

## A CORRESPONDENCE BETWEEN OBSERVABLE HOPE IDEALS AND LEFT COIDEAL SUBALGEBRAS

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**1. Introduction.** Let  $H$  be a commutative Hopf algebra over a field  $k$  with the antipode  $S$ . A Hopf ideal  $I$  of  $H$  is observable if it satisfies the following condition; for any finite dimensional right (resp. left)  $H/I$ -comodule  $V$ , there exists a right (resp. left)  $H$ -comodule  $W$  with the structure map  $\lambda_W$  (resp.  $\rho_W$ ) and an injective  $H/I$ -comodule map  $\theta: V \rightarrow W$ , viewing  $W$  as a right (resp. left)  $H/I$ -comodule via

$$W \xrightarrow{\lambda_W} W \otimes H \xrightarrow{1 \otimes \pi} W \otimes H/I$$

(resp.  $W \xrightarrow{\rho_W} H \otimes W \xrightarrow{\pi \otimes 1} H/I \otimes W$ ),

where, in the following too,  $\pi: H \rightarrow H/I$  is a canonical Hopf algebra map and  $\otimes$  means a tensor product over  $k$ . If  $G$  is an affine algebraic group defined over  $k$  and  $K$  is its closed subgroup defined over  $k$ , then  $I = I(K)$ , the ideal of the definition for  $K$ , is observable in  $H = k[G]$ , the coordinate ring of  $G$  over  $k$ , if and only if  $K$  is an observable subgroup of  $G$  in sense of [1].

A subalgebra of  $H$  which is also a left coideal of  $H$  is called a left coideal subalgebra.

In this paper, we give a bijective correspondence between observable Hopf ideals and left coideal subalgebras  $A$  which satisfies

$$(*) \quad A = \text{Ker} \left( H \begin{array}{c} \xrightarrow{in_1} \\ \xrightarrow{in_2} \end{array} H \otimes_A H \right)$$

and a canonical construction of  $W$  from  $V$ . In the last section where we assume that a ground field  $k$  is algebraically closed and  $H$  is a finitely generated domain over  $k$  as an algebra (which we call an affine Hopf domain), we show that the condition (\*) on  $A$  is equivalent to the fact that if  $a \in A$  is a unit in  $H$ , then  $a$  is a unit in  $A$ . Moreover, in this case,  $A$  is finitely generated over  $k$  as an algebra (which we call an affine  $k$ -algebra as usual.) [2] shows that  $A$  is affine if and only

if the observable subgroup  $K$  of  $G$  satisfies “the codimension 2 condition on  $G/K$ .” Thus our result says that every observable subgroup automatically satisfies “the codimension 2 condition on  $G/K$ .” As a corollary, we get if  $G$  is an affine algebraic group over  $k$  and  $K$  is any closed subgroup of  $G$ , then  $k[G]^K$  is an affine  $k$ -algebra.

**2. A bijective correspondence.** The structure maps,  $m, \mu, \Delta, \varepsilon$  and  $S$  of  $H$  are a multiplication, a unit, a comultiplication, a counit and an antipode respectively as usual.

For any Hopf ideal  $I$  of  $H$ , we set

$$L(I) = \text{Ker}(H \xrightarrow[\text{in}]{\sigma_H} H \otimes H/I)$$

where  $\sigma_H: H \xrightarrow{\Delta} H \otimes H \xrightarrow{1 \otimes \pi} H \otimes H/I$ , and, for any left coideal subalgebra  $A$  of  $H$ ,

$$J(A) = A^+H = \text{the ideal of } H \text{ generated by } A^+ = A \cap \text{Ker } \varepsilon.$$

