cT \circ \gamma$  of initial data  $(\eta, W)$ ,  $\eta \in M$ ,  $W \in H(M)_\eta$ . Then  $\sigma \circ \gamma_{W,c} = \gamma_{W_\sigma, a(\sigma)c}$ , where  $W_\sigma := (d_\eta\sigma)W \in H(M)_{\sigma(\eta)}$ , i.e.  $\sigma \circ \gamma_{W,c}$  is the solution to  $\nabla_{d\gamma/dt}(d\gamma/dt) = 2ca(\sigma)T \circ \gamma$  of initial data  $(\sigma(\eta), W_\sigma)$ .*

**PROOF.** For each  $y \in M$  and  $X \in \mathcal{X}(M)$  consider

$$(\sigma_*X)_y := (d_{\sigma^{-1}(y)}\sigma)X_{\sigma^{-1}(y)}$$

(hence  $\sigma_* : \mathcal{X}(M) \approx \mathcal{X}(M)$ , an isomorphism) and set

$$\nabla_X^\sigma Y := (\sigma_*)^{-1}\nabla_{\sigma_*X}\sigma_*Y.$$

Then  $\nabla^\sigma\theta = 0$ . Using  $\sigma^*g_\theta = a(\sigma)g_\theta + [a(\sigma)^2 - a(\sigma)]\theta \otimes \theta$  one may show that  $\nabla^\sigma g_\theta = 0$ . Also, it is easy to check that  $\nabla^\sigma J = 0$ . Next  $\sigma_*T = a(\sigma)T$  so that  $T_{\nabla^\sigma}(Z, W) = 0$ ,  $T_{\nabla^\sigma}(Z, \bar{W}) = 2iL_\theta(Z, \bar{W})T$  and  $T_{\nabla^\sigma}(T, JX) + JT_{\nabla^\sigma}(T, X) = 0$ , for any  $Z, W \in T_{1,0}(M)$  and  $X \in T(M)$ . We may conclude that  $\nabla^\sigma = \nabla$ , the

Tanaka-Webster connection of  $(M, \theta)$ . Set  $\gamma := \gamma_{W,c}$  and  $\gamma_\sigma := \sigma \circ \gamma$ . Then  $\gamma_\sigma(0) = \sigma(\eta)$  and  $(d\gamma_\sigma/ds)(0) = W_\sigma$ . Finally

$$\nabla_{d\gamma_\sigma/ds} \frac{d\gamma_\sigma}{ds} = \sigma_* \nabla_{d\gamma/ds}^\sigma \frac{d\gamma}{ds} = \sigma_* \nabla_{d\gamma/ds} \frac{d\gamma}{ds} = \sigma_*(2cT \circ \gamma) = 2ca(\sigma)T \circ \gamma_\sigma,$$

hence  $\gamma_\sigma = \gamma_{W_\sigma, a(\sigma)c}$ , that is a pseudohermitian transformation  $\sigma$  maps the parabolic geodesic  $\gamma_{W,c}$  into the parabolic geodesic  $\gamma_{W_\sigma, a(\sigma)c}$ . Q.e.d..

We have specified the behaviour (5) of the Kohn-Rossi laplacian on functions, with respect to isopseudohermitian transformations. In general, if  $\varphi$  is a  $(0, q)$ -form and  $\sigma : M \rightarrow M$  a pseudohermitian transformation of a nondegenerate CR manifold then

$$\square_M(\sigma^*\varphi) = a(\sigma)\sigma^*\square_M\varphi. \quad (7)$$

Indeed, on one hand  $\sigma^*\bar{\partial}_M\varphi = \bar{\partial}_M\sigma^*\varphi$ , as it easily follows from the axioms defining  $\bar{\partial}_M$ . On the other hand,

$$\bar{\partial}_M^*\psi = (-1)^{q+1}(q+1)h^{\lambda\bar{\mu}}(\nabla_\lambda\psi_{\bar{\alpha}_1\dots\bar{\alpha}_q\bar{\mu}})\theta^{\bar{\alpha}_1} \wedge \dots \wedge \theta^{\bar{\alpha}_q}$$

for any  $(0, q+1)$ -form  $\psi$  on  $M$ , where covariant derivatives are meant with respect to the Tanaka-Webster connection of  $(M, \theta)$ . For instance, if  $\varphi$  is a  $(0, 1)$ -form

$$\bar{\partial}_M^*\varphi = -h^{\lambda\bar{\mu}}\nabla_\lambda\varphi_{\bar{\mu}}$$

hence

$$\bar{\partial}_M^*(\sigma^*\varphi) = -h^{\lambda\bar{\mu}}\{T_\lambda((g_\sigma)_{\bar{\mu}}^{\bar{\nu}})(\varphi_{\bar{\nu}} \circ \sigma) + (g_\sigma)_{\bar{\mu}}^{\bar{\nu}}(g_\sigma)_\lambda^\rho[T_\rho(\varphi_{\bar{\nu}}) \circ \sigma] - \Gamma_{\lambda\bar{\mu}}^{\bar{\nu}}(g_\sigma)_\rho^{\bar{\nu}}(\varphi_{\bar{\rho}} \circ \sigma)\}$$

and the identity

$$\Gamma_{\alpha\bar{\beta}}^{\bar{\mu}}(g_\sigma)_{\bar{\mu}}^{\bar{\nu}} = T_\alpha((g_\sigma)_{\bar{\beta}}^{\bar{\nu}}) + (g_\sigma)_\alpha^\mu(g_\sigma)_\beta^{\bar{\rho}}(\Gamma_{\mu\bar{\rho}}^{\bar{\nu}} \circ \sigma)$$

(a consequence of  $\nabla = \nabla^\sigma$ ) lead to

$$\bar{\partial}_M^*(\sigma^*\varphi) = a(\sigma)(\bar{\partial}_M^*\varphi) \circ \sigma.$$

Q.e.d.. Here  $\Gamma_{BC}^A$  denote the Christoffel symbols (of  $\nabla$  with respect to  $\{T_\alpha\}$ ) and  $\sigma_*T_\alpha = (g_\sigma)_\alpha^\beta T_\beta$ .

### 3. Complex Orbifolds

In this section we review the notion of complex orbifold (complex analytic  $V$ -manifold) and, given a complex orbifold  $X$ , we build an analogue of the



holomorphic tangent bundle (of a complex manifold) which turns out to be a complex vector bundle  $T_{1,0}(X)$  in the sense of J. Girbau & M. Nicolau, [13]. In particular (cf. Step 2 below) each fibre  $\pi^{-1}(p)$  of the projection  $\pi : T_{1,0}(X) \rightarrow X$  is shown to contain a natural vector space  $T_{1,0}(X)_p$  [coinciding with  $\pi^{-1}(p)$  when  $p$  is a regular point]. We show that the smooth functions  $f : X \rightarrow \mathbb{C}$  satisfying  $Z(\bar{f}) = 0$  for any section  $Z$  in  $T_{1,0}(X)$  are precisely those whose local expressions  $f \circ \varphi$  are holomorphic in  $\Omega$ , for each l.u.s.  $\{\Omega, G, \varphi\}$  of  $X$  (cf. 3) in Theorem 1). The weaker requirement that  $Z(\bar{f}) = 0$  only for those sections  $Z$  with  $Z_p \in T_{1,0}(X)_p$ ,  $p \in X$ , leads to the notion of a  $V$ -holomorphic function. Locally, i.e. on a fixed l.u.s.  $\{\Omega, G, \varphi\}$ , one deals with  $G$ -invariant  $C^1$  functions satisfying (11).  $V$ -holomorphic functions are holomorphic except along the singular locus and exhibit a particular behaviour at singular points  $x \in S$  (such that the isotropy group  $G_x$  acts on  $\mathbb{C}^n$  with fixed points): each  $V$ -holomorphic function in  $\Omega$  is holomorphic on a certain complex submanifold  $F_x$  passing through  $x$  (and there are complex local coordinates at  $x$  with respect to which  $F_x$  is an affine set in  $\mathbb{C}^n$ ), cf. b) in Theorem 2.

Let  $X$  be a Hausdorff space and  $U \subseteq X$  an open subset. A *local uniformizing system* (l.u.s.) of dimension  $n$  of  $X$  over  $U$  is a synthetic object  $\{\Omega, G, \varphi\}$  consisting of a domain  $\Omega \subseteq \mathbb{C}^n$ , a finite subgroup  $G \subset \text{Aut}(\Omega)$  of biholomorphisms of  $\Omega$  in itself, and a continuous map  $\varphi : \Omega \rightarrow U$  so that the induced map  $\varphi_G : \Omega/G \rightarrow U$  is a homeomorphism. An *injection* of  $\{\Omega, G, \varphi\}$  into  $\{\Omega', G', \varphi'\}$  is a  $C^\infty$  map  $\lambda : \Omega \rightarrow \Omega'$  so that  $\lambda$  is a biholomorphism of  $\Omega$  onto some open subset of  $\Omega'$  and  $\varphi' \circ \lambda = \varphi$ . The set  $U = \varphi(\Omega)$  is the *support* of the l.u.s.  $\{\Omega, G, \varphi\}$ .

Given a family  $\mathcal{F}$  of l.u.s.'s of dimension  $n$  of  $X$ , let  $\mathcal{H}$  be the family of all supports of all l.u.s.'s in  $\mathcal{F}$ . Then  $\mathcal{F}$  is a *defining family* for  $X$  if 1) for any  $\{\Omega, G, \varphi\}, \{\Omega', G', \varphi'\} \in \mathcal{F}$  of supports  $U, U'$ , if  $U \subseteq U'$  then there is an injection  $\lambda$  of  $\{\Omega, G, \varphi\}$  into  $\{\Omega', G', \varphi'\}$ , and 2)  $\mathcal{H}$  is a basis of open sets for the topology of  $X$ . Two defining families  $\mathcal{F}, \mathcal{F}'$  are *directly equivalent* if there is a third defining family  $\mathcal{F}''$  so that  $\mathcal{F} \cup \mathcal{F}' \subseteq \mathcal{F}''$ . Also,  $\mathcal{F}, \mathcal{F}'$  are *equivalent* if there is a set  $\{\mathcal{F}_1, \dots, \mathcal{F}_r\}$  of defining families so that  $\mathcal{F}_1 = \mathcal{F}, \mathcal{F}_r = \mathcal{F}'$ , and  $\mathcal{F}_i, \mathcal{F}_{i+1}$  are directly equivalent for each  $1 \leq i \leq r-1$ . A  *$n$ -dimensional complex orbifold* is a connected paracompact Hausdorff space  $X$  together with an equivalence class of defining families; as in ordinary complex manifold theory, it is customary to choose a defining family  $\mathcal{F}$  in the class and refer to  $(X, \mathcal{F})$  as a complex orbifold. Cf. I. Satake, [21], p. 261–262 (where complex orbifolds are referred to as complex analytic  $V$ -manifolds). Clearly, any complex orbifold, of complex dimension  $n$  as above, is a real  $2n$ -dimensional  $V$ -manifold (in the sense of [20], p. 359–360, or [3], p. 862–863).

Let  $(X, \mathcal{F})$  be a  $V$ -manifold. By a result in [13], given l.u.s.'s  $\{\Omega, G, \varphi\}$  and  $\{\Omega', G', \varphi'\}$ , of supports  $U, U'$  respectively, and given injections  $\lambda, \mu : \Omega \rightarrow \Omega'$ , if  $U \subseteq U'$  then there is a unique element  $\sigma'_1 \in G'$  so that  $\mu = \sigma'_1 \circ \lambda$ . As a corollary, with any injection  $\lambda : \Omega \rightarrow \Omega'$  one may associate a group monomorphism  $\eta : G \rightarrow G'$  so that  $\lambda \circ \sigma = \eta(\sigma) \circ \lambda$ , for any  $\sigma \in G$ . It is noteworthy that the existence of the monomorphism  $\eta$  is postulated in both [3] and the more recent [6] (and it is a merit of J. Girbau & M. Nicolau, [13], to have provided a remedy to this inadequacy). A point  $p \in X$  is *singular* if there is  $U \in \mathcal{H}$  with  $p \in U$  and there is a l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{F}$  over  $U$ , and an element  $x \in \Omega$  so that  $\varphi(x) = p$  and  $G_x \neq \{e\}$ . Here  $G_x := \{\sigma \in G : \sigma(x) = x\}$  is the isotropy group at  $x$  and  $e = 1_\Omega$ . By Prop. 1.5 in [13], p. 257, if  $p \in U'$ , where  $U' \in \mathcal{H}$ , and  $\{\Omega', G', \varphi'\}$  is a l.u.s. of support  $U'$  then  $G_x \approx G'_y$  (a group isomorphism) for any  $y \in \Omega'$  with  $\varphi'(y) = p$ , hence the notion of singular point of  $X$  is unambiguously defined. Set  $S = \{x \in \Omega : G_x \neq \{e\}\}$  (a closed subset of  $\Omega$ ). Then  $\Sigma := \bigcup_{\{\Omega, G, \varphi\} \in \mathcal{F}} \varphi(S)$  is the *singular locus* of  $X$  and  $X_{reg} := X \setminus \Sigma$  its *regular part*.  $X_{reg}$  is an ordinary  $C^\infty$  manifold.

Let  $E$  be a connected paracompact Hausdorff space and  $\pi : E \rightarrow X$  a continuous surjective map. Then  $(E, \pi, X)$  is a *vector bundle*, of standard fibre  $K^m$ ,  $K \in \{\mathbf{R}, \mathbf{C}\}$ , if the following requirements are fulfilled

- 1) for any l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{F}$  there is a continuous map  $\varphi_* : \Omega \times K^m \rightarrow E$  such that  $\pi \circ \varphi_* = \varphi \circ \pi_\Omega$ , where  $\pi_\Omega(x, \zeta) = x$  for any  $(x, \zeta) \in \Omega \times K^m$ . Moreover
- 2) for any injection  $\lambda$  of  $\{\Omega, G, \varphi\}$  into  $\{\Omega', G', \varphi'\}$  there is a  $C^\infty$  map  $g_\lambda : \Omega \rightarrow GL(m, K)$  such that  $g_e(x) = I_m$ , the unit  $m \times m$  matrix, for any  $x \in \Omega$  and
  - i)  $\{\Omega \times K^m, G_*, \varphi_*\}$  is a l.u.s. of dimension  $d(K)m + N$  of  $E$  over  $\pi^{-1}(U)$  (an open subset of  $E$ ), where  $G_* = \{\sigma_* : \sigma \in G\}$ , with  $\sigma_*(x, \zeta) := (\sigma(x), g_\sigma(x)\zeta)$  for any  $(x, \zeta) \in \Omega \times K^m$ , and  $d(K) = \dim_{\mathbf{R}} K$ ,  $N = \dim(X)$ ,
  - ii) the family of l.u.s.'s  $\{\Omega \times K^m, G_*, \varphi_*\}$ , obtained as  $\{\Omega, G, \varphi\}$  ranges over  $\mathcal{F}$ , is a defining family for  $E$ , thus organizing  $E$  as a  $(d(K)m + N)$ -dimensional  $V$ -manifold of class  $C^\infty$ ,
  - iii) the map  $\lambda_* : \Omega \times K^m \rightarrow \Omega' \times K^m$  given by  $\lambda_*(x, \zeta) = (\lambda(x), g_\lambda(x)\zeta)$ , is an injection of  $\{\Omega \times K^m, G_*, \varphi_*\}$  into  $\{\Omega' \times K^m, G'_*, \varphi'_*\}$ . Finally
- 3) for any pair of injections  $\Omega \xrightarrow{\lambda} \Omega' \xrightarrow{\mu} \Omega''$  one requests that

$$g_\mu(\lambda(x))g_\lambda(x) = g_{\mu \circ \lambda}(x),$$

for any  $x \in \Omega$ . Cf. [13], p. 258. We underline the slight discrepancy in terminology: for a vector bundle of standard fibre  $K^m$  the fibre  $\pi^{-1}(p)$  over a point  $p \in X$  is (isomorphic to)  $K^m$  if and only if  $p \in X_{reg}$  (and if  $p \in \Sigma$  then  $\pi^{-1}(p)$  has no natural vector space structure), cf. [13], p. 259.

