

ALMOST-PRIMES IN ARITHMETIC PROGRESSIONS AND SHORT INTERVALS

By

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1. Introduction.

In 1936, P. Turán [9] showed, under the generalized Riemann hypothesis, there exists a prime p such that

$$p \equiv a \pmod{q}, \quad p \leq q (\log q)^{2+\varepsilon}$$

for almost-all reduced classes $a \pmod{q}$. The terminology almost-all means that the number of exceptional reduced classes \pmod{q} is $o(\phi(q))$ as $q \rightarrow \infty$. Y. Motohashi [6] considered the corresponding problem for almost-primes. Let P_2 denote integers with at most two prime factors, multiple factors being counted multiplicity. He proved that there exists a P_2 such that

$$P_2 \equiv a \pmod{q}, \quad P_2 \leq q^{11/10}$$

for almost-all reduced classes $a \pmod{q}$. Moreover he remarked, assuming the q -analogue of Lindelöf hypothesis, the exponent $11/10$ may be replaced by $1+\varepsilon$, $\varepsilon > 0$.

It is the first purpose of this paper to make an improvement upon this result. Let $g(x)$ denote any positive function such that $g(x) \rightarrow \infty$ as $x \rightarrow \infty$.

THEOREM 1. *There exists a P_2 such that*

$$P_2 \equiv a \pmod{q}, \quad P_2 \leq g(q)q(\log q)^5$$

for almost-all reduced classes $a \pmod{q}$.

In 1943, A. Selberg [8] showed, under the Riemann hypothesis, there exists a prime in the intervals

$$(n, n + g(n)(\log n)^2]$$

for almost-all n . Here almost-all means that the number of exceptional n 's not exceeding x is $o(x)$ as $x \rightarrow \infty$.

Several authors considered the analogous problem for P_2 . Thus D. R. Heath-

Brown [3] proved that there exists a P_2 in the intervals

$$(n, n+n^{1/11+\varepsilon}]$$

for almost-all n . Motohashi [7] replaced the exponent $1/11+\varepsilon$ by ε , by a simple analytic trick. He noted that his method does not work on the above mentioned problem in arithmetic progressions. D. Wolke [10] reduced the length of intervals to the powers of $\log n$. By refining Wolke's argument, G. Harman [2] showed that there exists a P_2 in the intervals $(n, n+(\log n)^{7+\varepsilon}]$ for almost-all n .

Our second aim is to make a small improvement upon this result.

THEOREM 2. *There exists a P_2 in the intervals*

$$(n, n+g(n)(\log n)^\varepsilon]$$

for almost-all n .

In contrast to [7] [10] [2], we appeal to Sieve method which is used in [6] [3]. Our treatment of the remainder terms from Sieve estimate is different from [6] [3]. We use C. Hooley's technique [4] to deal with a bilinear form for the remainder terms, which is due to H. Iwaniec [5].

We use the standard notation in number theory. Especially, \bar{r} , used in either $\frac{\bar{r}}{s}$ or congruence $(\text{mod } s)$, means that $\bar{r}r \equiv 1 \pmod{s}$. * in $\sum_{a=1}^q$ * stands for the restriction $(a, q)=1$. $n \sim N$ means $N \leq N_1 < n \leq N_2 \leq 2N$ for some N_1 and N_2 . c_1 and c_2 denote certain positive absolute constants. ε denotes a small positive constant and the constants implied in the symbols \ll and O depend only on ε .

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2. Lemmas.

Firstly we state the inequality for the linear sieve. See [5] and [1]. Let \mathcal{A} be a finite sequence of integers and \mathcal{P} be a set of primes. Put, for $d \geq 1$, $z > 2$,

$$P(z) = \prod_{\substack{p \leq z \\ p \in \mathcal{P}}} p, \quad \mathcal{A}_d = \{n \in \mathcal{A} : n \equiv 0 \pmod{d}\}$$

and

$$S(\mathcal{A}, \mathcal{P}, z) = |\{n \in \mathcal{A} : (n, P(z)) = 1\}|.$$

Suppose that $|\mathcal{A}_d|$ has the approximation

$$|\mathcal{A}_d| = \frac{\omega(d)}{d} X + r(\mathcal{A}, d)$$

where X is some positive number independent of d , and $\omega(d)$ is multiplicative. Moreover we assume that, for any $2 < w < z$,

$$\prod_{\substack{w \leq p < z \\ p \in \mathcal{P}}} \left(1 - \frac{\omega(p)}{p}\right) \leq \frac{\log z}{\log w} \left(1 + \frac{K}{\log w}\right),$$

and

$$\sum_{\substack{w \leq p < z \\ p \in \mathcal{P}}} \sum_{\alpha \geq 2} \frac{\omega(p^\alpha)}{p^\alpha} \leq \frac{L}{\log 3w},$$

with some constants $K, L > 1$. Write

$$V(z) = \prod_{\substack{p < z \\ p \in \mathcal{P}}} \left(1 - \frac{\omega(p)}{p}\right).$$

LEMMA 1. *Let $z > 2, D > 2$. For any $\eta > 0$ we have*

$$S(\mathcal{A}, \mathcal{P}, z) \leq V(z)X\{F(s) + E\} + R^+$$

$$S(\mathcal{A}, \mathcal{P}, z) \geq V(z)X\{f(s) - E\} - R^-$$

where $s = \log D / \log z$, $E = c\eta + O((\log D)^{-1/3})$ with some constant c . The functions $F(s)$ and $f(s)$ are the continuous solutions of some system of differential-difference equations. In particular,

$$sF(s) = 2e^\gamma \quad (0 < s \leq 3)$$

$$sf(s) = \begin{cases} 0 & (0 < s \leq 2) \\ 2e^\gamma \log(s-1) & (2 < s \leq 4) \end{cases}$$

where γ is the Euler constant. The remainder term R^\pm has the form

$$R^\pm = \sum_{d \in \mathcal{P}(z)} \lambda_d^\pm(D, \eta) r(\mathcal{A}, d)$$

where the sequence $(\lambda_d^\pm) = (\lambda_d^\pm(D, \eta))$ has the properties:

$$\lambda_d^\pm = 0 \quad \text{if } d \geq D,$$

$$|\lambda_d^\pm| \leq 1,$$

and, for any $M, N > 1, MN = D$,

$$\lambda_d^\pm = \sum_{l < \exp(8\eta^{-3})} \sum_{\substack{m \leq M \\ mn = d}} \sum_{\substack{n \leq N \\ mn = d}} a_{m,l}^\pm(M, N, \eta) b_{n,l}^\pm(M, N, \eta)$$

with $|a_{m,l}^\pm|, |b_{n,l}^\pm| \leq 1$.