Then we get that  $L(I)$  is a left coideal subalgebra of  $H$  and  $J(A)$  is a Hopf ideal of  $H$ . In fact, we have only to show that  $L(I)$  is a left coideal and  $J(A)$  is closed under the antipode. For any  $h \in L(I)$ , the subcoalgebra of  $H$  generated by  $h$  is of finite dimension. Let  $h_1 = h, h_2, \dots, h_n$  be its  $k$ -basis. If we write

$$\Delta(h_i) = \sum_{j=1}^n h_j \otimes h_{ji}, \quad h_{ji} \in H,$$

then

$$\sigma_H(h) = \sum_{j=1}^n h_j \otimes \pi(h_{j1}) = h \otimes 1,$$

hence

$$\pi(h_{j1}) = \begin{cases} 1, & \text{if } j=1, \\ 0, & \text{otherwise.} \end{cases}$$

From the coassociativity of  $\Delta$ , we get  $\Delta(h_{j1}) = \sum_{t=1}^n h_{jt} \otimes h_{t1}$ , hence  $\sigma_H(h_{j1}) = \sum_{t=1}^n h_{jt} \otimes \pi(h_{t1}) = h_{j1} \otimes 1$ . Therefore  $h_{j1} \in L(I)$  for any  $j$ .

For any  $a \in A^+$ , we can write

$$\Delta(a) = a \otimes 1 + \sum b_i \otimes c_i, \quad b_i \in H \text{ and } c_i \in A^+.$$

From the well-known equation  $\mu\varepsilon = m(S \otimes 1)\Delta$ , we get  $S(a) = -\sum S(b_i)c_i$ , hence  $S(a) \in HA^+ = J(A)$ .

The following results are easy:

- (1)  $JL(I) \subset I$  and  $LJ(A) \supset A$ .
- (2) If  $I_1$  and  $I_2$  are Hopf ideals such that  $I_1 \subset I_2$ , then  $L(I_1) \subset L(I_2)$ . If  $A_1$  and

$A_2$  are left coideal subalgebras such that  $A_1 \subset A_2$ , then  $J(A_1) \subset J(A_2)$ .

(3)  $JLJ(A) = J(A)$  and  $LJL(I) = L(I)$ .

From these results, the mappings  $L$  and  $J$  give a bijective correspondence between the set  $\mathcal{J}$  of Hopf ideals  $I$  of  $H$  such that  $JL(I) = I$  and the set  $\mathcal{L}$  of left coideal subalgebras  $A$  of  $H$  such that  $LJ(A) = A$ .

DEFINITION.  $M$  is called a left  $(A, H)$ -Hopf module if  $M$  is a left  $A$ -module and a left  $H$ -comodule such that its  $H$ -comodule structure map

$$\rho_M: M \longrightarrow H \otimes M$$

is  $A$ -linear, where  $H \otimes M$  is viewed as a left  $A$ -module via

$$\rho_A = \Delta|_A: A \longrightarrow H \otimes A.$$

In the following we use the usual notation such as

$$\begin{aligned} \rho_M(x) &= \sum_{(x)} x_{(1)} \otimes x_{(0)} \\ \Delta(h) &= \sum_{(h)} h_{(2)} \otimes h_{(1)} \text{ etc.} \end{aligned}$$

$\rho_M$  is  $A$ -linear iff  $\rho_M(ax) = \sum a_{(2)} x_{(1)} \otimes a_{(1)} x_{(0)}$  for any  $x \in M$  and  $a \in A$ .

THEOREM 1. Let  $M$  be a left  $(A, H)$ -Hopf module. We have an isomorphism of left  $(H, H)$ -Hopf module,

$$\phi_M: H \otimes_A M \xrightarrow{\sim} H \otimes M | A^+ M, \quad h \otimes_A x \longmapsto \sum h x_{(1)} \otimes \bar{x}_{(0)},$$

where  $H \otimes_A M$  and  $H \otimes M | A^+ M$  are left  $H$ -comodules via

$$H \otimes_A M \longrightarrow H \otimes (H \otimes_A M), \quad h \otimes x \longmapsto \sum h_{(2)} x_{(1)} \otimes (h_{(1)} \otimes_A x_{(0)})$$

and

$$H \otimes M | A^+ M \xrightarrow{\Delta \otimes 1} H \otimes H \otimes H | A^+ M$$

respectively. In particular, since  $H$  and  $B = LJ(A)$  are left  $(A, H)$ -Hopf modules, we get

$$\begin{aligned} \phi_H: H \otimes_A H &\xrightarrow{\sim} H \otimes H | J(A), \text{ and} \\ \phi_B: H \otimes_A B &\xrightarrow{\sim} H, \quad h \otimes b \longmapsto hb, \end{aligned}$$