A function  $f : X \rightarrow \mathbf{C}$  on a  $V$ -manifold  $(X, \mathcal{F})$  is *smooth* (of class  $C^\infty$ ) if  $f_\Omega := f \circ \varphi$  is  $C^\infty$  for any  $\{\Omega, G, \varphi\} \in \mathcal{F}$ , and  $\mathcal{E}(X)$  is the ring of all complex valued smooth functions on  $X$ . We shall prove the following

**THEOREM 1.** *For any complex orbifold  $(X, \mathcal{F})$ , of complex dimension  $n$ , there is a vector bundle  $(T_{1,0}(X), \pi, X)$  so that*

1) *for any  $p \in X$ , if  $p \in U \in \mathcal{H}$  and  $\{\Omega, G, \varphi\} \in \mathcal{F}$  is a l.u.s. over  $U$  then  $\pi^{-1}(x) \approx \mathbf{C}^n/G_x$  (a bijection) for any  $x \in \Omega$  with  $\varphi(x) = p$ .*

2)  *$X_{reg}$  is a complex manifold and  $T_{1,0}(X)|_{X_{reg}}$  its holomorphic tangent bundle. The singular locus of  $T_{1,0}(X)$  (as a  $4n$ -dimensional  $V$ -manifold) is contained in  $\pi^{-1}(\Sigma)$ .*

3) *For any section  $Z$  in  $T_{1,0}(X)$  (i.e. any continuous map  $Z : X \rightarrow T_{1,0}(X)$  so that  $Z(p) \in \pi^{-1}(p)$  for any  $p \in X$ ) and any  $f \in \mathcal{E}(X)$  there is a (naturally defined) function  $Z(f) : X \rightarrow \mathbf{C}$ ; if  $Z(\bar{f}) = 0$  for all sections  $Z$  then  $f_\Omega$  is holomorphic in  $\Omega$  for any l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{F}$ , and conversely.*

We organize the proof in several steps, as follows.

**STEP 1.** *The construction of  $T_{1,0}(X)$ .*

Define  $g_\lambda : \Omega \rightarrow GL(n, \mathbf{C})$  by setting

$$g_\lambda(x)\zeta = \zeta^k \frac{\partial(z^j \circ \lambda)}{\partial z^k}(x)e_j,$$

where  $(z^j)$  are the natural complex coordinates on  $\mathbf{C}^n$ , and  $\{e_j\}$  its canonical linear basis. Then  $G_* = \{\sigma_* : \sigma \in G\}$  acts on  $\Omega \times \mathbf{C}^n$  as a (finite) group of biholomorphisms. Set

$$\hat{T}_{1,0}(X) := \bigcup_{\{\Omega, G, \varphi\} \in \mathcal{F}} (\Omega \times \mathbf{C}^n)/G_*$$

(disjoint union). Then  $\hat{T}_{1,0}(X)$  is a Hausdorff space, in a natural manner. We define an equivalence relation  $\sim$  on  $\hat{T}_{1,0}(X)$  as follows. Let  $\hat{x}, \hat{y} \in \hat{T}_{1,0}(X)$ . If  $\hat{x}$  is the  $G_*$ -orbit  $orb_{G_*}(x, \zeta)$  of some  $(x, \zeta) \in \Omega \times \mathbf{C}^n$ , for some l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{F}$ , then we say that  $\hat{x} \sim \hat{y}$  if there is an injection  $\lambda : \Omega \rightarrow \Omega'$  to that

$$\hat{y} = orb_{G'_*}(\lambda(x), g_\lambda(x)\zeta).$$

If  $(\sigma(x), g_\sigma(x)\zeta) \in \hat{x}$  is another representative of  $\hat{x}$  then

$$\begin{aligned} orb_{G'_*}(\lambda(\sigma(x)), g_\lambda(\sigma(x))g_\sigma(x)\zeta) &= orb_{G'_*}(\eta(\sigma)\lambda(x), g_{\lambda \circ \sigma}(x)\zeta) \\ &= orb_{G'_*}[\eta(\sigma)_*(\lambda(x), g_\lambda(x)\zeta)] = orb_{G'_*}(\lambda(x), g_\lambda(x)\zeta), \end{aligned}$$

(where  $\eta : G \rightarrow G'$  is the group monomorphism associated with  $\lambda$ ) hence  $\hat{x} \sim \hat{y}$  is well defined. Clearly  $\sim$  is reflexive and transitive. The only issue which needs a bit of care is the symmetry property. Note that, for any injection  $\lambda : \Omega \rightarrow \Omega'$  the synthetic object  $\{\lambda(\Omega), \eta(G), \psi\}$ , where  $\psi = \varphi'|_{\lambda(\Omega)}$ , is a l.u.s. of support  $U = \varphi(\Omega)$ . Indeed  $\eta(G)$  acts on  $\lambda(\Omega)$  as a group of complex analytic transformations and  $\psi$  is  $\eta(G)$ -invariant. Moreover  $\lambda$  is equivariant hence it induces a homeomorphism  $\lambda_G : \Omega/G \approx \lambda(\Omega)/\eta(G)$ . The map  $\psi_G : \lambda(\Omega)/\eta(G) \rightarrow U'$  (induced by  $\psi$ ) correstricts to  $U$  and  $\psi_G \circ \lambda_G = \varphi_G$  hence  $\psi_G : \lambda(\Omega)/\eta(G) \approx U$  (a homeomorphism). Then  $\hat{x} \sim \hat{y}$  yields  $\hat{y} \sim \hat{x}$ , as we may think of  $(\lambda(x), g_\lambda(x)\zeta)$  as a representative of  $\hat{y}$  with respect to the l.u.s.  $\{\lambda(\Omega), \eta(G), \psi\}$  and rewrite  $\hat{x}$  as

$$\hat{x} = orb_{G_*}(\mu(\lambda(x)), g_\mu(\lambda(x))g_\lambda(x)\zeta),$$

where  $\mu$  is the injection  $(\lambda : \Omega \rightarrow \lambda(\Omega))^{-1}$ .

Next  $T_{1,0}(X) := \hat{T}_{1,0}(X)/\sim$  carries the quotient topology and

$$\pi : T_{1,0}(X) \rightarrow X, \quad \pi([orb_{G_*}(x, \zeta)]) := \varphi(x),$$

is continuous (square brackets indicate classes mod  $\sim$ , i.e.  $T_{1,0}(X) = \{[\hat{x}] : \hat{x} \in \hat{T}_{1,0}(X)\}$ ). The definition doesn't depend upon the choice of representatives; indeed, if  $\hat{x} = orb_{G_*}(x, \zeta)$  and  $\hat{y} \in [\hat{x}]$  then  $\hat{y} = orb_{G'_*}(\lambda(x), g_\lambda(x)\zeta)$  for some injection  $\lambda : \Omega \rightarrow \Omega'$ , and  $\varphi'(\lambda(x)) = \varphi(x)$ .

We wish to show that  $(T_{1,0}(X), \pi, X)$  is a vector bundle of standard fibre  $\mathbb{C}^n$ . To this end, let  $\varphi_* : \Omega \times \mathbb{C}^n \rightarrow T_{1,0}(X)$  be the (continuous) map given by  $\varphi_*(x, \zeta) = [orb_{G_*}(x, \zeta)]$ . Then  $\pi \circ \varphi_* = \varphi \circ \pi_\Omega$ . Also  $\varphi_*$  is  $G_*$ -invariant and the induced map  $(\varphi_*)_{G_*} : (\Omega \times \mathbb{C}^n)/G_* \rightarrow T_{1,0}(X)$  is injective. Finally, it is straightforward that  $\lambda_*(x, \zeta) = (\lambda(x), g_\lambda(x)\zeta)$  is an injection of  $\{\Omega \times \mathbb{C}^n, G_*, \varphi_*\}$  into  $\{\Omega' \times \mathbb{C}^n, G'_*, \varphi'_*\}$ .

Let  $p \in X$  be an arbitrary point (eventually singular) and  $U \in \mathcal{H}$  so that  $p \in U$ . Let  $\{\Omega, G, \varphi\} \in \mathcal{F}$  be a l.u.s. of support  $U$  and  $x \in \Omega$  so that  $\varphi(x) = p$ . Let  $\{\Omega_*, G_*, \varphi_*\}$  be a l.u.s. of  $T_{1,0}(X)$  corresponding to  $\{\Omega, G, \varphi\}$  as above, where  $\Omega_* = \Omega \times \mathbb{C}^n$ . Then  $\pi(\varphi_*(x, \zeta)) = \varphi(x) = p$  hence  $\varphi_*(x, \zeta) \in \pi^{-1}(p)$  for any  $\zeta \in \mathbb{C}^n$ . There is a natural action of  $G_x$  on  $\mathbb{C}^n$  given by  $(\sigma, \zeta) \mapsto g_\sigma(x)\zeta$ . We may consider the map

$$\mathbb{C}^n/G_x \rightarrow \pi^{-1}(p), \quad [\zeta] \mapsto \varphi_*(x, \zeta), \tag{8}$$

where  $[\zeta]$  is the  $G_x$ -orbit of  $\zeta$ . If  $[\zeta] = [\xi]$  then  $\xi = g_\sigma(x)\zeta$  for some  $\sigma \in G$  and

$$\varphi_*(x, \xi) = \varphi_*(\sigma(x), g_\sigma(x)\zeta) = \varphi_*(\sigma_*(x, \zeta)) = \varphi_*(x, \zeta),$$

i.e. (8) is well defined. To see that (8) is injective, let  $\varphi_*(x, \xi) = \varphi_*(x, \zeta)$ . As  $\{\Omega_*, G_*, \varphi_*\}$  is a l.u.s., there is  $\sigma \in G$  so that  $(x, \zeta) = \sigma_*(x, \xi)$  hence  $\sigma \in G_x$  and  $g_\sigma(x)\xi = \zeta$ , i.e.  $\xi, \zeta$  are  $G_x$ -equivalent. To see that (8) is surjective, let  $f \in \pi^{-1}(p)$ . As  $\varphi_*$  induces a bijection  $\Omega_*/G_* \approx \pi^{-1}(U)$  there is  $\tilde{f} = (y, \xi) \in \Omega_*$  so that  $\varphi_*(\tilde{f}) = f$ . Then

$$\varphi(x) = p = \pi(f) = \pi(\varphi_*(\tilde{f})) = \varphi(\pi_\Omega(\tilde{f})) = \varphi(y),$$

hence there is  $\sigma \in G$  so that  $y = \sigma(x)$ . At this point, set  $\tilde{f}_* := (\sigma^{-1})_*\tilde{f} \in \Omega_*$ . Then  $\varphi_*(\tilde{f}_*) = f$  and  $\tilde{f}_*$  is an element of the form  $(x, \zeta)$  with  $\zeta = g_{\sigma^{-1}}(\sigma(x))\xi \in [\xi]$ , so we are done.

STEP 2. The image  $T_{1,0}(X)_p$  of  $T_{1,0}(\Omega)_{G_x} := \{v \in T_{1,0}(\Omega)_x : (d_x\sigma)v = v, \forall \sigma \in G_x\}$  via the map  $T_{1,0}(\Omega) \approx \Omega \times \mathbb{C}^n \xrightarrow{\varphi_*} T_{1,0}(X)$  depends only on  $p$  (i.e. doesn't depend upon the choice of  $\{\Omega, G, \varphi\} \in \mathcal{F}$  and  $x \in \Omega$  with  $\varphi(x) = p$ ) and  $T_{1,0}(X)_p$  has a natural  $\mathbb{C}$ -vector space structure so that

$$\dim_{\mathbb{C}} T_{1,0}(X)_p = \dim_{\mathbb{C}} \bigcap_{\sigma \in G_x} \text{Ker}[g_\sigma(x) - I_n] \tag{9}$$

Let  $p \in U' \in \mathcal{H}$  and  $\{\Omega', G', \varphi'\} \in \mathcal{F}$  over  $U'$ , and consider  $x' \in \Omega'$  so that  $\varphi'(x') = p$ . As  $\mathcal{H}$  is a basis of open sets for the topology of  $X$ , let  $V \subseteq U \cap U'$  with  $p \in V \in \mathcal{H}$  and let  $\{D, H, \psi\} \in \mathcal{F}$  be a l.u.s. over  $V$ . Then there exist injections  $\lambda : D \rightarrow \Omega$  and  $\lambda' : D \rightarrow \Omega'$ . Let  $y \in D$  so that  $\psi(y) = p$ . We wish to show that  $\{\varphi_*(x, \zeta) : \zeta \in (\mathbb{C}^n)_{G_x}\}$  depends only on  $p$ , where

$$(\mathbb{C}^n)_{G_x} := \{\zeta \in \mathbb{C}^n : g_\sigma(x)\zeta = \zeta, \forall \sigma \in G_x\}.$$

As  $\varphi(\lambda(y)) = \varphi(x)$ , there is  $\sigma \in G$  with  $\lambda(y) = \sigma(x)$  hence

$$(\sigma(x), g_\lambda(y)\xi) = \sigma_*(x, g_{\sigma^{-1} \circ \lambda}(y)\xi)$$

and we have

$$\begin{aligned} \{\psi_*(y, \xi) : \xi \in (\mathbb{C}^n)_{H_y}\} &= \{\varphi_*(\lambda(y), g_\lambda(y)\xi) : \xi \in (\mathbb{C}^n)_{H_y}\} \\ &= \{\varphi_*(x, g_{\sigma^{-1} \circ \lambda}(y)\xi) : \xi \in (\mathbb{C}^n)_{H_y}\} \end{aligned}$$

At this point, it suffices to show that the map

$$(\mathbb{C}^n)_{H_y} \rightarrow (\mathbb{C}^n)_{G_x}, \quad \xi \mapsto g_{\sigma^{-1} \circ \lambda}(y)\xi, \tag{10}$$

is a well defined bijection.  $\sigma^{-1} \circ \lambda : D \rightarrow \Omega$  is an injection. Let  $\eta_\sigma : H \rightarrow G$  be the

corresponding group monomorphism. As  $\varphi(x) = p = \psi(y)$ ,  $\eta_\sigma : H_y \rightarrow G_x$  is an isomorphism (cf. Prop. 1.5 in [13], p. 257). Given  $\tau \in G_x$  let  $\rho \in H_y$  so that  $\eta_\sigma(\rho) = \tau$ . Then

$$\begin{aligned} g_\tau(x)g_{\sigma^{-1}\circ\lambda}(y)\xi &= g_{\tau\circ\sigma^{-1}\circ\lambda}(y)\xi = g_{\eta_\sigma(\rho)\circ\sigma^{-1}\circ\lambda}(y)\xi \\ &= g_{(\sigma^{-1}\circ\lambda)\circ\rho}(y)\xi = g_{\sigma^{-1}\circ\lambda}(y)g_\rho(y)\xi = g_{\sigma^{-1}\circ\lambda}(y)\xi, \end{aligned}$$

hence (10) is well defined. Also, a similar computation shows that

$$g_{\sigma^{-1}\circ\lambda}(y)(\mathbf{C}^n)_{H_y} = (\mathbf{C}^n)_{G_x}$$

and (10) is clearly injective. The same proof applies to  $\lambda'$ , so we are done.