In our simple cases, the above assumptions are of course satisfied. The following Lemma 2 is well known. Lemma 3 is the C. Hooley's version of

bounds for incomplete Kloosterman sums. See [4] for both lemmas.

LEMMA 2. Let $\phi(t)=[t]-t+\frac{1}{2}$. For $H>2$, we have

$$\phi(t)=\sum_{0<|h|\leq H}\frac{e(ht)}{2\pi i}+O\left(\min\left(1,\frac{1}{H\|t\|}\right)\right)$$

where $e(x)=e^{2\pi i x}$ and $\|x\|=\min_{n\in\mathbb{Z}}|x-n|$. Moreover,

$$\min\left(1,\frac{1}{H\|t\|}\right)=\sum_{h\in\mathbb{Z}}C_h e(ht),$$

with

$$|C_h|\ll\min\left(\frac{\log H}{H},\frac{H}{|h|^2}\right).$$

LEMMA 3. We have, for any $\varepsilon>0$,

$$\sum_{\substack{m\sim M \\ (m,cd)=1}}e\left(a\frac{\bar{m}}{d}\right)\ll\tau(c)(a,d)^{1/2}d^{1/2+\varepsilon}\left(1+\frac{M}{d}\right).$$

3. Proof of Theorem 1.

In this section we show the inequality (3.5) below, from which Theorem 1 follows by the routine argument. Our usage of the weighted sieve is standard and the necessary theory is found in [1, Chapter 9]. So we skip.

For $(a, q)=1, q\leq x$, put

$$\mathcal{A}=\{n: x<n\leq 2x, n\equiv a(\bmod q)\}, \quad \mathcal{P}=\{p: p\nmid q\}$$

and

$$r(\mathcal{A}, d)=|\mathcal{A}_d|-\frac{x}{qd}.$$

Let α, u, v be the parameters such that

$$\frac{1}{\alpha}<u<v, \quad \frac{2}{\alpha}\leq v\leq\frac{4}{\alpha}, \quad u<3. \tag{3.1}$$

Write $D=MN=(2x)^\alpha, y=(2x)^u, z=(2x)^v$. By Lemma 1, we have

$$\begin{aligned} \Pi_2(x; q, a) &= |\{P_2: x < P_2 \leq 2x, P_2 \equiv a(\bmod q)\}| \\ &> \sum_{\substack{n \in \mathcal{A} \\ (n, P(z))=1}} \left\{ 1 - (3-u)^{-1} \sum_{z/2 < P=2^k < y} \left(1 - u \frac{\log P}{\log 2x} \right) \sum_{\substack{p \nmid q \\ \max(P, z) \leq p < \min(2P, y)}} 1 \right\} - \sum_{z \leq p < y} \sum_{\substack{n \in \mathcal{A} \\ p^2 | n}} 1 \\ &= S(\mathcal{A}, \mathcal{P}, z) - (3-u)^{-1} \sum_P \left(1 - u \frac{\log P}{\log 2x} \right) \sum_p S(\mathcal{A}_p, \mathcal{P}, z) - \sum_p \sum_n 1 \\ &> \prod_{\substack{p < z \\ p \nmid q}} \left(1 - \frac{1}{p} \right) \frac{x}{q} \left[\frac{2e^\gamma}{\alpha v} \left\{ f(\alpha v) - (3-u)^{-1} \int_u^v F\left(v\left(\alpha - \frac{1}{t}\right)\right) \left(1 - \frac{u}{t}\right) \frac{dt}{t} \right\} - E \right] \end{aligned}$$

$$\begin{aligned}
 & + \sum_{d|P(z)} \lambda_d^-(MN) r(\mathcal{A}, d) - (3-u)^{-1} \sum_{z/2 < P < y} \left(1 - u \frac{\log P}{\log 2x}\right) \sum_p \sum_{e|P(z)} \lambda_e^+ \left(\frac{MN}{P}\right) r(\mathcal{A}, pe) \\
 & - \sum_{z \leq p < y} \sum_{\substack{n \in \mathcal{A} \\ p^2 | n}} 1 \\
 & = \frac{x}{\phi(q)} \frac{e^{-\tau}}{\log z} (1 + O((\log z)^{-1})) \{C(\alpha, u, v) - E\} + S_1 - (3-u)^{-1} S_2 - S_3, \text{ say.}
 \end{aligned}$$

By the factorization property of $\lambda_d^{\pm}(MN)$, the following lemma may be applied to the first remainder term S_1 .

LEMMA 4. Let $(\lambda_d) = (\lambda_d(D))$ denote any sequence such that

$$\begin{aligned}
 \lambda_d &= 0 && \text{if } d \geq D, \\
 |\lambda_d| &\leq \mu^2(d)
 \end{aligned}$$

and, for $M \leq x^{1/2-4\epsilon}$, $N \leq x^{1/20}$, $MN = D$, (λ_d) has the decomposition

$$\lambda_d = \sum_{l \leq (\log D)^2} \sum_{\substack{m \leq M \\ n \leq N \\ mn = d}} a_m(l, M, N) b_n(l, M, N)$$

with $|a_m|, |b_n| \leq 1$. We have

$$\sum_{a=1}^q \left| \sum_{\substack{x < n < 2x \\ n \equiv a \pmod{q}}} \left(\sum_{\substack{d|n \\ (d,q)=1}} \lambda_d \right) - \left(\sum_{\substack{d \\ (d,q)=1}} \frac{\lambda_d}{d} \right) \frac{x}{q} \right|^2 \ll x (\log x)^3 + \left(\frac{x}{q}\right) x^{1-\epsilon}.$$

In the second remainder term S_2 , with $e = mn$, we interpret pm as one variable. Then, we may also apply Lemma 4 to S_2 . We postpone the proof of Lemma 4 until section 5. As to S_3 , in section 4, we shall show the following:

If $\left(\frac{x}{q}\right) \ll (\log x)^{100}$, then we have

$$S_4 = \sum_{x^{2\epsilon} \leq p < x^{1/2-\epsilon}} \sum_{\substack{x < n \leq 2x \\ n \equiv a \pmod{q}}} 1 = O\left(\frac{x^{1-\epsilon}}{q}\right) + E_1(x; q, a) \tag{3.2}$$

with

$$\sum_{a=1}^q |E_1(x; q, a)|^2 \ll \left(\frac{x}{q}\right) x^{1-\epsilon} + x.$$

Now we choose $\alpha = \frac{11}{20} - 4\epsilon$, $\frac{1}{u} = \frac{1}{2} - 4\epsilon$, $\frac{1}{v} = \frac{\alpha}{4} = \frac{11}{80} - \epsilon$, then the conditions (3.1) are satisfied and $S_3 \leq S_4$. Put

$$E(x; q, a) = S_1 - (3-u)^{-1} S_2 - E_1.$$

Then, by the above argument, we see

$$\sum_{a=1}^q |E(x; q, a)|^2 \ll x (\log x)^3 + \left(\frac{x}{q}\right) x^{1-\epsilon}. \tag{3.3}$$

Moreover,

$$\begin{aligned} & ve^{-r}\{C(\alpha, u, v) - E\} \\ &= ve^{-r} \frac{2e^r}{\alpha v} \left\{ \log(\alpha v - 1) - \alpha u \log \frac{v}{u} + (\alpha u - 1) \log \frac{\alpha v - 1}{\alpha u - 1} \right\} - c_1 \varepsilon + O((\log x)^{-1/3}) \\ &> \frac{40}{11} \left(\log 3 - \frac{11}{10} \log \frac{40}{11} + \frac{1}{10} \log 30 \right) - c_2 \varepsilon + O((\log x)^{1/3}) > \frac{1}{200}, \end{aligned}$$

for sufficiently large x and small ε . Therefore we have, provided

$$\left(\frac{x}{q}\right) < (\log x)^{100}, \quad (3.4)$$

$$\Pi_2(x; q, a) > \frac{x}{\phi(q) \log 2x} (C + O((\log x)^{-1/3})) + E(x, q, a) \quad (3.5)$$

where C is a positive absolute constant and $E(x; q, a)$ satisfies (3.3).

We shall derive Theorem 1 from the above inequalities (3.5) and (3.3). Put $x = \frac{1}{2} g(q) q (\log q)^5$. Obviously we may assume $g(q) \leq (\log q)$, so the condition (3.4) is satisfied. Let \mathfrak{E} denote the exceptional set of reduced residue classes, namely,

$$\mathfrak{E} = \{a : \left(\frac{a}{q}\right) = 1, \{P_2 : \frac{P_2 \leq 2x = g(q)q(\log q)^5}{P_2 \equiv a \pmod{q}} \text{ is empty}\}\}.$$

By (3.5), we see $a \notin \mathfrak{E}$ unless

$$|E(x; q, a)| > \frac{C}{3} \frac{x}{\phi(q) \log 2x}.$$

Thus, by (3.3), we have that

$$\begin{aligned} \left(\frac{C}{3} \frac{x}{\phi(q) \log 2x}\right)^2 |\mathfrak{E}| &< \sum_{a \in \mathfrak{E}} |E(x; q, a)|^2 \\ &\leq \sum_{a=1}^q |E(x; q, a)|^2 \\ &\ll x(\log x)^3 + \left(\frac{x}{q}\right) x^{1-\varepsilon}, \end{aligned}$$

or

$$\begin{aligned} \phi(q)^{-1} |\mathfrak{E}| &\ll \phi(q) (\log x)^5 x^{-1} + \frac{\phi(q)}{q} (\log x)^2 x^{-\varepsilon} \\ &\ll g(q)^{-1} + q^{-\varepsilon/2}, \end{aligned}$$

as required.

4. Elementary treatment of remainder terms.

In this section we firstly reduce the proof of Lemma 4 to an estimation of R below. Next we prove (3.2).

Put

$$A(n) = \sum_{\substack{d|n \\ (d,q)=1}} \lambda_d, \quad A = \sum_{\substack{d \\ (d,q)=1}} \frac{\lambda_d}{d},$$

then,

$$E(x; q, a) = \sum_{\substack{x < n \leq 2x \\ n \equiv a(q)}} A(n) - \frac{x}{q} A.$$

Now,

$$\begin{aligned} & \sum_{a=1}^q |E(x; q, a)|^2 \leq \sum_{a=1}^q |E(x; q, a)|^2 \\ &= \sum_{x < n \leq 2x} A(n)^2 + \sum_{\substack{x < n_1 \neq n_2 \leq 2x \\ n_1 \equiv n_2(q)}} A(n_1)A(n_2) - 2 \frac{x}{q} A \sum_{x < n \leq 2x} A(n) + \left(\frac{x}{q}\right)^2 A^2 q \\ &= O\left(\sum_{x < n \leq 2x} \tau(n)^2\right) + 2 \sum_{\substack{x < n_1 < n_2 \leq 2x \\ n_1 \equiv n_2(q)}} A(n_1)A(n_2) - 2 \frac{x}{q} A \left(\sum_{\substack{d \\ (d,q)=1}} \lambda_d \sum_{\substack{x < n \leq 2x \\ d|n}} 1\right) + \frac{x^2}{q} A^2 \\ &= 2 \sum_{0 < l \leq x/q} \sum_{x < n \leq 2x-ql} A(n)A(n+ql) - \frac{x^2}{q} A^2 + O\left(x(\log x)^3 + \frac{x}{q} D(\log D)\right) \\ &= D(x, q) - \frac{x^2}{q} A^2 + O\left(x(\log x)^3 + \frac{x}{q} D(\log x)\right), \text{ say.} \end{aligned} \tag{4.1}$$

We proceed to consider $D(x, q)$.