PROOF. We have mutually inverse mappings

$$H \otimes ({}_A M) \xrightleftharpoons[\Phi]{\Psi} {}_A (H \otimes M)$$

where  $\Psi(h \otimes x) = \sum h x_{(1)} \otimes x_{(0)}$  and  $\Phi(h \otimes x) = \sum h S(x_{(1)}) \otimes x_{(0)}$ . Notice that they are  $H$ -

linear and  $A$ -linear where  $A$ -module structures of them are indicated in the above diagram, moreover that the canonical mapping  $H \otimes M \xrightarrow{1 \otimes \text{can.}} H \otimes M / A^+ M$  is not only  $H$ -linear but also  $A$ -linear viewing as  ${}_A(H \otimes M)$  and  ${}_A(H \otimes M / A^+ M) = {}_A H \otimes M / A^+ M$ .  $H \otimes M \xrightarrow{\psi} H \otimes M \xrightarrow{\text{can.}} H \otimes M / A^+ M$  induces  $\phi_M: H \otimes M \xrightarrow{\phi} H \otimes M / A^+ M$ .  $H \otimes M \xrightarrow{\text{can.}} H \otimes M \xrightarrow{\text{can.}} H \otimes {}_A M$  induces  $H \otimes M / A^+ M \xrightarrow{\text{can.}} H \otimes A M$  and it is obvious that they are  $H$ -linear, mutually inverse and also left  $H$ -comodule maps.

REMARK. If  $A$  is a sub-Hopf algebra of  $H$ , a  $(A, H)$ -Hopf module is introduced by [6].  $(H, H)$ -Hopf module  $M$  is a Hopf module in sense of [5]. By this theorem,

$$\phi_M: M \xrightarrow{\sim} H \otimes_H M \xrightarrow{\sim} H \otimes M / H^+ M$$

as  $(H, H)$ -Hopf modules. Let  $M^H = \{x \in M \mid \rho_M(x) = 1 \otimes x\}$ , then  $\phi_M(M^H) = k \otimes {}_k M / H^+ M$ . Hence this theorem is an another form of the structure theorem of Hopf modules [5].

Notice that a similar argument is true for right coideal subalgebras of  $H$ . In particular,  $S(A)$  is a right coideal subalgebra, hence we get  $H \otimes_{S(A)} H \xrightarrow{\sim} H / J(A) \otimes H$ .

COROLLARY.  $A \subset \mathcal{L}$ , i.e.  $A = LJ(A)$  iff  $A = \text{Ker} \begin{pmatrix} in_1 \\ \xrightarrow{\quad} H \otimes_A H \\ in_2 \end{pmatrix}$ .

In fact, we get a commutative diagram,

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \hookrightarrow & H & \xrightarrow{in_1 - in_2} & H \otimes_A H \\ & & \downarrow & \curvearrowright & \downarrow id_H & \curvearrowright & \downarrow \psi_H \\ 0 & \longrightarrow & LJ(A) & \hookrightarrow & H & \xrightarrow{in - \sigma_H} & H \otimes H / J(A) \end{array}$$

where the first row is a zero sequence and the second one is exact.

In the rest of this section, we show that a Hopf ideal  $I$  is observable iff  $I \subset \mathcal{J}$ , i.e.  $JL(I) = I$ , and the canonical construction of a  $H$ -comodule  $W$  from a  $H/I$ -comodule  $V$ .

PROPOSITION 2. If a Hopf ideal  $I$  is observable, then  $I \in \mathcal{J}$ .