Note that  $T_{1,0}(X)_p$  is a  $\mathbf{C}$ -linear space [with  $\alpha\varphi_*(x, \zeta) + \beta\varphi_*(x, \xi) := \varphi_*(x, \alpha\zeta + \beta\xi)$  (while the same operation on the image of the whole  $\mathbf{C}^n/G_x$  is not well defined)]. To see that  $X_{reg}$  is a complex manifold we need to review the differentiable structure of  $X_{reg}$  in some detail. Let  $\{D, H, \psi\} \in \mathcal{F}$  be a l.u.s. of  $X$  over  $V \in \mathcal{H}$ . Set  $\Omega = \psi^{-1}(U)$  where  $U := V \cap X_{reg}$ . Then  $\sigma \in H \Rightarrow \sigma(\Omega) = \Omega$ . [Indeed, let  $x \in \Omega$  and  $p := \psi(x)$ . Then  $p \in U$  and  $U \subseteq X \setminus \Sigma$  hence each point of  $\psi^{-1}(p)$  has a trivial isotropy group. Yet  $\sigma(x) \in \psi^{-1}(p)$  hence  $G_{\sigma(x)} = \{e\}$ . It follows that  $\psi(\sigma(x)) \in X \setminus \Sigma$  and  $\psi(\sigma(x)) = \psi(x) = p \in U$ , i.e.  $\sigma(x) \in \Omega$ , q.e.d.]. Set  $G := \{\sigma|_\Omega : \sigma \in H\}$  and  $\varphi := \psi|_\Omega$ . Then  $\{\Omega, G, \varphi\}$  is a l.u.s. of  $X_{reg}$  over  $U$ . As  $\{D, H, \psi\}$  runs over  $\mathcal{F}$ , the l.u.s.'s  $\{\Omega, G, \varphi\}$  form a defining family of  $X_{reg}$ , hence  $X_{reg}$  is a  $2n$ -dimensional  $V$ -manifold. To see that it actually possesses a  $C^\infty$  manifold structure note first that  $G$  acts freely on  $\Omega$ , as a mere consequence of definitions. Let  $y \in \Omega$ . Then  $\sigma(y) \neq y$  for any  $\sigma \in G \setminus \{e\}$  (as  $G_y = \{e\}$ ) hence there is an open neighborhood  $\Omega_\sigma$  of  $y$  in  $\Omega$  so that  $\sigma(\Omega_\sigma) \cap \Omega_\sigma = \emptyset$ . Set  $D_y := \bigcap_{\sigma \in G \setminus \{e\}} \Omega_\sigma$ . As  $G$  is finite  $D_y$  is open,  $y \in D_y \subseteq \Omega$ , and  $\sigma(D_y) \cap D_y = \emptyset$  for any  $\sigma \in G \setminus \{e\}$ , hence  $G$  acts on  $\Omega$  as a properly discontinuous group of  $C^\infty$  diffeomorphisms. Thus  $\Omega/G$  is a real  $2n$ -dimensional  $C^\infty$  manifold, and each  $U \in \mathcal{H}_{reg} := \{V \cap (X \setminus \Sigma) : V \in \mathcal{H}\}$  inherits a manifold structure via  $\varphi_G$ . Once  $\Omega/G$  is organized as a manifold, the projection  $\Omega \rightarrow \Omega/G$  is a local diffeomorphism and its local inverses form a  $C^\infty$  atlas  $\mathcal{F}_\Omega$ . Then  $\mathcal{F}_U := \{\chi \circ \varphi_G^{-1} : \chi \in \mathcal{F}_\Omega\}$  is an atlas on  $U$  and  $\mathcal{F}_{reg} := \bigcup_{U \in \mathcal{H}_{reg}} \mathcal{F}_U$  an atlas on  $X_{reg}$ . Also  $\varphi : \Omega \rightarrow U$  is differentiable (and  $\varphi_G$  a diffeomorphism). As  $\Omega$  and  $U$  are locally diffeomorphic there is a unique complex structure on  $U$  so that  $T_{1,0}(U)_{\varphi(x)} = (d_x\varphi)T_{1,0}(\Omega)_x$ , for any  $x \in \Omega$ . Let  $p \in X_{reg}$  and  $U, U' \in \mathcal{H}_{reg}$  so that  $p \in U \cap U'$ . We need to show that  $T_{1,0}(U)_p = T_{1,0}(U')_p$ , i.e. the complex structures  $\{T_{1,0}(U) : U \in \mathcal{H}_{reg}\}$  glue up to a globally defined complex structure on  $X_{reg}$ . To this end let  $V \in \mathcal{H}_{reg}$  so that  $p \in V \subseteq U \cap U'$  and  $\{D, H, \psi\}$  a l.u.s. of  $X_{reg}$  over  $V$ . Let  $\lambda : D \rightarrow \Omega$  and

$\lambda' : D \rightarrow \Omega'$  be injections and let  $y \in D$  so that  $\psi(y) = p$ . Set  $x := \lambda(y) \in \Omega$  and  $x' := \lambda'(y) \in \Omega'$ . Then

$$T_{1,0}(U)_p = (d_y\psi)T_{1,0}(D)_y = T_{1,0}(U')_p,$$

as both  $\lambda, \lambda'$  are holomorphic maps and  $\varphi \circ \lambda = \psi = \varphi' \circ \lambda'$ . So  $X_{reg}$  is a complex manifold, in a natural way. Next  $\pi^{-1}(X_{reg}) = T_{1,0}(X_{reg})$  because of the isomorphism

$$T_{1,0}(X)_p \rightarrow T_{1,0}(X_{reg})_p, \quad \varphi_*(x, \zeta) \mapsto (d_x\varphi)\zeta^j \frac{\partial}{\partial z^j} \Big|_x, \quad p \in U \in \mathcal{H}_{reg}.$$

If  $v$  is a singular point of  $T_{1,0}(X)$  with  $p := \pi(v)$ , there is  $U \in \mathcal{H}$  with  $p \in U$ , and there is a l.u.s.  $\{\Omega, G, \varphi\}$  over  $U$  so that  $(G_*)_{(x,\zeta)} \neq \{e_*\}$ , for some  $(x, \zeta) \in \Omega \times \mathbb{C}^n$ . That is  $\sigma_*(x, \zeta) = (x, \zeta)$  for some  $\sigma \in G \setminus \{e\}$ , hence  $\sigma(x) = x$ , i.e.  $G_x \neq \{e\}$ . It follows that  $p \in \Sigma$ , i.e. the singular locus of  $T_{1,0}(X)$  projects on  $\Sigma$ . Statement 2 in Theorem 1 is proved.

It remains that we prove 3. Let  $Z : X \rightarrow T_{1,0}(X)$  be a continuous map so that  $\pi \circ Z = 1_X$ . Let  $f \in \mathcal{E}(X)$  and  $p \in X$ . Let  $U \in \mathcal{H}$  so that  $p \in U$  and let  $\{\Omega, G, \varphi\} \in \mathcal{F}$  over  $U$ . Let  $x \in \Omega$  so that  $\varphi(x) = p$  and set

$$Z(f)_p := \sum_{j=1}^n \zeta^j \frac{\partial f_\Omega}{\partial z^j}(x),$$

where  $[\zeta] \in \mathbb{C}^n/G_x$  corresponds to  $Z_p \in \pi^{-1}(p)$  under the bijection  $\mathbb{C}^n/G_x \approx \pi^{-1}(p)$ .

STEP 3.  $Z(f)_p$  is well defined.

If  $[\xi] = [\zeta]$  then  $\xi = g_\sigma(x)\zeta$  for some  $\sigma \in G_x$  and then

$$\xi^j \frac{\partial f_\Omega}{\partial z^j}(x) = g_\sigma(x)^j_k \zeta^k \frac{\partial f_\Omega}{\partial z^j}(x) = \zeta^k \frac{\partial (f_\Omega \circ \sigma)}{\partial z^k}(x).$$

If another open neighborhood  $U' \in \mathcal{H}$  of  $p$  is used, let  $\{\Omega', G', \varphi'\}$  over  $U'$  and  $x' \in \Omega'$  with  $\varphi'(x') = p$ . Then, consider  $p \in V \subseteq U \cap U'$  and  $\{D, H, \psi\}$  over  $V$ , and two injections  $\lambda : D \rightarrow \Omega$ ,  $\lambda' : D \rightarrow \Omega'$ . Let  $y \in D$  with  $\psi(y) = p$ . Let  $[\zeta] \in \mathbb{C}^n/G_x$  and  $[\zeta'] \in \mathbb{C}^n/G_{x'}$  correspond to  $Z_p$ . If  $[\xi] \in \mathbb{C}^n/H_y$  corresponds to  $Z_p$  then

$$\begin{aligned} \varphi_*(x, \zeta) &= Z_p = \psi_*(y, \xi) = [\text{orb}_{H_*}(y, \xi)] \\ &= [\text{orb}_{G_*}(\lambda(y), g_\lambda(y)\zeta)] = \varphi_*(\lambda(y), g_\lambda(y)\zeta), \end{aligned}$$

hence there is  $\tau \in G$  so that

$$\tau_*(x, \zeta) = (\lambda(y), g_\lambda(y)\zeta),$$

i.e.  $\tau(x) = \lambda(y)$  and  $\zeta = g_{\tau^{-1}}(\tau(x))g_\lambda(y)\xi$ . As  $f_\Omega \circ \lambda = f_D$

$$\zeta^j \frac{\partial f_\Omega}{\partial z^j}(x) = g_{\tau^{-1}}(\tau(x))^j_k g_\lambda(y)^k_\ell \xi^\ell \frac{\partial f_\Omega}{\partial z^j}(x) = \frac{\partial (f_\Omega \circ \tau^{-1})}{\partial z^k}(\tau(x)) g_\lambda(y)^k_\ell \xi^\ell =$$

(as  $f_\Omega$  is  $G$ -invariant and  $\tau(x) = \lambda(y)$ )

$$= \frac{\partial (f_\Omega \circ \lambda)}{\partial z^\ell}(y) \xi^\ell = \xi^\ell \frac{\partial f_D}{\partial z^\ell}(y).$$

The same argument holds for  $\lambda'$ , hence

$$\zeta'^j \frac{\partial f_{\Omega'}}{\partial z^j}(x') = \zeta^j \frac{\partial f_\Omega}{\partial z^j}(x),$$

and Step 3 is proved. Let  $Z_p \in \pi^{-1}(p)$  correspond to  $[e_j] \in \mathbb{C}^n/G_x$ , with  $\varphi(x) = p$ . Then  $Z(\bar{f})_p = 0$  yields  $(\partial f_\Omega / \partial \bar{z}^j)(x) = 0$ , i.e.  $f \in \mathcal{O}(\Omega)$ . Theorem 1 is completely proved.

Throughout, if  $Y$  is a complex manifold,  $\mathcal{O}(Y)$  denotes the space of all holomorphic functions on  $Y$ . The last statement in Theorem 1 shows that the requirement  $Z(\bar{f}) = 0$  for all sections  $Z$  in  $T_{1,0}(X)$  is too restrictive for our purposes. In the sequel, we restrict ourselves to sections  $Z$  such that  $Z_p \in T_{1,0}(X)_p = \{\varphi_*(x, \zeta) : \zeta \in (\mathbb{C}^n)_{G_x}\}$ , as mentioned in the Introduction. Locally, we are led to a new notion, termed *V-holomorphic function*. Let  $\Omega \subseteq \mathbb{C}^n$  be a domain and  $G \subset \text{Aut}(\Omega)$  a finite group of biholomorphisms. A  $C^1$  function  $f : \Omega \rightarrow \mathbb{C}$  is called *V-holomorphic* if it is  $G$ -invariant and

$$\sum_{j=1}^n \bar{\zeta}^j \frac{\partial f}{\partial \bar{z}^j}(x) = 0 \tag{11}$$

for any  $x \in \Omega$  and any  $\zeta \in (\mathbb{C}^n)_{G_x}$ . Let  $\mathcal{O}_V(\Omega)$  be the space of all  $V$ -holomorphic functions in  $\Omega$ . Let  $\mathcal{O}_G(\Omega)$  consist of all  $G$ -invariant functions  $f \in \mathcal{O}(\Omega)$ . Then  $\mathcal{O}_G(\Omega) \subseteq \mathcal{O}_V(\Omega) \subseteq \mathcal{O}_G(\Omega \setminus S)$ . Note that the requirement (11) is empty at the points of  $C := \{x \in \Omega : (\mathbb{C}^n)_{G_x} = (0)\} \subseteq S$ . When  $n = 1$ ,  $\mathcal{O}_V(\Omega) \subseteq \mathcal{O}_G(\Omega \setminus C)$ .

The following result describes the local structure of  $S$  and the behaviour of  $V$ -holomorphic functions at the points of  $S \setminus C$ .



**THEOREM 2.** For any  $x \in S$  there is a neighborhood  $D$  of  $x$  in  $\Omega$  so that

- 1)  $D \cap S$  is a finite union of complex submanifolds of  $\Omega$  of dimension  $< n$ .
- 2) For any  $y \in D$ ,  $G_y$  is a subgroup of  $G_x$ .
- 3) If  $x \in S \setminus C$  there is a complex submanifold  $F_x \subset D$  passing through  $x$  so that a) for each  $G$ -invariant function  $f : \Omega \rightarrow \mathbf{C}$ ,  $f$  satisfies (11) at  $x$  if and only if the trace of  $f$  on  $F_x$  is holomorphic at  $x$ . Moreover b)  $F_x \subset \Omega \setminus C$  and if  $f \in \mathcal{O}_V(\Omega)$  then  $f|_{F_x} \in \mathcal{O}(F_x)$ .