$$\begin{aligned} D(x, q) &= 2 \sum_{0 < l \leq x/q} \sum_{\substack{d_1, d_2 \\ (d_1 d_2, q)=1}} \lambda_{d_1} \lambda_{d_2} \sum_{\substack{x < n \leq 2x+ql \\ n \equiv 0(d_1) \\ n+ql \equiv 0(d_2)}} 1 \\ &= 2 \sum_{0 < l \leq x/q} \sum_{\substack{d_1, d_2 \\ (d_1 d_2, q)=1 \\ (d_1, d_2) | l}} \lambda_{d_1} \lambda_{d_2} \sum_{\substack{x/d_1 < m \leq (2x-ql)/d_1 \\ d_1^* m + ql / (d_1, d_2) \equiv 0(d_2^*)}} 1 \end{aligned}$$

where $d_1^* = d_1 / (d_1, d_2)$, $d_2^* = d_2 / (d_1, d_2)$. Hence we see

$$D(x, q) = M + 2R \tag{4.2}$$

where

$$\begin{aligned} M &= M(x, q) = 2 \sum_{0 < l \leq x/q} \sum_{\substack{d_1, d_2 \\ (d_1 d_2, q)=1 \\ (d_1, d_2) | l}} \lambda_{d_1} \lambda_{d_2} \frac{x-ql}{[d_1, d_2]} \\ R &= R(x, q) = \sum_{0 < l \leq x/q} \sum_{\substack{d_1, d_2 \\ (d_1 d_2, q)=1 \\ (d_1, d_2) | l}} \lambda_{d_1} \lambda_{d_2} \left\{ \psi\left(\frac{2x-ql}{[d_1, d_2]} + \frac{l}{(d_1, d_2)} q \frac{\overline{d_1^*}}{d_2^*}\right) \right. \\ & \quad \left. - \psi\left(\frac{x}{[d_1, d_2]} + \frac{l}{(d_1, d_2)} q \frac{\overline{d_1^*}}{d_2^*}\right) \right\} \end{aligned} \tag{4.3}$$

We now consider M . In the next section we shall estimate R .

$$M = \sum_{\substack{(d_1, d_2) \leq x/q \\ (d_1 d_2, q)=1}} \sum_{\substack{d_1, d_2 \\ (d_1, d_2) | l}} \frac{\lambda_{d_1} \lambda_{d_2}}{[d_1, d_2]} 2 \sum_{0 < l \leq x/q} (x-ql)$$

$$\begin{aligned}
&= \frac{x^2}{q} \sum_{\substack{d_1, d_2 \leq x/q \\ (d_1 d_2, q)=1}} \frac{\lambda_{d_1} \lambda_{d_2}}{[d_1, d_2]} \frac{1}{(d_1, d_2)} + O\left(x \sum_{(d_1, d_2) \leq x/q} \frac{|\lambda_{d_1}| |\lambda_{d_2}|}{[d_1, d_2]}\right) \\
&= \frac{x^2}{q} \left\{ \left(\sum_{(d, q)=1} \frac{\lambda_d}{d} \right)^2 + O\left(\sum_{(d_1, d_2) > x/q} \frac{|\lambda_{d_1}| |\lambda_{d_2}|}{d_1 d_2} \right) \right\} + O(x(\log x)^3) \\
&= \frac{x^2}{q} A^2 + O\left(\frac{x^2}{q} \cdot \frac{q}{x} (\log D)^2 + x(\log x)^3 \right).
\end{aligned}$$

Thus, combining this with (4.1) (4.2), we have

$$\sum_{a=1}^q |E(x; q, a)|^2 \leq 2R + O\left(x(\log x)^3 + \frac{x}{q} D(\log x)\right) \quad (4.4)$$

where R is given by (4.3).

Next we turn to the proof of (3.2). Put

$$A^*(n) = \sum_{\substack{p^2 | n \\ p \in I}} 1, \quad A^* = \sum_{p \in I} \frac{1}{p^2}$$

where $I = \{p : x^{2\varepsilon} \leq p \leq x^{(1/2-\varepsilon)}, p \nmid q\}$. Then, the left hand side of (3.2) is equal to

$$\begin{aligned}
&\sum_{\substack{x < n \leq 2x \\ n \equiv a(q)}} \left\{ \left(\sum_{\substack{p^2 | n \\ p \in I}} 1 \right) - \frac{x}{q} \left(\sum_{p \in I} \frac{1}{p^2} \right) \right\} + \frac{x}{q} \left(\sum_{p \in I} \frac{1}{p^2} \right) \sum_{\substack{x < n \leq 2x \\ n \equiv a(q)}} 1 \\
&= \sum_{\substack{x < n \leq 2x \\ n \equiv a(q)}} \left\{ A^*(n) - \frac{x}{q} A^* \right\} + O\left(\left(\frac{x}{q} \right)^2 x^{-2\varepsilon} \right) \\
&= E_1(x; q, a) + O\left(\frac{x^{1-\varepsilon}}{q} \right), \text{ say.}
\end{aligned}$$

Moreover we have

$$\begin{aligned}
\sum_{a=1}^q |E_1(x; q, a)|^2 &\leq \sum_{a=1}^q |E_1(x; q, a)|^2 \\
&= \sum_{\substack{x < n_1, n_2 \leq 2x \\ n_1 \equiv n_2(q)}} \left(A^*(n_1) - \frac{x}{q} A^* \right) \left(A^*(n_2) - \frac{x}{q} A^* \right) \\
&\ll \sum_{x < n \leq 2x} \left\{ A^*(n)^2 + \left(\frac{x}{q} \right)^2 (A^*)^2 \right\} \frac{x}{q} \\
&\ll \frac{x}{q} \left(\sum_{p \in I} \sum_{\substack{x < n \leq 2x \\ p^2 | n}} 1 + \sum_{p_1 \neq p_2 \in I} \sum_{\substack{x < n \leq 2x \\ p_1^2 p_2^2 | n}} 1 \right) + \left(\frac{x}{q} \right)^3 x^{1-4\varepsilon} \\
&\ll \frac{x}{q} \left(\sum_{p \in I} \frac{x}{p^2} + \sum_{p_1 \neq p_2 \in I} \left(1 + \frac{x}{p_1^2 p_2^2} \right) \right) + x \\
&\ll \frac{x}{q} x^{1-\varepsilon} + x,
\end{aligned}$$

as required.