PROOF. Since  $\sigma_H(I) \subset I \otimes H / I$ ,  $I$  is a right  $H/I$ -subcomodule of  $H$ . It is enough to show that  $I \subset JL(I)$ , hence  $V \subset JL(I)$  for any finite dimensional right  $H/I$ -subcomodule  $V$  of  $I$ . Let  $v_1, \dots, v_n$  be the  $k$ -basis of  $V$ . If we write

$$\sigma_H(v_i) = \sum_{j=1}^n v_j \otimes b_{ji}, \quad b_{ji} \in H / J, \tag{1}$$

we get  $\Delta(b_{ji}) = \sum_{t=1}^n b_{jt} \otimes b_{ti}$  and  $\varepsilon(b_{ji}) = \delta_{ji}$  from the definition of a comodule. Let  $V^*$  be a dual space of  $V$ .  $V^*$  has the structure of a left  $H/I$ -comodule which is called a transposed one. If its dual basis is denoted by  $v_1^*, \dots, v_n^*$ , then the structure map  $\mu_{V^*}$  is given by

$$\mu_{V^*}(v_i^*) = \sum_{j=1}^n b_{ij} \otimes v_j^*,$$

where  $b_{ij}$ 's are those of (1). Since  $I$  is observable, there is a (finite dimensional) left  $H$ -comodule  $W$  and an injective left  $H/I$ -comodule map  $\theta: V^* \rightarrow W$ . If we take the  $k$ -basis of  $W$  as  $w_1 = \theta(v_1^*), \dots, w_n = \theta(v_n^*), w_{n+1}, \dots, w_N$  and write its structure map as

$$w_i \longmapsto \sum_{j=1}^N h_{ij} \otimes w_j, h_{ij} \in H,$$

then we get  $\Delta(h_{ij}) = \sum_{t=1}^N h_{it} \otimes h_{tj}$  and  $\varepsilon(h_{ij}) = \delta_{ij}$  as above. From the equation  $(1 \otimes \theta)\mu_{V^*} = (\pi \otimes 1)\Delta\theta$ , we get

$$\sum_{j=1}^n b_{ij} \otimes \theta(v_j^*) = \sum_{j=1}^N \pi(h_{ij}) \otimes w_j \quad (1 \leq i \leq n),$$

hence

$$\pi(h_{ij}) = \begin{cases} b_{ij} & (1 \leq j \leq n) \\ 0 & (n < j \leq N). \end{cases} \quad (2)$$

If we show  $\sum_{j=1}^n v_j S(h_{jt}) \in L(I)^+ (1 \leq t \leq N)$ , then  $v_i \in L(I)^+ H = JL(I) (1 \leq i \leq n)$ , for

$$\sum_{t=1}^n \sum_{j=1}^n v_j S(h_{jt}) h_{ti} = \sum_{j=1}^n v_j \varepsilon(h_{ji}) = v_i \quad (1 \leq i \leq n).$$

Now, from (2) and the equation  $\mu\varepsilon = m(1 \otimes S)\Delta$ ,

$$\begin{aligned} \sigma_H \left( \sum_{j=1}^n v_j S(h_{jt}) \right) &= \sum_{s=1}^n \sum_{i=1}^n \sum_{j=1}^n v_i S(h_{st}) \otimes b_{ij} \pi(S(h_{js})) \\ &= \sum_{s=1}^n \sum_{i=1}^n v_i S(h_{st}) \otimes \sum_{j=1}^n b_{ij} S(b_{js}) \\ &= \sum_{i=1}^n v_i S(h_{it}) \otimes 1, \end{aligned}$$

and  $\varepsilon(v_j) = 0 (1 \leq j \leq n)$ . Therefore  $\sum_{j=1}^n v_j S(h_{jt}) \in L(I)^+$ .

Notice that for a right comodule  $V$  over a coalgebra  $C$ , the structure map  $\rho_V: V \rightarrow V \otimes C$  is an injective right  $C$ -comodule map where  $V \otimes C$  is a right  $C$ -comodule via  $1 \otimes \Delta$ . If  $\dim V = n < \infty$ , then  $V \otimes C \simeq \otimes^n C$  as a right  $C$ -comodule (which depends

on the choice of the  $k$ -basis of  $V$ ). Therefore to get the canonical construction of  $H$ -comodule  $W$  from  $H/I$ -comodule  $V$ , it is enough to show it when  $V=H/I$ .