**PROOF.** Let  $x \in S$  and set

$$w^j := \frac{1}{|G_x|} \sum_{\sigma \in G_x} g_{\sigma^{-1}}(x)_k^j (z^k \circ \sigma)$$

(for a set  $A$ ,  $|A|$  denotes its cardinality). Then  $(\partial w^j / \partial z^k)(x) = \delta_k^j$  hence there is an open neighborhood  $V$  of  $x$  in  $\Omega$  so that  $\Phi := (w^1, \dots, w^n) : V \rightarrow \mathbf{C}^n$  is a biholomorphism on its image. Let  $\sigma \in G \setminus G_x$ . Then  $\sigma(x) \neq x$  hence there is an open neighborhood  $\Omega_\sigma$  of  $x$  in  $V$  so that  $\sigma(\Omega_\sigma) \cap \Omega_\sigma = \emptyset$ . Set  $D_0 := \bigcap_{\sigma \in G \setminus G_x} \Omega_\sigma$  and  $D := \bigcap_{\sigma \in G_x} \sigma(D_0)$ . As  $G$  is finite  $D_0$ , and then  $D$ , are open. What we just built is an open neighborhood  $D$  of  $x$  in  $V$  so that i)  $\sigma(D) \subseteq D$  for any  $\sigma \in G_x$  and ii)  $\sigma(D) \cap D = \emptyset$  for any  $\sigma \in G \setminus G_x$ . The first statement in Theorem 2 is a complex analogue of Prop. 1.1 in [13], p. 251–252. For each  $\tau \in G_x$  set

$$F_\tau = \{y \in D : \tau(y) = y\}.$$

Note that  $w^j \circ \tau = g_\tau(x)_k^j \circ w^k$ . Consequently

$$\Phi(F_\tau) = \Phi(D) \cap \text{Ker}[g_\tau(x) - I_n],$$

hence  $F_\tau$  is a complex submanifold of  $D$ , of complex dimension  $< n$ . Next  $S \cap D = Y_x$ , where

$$Y_x := \bigcup_{\tau \in G_x \setminus \{e\}} F_\tau.$$

To prove the third statement note that  $\bar{\zeta}^j (\partial / \partial \bar{z}^j)_x \in T_x(F_\tau) \otimes_{\mathbf{R}} \mathbf{C}$  if and only if  $\zeta \in \text{Ker}[g_\tau(x) - I_n]$ . Indeed, if  $\rho_\sigma^j(z) := g_\sigma(x)_k^j w^k - w^j$ ,  $\sigma \in G_x$ , then

$$\left( \zeta^k \frac{\partial}{\partial z^k} \Big|_x \right) (\rho_\sigma^j) = \zeta^k [g_\sigma(x)_\ell^j - \delta_\ell^j] \frac{\partial w^\ell}{\partial z^k}(x) = \zeta^k g_\sigma(x)_k^j - \zeta^j.$$

Set

$$F_x := \bigcap_{\tau \in G_x \setminus \{e\}} F_\tau.$$

If  $x \in S \setminus C$  then  $F_x$  is a complex manifold of dimension  $\dim_{\mathbb{C}}(\mathbb{C}^n)_{G_x}$ . Let us prove (b). To this end, let  $y \in F_x$  and  $D' \subset V'$  as in the first part of the proof (got by replacing  $x$  by  $y$ ). Then  $F'_\sigma \supseteq D' \cap F_x \ni y$  for any  $\sigma \in G_y \setminus \{e\}$  hence (by a dimension argument)

$$T_{1,0}(F'_y)_y = T_{1,0}(F_x)_y \approx (\mathbb{C}^n)_{G_x} \neq (0). \quad (12)$$

Thus  $(\mathbb{C}^n)_{G_y} \approx T_{1,0}(F'_y)_y \neq (0)$ , a fact which yields  $y \in \Omega \setminus C$ , i.e.  $F_x \subset \Omega \setminus C$ . Finally, let  $f \in \mathcal{O}_V(\Omega)$ . Then  $f|_{F'_y}$  is holomorphic in  $y$  hence (by (12))  $f|_{F_x}$  is holomorphic in  $y$ . Q.e.d..

If  $(X, \mathcal{F})$  is a complex orbifold, a function  $f \in C^1(X)$  (i.e. a continuous function  $f : X \rightarrow \mathbb{C}$  so that  $f_\Omega \in C^1(\Omega)$  for each l.u.s.  $\{\Omega, G, \phi\} \in \mathcal{F}$ ) is *V-holomorphic* if each  $f_\Omega$  is *V-holomorphic* in  $\Omega$ . In the sequel, we shall study traces of such functions on smooth real hypersurfaces.

#### 4. Real Hypersurfaces

The purpose of this section is to discuss traces of *V-holomorphic* functions on real hypersurfaces  $M \subset \Omega$  preserved by  $G$ . This situation is realizable (by a result of B. Coupet & A. Sukhov, [9], as detailed below) when  $M$  is the boundary of a  $C^\omega$  bounded pseudoconvex domain. We are led to a generalization of the notion of *CR* function, i.e. the solutions to (16). These are *CR* everywhere except at singular points and exhibit, at a singular point  $x$ , the behaviour mentioned in the Introduction (i.e. are *CR* functions along a *CR* submanifold passing through  $x$ , of smaller *CR* dimension).

Let  $D \subset \mathbb{C}^n$  be a bounded pseudoconvex domain with real analytic boundary  $\partial D$  and  $H \subset \text{Aut}(D)$  a finite (hence compact) group of automorphisms of  $D$ . By a result of B. Coupet & A. Sukhov, [9], there is a domain  $\Omega$  so that  $\bar{D} \subset \Omega$  and each  $\tau \in H$  extends holomorphically on  $\Omega$  as an automorphism of  $\Omega$ . Let  $G_{\partial D}$  consist of all  $\tilde{\tau}|_{\partial D}$  for  $\tau \in H$  and some holomorphic extension  $\tilde{\tau} \in \text{Aut}(\Omega)$  of  $\tau$ . By the identity principle for holomorphic functions  $G_{\partial D}$  is a well defined finite group of *CR* automorphisms of  $\partial D$ . In general, let  $\Omega \subseteq \mathbb{C}^n$  be a domain,  $G \subset \text{Aut}(\Omega)$  a finite group of biholomorphisms, and  $M \subset \Omega$  an embedded real hypersurface such that  $\sigma(M) = M$  for each  $\sigma \in G$ . Set  $G_M := \{\sigma|_M : \sigma \in G\}$  and  $S_M := \{x \in M : (G_M)_x \neq \{1_M\}\}$ . Then  $S_M = M \cap S$ . For any  $x \in M$  there is a neighborhood  $U$  of  $x$  in  $\mathbb{C}^n$  and a function  $\rho \in C^\infty(U)$  such that  $M \cap U = \{z \in U : \rho(z) = 0\}$  and  $\nabla \rho(z) \neq 0$  for any  $z \in M$ . The Cauchy-Riemann equations in  $\mathbb{C}^n$

induce on  $M$  an overdetermined system of PDEs with smooth complex valued coefficients

$$\bar{L}_\alpha u(z) \equiv \sum_{j=1}^n a_\alpha^j(z) \frac{\partial u}{\partial \bar{z}^j} = 0, \quad 1 \leq \alpha \leq n-1, \quad (13)$$

(the *tangential Cauchy-Riemann equations*)  $z \in V$ , with  $V \subseteq M \cap U$  open. Here

$$\sum_{j=1}^n \bar{a}_\alpha^j(z) \frac{\partial \rho}{\partial z^j} = 0, \quad 1 \leq \alpha \leq n-1, \quad (14)$$

for any  $z \in V$ , i.e.  $L_\alpha$  are purely tangential first order differential operators (tangent vector fields on  $M$ ). Also

$$[L_\alpha, L_\beta] = C_{\alpha\beta}^\gamma(z) L_\gamma \quad (15)$$

for some complex valued  $C^\infty$  functions  $C_{\alpha\beta}^\gamma$  on  $V$ . At each point  $z \in V$  the  $L_{\alpha,z}$ 's span a complex  $(n-1)$ -dimensional subspace  $T_{1,0}(M)_z$  of the complexified tangent space  $T_z(M) \otimes_{\mathbb{R}} \mathbb{C}$ . The bundle  $T_{1,0}(M) \rightarrow M$  is the CR structure of  $M$ . A  $C^1$  function  $u : M \rightarrow \mathbb{C}$  is a CR function if  $\bar{Z}(u) = 0$  for any  $Z \in T_{1,0}(M)$ . Locally, a CR function is a solution of (13).  $G \subset \text{Aut}(\Omega)$  yields  $G_M \subset \text{Aut}_{CR}(M)$  hence

$$(d_x \tau) L_{\alpha,x} = \sum_{\beta=1}^{n-1} \tau_\alpha^\beta(x) L_{\beta,\tau(x)}, \quad x \in V,$$

for each  $\tau \in G_M$  and some (unique) system of  $C^\infty$  functions  $\tau_\alpha^\beta : V \rightarrow \mathbb{C}$ . For each  $\tau \in G_M$  let  $g_{M,\tau} : V \rightarrow GL(n-1, \mathbb{C})$  be given by  $g_{M,\tau}(x)\zeta = \tau_\beta^\alpha(x)\zeta^\beta e_\alpha$  for any  $\zeta \in \mathbb{C}^{n-1}$ . Set

$$(\mathbb{C}^{n-1})_{(G_M)_x} = \text{Ker}[g_{M,\tau}(x) - I_{n-1}]$$

and  $C_M = \{x \in M : (\mathbb{C}^{n-1})_{(G_M)_x} = (0)\} \subseteq S_M$ . We need the following

LEMMA 2. *The trace  $u = f|_M$  of any  $V$ -holomorphic function  $f \in \mathcal{O}_V(\Omega)$  satisfies*

$$\sum_{\alpha=1}^{n-1} \bar{\xi}^\alpha L_{\bar{\alpha},x} u = 0 \quad (16)$$

for any  $x \in V$  and any  $\xi \in (\mathbb{C}^{n-1})_{(G_M)_x}$ . In particular  $u$  is a CR function on  $M \setminus S_M$  (and if  $n = 2$  then  $u$  is CR on  $M \setminus C_M$ ).

PROOF. Let  $\zeta \in (\mathbf{C}^{n-1})_{(G_M)_x}$ ,  $x \in V$ , and set  $\zeta^j = a_\alpha^j(x)\xi^\alpha$ . Then

$$a_\alpha^j(x)g_\sigma(x)_j^k = \tau_\alpha^\beta(x)a_\beta^k(x)$$

yields  $\zeta \in (\mathbf{C}^n)_{G_x}$  hence

$$0 = \bar{\zeta}^j \frac{\partial f}{\partial \bar{z}^j}(x) = \bar{\xi}^\alpha L_{\bar{\alpha},x}u. \quad \text{Q.e.d..}$$

In view of the result in [18], it is an open problem whether the real analytic solutions to (16) extend to  $V$ -holomorphic functions on a neighborhood of  $M$  in  $\Omega$  (provided  $M \in C^\omega$ ).

**THEOREM 3.** *For any  $x \in S_M$  there is an open neighborhood  $D$  of  $x$  in  $\Omega$  such that  $S_M \cap D$  is a finite union of CR manifolds of CR dimension  $< n - 1$ . For any  $y \in V := M \cap D$ ,  $(G_M)_y$  is a subgroup of  $(G_M)_x$ . If  $x \in S_M \setminus C_M$  there is a CR manifold  $F_{M,x}$  such that a  $C^1$  function  $u : V \rightarrow \mathbf{C}$  satisfies (16) for any  $\xi \in (\mathbf{C}^{n-1})_{(G_M)_x}$  if and only if the trace of  $u$  on  $F_{M,x}$  is CR at  $x$ .*

The proof of Theorem 3 is similar to that of Theorem 2, so we only emphasize on the main steps. As  $x \in S_M \subseteq S$ , let  $D$  be a neighborhood of  $x$  in  $\Omega$  as in (the proof of) Theorem 2. By eventually shrinking  $D$  let  $(u^a)$  be local coordinates on  $V = M \cap D$  and set

$$v^a = \frac{1}{|G_x|} \sum_{\tau \in (G_M)_x} h_{\tau^{-1}}(x)_b^a (u^b \circ \tau), \quad 1 \leq a \leq 2n - 1,$$

where  $h_\tau(x) = [(\partial(u^a \circ \tau)/\partial u^b)(x)]$ . Then  $(\partial v^a/\partial u^b)(x) = \delta_b^a$  hence  $\phi = (v^1, \dots, v^{2n-1})$  is a  $C^\infty$  diffeomorphism of (a perhaps smaller open neighborhood of  $x$  in)  $V$  onto its image. Given  $\tau \in (G_M)_x \setminus \{1_M\}$  set  $F_{M,\tau} = \{y \in V : \tau(y) = y\}$ . Then  $\phi(F_{M,\tau}) = \phi(V) \cap \text{Ker}[h_\tau(x) - I_{2n-1}]$  hence  $F_{M,\tau}$  is a manifold (of dimension  $\dim_{\mathbf{R}} \text{Ker}[h_\tau(x) - I_{2n-1}] < 2n - 1$  if  $\tau \neq 1_M$ ) and  $S_M \cap V = \bigcup_{\tau \in (G_M)_x \setminus \{1_M\}} F_{M,\tau}$ . Note that  $F_{M,\tau} = M \cap F_\sigma$  for any  $\sigma \in G_x$  with  $\sigma|_M = \tau$ . Hence  $F_{M,\tau}$  is a CR submanifold of (the complex manifold)  $F_\sigma$ . If  $x \in S_M \setminus C_M \subseteq S \setminus C$  then set  $F_{M,x} = \bigcap_{\tau \in (G_M)_x \setminus \{1_M\}} F_{M,\tau}$ . Then  $F_{M,x} = M \cap F_x$  hence  $F_{M,x}$  is a CR submanifold of  $F_x$ . Let  $T_{1,0}(F_{M,x})$  be the CR structure induced from (the complex structure of)  $F_x$ . The inclusion  $F_{M,x} \subset M$  is a CR immersion (i.e. an immersion and a CR map) and  $\bar{\zeta}^\alpha L_{\bar{\alpha},x} \in T_{1,0}(F_{M,x})_x$  if and only if  $\zeta \in (\mathbf{C}^{n-1})_{(G_M)_x}$ . Q.e.d..

### 5. CR Orbifolds

The scope of this section is to introduce the class of CR orbifolds of arbitrary type  $(n, k)$  (containing the class of complex orbifolds,  $k = 0$ ). The CR structure of

a CR orbifold  $B$  and CR functions on  $B$  are discussed in Theorem 4. We consider an analogue  $\square_B$  of the Kohn-Rossi laplacian and state the problem of building a parametrix for  $\square_B$ , the local approach to which is dealt with in section 6 (the solution to the global problem is delegated to a further paper).

Let  $(B, \mathcal{A})$  be a  $(2n + k)$ -dimensional  $V$ -manifold, of class  $C^\infty$ . A CR structure on  $B$  is a family

$$T_{1,0}(B) = \{T_{1,0}(\Omega) : \{\Omega, G, \varphi\} \in \mathcal{A}\}$$

where each  $(\Omega, T_{1,0}(\Omega))$  is a CR manifold, of type  $(n, k)$ , i.e. of CR dimension  $n$  and CR codimension  $k$ , and each injection  $\lambda : \Omega \rightarrow \Omega'$  is a CR map. In particular,  $G \subset \text{Aut}_{CR}(\Omega)$  for any l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{A}$ . A pair  $(B, T_{1,0}(B))$  is a CR orbifold (of type  $(n, k)$ ). When  $k = 0$ ,  $B$  is a complex orbifold (of complex dimension  $n$ ). We shall deal mainly with CR orbifolds of CR codimension  $k = 1$ .

Let  $(B, \mathcal{A})$  be an  $N$ -dimensional  $V$ -manifold. A continuous map  $\Psi : B \rightarrow M$  into a  $C^\infty$  manifold  $M$  is an immersion if, for any  $\{\Omega, G, \varphi\} \in \mathcal{A}$ , the map  $\Psi_\Omega := \Psi \circ \varphi : \Omega \rightarrow M$  is a  $C^\infty$  immersion (i.e.  $\text{rank}[d_x \Psi_\Omega] = N \leq \dim(M)$ ,  $x \in \Omega$ ). To give an example of CR orbifold, assume that  $N = 2n + 1$  and let  $\Psi : B \rightarrow \mathbb{C}^{n+1}$  be an immersion. Let  $T_{1,0}(\Omega)$  be the CR structure on  $\Omega$  given by

$$(d_x \Psi_\Omega)T_{1,0}(\Omega)_x = T_{1,0}(\mathbb{C}^{n+1})_{\Psi(\varphi(x))} \cap [(d_x \Psi_\Omega)T_x(\Omega) \otimes_{\mathbb{R}} \mathbb{C}], \quad x \in \Omega. \quad (17)$$

Note that  $\Psi_{\Omega'} \circ \lambda = \Psi_\Omega$ , for any injection  $\lambda : \Omega \rightarrow \Omega'$ ; as a consequence, it is easy to see that  $\lambda$  must be a CR map, hence  $B$  together with the family of CR structures (17) is a CR orbifold.