5. Proof of Lemma 4.

In this section we estimate R by appealing to Lemma 2 and Lemma 3. Write $(d_1, d_2) = \delta$, $d_1^* = \nu_1$, $d_2^* = \nu_2$, $l = \delta k$, then

$$R = \sum_{0 < \delta k \leq x/q} \sum_{\substack{\nu_1, \nu_2 \\ (\nu_1, \nu_2) = 1 \\ (\delta \nu_1 \nu_2, q) = 1}} \lambda_{\delta \nu_1} \lambda_{\delta \nu_2} \left\{ \phi \left(\frac{2x - \delta k q}{\delta \nu_1 \nu_2} + k q \frac{\overline{\nu_1}}{\nu_2} \right) - \phi \left(\frac{x}{\delta \nu_1 \nu_2} + k q \frac{\overline{\nu_1}}{\nu_2} \right) \right\}$$

We firstly decompose $\lambda_{\delta \nu}$. Since $\mu^2(\delta \nu) = 1$, we may write

$$\lambda_{\nu \delta} = \sum_{l \leq (\log D)^2} \sum_{d e = \delta} \sum_{\substack{m n = \nu \\ m \leq M/d, n \leq N/e \\ \mu^2(n) = 1}} a_{dm}(l, M, N) b_{en}(l, M, N).$$

Thus,

$$\begin{aligned} R &= \sum_{\substack{0 < \delta k \leq x/q \\ (\delta, q) = 1}} \sum_{\substack{l_1, l_2 \leq (\log D)^2 \\ d_1 e_1 = \delta \\ d_2 e_2 = \delta}} \sum_{\substack{m_1 \leq M/d_1, n_1 \leq N/e_1 \\ m_2 \leq M/d_2, n_2 \leq N/e_2 \\ (m_1 n_1, m_2 n_2) = 1 \\ (m_1 n_1, m_2 n_2, q) = 1 \\ \mu^2(n_1) = \mu^2(n_2) = 1}} a_{d_1 m_1}(l_1, M, N) b_{e_1 n_1}(l_1, M, N) \\ &\quad \cdot a_{d_2 m_2}(l_2, M, N) b_{e_2 n_2}(l_2, M, N) \left\{ \phi \left(\frac{2x - \delta k q}{\delta m_1 n_1 m_2 n_2} + k q \frac{\overline{m_1 n_1}}{m_2 n_2} \right) \right. \\ &\quad \left. - \phi \left(\frac{x}{\delta m_1 n_1 m_2 n_2} + k q \frac{\overline{m_1 n_1}}{m_2 n_2} \right) \right\} \\ &\ll \sum_{0 < \delta k \leq x/q} \sum (\log D)^4 \tau(\delta)^2 \sum_{\substack{M_1, M_2 \leq M \\ N_1, N_2 \leq N}} \sup |R_1|, \end{aligned}$$

where

$$\begin{aligned} R_1 &= R_1(\delta, k, q; M_1, M_2, N_1, N_2) \\ &= \sum_{\substack{m_1 \sim M_1, n_1 \sim N_1 \\ m_2 \sim M_2, n_2 \sim N_2 \\ (m_1 n_1, m_2 n_2) = 1 \\ \mu^2(n_1 n_2) = 1}} \alpha_1(m_1) \alpha_2(m_2) \beta_1(n_1) \beta_2(n_2) \left\{ \phi \left(\frac{2x - \delta k q}{\delta m_1 n_1 m_2 n_2} + k q \frac{\overline{m_1 n_1}}{m_2 n_2} \right) \right. \\ &\quad \left. - \phi \left(\frac{x}{\delta m_1 n_1 m_2 n_2} + k q \frac{\overline{m_1 n_1}}{m_2 n_2} \right) \right\}, \end{aligned}$$

M_1, M_2, N_1, N_2 's run through the powers of 2, and the supremum is taken over all sequences $\alpha_1, \alpha_2, \beta_1, \beta_2$, such that $|\alpha_1|, |\alpha_2|, |\beta_1|, |\beta_2| \leq 1$. Moreover,

$$\begin{aligned} R &\ll \sum_{0 < \delta k \leq x/q} \sum (\log D)^4 \tau(\delta)^2 \left\{ \sum_{M_1 M_2 N_1 N_2 > x^{1-2\epsilon}} \sup |R_1| + \sum_{M_1 M_2 N_1 N_2 \leq x^{1-2\epsilon}} (M_1 M_2 N_1 N_2) \right\} \\ &\ll (\log D)^8 \sum_{0 < \delta k \leq x/q} \sum \tau(\delta)^2 \sup_{\substack{\alpha_1, \alpha_2, \beta_1, \beta_2 \\ M_1, M_2 \leq M, N_1, N_2 \leq N \\ M_1 M_2 N_1 N_2 > x^{1-2\epsilon}}} |R_1| + \frac{x}{q} x^{1-\epsilon}. \end{aligned} \tag{5.1}$$

Next we apply Lemma 2 to ϕ -function in R_1 . Thus, we see

$$R_1 = R_2 + R_3, \tag{5.2}$$

where

$$R_2 = \sum_{\substack{m_1, m_2, n_1, n_2 \\ (m_1 n_1, m_2 n_2) = 1 \\ (m_1 n_1 m_2 n_2, q) = 1}} \frac{\alpha_1(m_1) \alpha_2(m_2) \beta_1(n_1) \beta_2(n_2)}{\delta m_1 m_2 n_1 n_2} \sum_{0 < |h| \leq H} e\left(h k q \frac{\overline{m_1 n_1}}{m_2 n_2}\right) \int_x^{2x - \delta k q} e\left(\frac{ht}{\delta m_1 m_2 n_1 n_2}\right) dt$$

$$R_2 = \sum_{\substack{m_1, m_2, n_1, n_2 \\ (m_1 n_1, m_2 n_2) = 1 \\ (m_1 n_1 m_2 n_2, q) = 1}} \min\left(1, \frac{1}{H \left\| \frac{x_j}{\delta m_1 n_1 m_2 n_2} + k q \frac{\overline{m_1 n_1}}{m_2 n_2} \right\|}\right)$$

with $x_1 = x$, $x_2 = 2x - \delta k q$.