Let  $(B, \mathcal{A}, T_{1,0}(B))$  be a CR orbifold, of CR codimension 1. A family  $\theta = \{\theta_\Omega : \{\Omega, G, \varphi\} \in \mathcal{A}\}$  is a pseudohermitian structure on  $B$  if each  $\theta_\Omega$  is a pseudohermitian structure on  $\Omega$  and  $\lambda^* \theta_{\Omega'} = a(\lambda) \theta_\Omega$  for any injection  $\lambda : \Omega \rightarrow \Omega'$  and some constant  $a(\lambda) \in \mathbb{R} \setminus \{0\}$ , i.e. injections are pseudohermitian maps. We shall need

**LEMMA 3.** *Let  $(B, \mathcal{A}, T_{1,0}(B))$  be a CR orbifold and two pseudohermitian structures  $\theta, \hat{\theta}$  on  $B$ . If each injection  $\lambda : \Omega \rightarrow \Omega'$  is isopseudohermitian, i.e.  $a(\lambda) \equiv 1$ , there is a unique  $C^\infty$  function  $u : B \rightarrow \mathbb{R} \setminus \{0\}$  so that  $\hat{\theta}_\Omega = u_\Omega \theta_\Omega$ , for any l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{A}$ .*

**PROOF.** Let  $u_\Omega : \Omega \rightarrow \mathbb{R} \setminus \{0\}$  be a  $C^\infty$  function satisfying  $\hat{\theta}_\Omega = u_\Omega \theta_\Omega$ . Next, consider an injection  $\lambda : \Omega \rightarrow \Omega'$ . The identities  $\lambda^* \theta_{\Omega'} = \theta_\Omega$  and  $\lambda^* \hat{\theta}_{\Omega'} = \hat{\theta}_\Omega$  lead to

$$u_{\Omega'} \circ \lambda = u_\Omega \quad (18)$$

In particular  $u_\Omega$  is  $G$ -invariant. Define  $u : B \rightarrow \mathbf{R} \setminus \{0\}$  as follows. Let  $p \in B$  and  $U \in \mathcal{H}$  so that  $p \in U$ . Let  $\{\Omega, G, \varphi\} \in \mathcal{A}$  be a l.u.s. of support  $U$ . Let  $x \in \Omega$  so that  $\varphi(x) = p$ . Finally, set  $u(p) := u_\Omega(x)$ . One needs to check that the definition of  $u(p)$  doesn't depend upon the various choices involved. Let  $U' \in \mathcal{H}$  so that  $p \in U'$ . Then there is  $V \in \mathcal{H}$  so that  $p \in V \subseteq U \cap U'$ . Let  $\{\Omega', G', \varphi'\}$  over  $U'$  and  $x' \in \Omega'$  so that  $\varphi'(x') = p$ . Let  $\{D, H, \psi\}$  be a l.u.s. of support  $V$  and consider two injections  $\lambda : D \rightarrow \Omega$  and  $\lambda' : D \rightarrow \Omega'$ . Let  $y \in D$  so that  $\psi(y) = p$ . From  $\varphi(x) = \psi(y) = \varphi(\lambda(y))$ , there is  $\sigma \in G$  so that

$$\lambda(y) = \sigma(x). \tag{19}$$

Similarly

$$\lambda'(y) = \sigma'(x'), \tag{20}$$

for some  $\sigma' \in G'$ . Finally, using (18)–(20), one may conduct the following calculation

$$\begin{aligned} u_{\Omega'}(x') &= u_{\Omega'}((\sigma')^{-1}\lambda'(y)) = u_{\Omega'}(\lambda'(y)) \\ &= u_D(y) = u_\Omega(\lambda(y)) = u_\Omega(\sigma(x)) = u_\Omega(x). \end{aligned} \tag{Q.e.d..}$$

A *Riemannian orbifold* is a  $V$ -manifold  $B$  together with a family  $g = \{g_\Omega : \{\Omega, G, \varphi\} \in \mathcal{A}\}$ , where  $g_\Omega$  is a Riemannian metric on  $\Omega$ , so that each injection  $\lambda : \Omega \rightarrow \Omega'$  is an isometry ( $\lambda^*g_{\Omega'} = g_\Omega$ ). Let  $(B, \mathcal{A}, T_{1,0}(B))$  be a *strictly pseudoconvex CR orbifold*, i.e. each  $(\Omega, T_{1,0}(\Omega))$  is a strictly pseudoconvex CR manifold. Let  $\theta$  be a pseudohermitian structure on  $B$ . Then each  $\theta_\Omega$  is a contact 1-form on  $\Omega$ . Let  $g_\Omega$  be the Webster metric of  $(\Omega, \theta_\Omega)$  and set  $g := \{g_\Omega : \{\Omega, G, \varphi\} \in \mathcal{A}\}$ . If each injection  $\lambda$  is isopseudohermitian then  $\lambda$  preserves the Webster metrics, hence  $(B, g)$  is a Riemannian orbifold. The following result is similar to Theorem 1.

**THEOREM 4.** *For any CR orbifold  $(B, \mathcal{A}, T_{1,0}(B))$ , of type  $(n, 1)$ , there is a vector bundle  $(E_{1,0}, \pi, B)$  so that for any  $p \in B$ , if  $p \in U \in \mathcal{H}$  and  $\{\Omega, G, \varphi\} \in \mathcal{A}$  is a l.u.s. over  $U$  then  $\pi^{-1}(p) \approx \mathbf{C}^n/G_x$  for any  $x \in \Omega$  with  $\varphi(x) = p$ .  $B_{reg}$  is a CR manifold (of type  $(n, 1)$ ) and  $E_{1,0}|_{B_{reg}}$  is its CR structure.  $T_{1,0}(B_{reg})$  is contained in  $(E_{1,0})_{reg}$ , the regular part of  $E_{1,0}$  as a  $V$ -manifold. The image  $T_{1,0}(B)_p \subseteq \pi^{-1}(p)$  of  $T_{1,0}(\Omega)_{G_x}$  via the map  $T_{1,0}(\Omega) \approx \Omega \times \mathbf{C}^n \rightarrow E_{1,0}$  depends only on  $p = \varphi(x)$ .  $T_{1,0}(B)_p$  is a  $\mathbf{C}$ -vector space of dimension  $\dim_{\mathbf{C}}(\mathbf{C}^n)_{G_x}$ . If  $Z$  is a section in  $E_{1,0}$  and  $f \in \mathcal{E}(B)$  there is a (naturally defined) function  $Z(f) : B \rightarrow \mathbf{C}$ . If  $Z(\bar{f}) = 0$  for any  $Z$  then  $f_\Omega = f \circ \varphi$  is a CR function on  $\Omega$ , for any  $\{\Omega, G, \varphi\} \in \mathcal{A}$ , and conversely.*

The bundle  $E_{1,0}$  is recovered from the transition functions  $g_\lambda(x) = [\lambda_\beta^\alpha(x)]$ , where  $(d_x\lambda)L_{\alpha,x} = \lambda_\alpha^\beta(x)L'_{\beta,\lambda(x)}$ ,  $x \in \Omega$  (we assume w.l.o.g. that a frame  $\{L_\alpha\}$  of  $T_{1,0}(\Omega)$ , defined on the whole of  $\Omega$ , is prescribed on each  $\Omega$ ). We omit the details.

Let  $B$  be a  $V$ -manifold. A linear map  $D : \mathcal{E}(B) \rightarrow \mathcal{E}(B)$  is a *differential operator (of order  $k$ )* if for any l.u.s.  $\{\Omega, G, \varphi\} \in \mathcal{A}$  there is a differential operator  $D_\Omega$  of order  $k$  on  $\Omega$  so that  $(Du)_\Omega = D_\Omega u_\Omega$  for any  $u \in \mathcal{E}(B)$ . We say  $D$  is *elliptic* (respectively *subelliptic (of order  $\varepsilon$ )*) if  $D_\Omega$  is elliptic (respectively subelliptic of order  $\varepsilon$ , (cf. [11], p. 373)) for each l.u.s.  $\{\Omega, G, \varphi\}$ .

Let  $(B, T_{1,0}(B))$  be a nondegenerate CR orbifold,  $\theta = \{\theta_\Omega\}$  a fixed pseudohermitian structure on  $B$ , and  $\square_\Omega$  the Kohn-Rossi laplacian of  $(\Omega, \theta_\Omega)$ , cf. section 2. If each injection is isopseudohermitian, we may build a differential operator  $\square_B : \mathcal{E}(B) \rightarrow \mathcal{E}(B)$  by setting

$$(\square_B u)_\Omega = \square_\Omega u_\Omega$$

for any  $u \in \mathcal{E}(B)$ . Then  $\square_B u$  is a well defined element of  $\mathcal{E}(B)$  if the functions  $f_\Omega = \square_\Omega u_\Omega$  satisfy  $f_{\Omega'} \circ \lambda = f_\Omega$  for any injection  $\lambda : \Omega \rightarrow \Omega'$ . This may be seen as follows. By applying (5) we get  $\square_\Omega^\lambda = \square_{\lambda(\Omega)}$  or

$$(\square_\Omega(v \circ \lambda)) \circ \lambda^{-1} = \square_{\lambda(\Omega)} v,$$

for any  $v \in C^\infty(\lambda(\Omega))$ . In particular, let us consider the functions

$$v = u_{\Omega'}|_{\lambda(\Omega)} \in C^\infty(\lambda(\Omega)).$$

Then

$$\square_\Omega(u_\Omega|_{\lambda(\Omega)}) \circ \lambda \circ \lambda^{-1} = \square_{\lambda(\Omega)}(u_{\Omega'}|_{\lambda(\Omega)})$$

may be written as

$$\square_\Omega u_\Omega = (\square_{\Omega'} u_{\Omega'}) \circ \lambda.$$

Q.e.d.. Let  $T_\Omega$  be the characteristic direction of  $(\Omega, \theta_\Omega)$ . We define a differential operator  $T : \mathcal{E}(B) \rightarrow \mathcal{E}(B)$  by setting  $(Tu)_\Omega = T_\Omega u_\Omega$  for any  $u \in \mathcal{E}(\Omega)$ . Again, the functions  $T_\Omega u_\Omega$  give rise to a well defined element  $Tu$  of  $\mathcal{E}(B)$  provided that each injection  $\lambda$  is isopseudohermitian; indeed, if this is the case then  $(d_x\lambda)T_{\Omega,x} = T_{\Omega',\lambda(x)}$  for any  $x \in \Omega$ , and one may perform the calculation

$$T_{\Omega',\lambda(x)}(u_{\Omega'}) = [(d_x\lambda)T_{\Omega,x}](u_{\Omega'}) = T_{\Omega,x}(u_{\Omega'} \circ \lambda) = T_{\Omega,x}(u_\Omega).$$

Q.e.d.. Finally, let  $(B, T_{1,0}(B))$  be a strictly pseudoconvex CR orbifold and  $\theta = \{\theta_\Omega\}$  a pseudohermitian structure on  $B$  so that each Levi form  $L_{\theta_\Omega}$  is positive definite, and each injection is isopseudohermitian. Consider the second

order differential operator  $\Delta_B : \mathcal{E}(B) \rightarrow \mathcal{E}(B)$  given by  $\Delta_B u = \square_B u - inT(u)$  for any  $u \in B$ . Then  $\Delta_B$  is a subelliptic operator of order  $1/2$  on  $B$ . J. Girbau & M. Nicolau have developed (cf. [13]) a pseudo-differential calculus on  $V$ -manifolds (inverting a given elliptic differential operator up to infinitely smoothing operators). The same problem for subelliptic operators on  $V$ -manifolds, e.g. for  $\Delta_B$  on a  $CR$  orbifold, is not solved (presumably, one needs to adapt the methods in [17]). Also, see [12], p. 493–498, for a parametrix and the regularity of  $\square_M$  for an ordinary strictly pseudoconvex  $CR$  manifold  $M$ . The problem of building a parametrix for  $\square_B$  on a strictly pseudoconvex  $CR$  orbifold  $B$  is open. In the next section we solve the local problem.

**6. A Parametrix for  $\square_\Omega$**

Let  $\Omega \subset \mathbf{R}^{2n+1}$  be a domain and  $T_{1,0}(\Omega)$  a  $G$ -invariant strictly pseudoconvex  $CR$  structure on  $\Omega$ , for some finite group of  $CR$  automorphisms  $G \subset Aut_{CR}(\Omega)$ . Let  $\theta$  be a pseudohermitian structure on  $\Omega$  so that the corresponding Levi form  $L_\theta$  be positive definite and  $\sigma^*\theta = a(\sigma)\theta$ , for any  $\sigma \in G$  and some  $a(\sigma) \in (0, +\infty)$ . Let  $\{T_\alpha\}$  be an orthonormal ( $L_\theta(T_\alpha, T_{\bar{\beta}}) = \delta_{\alpha\beta}$ ) frame of  $T_{1,0}(\Omega)$ , defined everywhere in  $\Omega$ . Let  $(z, t) = \Theta_x : V_x \rightarrow \mathbf{H}_n$  be the pseudohermitian normal coordinates at  $x \in \Omega$ , determined by  $\{T_\alpha\}$  as in section 2, and set

$$D := \bigcup_{x \in \Omega} \{x\} \times V_x,$$

a neighborhood of the diagonal in  $\Omega \times \Omega$ . Next, we set  $\Theta(x, y) := \Theta_x(y)$  and  $\rho(x, y) := |\Theta(x, y)|$ , for any  $(x, y) \in D$ . Here  $|(z, t)| = (\|z\|^4 + t^2)^{1/4}$  is the Heisenberg norm of  $(z, t) \in \mathbf{H}_n$ .

A function  $K(x, y)$  on  $\Omega \times \Omega$  is a kernel of type  $\lambda$  ( $\lambda > 0$ ) if for any  $m \in \mathbf{Z}$ ,  $m > 0$ , one may write  $K(x, y)$  as

$$K(x, y) = \sum_{i=1}^N a_i(x)K_i(x, y)b_i(y) + E_m(x, y) \tag{21}$$

where  $N \geq 1$  and 1)  $E_m \in C_0^m(\Omega \times \Omega)$ , 2)  $a_i, b_i \in C_0^\infty(\Omega)$ ,  $1 \leq i \leq N$ , and 3)  $K_i$  is  $C^\infty$  away from the diagonal and is supported in  $\{(x, y) \in D : \rho(x, y) \leq 1\}$  and  $K_i(x, y) = k_i(\Theta(y, x))$  for  $\rho(x, y)$  sufficiently small, where  $k_i$  is homogeneous of degree  $\lambda_i := \lambda - 2n - 2 + \mu_i$ , i.e.

$$k_i(\delta_r(z, t)) = r^{\lambda_i}k_i(z, t), \quad r > 0, (z, t) \in \mathbf{H}_n,$$



for some  $\mu_i \geq 0$ . Also  $\delta_r(z, t) = (rz, r^2t)$  is the (parabolic) *dilation* of factor  $r > 0$ . Next

$$(Af)(x) = \int_{\Omega} K(x, y)f(y) dy$$

is an *operator of type  $\lambda$*  ( $\lambda > 0$ ) if  $K(x, y)$  is a kernel of type  $\lambda$ . Here  $dy$  is short for  $\omega(y) := (\theta \wedge (d\theta)^n)(y)$ .