Now we consider R_3 . By Lemma 2, we have

$$R_3 \ll \sum_{j=1,2} \sum_{\substack{l \sim M_1 N_1 \\ (l, r) = 1}} \sum_{\substack{r \sim M_2 N_2 \\ (l, r, q) = 1}} \tau(l) \tau(r) \min\left(1, \frac{1}{H \left\| \frac{x_j}{\delta l r} + k q \frac{\bar{l}}{r} \right\|}\right)$$

$$\ll x^\varepsilon \sum_{j=1,2} \sum_{\substack{r \sim M_2 N_2 \\ (r, q) = 1}} \sum_{\substack{l \sim M_1 N_1 \\ (l, r) = 1}} \min\left(1, \frac{1}{H \left\| \frac{x_j}{\delta l r} + k q \frac{\bar{l}}{r} \right\|}\right)$$

$$\leq x^\varepsilon \sum_{j=1,2} \sum_{h \in \mathbb{Z}} |C_h| |S(h)| \tag{5.3}$$

where

$$S(h) = S(h; M_1, M_2, N_1, N_2, j)$$

$$= \sum_{\substack{r \sim M_2 N_2 \\ (r, q) = 1}} \sum_{\substack{l \sim M_1 N_1 \\ (l, r) = 1}} e\left(\frac{h x_j}{\delta l r}\right) e\left(h k q \frac{\bar{l}}{r}\right).$$

We proceed to estimate $S(h)$. Trivially,

$$S(h) \ll M_1 M_2 N_1 N_2. \tag{5.4}$$

For $h \neq 0$, we get, by partial summation and Lemma 3,

$$S(h) \ll \sum_{\substack{r \sim M_2 N_2 \\ (r, q) = 1}} \left(1 + \frac{h x_j}{\delta M_1 N_1 r}\right) \left| \sum_{\substack{l \sim M_1 N_1 \\ (l, r) = 1}} e\left(h k q \frac{\bar{l}}{r}\right) \right|$$

$$\ll \left(1 + \frac{h x}{M_1 M_2 N_1 N_2}\right) \sum_{\substack{r \sim M_2 N_2 \\ (r, q) = 1}} (h k q, r)^{1/2} r^{1/2 + \varepsilon/2} \left(1 + \frac{M_1 N_1}{r}\right)$$

$$\ll \left(1 + \frac{h x}{M_1 M_2 N_1 N_2}\right) x^{\varepsilon/2} \left(\sum_{r \sim M_2 N_2} (h k, r)^{1/2} r^{1/2} + M_1 N_1 \sum_{r \sim M_2 N_2} \frac{(h k, r)^{1/2}}{r^{1/2}} \right)$$

$$\ll \left(1 + \frac{h x}{M_1 M_2 N_1 N_2}\right) x^{\varepsilon/2} \left(\sum_{r \sim M_2 N_2} \frac{(h k, r)}{r} \right)^{1/2} \left\{ \left(\sum_r r^2 \right)^{1/2} + M_1 N_1 \left(\sum_r 1 \right)^{1/2} \right\}$$

$$\ll \left(1 + \frac{hx}{M_1 M_2 N_1 N_2}\right) x^\varepsilon \{(M_2 N_2)^{3/2} + M_1 N_1 (M_2 N_2)^{1/2}\}, \tag{5.5}$$

since

$$\sum_{n \sim N} \frac{(m, n)}{n} \ll \tau(m) \log N.$$

In conjunction with (5.3) (5.4) (5.5), we have

$$\begin{aligned} R_3 &\ll x^\varepsilon M_1 M_2 N_1 N_2 \left(|C_0| + \sum_{|h_1| > H M_2 N_2} |C_{h_1}| \right) \\ &\quad + x^{2\varepsilon} \{(M_2 N_2)^{3/2} + M_1 N_1 (M_2 N_2)^{1/2}\} \sum_{0 < |h_1| \leq H M_2 N_2} |C_{h_1}| \left(1 + \frac{hx}{M_1 M_2 N_1 N_2}\right) \\ &\ll x^\varepsilon M_1 M_2 N_1 N_2 \left(\frac{\log H}{H} + \sum_{h > H M_2 N_2} \frac{H}{h^2} \right) \\ &\quad + x^{2\varepsilon} \{(M_2 N_2)^{3/2} + M_1 N_1 (M_2 N_2)^{1/2}\} \left\{ \sum_{0 < h \leq H} \frac{\log H}{H} \left(1 + \frac{Hx}{M_1 M_2 N_1 N_2}\right) \right. \\ &\quad \left. + \sum_{H < h \leq H M_2 N_2} H \left(\frac{1}{h^2} + \frac{x}{h M_1 M_2 N_1 N_2} \right) \right\} \\ &\ll x^\varepsilon M_1 M_2 N_1 N_2 \left(\frac{\log H}{H} + \frac{1}{M_2 N_2} \right) + x^{2\varepsilon} (M_2 N_2)^{3/2} \\ &\quad + M_1 N_1 (M_2 N_2)^{1/2} (\log H)^2 \left(1 + \frac{Hx}{M_1 M_2 N_1 N_2}\right). \end{aligned}$$

Now, we choose

$$H = \frac{M_1 M_2 N_1 N_2}{x^{1-4\varepsilon}}.$$

then we see $H > 2$ since $M_1 M_2 N_1 N_2 > x^{1-2\varepsilon}$ in (5.1). Thus, we have

$$R_3 \ll x^\varepsilon (x^{1-3\varepsilon} + M_1 N_1) + x^{2\varepsilon} \{(M_2 N_2)^{3/2} + M_1 N_1 (M_2 N_2)^{1/2}\} (\log x)^2 x^{4\varepsilon},$$

or

$$\sup |R_3| \ll x^{1-2\varepsilon} + x^\varepsilon MN + x^{7\varepsilon} (MN)^{3/2} \ll x^{1-2\varepsilon}, \tag{5.6}$$

since $MN < x^{2/3-7\varepsilon}$.

We turn to R_2 . We shall show $\sup |R_2| \ll x^{1-3\varepsilon/2}$, from which Lemma 4 follows. Actually, by (5.1) (5.2) (5.6), we then get

$$R \ll (\log D)^8 \sum_{0 < \delta \leq x/q} \sum_{\delta \leq x/q} \tau(\delta)^2 \sup (|R_2| + |R_3|) + \frac{x}{q} x^{1-\varepsilon} \ll \frac{x}{q} x^{1-\varepsilon}.$$