Set  $X_{\alpha} := T_{\alpha} + T_{\bar{\alpha}}$  and  $Y_{\alpha} := i(T_{\bar{\alpha}} - T_{\alpha})$  and  $\{X_j : 1 \leq j \leq 2n\} := \{X_{\alpha}, Y_{\alpha}\}$ , where  $X_{\alpha+n} = Y_{\alpha}$ . Also, set

$$\mathcal{B}_k = \{X_{j_1} \cdots X_{j_{\ell}} : 1 \leq j_s \leq 2n, 1 \leq s \leq \ell, 1 \leq \ell \leq k\}$$

and let  $\mathcal{A}_k$  be the span over  $\mathbb{C}$  of  $\mathcal{B}_k \cup \{I\}$ , where  $I$  is the identity. The *Folland-Stein spaces* are  $S_k^p(\Omega) = \{f \in L^p(\Omega) : Lf \in L^p(\Omega), \forall L \in \mathcal{A}_k\}$  where  $Lf$  is intended in distributional sense. The Folland-Stein spaces are Banach spaces under the norms  $\|f\|_{p,k} = \|f\|_p + \sum_{L \in \mathcal{A}_k} \|Lf\|_p$ . An important feature of the operators of type  $\lambda = m \in \{1, 2, \dots\}$  is that they are bounded operators from  $S_k^p(\Omega)$  to  $S_{k+m}^p(\Omega)$  (and in this sense smoothing) for  $k \in \{0, 1, 2, \dots\}$  and  $1 < p < \infty$  (cf. Theor. 15.19 in [12], p. 491). We shall prove the following result

**THEOREM 5.** *Let  $W_0$  be a  $G$ -invariant compact subset of  $\Omega$ . For each  $0 < q < n$  there is an operator  $A_{q,\Omega} : \Gamma_0^{\infty}(\Lambda^{0,q}(\Omega)) \rightarrow \Gamma_0^{\infty}(\Lambda^{0,q}(\Omega))$ , of type 2, so that 1)  $A_{q,\Omega} \circ \square_{\Omega} - I$  and  $\square_{\Omega} \circ A_{q,\Omega} - I$  are operators of type 1 on the  $G$ -invariant  $C^{\infty}$  forms of support contained in  $W_0$ , and 2)  $A_{q,\Omega}$  maps  $G$ -invariant forms in  $G$ -invariant forms.*

A  $(0, q)$ -form  $\varphi$  on  $\Omega$  may be written locally  $\varphi = \varphi_{\bar{I}} \theta^{\bar{I}}$  where  $I = (\alpha_1, \dots, \alpha_n)$  is a multi-index and  $\theta^{\bar{I}} = \theta^{\bar{\alpha}_1} \wedge \cdots \wedge \theta^{\bar{\alpha}_n}$ . Since

$$(\sigma^* \theta^{\alpha})_x = g_{\sigma}(x)_{\beta}^{\alpha} \theta_x^{\beta}, \quad x \in \Omega,$$

if  $\varphi$  is  $G$ -invariant (i.e.  $\sigma^* \varphi = \varphi$  for any  $\sigma \in G$ ) then

$$\begin{aligned} \varphi_{\bar{I}}(x) &= g_{\sigma}(x)_{\bar{J}}^{\bar{I}} \varphi_{\bar{J}}(\sigma(x)), \quad x \in \Omega, \sigma \in G, \\ g_{\sigma}(x)_{\bar{J}}^{\bar{I}} &:= g_{\sigma}(x)_{\bar{\alpha}_1}^{\bar{\beta}_1} \cdots g_{\sigma}(x)_{\bar{\alpha}_n}^{\bar{\beta}_n}, \quad J = (\beta_1, \dots, \beta_n). \end{aligned}$$

By Prop. 16.5 in [12], p. 496, for any  $1 \leq q \leq n - 1$  we may build an operator  $A_q$  of type 2 so that  $I - \square_{\Omega} A_q$  and  $I - A_q \square_{\Omega}$  are operators of type 1 on forms  $\varphi \in \Gamma_0^{\infty}(\Lambda^{0,q}(\Omega))$  of support  $\subset W_0$ . Assuming this is done, set

$$A_{q,\sigma} \varphi := \sigma^* A_q(\sigma^{-1})^* \varphi, \quad A_{q,\Omega} := \frac{1}{|G|} \sum_{\sigma \in G} A_{q,\sigma}.$$

From now on, for the sake of simplicity, we drop the index  $q$ . If  $\varphi$  is  $G$ -invariant then

$$\tau^* A_\sigma \varphi = (\sigma\tau)^* A(\sigma^{-1})^* \varphi = (\sigma\tau)^* A((\sigma\tau)^{-1})^* \varphi,$$

i.e.

$$\tau^*(A_\sigma \varphi) = A_{\sigma\tau} \varphi.$$

Therefore

$$\tau^* A_\Omega \varphi = \frac{1}{|G|} \sum_{\sigma \in G} \tau^* A_\sigma \varphi = \frac{1}{|G|} \sum_{\sigma \in G} A_{\sigma\tau} \varphi = A_\Omega \varphi,$$

i.e.  $A_\Omega$  maps  $G$ -invariant forms in  $G$ -invariant forms.

For each  $\xi \in \Omega$  let  $\delta(\xi) > 0$  be fixed so that  $\Psi_\xi : B(0, \delta(\xi)) \subset T_\xi(\Omega) \rightarrow \Omega$  is well defined and a diffeomorphism on its image  $V_\xi = \Psi_\xi(B(0, \delta(\xi)))$ . Next, fix a number

$$0 < \delta_G(\xi) \leq \min \left( \left\{ \frac{\delta(\sigma(\xi))}{\sqrt{a(\sigma)^2 + a(\sigma)}} : \sigma \in G \right\} \cup \{\delta(\xi)\} \right)$$

and set

$$V_G(\xi) := \Psi_\xi(B(0, \delta_G(\xi))) \subseteq V_\xi \subset \Omega.$$

LEMMA 4.  $\sigma[V_G(\xi)] \subseteq V_{\sigma(\xi)}$ .

PROOF. Let  $\eta \in V_G(\xi) \subset V_\xi$ , i.e. there is  $W + cT_\xi \in B(0, \delta_G(\xi))$  so that  $W \in H(\Omega)_\xi$  and  $\eta = \Psi_\xi(W + cT_\xi) = \gamma_{W,c}(1)$ . Thus (by Lemma 1 in section 2)  $\sigma(\eta) = (\sigma \circ \gamma_{W,c})(1) = \gamma_{W_\sigma, a(\sigma)c}(1)$ . On the other hand

$$\begin{aligned} \|\gamma_{W_\sigma, a(\sigma)c}(1)\|^2 &= \|W_\sigma\|^2 + a(\sigma)^2 c^2 \\ &= a(\sigma) \|W\|^2 + a(\sigma)^2 c^2 < [a(\sigma) + a(\sigma)^2] \delta_G(\xi)^2 \leq \delta(\sigma(\xi))^2, \end{aligned}$$

hence  $\gamma_{W_\sigma, a(\sigma)c}(1) \in V_{\sigma(\xi)}$ .

Q.e.d..

Set

$$D_G := \bigcup_{\xi \in \Omega} \{\xi\} \times V_G(\xi).$$

Let us go back to the construction of  $A$ . Consider

$$A\varphi(\xi) = \left( \int_{\Omega} K(\xi, \eta) \varphi_{\bar{j}}(\eta) d\eta \right) \theta_{\xi}^{\bar{j}},$$

where  $K$  is the kernel of type 2

$$K(\xi, \eta) = \psi(\xi, \eta)\Phi_{n-2q}(\Theta(\eta, \xi)).$$

Here  $\psi(\xi, \eta)$  is a  $C_0^\infty$  function on  $\Omega \times \Omega$ , supported in

$$\{(\xi, \eta) \in D_G : \rho(\xi, \eta) \leq r\},$$

where

$$r := \min(\{a(\sigma)^{1/2} : \sigma \in G\} \cup \{1\}),$$

and so that  $\psi(\xi, \eta) = \psi(\eta, \xi)$  and  $\psi(\xi, \eta) = 1$  in a neighborhood  $\mathcal{N}$  of the diagonal  $\Delta$  of  $W_0 \times W_0$  ( $\Delta \subset \mathcal{N} \subseteq \{(\xi, \eta) \in D : \rho(\xi, \eta) < r\}$ ). Also  $\Phi_\alpha$  is the fundamental solution ( $\mathcal{S}_\alpha \Phi_\alpha = \delta$ ) to

$$\mathcal{S}_\alpha = - \sum_{j=1}^n L_j L_j + i(\alpha - n) \frac{\partial}{\partial t}, \tag{22}$$

(the Folland-Stein operators) where

$$L_j := \frac{\partial}{\partial z^j} + i\bar{z}^j \frac{\partial}{\partial t}$$

(the Lewy operators) i.e.

$$\Phi_\alpha = b_\alpha (\|z\|^2 - it)^{-(n+\alpha)/2} (\|z\|^2 + it)^{-(n-\alpha)/2}, \tag{23}$$

for any  $\alpha \in \mathbb{C} \setminus \{\pm n, \pm(n+2), \pm(n+4), \dots\}$ , where

$$b_\alpha = \frac{\Gamma((n+\alpha)/2)\Gamma((n-\alpha)/2)}{2^{2-2n}\pi^{n+1}}.$$

Then

$$A_\sigma \varphi(\xi) = \left( \int K(\sigma(\xi), \eta) ((\sigma^{-1})^* \varphi)_{\bar{I}}(\eta) d\eta \right) \theta_{\sigma(\xi)}^{\bar{I}} \circ (d_\xi \sigma). \tag{24}$$

By  $\sigma^* \omega = a(\sigma)^{2n+1} \omega$  and a change of coordinates  $\eta' = \sigma(\eta)$  in (24) we get

$$A_\sigma \varphi(\xi) = a(\sigma)^{2n+1} \left( \int g_\sigma(\xi)_{\bar{J}}^{\bar{I}} K(\sigma(\xi), \sigma(\eta)) g_{\sigma^{-1}(\sigma(\eta))}^{\bar{I}} \varphi_{\bar{L}}(\eta) d\eta \right) \theta_\xi^{\bar{J}}.$$

**LEMMA 5.** For any  $(\xi, \eta) \in D_G$

$$\Theta(\sigma(\xi), \sigma(\eta)) = (g_\sigma(\xi)_\alpha^\beta z^\alpha(\eta) e_\beta, a(\sigma)t(\eta)),$$

where  $(z, t) = \Theta_\xi = \lambda_\xi \circ \Psi_\xi^{-1}$  are the pseudohermitian normal coordinates centered at  $\xi$ .

PROOF. As  $(\xi, \eta) \in D_G$  we have  $\eta \in V_G(\xi)$  hence (by Lemma 4)  $\sigma(\eta) \in \sigma[V_G(\xi)] \subseteq V_{\sigma(\xi)}$  and then

$$\Theta(\sigma(\xi), \sigma(\eta)) = \Theta_{\sigma(\xi)}(\sigma(\eta)) = \lambda_{\sigma(\xi)} \circ \Psi_{\sigma(\xi)}^{-1}(\sigma(\eta))$$

makes sense. As  $\eta \in V_G(\xi) \subseteq V_\xi$ , set  $W := z^\alpha(\eta)T_{\alpha, \eta} + z^{\bar{\alpha}}(\eta)T_{\bar{\alpha}, \eta}$  and  $c := t(\eta)$ . Then

$$\begin{aligned} \Psi_{\sigma(\xi)}(W_\sigma + ca(\sigma)T_{\sigma(\eta)}) &= \gamma_{W_\sigma, ca(\sigma)}(1) \quad (\text{by Lemma 1}) \\ &= \sigma(\gamma_{W, c}(1)) = \sigma(\Psi_\xi(W + cT_\eta)) = \sigma(\eta), \end{aligned}$$

hence

$$\Theta(\sigma(\xi), \sigma(\eta)) = \lambda_{\sigma(\xi)}(W_\sigma + ca(\sigma)T_{\sigma(\eta)}). \quad \text{Q.e.d..}$$

For any  $\sigma \in G$ ,  $\sigma^*L_\theta = a(\sigma)L_\theta$  hence

$$\sum_{\mu} g_\sigma(\eta)_\alpha^\mu g_\sigma(\eta)_\beta^\mu = a(\sigma)\delta_{\alpha\beta},$$

i.e.  $a(\sigma)^{-1/2}g_\sigma(\eta) \in U(n)$ . Consequently  $\|g_\sigma(\eta)z\|^2 = a(\sigma)\|z\|^2$  and (by (23) and Lemma 5)

$$\Phi_{n-2q}(\Theta(\sigma(\eta), \sigma(\xi))) = a(\sigma)^{-n}\Phi_{n-2q}(\Theta(\eta, \xi)),$$

and we obtain

$$\begin{aligned} &a(\sigma)^{-n-1}A_\sigma\varphi(\xi) \\ &= \left( \int g_\sigma(\xi)_j^{\bar{I}}\psi_\sigma(\xi, \eta)\Phi_{n-2q}(\Theta(\eta, \xi))g_{\sigma^{-1}}(\sigma(\eta))_i^{\bar{K}}\varphi_{\bar{K}}(\eta) d\eta \right)\theta_\xi^{\bar{J}}, \end{aligned}$$

where  $\psi_\sigma(\xi, \eta) := \psi(\sigma(\xi), \sigma(\eta))$ . Note that  $\psi_\sigma \in C_0^\infty$  and  $\psi_\sigma(\xi, \eta) = \psi_\sigma(\eta, \xi)$ . Let  $\sigma^2 := \sigma \times \sigma$  (direct product). Set

$$\mathcal{N}_G := \bigcap_{\sigma \in G} \sigma^2(\mathcal{N}) \subset \mathcal{N}.$$

As  $W_0$  is  $G$ -invariant  $\Delta = \sigma^2(\Delta) \subset \sigma^2(\mathcal{N})$  for any  $\sigma \in G$ , hence  $\mathcal{N}_G$  is an open neighborhood of  $\Delta$ . Also  $\psi(\xi, \eta) = 1$  on  $\mathcal{N}$  yields  $\psi_\sigma(\xi, \eta) = 1$  on  $\mathcal{N}_G$ .