Now,

$$R_2 = \frac{1}{N_1 N_2} \sum_{\substack{m_1, m_2 \\ (m_1, m_2) = 1 \\ (m_1 m_2, q) = 1}} \alpha_1(m_1) \alpha_2(m_2) \int_{x/\delta m_1 m_2}^{2x - \delta k q / \delta m_1 m_2} \sum_{\substack{0 < |h| \leq H \\ (m_1 n_1, m_2 n_2) = 1 \\ (n_1 n_2, q) = 1 \\ \mu^2(n_1 n_2) = 1}} \beta_1(n_1) \beta_2(n_2)$$

$$\begin{aligned}
 & \cdot \frac{N_1 N_2}{n_1 n_2} e\left(\frac{ht}{n_1 n_2}\right) \cdot e\left(hkq \frac{\overline{m_1 n_1}}{m_2 n_2}\right) dt \\
 \ll & \frac{1}{N_1 N_2} \sum_{\substack{m_1 \\ (m_1, m_2)=1 \\ (m_2, q)=1}} \sum_{m_2} \int_{x/4\delta M_1 M_2}^{2x/\delta M_1 M_2} \left| \sum_{0 < h \leq H} \sum_{\substack{n_1 \\ (m_1 n_1, m_2 n_2)=1 \\ (n_1 n_2, q)=1 \\ \mu^2(n_1 n_2)=1}} \sum_{n_2} \beta_1(n_1) \beta_2(n_2) \frac{N_1 N_2}{n_1 n_2} e\left(\frac{ht}{n_1 n_2}\right) \right. \\
 & \left. \cdot e\left(hkq \frac{\overline{m_1 n_1}}{m_2 n_2}\right) \right| dt \\
 = & \frac{1}{N_1 N_2} \int_{x/4\delta M_1 M_2}^{2x/\delta M_1 M_2} \sum_{m_1} \sum_{m_2} \left| \sum_h \sum_{n_1} \sum_{n_2} c_{h n_1 n_2}(t) \cdot e\left(hkq \frac{\overline{m_1 n_1}}{m_2 n_2}\right) \right| dt \tag{5.7}
 \end{aligned}$$

where $|c_{h n_1 n_2}(t)| \leq 1$.

We proceed to consider the sum

$$\begin{aligned}
 S &= S(t; q, k; M_1, M_2, N_1, N_2) \\
 &= \sum_{m_1} \sum_{m_2} \left| \sum_h \sum_{n_1} \sum_{n_2} c_{h n_1 n_2}(t) \cdot e\left(hkq \frac{\overline{m_1 n_2}}{m_2 n_2}\right) \right|^2 \\
 &= \sum_{0 < h_1, h_2 \leq H} \sum_{\substack{n_1 \\ (n_1, n_2)=(n_3, n_4)=1 \\ (n_1 n_2 n_3 n_4, q)=1 \\ \mu^2(n_1 n_2)=\mu^2(n_3 n_4)=1}} \sum_{n_2} \sum_{n_3} \sum_{n_4} c_{h_1 n_1 n_2}(t) \bar{c}_{h_2 n_3 n_4}(t) \sum_{\substack{m_1 \\ (m_1 n_1, m_2 n_2)=1 \\ (m_1 n_3, m_2 n_4)=1 \\ (m_2, q)=1}} \sum_{m_2} e\left(kq \left(h_1 \frac{\overline{m_1 n_1}}{m_2 n_2} - h_2 \frac{\overline{m_1 n_3}}{m_2 n_4} \right)\right).
 \end{aligned}$$

Put

$$b = h_1(m_1 n_1)^* \frac{1}{(n_2, n_3)} \frac{n_4}{(n_1, n_4)} - h_2(m_1 n_3)^{**} \frac{1}{(n_1, n_4)} \frac{n_2}{(n_2, n_3)}$$

where

$$\begin{aligned}
 (m_1 n_1)^* m_1 n_1 &\equiv 1 \pmod{m_2 n_2}, \\
 (m_1 n_3)^{**} m_1 n_3 &\equiv 1 \pmod{m_2 n_4},
 \end{aligned}$$

then

$$\begin{aligned}
 m_1 n_1 n_3 b &= h_1(m_1 n_1)^* m_1 n_1 \frac{n_3}{(n_2, n_3)} \frac{n_4}{(n_1, n_4)} - h_2(m_1 n_3)^{**} m_1 n_3 \frac{n_1}{(n_1, n_4)} \frac{n_2}{(n_2, n_3)} \\
 &\equiv h_1 \frac{n_3}{(n_2, n_3)} \frac{n_4}{(n_1, n_4)} - h_2 \frac{n_1}{(n_1, n_4)} \frac{n_2}{(n_2, n_3)} \pmod{m_2 \frac{n_2}{(n_2, n_3)} \frac{n_4}{(n_1, n_4)}} \\
 &= l, \text{ say.}
 \end{aligned}$$

Thus, we see

$$h_1 \frac{m_1 n_1}{m_2 n_2} - h_2 \frac{m_1 n_3}{m_2 n_4} \equiv l \frac{\overline{m_1 n_1 n_3}}{m_2 \frac{n_2}{(n_2, n_3)} \frac{n_4}{(n_1, n_4)}} \pmod{1},$$

Since $\mu^2(n_1 n_2) = \mu^2(n_3 n_4) = 1$, $(m_1 n_1, m_2 n_2) = (m_1 n_3, m_2 n_4) = 1$. Therefore we may write

$$S = \sum_{\substack{0 < h_1, h_2 \leq H \\ h_1 n_3 n_4 - h_2 n_1 n_2 = l \\ m_2 n_2 n_4 = d}} \sum_{\substack{n_j (j=1,2,3,4) \\ (n_1, n_4) (n_2, n_3) \\ (n_1, n_4) (n_2, n_3)}} c_{h_1 n_1 n_2}(t) \bar{c}_{h_2 n_3 n_4}(t) \sum_{m_1} \sum_{m_2} e\left(kql \frac{m_1 n_1 n_3}{d}\right)$$

$$\leq \sum_{h_1} \sum_{h_2} \sum_{\substack{n_j (j=1,2,3,4) \\ (n_1, n_2) = (n_3, n_4) = 1 \\ h_1 n_3 n_4 - h_2 n_1 n_2 = l \\ m_2 n_2 n_4 = d \\ (d, q) = 1}} \sum_{m_2} \left| \sum_{\substack{n_1 \sim M_1 \\ (m_1, d(n_1, n_4)(n_2, n_3)) = 1}} e\left(kql \frac{m_1 n_1 n_3}{d}\right) \right|$$

For $l \neq 0$, we apply Lemma 3.