Let  $(\xi, \eta) \in D_G$ . Then (by Lemma 5)

$$\begin{aligned} |\Theta(\sigma(\xi), \sigma(\eta))| &= |(g_\sigma(\xi)z(\eta), a(\sigma)t(\eta))| \\ &= (\|g_\sigma(\xi)z(\eta)\|^4 + a(\sigma)^2t(\eta)^2)^{1/4} \\ &= a(\sigma)^{1/2}|(z(\eta), t(\eta))| = a(\sigma)^{1/2}|\Theta(\xi, \eta)|, \end{aligned}$$

that is

$$\rho(\sigma(\xi), \sigma(\eta)) = a(\sigma)^{1/2} \rho(\xi, \eta). \tag{25}$$

Let  $\Gamma$  and  $\Gamma_\sigma$  be respectively the supports of  $\psi$  and  $\psi_\sigma$ . Then  $\sigma^2(\Gamma_\sigma) \subseteq \Gamma \subset \{(\xi, \eta) \in D_G : \rho(\xi, \eta) \leq r\}$ . Also (by Lemma 4)  $\sigma^{-1}(D_G) \subseteq D$ . Thus (by (25))  $\Gamma_\sigma \subset \{(\xi, \eta) \in D : \rho(\xi, \eta) \leq 1\}$ . Then (as in [12], p. 494) we may conclude that

$$K_\sigma(\xi, \eta) = \psi_\sigma(\xi, \eta) \Phi_{n-2q}(\Theta(\eta, \xi))$$

is a kernel of type 2. In general, if  $K(\xi, \eta)$  is a kernel of type  $\lambda$  then

$$K_{\bar{J}}(\xi, \eta) := g_\sigma(\xi) \bar{J} K(\xi, \eta) g_{\sigma^{-1}}(\sigma(\eta)) \bar{L}$$

is another kernel of type  $\lambda$ , as it easily follows from (21). We have proved that  $A_\sigma$ , and therefore  $A_\Omega$ , is an operator of type 2.

Set  $a(G) := (1/|G|) \sum_{\sigma \in G} a(\sigma) > 0$ . We wish to check that  $a(G)^{-1} A_\Omega$  inverts  $\square_\Omega$ . Set  $B := I - \square_\Omega A$ . If  $\varphi$  is a  $G$ -invariant  $(0, q)$ -form then (by (7))

$$\begin{aligned} \square_\Omega A_\Omega \varphi(\xi) &= \frac{1}{|G|} \sum_{\sigma \in G} \square_\Omega \sigma^* A(\sigma^{-1})^* \varphi(\xi) \\ &= \frac{1}{|G|} \sum_{\sigma \in G} a(\sigma) \sigma^* \square_\Omega A \varphi(\xi) = \frac{1}{|G|} \sum_{\sigma \in G} a(\sigma) \sigma^* (\varphi - B\varphi)(\xi) \end{aligned}$$

that is

$$\square_\Omega A_\Omega \varphi(\xi) = a(G) \varphi(\xi) - \frac{1}{|G|} \sum_{\sigma \in G} a(\sigma) B_\sigma \varphi(\xi),$$

where  $B_\sigma := \sigma^* B(\sigma^{-1})^*$ . We shall prove that

LEMMA 6.  $B_\sigma$  is an operator of type 1.

PROOF. Set

$$A_\varepsilon \varphi(\xi) := \left( \int K_\varepsilon(\xi, \eta) \varphi_{\bar{J}}(\eta) d\eta \right) \theta_\xi^{\bar{J}},$$

$$K_\varepsilon(\xi, \eta) := \psi(\xi, \eta) \Phi_{n-2q}^\varepsilon(\Theta(\eta, \xi)),$$

$$\Phi_\alpha^\varepsilon := b_\alpha \rho_\varepsilon^{-(n+\alpha)/2} \bar{\rho}_\varepsilon^{-(n-\alpha)/2}, \quad \rho_\varepsilon(z, t) := \|z\|^2 + \varepsilon^2 - it,$$

for any  $\varepsilon > 0$ . For the sake of simplicity, we only look at the case  $q = 1$ . For any  $(0, 1)$ -form  $\psi$  on  $\Omega$ , the Kohn-Rossi laplacian is expressed by

$$\square_\Omega \psi = \{-h^{\lambda\bar{\mu}} \nabla_\lambda \nabla_{\bar{\mu}} \psi_{\bar{\alpha}} - 2i \nabla_0 \psi_{\bar{\alpha}} + \psi_{\bar{\gamma}} R_{\bar{\alpha}}^{\bar{\gamma}}\} \theta_{\bar{\alpha}}^{\bar{\gamma}},$$

where  $R_{\lambda\bar{\mu}}$  is the *pseudohermitian Ricci tensor* (cf. e.g. [10], p. 193). This may be written

$$(\square_{\Omega}\psi)_{\bar{\alpha}} = \mathcal{L}_{n-2}\psi_{\bar{\alpha}} + \sum_{\mu=1}^n \left\{ \Gamma_{\bar{\mu}\bar{\alpha}}^{\bar{\rho}} T_{\mu}\psi_{\bar{\rho}} + \frac{1}{2}\Gamma_{\bar{\mu}\bar{\mu}}^{\bar{\rho}} T_{\bar{\rho}}\psi_{\bar{\alpha}} + \Gamma_{\bar{\mu}\bar{\alpha}}^{\bar{\rho}} T_{\bar{\mu}}\psi_{\bar{\rho}} \right\} + F_{\bar{\alpha}}^{\bar{\gamma}}\psi_{\bar{\gamma}},$$

(compare to (16.1) in [12], p. 494) for some  $C^{\infty}$  functions  $F_{\bar{\alpha}}^{\bar{\gamma}}$  (expressed in terms of the Christoffel symbols and their derivatives, and whose precise form is unimportant). We have (by the proof of Prop. 16.5 in [12])

$$\sigma^*B(\sigma^{-1})^*\varphi(\xi) = \varphi(\xi) - \sigma^*\square_{\Omega}A(\sigma^{-1})^*\varphi(\xi) = \varphi(\xi) - \sigma^*\lim_{\varepsilon \rightarrow 0} \square_{\Omega}A_{\varepsilon}(\sigma^{-1})^*\varphi(\xi)$$

that is

$$B_{\sigma}\varphi(\xi) = \varphi(\xi) - \lim_{\varepsilon \rightarrow 0} \sigma^*\square_{\Omega}A_{\varepsilon}(\sigma^{-1})^*\varphi(\xi)$$

hence it suffices to show that if we let  $\varepsilon \rightarrow 0$  then  $\sigma^*\square_{\Omega}A_{\varepsilon}(\sigma^{-1})^*\varphi$  goes to  $\varphi$  plus an operator of order 1 applied to  $\varphi$ . We have

$$\begin{aligned} \sigma^*\square_{\Omega}A_{\varepsilon}(\sigma^{-1})^*\varphi(\xi) &= \left[ \square_{\Omega} \left( \int K_{\varepsilon}(\cdot, \eta)((\sigma^{-1})^*\varphi)_{\bar{\alpha}}(\eta) d\eta \right) \theta^{\bar{\alpha}} \right]_{\sigma(\xi)} \circ (d_{\xi}\sigma) \\ &= g_{\sigma}(\xi)_{\bar{\beta}}^{\bar{\alpha}} \left[ \mathcal{L}_{n-2}\psi_{\bar{\alpha}} + \sum_{\mu} \left\{ \Gamma_{\bar{\mu}\bar{\alpha}}^{\bar{\rho}} T_{\mu}\psi_{\bar{\rho}} + \frac{1}{2}\Gamma_{\bar{\mu}\bar{\mu}}^{\bar{\rho}} T_{\bar{\rho}}\psi_{\bar{\alpha}} + \Gamma_{\bar{\mu}\bar{\alpha}}^{\bar{\rho}} T_{\bar{\mu}}\psi_{\bar{\rho}} \right\} + F_{\bar{\alpha}}^{\bar{\gamma}}\psi_{\bar{\gamma}} \right]_{\sigma(\xi)} \theta_{\xi}^{\bar{\beta}} \end{aligned}$$

where

$$\psi_{\bar{\alpha}}(\xi) := \int K_{\varepsilon}(\xi, \eta)((\sigma^{-1})^*\varphi)_{\bar{\alpha}}(\eta) d\eta.$$

and  $\mathcal{L}_{n-2} = -\sum_{\alpha} T_{\alpha}T_{\bar{\alpha}} - 2iT$ . Therefore, using

$$(T_{\mu}f)(\sigma(\xi)) = g_{\sigma^{-1}}(\sigma(\xi))_{\mu}^{\lambda} T_{\lambda}(f \circ \sigma)$$

we get

$$\begin{aligned} \sigma^*\square_{\Omega}A_{\varepsilon}(\sigma^{-1})^*\varphi(\xi) &= \left\{ A_{\varepsilon, \bar{\beta}}^0 \varphi(\xi) + \sum_{\mu=1}^n \sum_{i=1}^3 A_{\varepsilon, \mu\bar{\beta}}^i \varphi(\xi) \right\} \theta_{\xi}^{\bar{\beta}} \\ &+ \left( \int g_{\sigma}(\xi)_{\bar{\beta}}^{\bar{\alpha}} [\mathcal{L}_{n-2}^{\zeta} K_{\varepsilon}(\zeta, \eta)]_{\zeta=\sigma(\xi)} g_{\sigma^{-1}}(\eta)_{\bar{\alpha}}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\sigma^{-1}(\eta)) d\eta \right) \theta_{\xi}^{\bar{\beta}} \end{aligned} \tag{26}$$

where

$$\begin{aligned}
 A_{\varepsilon, \bar{\beta}}^0 \varphi(\xi) &= g_{\sigma}(\xi) \bar{\beta}^{\bar{\alpha}} F_{\bar{\alpha}}^{\bar{\gamma}}(\sigma(\xi)) \int K_{\varepsilon}(\sigma(\xi), \eta) g_{\sigma^{-1}}(\eta) \bar{\rho}^{\bar{\gamma}} \varphi_{\bar{\rho}}(\sigma^{-1}(\eta)) d\eta, \\
 A_{\varepsilon, \mu \bar{\beta}}^1 \varphi(\xi) &= g_{\sigma}(\xi) \bar{\beta}^{\bar{\alpha}} \Gamma_{\bar{\mu} \bar{\alpha}}^{\bar{\rho}}(\sigma(\xi)) g_{\sigma^{-1}}(\sigma(\xi))_{\mu}^{\lambda} \int [T_{\lambda}^{\xi} K_{\varepsilon}(\sigma(\xi), \eta)] g_{\sigma^{-1}}(\eta) \bar{\rho}^{\bar{\gamma}} \varphi_{\bar{\rho}}(\sigma^{-1}(\eta)) d\eta, \\
 A_{\varepsilon, \mu \bar{\beta}}^2 \varphi(\xi) &= \frac{1}{2} g_{\sigma}(\xi) \bar{\beta}^{\bar{\alpha}} \Gamma_{\bar{\mu} \bar{\mu}}^{\bar{\rho}}(\sigma(\xi)) g_{\sigma^{-1}}(\sigma(\xi))_{\bar{\rho}}^{\bar{\lambda}} \int [T_{\bar{\lambda}}^{\xi} K_{\varepsilon}(\sigma(\xi), \eta)] g_{\sigma^{-1}}(\eta) \bar{\rho}^{\bar{\gamma}} \varphi_{\bar{\rho}}(\sigma^{-1}(\eta)) d\eta, \\
 A_{\varepsilon, \mu \bar{\beta}}^3 \varphi(\xi) &= g_{\sigma}(\xi) \bar{\beta}^{\bar{\alpha}} \Gamma_{\bar{\mu} \bar{\alpha}}^{\bar{\rho}}(\sigma(\xi)) g_{\sigma^{-1}}(\sigma(\xi))_{\bar{\mu}}^{\bar{\lambda}} \int [T_{\bar{\lambda}}^{\xi} K_{\varepsilon}(\sigma(\xi), \eta)] g_{\sigma^{-1}}(\eta) \bar{\rho}^{\bar{\gamma}} \varphi_{\bar{\rho}}(\sigma^{-1}(\eta)) d\eta.
 \end{aligned}$$

Clearly  $A_{\varepsilon, \bar{\beta}}^0$  gives, in the limit as  $\varepsilon \rightarrow 0$ , an operator of type 2 (and hence of type 1). We claim that  $A_{\varepsilon, \mu \bar{\beta}}^i$  give (as  $\varepsilon \rightarrow 0$ ) operators of type 1, as well. For instance, let us look at  $A_{\varepsilon, \mu \bar{\beta}}^1$  (the remaining operators may be treated in a similar manner). Note that

$$\Phi_{\alpha}^{\varepsilon}(\Theta(\sigma(\eta), \sigma(\xi))) = a(\sigma)^{-n} \Phi_{\alpha}^{\varepsilon/\sqrt{a(\sigma)}}(\Theta(\eta, \xi)) \tag{27}$$

Indeed (by Lemma 5)

$$\begin{aligned}
 \rho_{\varepsilon}(g_{\sigma}(\eta)z(\xi), a(\sigma)t(\xi)) &= a(\sigma)\|z(\xi)\|^2 + \varepsilon^2 - ia(\sigma)t(\xi) \\
 &= a(\sigma)\rho_{\varepsilon/\sqrt{a(\sigma)}}(z(\xi), t(\xi)).
 \end{aligned}$$

Consequently

$$K_{\varepsilon}(\sigma(\xi), \sigma(\eta)) = a(\sigma)^{-n} \psi_{\sigma}(\xi, \eta) \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}(\Theta(\eta, \xi))$$

and a change of variables  $\eta' = \sigma^{-1}(\eta)$  leads to

$$\begin{aligned}
 A_{\varepsilon, \mu \bar{\beta}}^1 \varphi(\xi) &= a(\sigma)^{n+1} g_{\sigma}(\xi) \bar{\beta}^{\bar{\alpha}} \Gamma_{\bar{\mu} \bar{\alpha}}^{\bar{\rho}}(\sigma(\xi)) g_{\sigma^{-1}}(\sigma(\xi))_{\mu}^{\lambda} \\
 &\quad \cdot \int T_{\lambda}^{\xi} [\psi_{\sigma}(\xi, \eta) \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}(\Theta(\eta, \xi))] g_{\sigma^{-1}}(\sigma(\eta)) \bar{\rho}^{\bar{\gamma}} \varphi_{\bar{\rho}}(\eta) d\eta
 \end{aligned}$$

which goes, as  $\varepsilon \rightarrow 0$ , to

$$\begin{aligned}
 &a(\sigma)^{n+1} g_{\sigma}(\xi) \bar{\beta}^{\bar{\alpha}} \Gamma_{\bar{\mu} \bar{\alpha}}^{\bar{\rho}}(\sigma(\xi)) g_{\sigma^{-1}}(\sigma(\xi))_{\mu}^{\lambda} \\
 &\quad \cdot T_{\lambda}^{\xi} \left[ \int \psi_{\sigma}(\xi, \eta) \Psi_{n-2}(\Theta(\eta, \xi)) g_{\sigma^{-1}}(\sigma(\eta)) \bar{\rho}^{\bar{\gamma}} \varphi_{\bar{\rho}}(\eta) d\eta \right].
 \end{aligned}$$

As previously shown,  $\psi_{\sigma}(\xi, \eta) \Phi_{n-2}(\Theta(\eta, \xi))$  is a kernel of type 2; yet, by Prop. 15.14 in [12], p. 487, for any operator  $A$  of type 2,  $T_{\lambda}A$  is an operator of type 1, hence the claim is proved.