$$S \ll \sum_{h_1} \sum_{h_2} \sum_{n_j} (M_1 M_2) + x^{\epsilon/2} \sum_{h_1} \sum_{h_2} \sum_{n_j} \sum_{m_2} (kql, d)^{1/2} d^{1/2} \left(1 + \frac{M_1}{d}\right)$$

$$\ll M_1 M_2 \sum_{r \sim HN_1 N_2} \tau_3(r)^2 + x^{\epsilon/2} \sum_{h_1} \sum_{h_2} \left\{ \sum_{n_j} \sum_{m_2} (kl, d)^{1/2} d^{1/2} + M_1 \sum_{n_j} \sum_{m_2} \frac{(kl, d)^{1/2}}{d^{1/2}} \right\}$$

$$\ll x^\epsilon H M_1 M_2 N_1 N_2 + x^{\epsilon/2} \sum_{h_1} \sum_{h_2} \left(\sum_{n_j} \sum_{m_2} \frac{(kl, d)}{d} \right)^{1/2} \left\{ \left(\sum_{n_j} \sum_{m_2} d^2 \right)^{1/2} + M_1 \left(\sum_{n_j} \sum_{m_2} 1 \right)^{1/2} \right\}.$$

Here, by an elementary argument, we easily see that

$$\sum_{n_j} \sum_{m_2} \frac{(kl, d)}{d} \ll x^\epsilon N_1^2,$$

$$\sum_{n_j} \sum_{m_2} d^2 \ll (M_2 N_2^2)^3 N_1^2,$$

and

$$\sum_{n_j} \sum_{m_2} 1 \ll M_2 N_2^2 N_1^2.$$

Thus,

$$S \ll x^\epsilon H M_1 M_2 N_1 N_2 + x^\epsilon H^2 (N_1^2 (M_2 N_2^2)^{3/2} + M_1 M_2^{1/2} N_1^2 N_2)$$

$$\ll x^\epsilon H^2 (x^{1-4\epsilon} + N_1^2 (M_2 N_2^2)^{3/2} + M_1 M_2^{1/2} N_1^2 N_2). \tag{5.9}$$

Hence, in conjunction with (5.7) (5.8) (5.9), we have

$$R_2 \ll \frac{1}{N_1 N_2} \int_{x/4\delta M_1 M_2}^{2x/\delta M_1 M_2} \left(\sum_{m_1} \sum_{m_2} 1 \right)^{1/2} (S)^{1/2} dt$$

$$\ll \frac{x}{\delta M_1 M_2 N_1 N_2} \{ M_1 M_2 \cdot x^\epsilon H^2 (x^{1-4\epsilon} + N_1^2 (M_2 N_2^2)^{3/2} + M_1 M_2^{1/2} N_1^2 N_2) \}^{1/2}$$

$$\ll x^{9\epsilon/2} \{ M_1 M_2 x^{1-4\epsilon} + M_1 M_2 (N_1^2 (M_2 N_2^2)^{3/2} + M_1 M_2^{1/2} N_1^2 N_2) \}^{1/2}.$$

Since $M \leq x^{1/2-4\epsilon}$, $N \leq x^{1/20}$, we get

$$\sup |R_2| \ll x^{9\epsilon/2} (M^2 x^{1-4\epsilon} + M^{7/2} N^6)^{1/2} \ll x^{1-3\epsilon/2},$$

as required.

This completes our proof of Theorem 1.

6. Proof of Theorem 2.

In this section we give a brief proof of Theorem 2. We may easily modify the proof of Theorem 1. Put

$$A'(n) = \sum_{d|n} \lambda_d, \quad A' = \sum_d \frac{\lambda_d}{d}$$

where λ_d 's satisfy the conditions in Lemma 4. Moreover, for $x \leq y \leq 2x$, $\Delta = \Delta(x) > 2$, write

$$E(y, \Delta) = \sum_{y-\Delta < n \leq y} A'(n) - \Delta A'.$$

Then we have

$$\begin{aligned} & \int_x^{2x} |E(y, \Delta)|^2 dy \\ &= \sum_{x-\Delta < n \leq 2x} A'(n)^2 \int_{\max(x, n)}^{\min(2x, n+\Delta)} dy + \sum_{\substack{x-\Delta < n_1, n_2 \leq 2x \\ n_1 \neq n_2 \\ |n_1 - n_2| \leq \Delta}} A'(n_1) A'(n_2) \int_{\max(x, n_1, n_2)}^{\min(2x, n_1+\Delta, n_2+\Delta)} dy \\ & \quad - 2\Delta A' \sum_{x-\Delta < n \leq 2x} A'(n) \int_{\max(x, n)}^{\min(2x, n+\Delta)} dy + \Delta^2 (A')^2 x \\ &= O\left(\sum_{x-\Delta < n \leq 2x} \tau(n)^2 \Delta\right) + 2 \sum_{0 < a \leq \Delta} \sum_{x-\Delta < n \leq 2x-a} A'(n) A'(n+a) \int_{\max(x, n+a)}^{\min(2x, n+\Delta)} dy \\ & \quad - 2\Delta A' \left\{ \sum_{x < n \leq 2x-\Delta} A'(n) \cdot \Delta + O\left(\sum_{\substack{x-\Delta < n \leq x \\ \text{or } 2x-\Delta < n \leq 2}} \tau(n) \cdot \Delta\right) \right\} + \Delta^2 (A')^2 ((x-\Delta) + \Delta) \\ &= O(\Delta x (\log x)^3) + 2 \sum_{0 < a \leq \Delta} \left\{ \sum_{x < n \leq 2x-\Delta} A'(n) A'(n+a) (\Delta - a) \right. \\ & \quad \left. + O\left(\sum_{\substack{x-\Delta < n \leq x \\ \text{or } 2x-\Delta < n \leq 2x-a}} \tau(n) \tau(n+a) \cdot \Delta\right) \right\} - 2\Delta^2 (A')^2 \left\{ \sum_d \lambda_d \left(\sum_{\substack{x < n \leq 2x-\Delta \\ d|n}} 1 \right) \right\} \\ & \quad + O(\Delta^3 x^\epsilon) + \Delta^2 (A')^2 (x - \Delta) \\ &= O(\Delta x (\log x)^3) + D'(x, \Delta) - \Delta^2 (A')^2 (x - \Delta) + O(\Delta^2 D(\log D) + \Delta^3 x^\epsilon), \text{ say.} \end{aligned}$$

The above $D'(n, \Delta)$ is essentially equal to the sum $D(x, q)$ in (4.1). We therefore see

$$\int_x^{2x} |E(y, \Delta)|^2 dy \ll \Delta x (\log x)^3 + \Delta^2 x^{1-\epsilon} + \Delta^3 x^\epsilon.$$

The other modifications are immediate. Hence we get Theorem 2.

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