To deal with the last term in (26) we write

$$\begin{aligned}
\mathcal{L}_{n-2}^\zeta K_\varepsilon(\zeta, \eta) &= [\mathcal{L}_{n-2}^\zeta \psi(\zeta, \eta)] \Phi_{n-2}^\varepsilon(\Theta(\eta, \zeta)) + \psi(\zeta, \eta) \mathcal{L}_{n-2}^\zeta [\Phi_{n-2}^\varepsilon(\Theta(\eta, \zeta))] \\
&\quad - \frac{1}{2} \sum_{\alpha=1}^n \{ [T_\alpha^\zeta \psi(\zeta, \eta)] T_\alpha^\zeta [\Phi_{n-2}^\varepsilon(\Theta(\eta, \zeta))] \\
&\quad + [T_\alpha^\zeta \psi(\zeta, \eta)] T_\alpha^\zeta [\Phi_{n-2}^\varepsilon(\Theta(\eta, \zeta))] \} \tag{28}
\end{aligned}$$

The first term on the right hand side of (28), when substituted into (26), leads (as  $\varepsilon \rightarrow 0$ ) to an operator of order 1 applied to  $\varphi$ . We need to recall the notion of *Heisenberg-type order*. A function  $f(\xi, y)$  on  $\Omega \times H_n$  is of order  $O^k$ ,  $k = 1, 2, \dots$ , if  $f \in C^\infty$  and for any compact set  $K \subset \Omega$  there is a constant  $C_K > 0$  so that  $|f(\xi, y)| \leq C_K |y|^k$  (Heisenberg norm). If  $(z, t) = \Theta_\xi^{-1}$  are pseudohermitian normal coordinates at  $\xi$  then (cf. Theor. 4.3 in [14], p. 177, a refinement of Theor. 14.10 and Corollary 14.9 in [12], p. 475)

$$(\Theta_\xi^{-1})_* T_\alpha = \frac{\partial}{\partial z^\alpha} + i\bar{z}^\alpha \frac{\partial}{\partial t} + O^1 \mathcal{E} \left( \frac{\partial}{\partial z}, \frac{\partial}{\partial \bar{z}} \right) + O^2 \mathcal{E} \left( \frac{\partial}{\partial t} \right),$$

where  $O^k \mathcal{E}$  denotes an operator involving linear combinations of the indicated derivatives, with  $O^k$  coefficients. Similarly,  $(\Theta_\xi^{-1})_* \mathcal{L}_{n-2}$  is the operator  $\mathcal{S}_{n-2}$  (given by (22) with  $\alpha = n - 2$ ) plus higher (Heisenberg-type) order terms.

Let  $\delta(\xi, \eta)$  be the distribution on  $\Omega \times \Omega$  defined by

$$\int \delta(\xi, \eta) f(\xi) g(\eta) d\xi d\eta = \int f(\xi) g(\xi) d\xi.$$

As to the second term in the right hand side of (28), when substituted into (26), it gives an integral operator applied to  $\varphi$ , which goes to  $\varphi$  for  $\varepsilon \rightarrow 0$ , as desired. Indeed

$$\lim_{\varepsilon \rightarrow 0} \int g_\sigma(\xi) \bar{\beta}^{\bar{\alpha}} \psi(\sigma(\xi), \eta) \mathcal{L}_{n-2}^\zeta [\Phi_{n-2}^\varepsilon(\Theta(\eta, \zeta))] |_{\zeta=\sigma(\xi)} g_{\sigma^{-1}}(\eta) \bar{\alpha}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\sigma^{-1}(\eta)) d\eta$$

is, up to higher order terms [leading to first order operators applied to  $\varphi$  (cf. also [12], p. 495)]

$$\begin{aligned}
&\int g_\sigma(\xi) \bar{\beta}^{\bar{\alpha}} \psi(\sigma(\xi), \eta) [\mathcal{S}_{n-2} \Phi_{n-2}](\Theta(\eta, \sigma(\xi))) g_{\sigma^{-1}}(\eta) \bar{\alpha}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\sigma^{-1}(\eta)) d\eta \\
&= \int g_\sigma(\xi) \bar{\beta}^{\bar{\alpha}} \psi(\sigma(\xi), \eta) \delta(\sigma(\xi), \eta) g_{\sigma^{-1}}(\eta) \bar{\alpha}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\sigma^{-1}(\eta)) d\eta \\
&= g_\sigma(\xi) \bar{\beta}^{\bar{\alpha}} \psi(\sigma(\xi), \sigma(\xi)) g_{\sigma^{-1}}(\sigma(\xi)) \bar{\alpha}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\xi) = \delta_\beta^\gamma \psi_\sigma(\xi, \xi) \varphi_{\bar{\gamma}}(\xi) = \varphi_{\bar{\beta}}(\xi).
\end{aligned}$$



Q.e.d.. Finally, we deal with the third term in the right hand side of (28) (the fourth term may be dealt with in a similar way). It may be written (at  $\zeta = \sigma(\xi)$ ) as

$$g_{\sigma^{-1}}(\sigma(\xi))_{\alpha}^{\beta} g_{\sigma^{-1}}(\sigma(\xi))_{\bar{\alpha}}^{\bar{\gamma}} T_{\beta}^{\xi}[\psi(\sigma(\xi), \eta)] T_{\bar{\gamma}}^{\xi}[\Phi_{n-2}^{\varepsilon}(\Theta(\eta, \sigma(\xi)))]$$

hence the corresponding integral is (after a change of variable)

$$a(\sigma)^{n+1} \sum_{\rho} \int g_{\sigma}(\xi)_{\beta}^{\bar{\alpha}} g_{\sigma^{-1}}(\sigma(\xi))_{\rho}^{\lambda} g_{\sigma^{-1}}(\sigma(\xi))_{\bar{\rho}}^{\bar{\mu}} T_{\lambda}^{\xi}[\psi_{\sigma}(\xi, \eta)] \cdot T_{\bar{\mu}}^{\xi}[\Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}(\Theta(\eta, \xi))] g_{\sigma^{-1}}(\sigma(\eta))_{\bar{\alpha}}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\eta) d\eta.$$

Set  $\psi_{\lambda, \sigma}(\xi, \eta) := T_{\lambda}^{\xi}[\psi_{\sigma}(\xi, \eta)]$  and note that  $\psi_{\lambda, \sigma} \in C_0^{\infty}$  and (as  $T_{\lambda}$  is a differential operator)  $Supp(\psi_{\lambda, \sigma}) \subset Supp(\psi_{\sigma}) \subset \{(\xi, \eta) \in D : \rho(\xi, \eta) \leq 1\}$ . The following result completes the proof

LEMMA 7

$$\int \psi_{\lambda, \sigma}(\xi, \eta) T_{\bar{\mu}}^{\xi}[\Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}(\Theta(\eta, \xi))] g_{\sigma^{-1}}(\sigma(\eta))_{\bar{\alpha}}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\eta) d\eta \tag{29}$$

goes, as  $\varepsilon \rightarrow 0$ , to an operator of order 1 applied to  $\varphi$ .

PROOF. The kernel of the operator (29) is

$$\begin{aligned} T_{\bar{\mu}}^{\xi}[\Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}(\Theta(\eta, \xi))] &= [(d_{\xi} \Theta_{\eta}) T_{\bar{\mu}, \xi}](\Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}) \\ &= \left[ L_{\bar{\mu}} + O^1 \mathcal{E} \left( \frac{\partial}{\partial z}, \frac{\partial}{\partial \bar{z}} \right) + O^2 \mathcal{E} \left( \frac{\partial}{\partial t} \right) \right]_{\Theta_{\eta}(\xi)} (\Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}) \\ &= -2(z^{\mu} \bar{\rho}_{\varepsilon/\sqrt{a(\sigma)}}^{-1} \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}})_{\Theta_{\eta}(\xi)} + \sum_{\lambda} O^1(\bar{z}^{\lambda} f_{\varepsilon} \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}})_{\Theta_{\eta}(\xi)} \\ &\quad + \sum_{\lambda} O^1(z^{\lambda} f_{\varepsilon} \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}})_{\Theta_{\eta}(\xi)} + O^2(i f_{\varepsilon} \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}})_{\Theta_{\eta}(\xi)} \end{aligned}$$

where

$$f_{\varepsilon} := -\bar{\rho}_{\varepsilon/\sqrt{a(\sigma)}}^{-1} - (n-1) \rho_{\varepsilon/\sqrt{a(\sigma)}}^{-1}.$$

The Heisenberg group carries the contact form

$$\theta_0 = dt + 2 \sum_j (x^j dy^j - y^j dx^j),$$

$z^j = x^j + iy^j$ . Let  $dV = \theta_0 \wedge (d\theta_0)^n$  be the natural volume form on  $H_n$ . Set  $h := \Theta_\xi^{-1}$ . Note that  $\Theta(h(u), \xi) = -\Theta_\xi(h(u)) = -u$ . Also

$$(h^*\omega)(u) = (1 + O^1) dV(u)$$

(cf. again Theor. 4.3 in [14], p. 177). Then

$$\begin{aligned} & \int_{\Omega} \psi_{\lambda, \sigma}(\xi, \eta) (z^\mu \bar{\rho}_{\varepsilon/\sqrt{a(\sigma)}}^{-1} \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}})(\Theta(\eta, \xi)) g_{\sigma^{-1}}(\sigma(\eta)) \bar{\varphi}_{\bar{\gamma}}(\eta) d\eta \\ &= \int_{H_n} \psi_{\lambda, \sigma}(\xi, h(u)) (z^\mu(u) \bar{\rho}_{\varepsilon/\sqrt{a(\sigma)}}(u))^{-1} \Phi_{n-2}^{\varepsilon/\sqrt{a(\sigma)}}(u) \\ & \quad \cdot g_{\sigma^{-1}}(\sigma(h(u))) \varphi_{\bar{\gamma}}(h(u)) (1 + O^1) dV(u) \\ &= \varepsilon^{-2n-2} \int \psi_{\lambda, \sigma}(\xi, h(u)) z^\mu(u) \frac{\Phi_{n-2}^1(\varepsilon^{-1}u)}{\bar{\rho}_1(\varepsilon^{-1}u)} \\ & \quad \cdot g_{\sigma^{-1}}(\sigma(h(u))) \varphi_{\bar{\gamma}}(h(u)) (1 + O^1) dV(u) \end{aligned}$$

where  $\varepsilon^{-1}u$  is short for  $\delta_{\varepsilon^{-1}}u$ . A change of variable  $v = \varepsilon^{-1}u$  gives (as  $dV(u) = \varepsilon^{2n+2} dV(v)$ )

$$\varepsilon \int \psi_{\lambda, \sigma}(\xi, h(\varepsilon v)) z^\mu(v) \frac{\Phi_{n-2}^1(v)}{\bar{\rho}_1(v)} \cdot g_{\sigma^{-1}}(\sigma(h(\varepsilon v))) \varphi_{\bar{\gamma}}(h(\varepsilon v)) (1 + O^1(\varepsilon v)) dV(v).$$

The absolute value of this integral may be estimated by above by

$$\varepsilon \sup_{\rho(\xi, \eta) \leq 1} [\psi_{\lambda, \sigma}(\xi, \eta) g_{\sigma^{-1}}(\sigma(\eta)) \varphi_{\bar{\gamma}}(\eta)] \int_{|v| \leq 1} z^\mu(v) \left| \frac{\Phi_{n-2}^1(v)}{\bar{\rho}_1(v)} \right| (1 + \varepsilon|v|) dV(v)$$

which goes to zero, as  $\varepsilon \rightarrow 0$ . Moreover, in the limit, the  $O^1$  and  $O^2$  terms are

$$\sum_{\lambda} O^1(\bar{z}^\lambda f \Phi_{n-2})(\Theta_\eta(\xi)) + \sum_{\lambda} O^1(z^\lambda f \Phi_{n-2})(\Theta_\eta(\xi)) + O^2(f \Phi_{n-2})(\Theta_\eta(\xi))$$

where  $f(z, t) = -[n\|z\|^2 + (n-2)it]/[\|z\|^4 + t^2]$ . Note that  $|f(y)| \leq C_n|y|^{-2}$  hence  $O^1\bar{z}^\lambda f$ ,  $O^1z^\lambda f$  and  $O^2f$  are bounded. Now, for instance, let us look at  $k(y) = (O^1\bar{z}^\lambda f \Phi_{n-2})(y)$  (the discussion of the remaining terms is similar). First, note that  $\bar{z}^\lambda f \Phi_{n-2}$  is homogeneous of degree  $-2n-1$ , with respect to dilations. The Taylor series expansion (about  $0 = \Theta_\eta(\eta)$ ) of the  $O^1$  coefficients is a sum of homogeneous terms of degree at least 1 (with coefficients depending on  $\eta$ ) plus a remainder of arbitrarily high order, hence the ‘principal part’ of  $k(y)$  is homogeneous of degree  $-2n$ . Therefore  $k(\Theta(\eta, \xi))$  is a kernel of type 1. Q.e.d..

To end the proof of Theorem 5, we shall show that  $A_\Omega \square_\Omega - a(G)I$  is an operator of type 1. First, note that  $A_\sigma$ , and then  $A_\Omega$ , is symmetric. Indeed, for any two  $(0, 1)$ -forms  $\varphi$  and  $\psi$

$$(A_\sigma \varphi, \psi) = a(\sigma)^{2n+1} \int g_\sigma(\xi)_{\bar{\beta}}^{\bar{\alpha}} K(\sigma(\xi), \sigma(\eta)) g_{\sigma^{-1}}(\sigma(\eta))_{\bar{\alpha}}^{\bar{\gamma}} \varphi_{\bar{\gamma}}(\eta) \psi^{\bar{\beta}}(\xi) d\eta d\xi.$$

As  $\Phi_\alpha(-y) = \overline{\Phi_{\bar{\alpha}}(y)}$ , it follows that  $\overline{K(\sigma(\xi), \sigma(\eta))} = K(\sigma(\eta), \sigma(\xi))$ . Hence

$$\begin{aligned} (A_\sigma^* \psi)_{\bar{\mu}}(\eta) &= a(\sigma)^{2n+1} h_{\gamma\bar{\mu}}(\eta) \int g_{\sigma^{-1}}(\sigma(\eta))_{\alpha}^{\gamma} K(\sigma(\eta), \sigma(\xi)) g_\sigma(\xi)_{\beta}^{\alpha} \psi^{\beta}(\xi) d\xi \\ &= a(\sigma)^{2n} \int g_\sigma(\eta)_{\bar{\mu}}^{\bar{\lambda}} h_{\alpha\bar{\lambda}}(\eta) K(\sigma(\eta), \sigma(\xi)) g_\sigma(\xi)_{\beta}^{\alpha} \psi^{\beta}(\xi) d\xi \\ &= a(\sigma)^{2n+1} \int g_\sigma(\eta)_{\bar{\mu}}^{\bar{\lambda}} h_{\alpha\bar{\lambda}}(\eta) K(\sigma(\eta), \sigma(\xi)) g_{\sigma^{-1}}(\sigma(\xi))_{\bar{\beta}}^{\bar{\gamma}} h^{\alpha\bar{\beta}}(\xi) \psi_{\bar{\gamma}}(\xi) d\xi. \end{aligned}$$

Finally (as  $h_{\alpha\bar{\beta}} = \delta_{\alpha\beta}$ )

$$(A_\sigma^* \psi)_{\bar{\mu}} = (A_\sigma \psi)_{\bar{\mu}},$$

q.e.d.. Moreover,  $\square_\Omega$  is symmetric on compactly supported forms hence

$$A_\Omega \square_\Omega \psi = a(G) \psi - \frac{1}{|G|} \sum_{\sigma \in G} a(\sigma) B_\sigma^* \psi$$

and the transpose of  $B_\sigma$  (an operator of type 1) is again of type 1.

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