Let  $A$  be any left coideal subalgebra of  $H$ .  $H \otimes_A H$  is a right  $H$ -comodule via  $1 \otimes \Delta$  where  $H \otimes H$  is viewed as a  $A$ -module through  $A \xrightarrow{\Delta} H \otimes A$  and  $H \otimes H \otimes H$ , through  $A \xrightarrow{\Delta^2} H \otimes H \otimes A$ .

PROPOSITION 3. *Let*

$$\theta: H/J(A) \hookrightarrow H \otimes H/J(A) \xrightarrow{\phi_H^{-1}} H \otimes_A H, \text{ and}$$

$$\gamma: H/J(A) \hookrightarrow H/J(A) \otimes H \xrightarrow{\sim} H \otimes_{S(A)} H$$

then  $\theta$  (resp.  $\gamma$ ) is an injective right (resp. left)  $H/J(A)$ -comodule map. Therefore  $J(A)$  is observable.

In fact, paying attention to that  $\phi_H$  is induced by  $\Psi$  as in the proof of theorem 1, we can show that  $\theta$  is a required one by the routine calculation. The remark after theorem 1 suggests that a similar argument shows that  $\gamma$  is a required one.

### 3. The condition $A=LJ(A)$ .

In this section we assume that  $k$  is an algebraically closed field and  $H$  is an affine Hopf domain. Let  $A$  be a left coideal subalgebra of  $H$  which is an affine  $k$ -algebra. Let  $G$  be a connected affine algebraic group defined by  $H, K$  be a closed subgroup of  $G$  defined by  $J(A), Y$  be an irreducible affine variety defined by  $A$  and  $p: G \rightarrow Y$  be a dominant morphism of affine varieties defined by the inclusion  $A \rightarrow H$ .  $G$  acts morphically on  $Y$  and  $p$  is  $G$ -equivariant. It is well-known that  $k[G/K]=k[G]^K$ =the algebra of all functions on  $G$  constant on the cosets of  $K$  in  $G$ . It is easy to show  $k[G]^K=LJ(A)$ .

LEMMA. *Let the notations be as above, then  $p(G)$  open in  $Y$  and  $p(G) \xrightarrow{\sim} G/K$ .*

In fact,  $p(G)$  contains an open dense subset  $U$  of  $Y$ , hence  $p(G)=\bigcup_{x \in G} xU$  is open. Notice that  $p$  is really an open map. Now, if we show that  $p: G \rightarrow p(G)$  is a separable morphism whose fibres are cosets  $xK$ , then  $G/K \xrightarrow{\sim} p(G)$  from the universal property of  $G/K$ . Let  $e$  be the unit element of  $G$ , then  $p^{-1}(p(e))$  is the variety defined by  $H \otimes_A A/A \xrightarrow{\sim} H/A^+H$ . Hence  $p^{-1}(p(e))=K$ .

From the generic flatness, there exists  $0 \neq f \in A$  such that  $H_f$  is  $A_f$ -free. Since  $H \otimes_A B \xrightarrow{\sim} H, h \otimes b \rightarrow hb$  by theorem 1, we get  $B_f=A_f$ . Hence their fields of quotients are equal. By the theorem 3 in [1],  $k(G)^K$  coincides with the field of quotients of  $B$ . Clearly  $k(G)$  is separable over  $k(G)^K$ . Hence  $p$  is a separable morphism.

REMARK.  $K$  is an observable subgroup of  $G$  in sense of [1]. [1] shows that  $K$  is observable if and only if  $G/K$  is quasi-affine. This lemma and the following theorem show that there is the canonical embedding of  $G/K$  into an affine variety, namely, one defined by  $k[G]^K=LJ(A)$ .

THEOREM 4. *Let  $A$  be a left coideal subalgebra of  $H$  and  $X(H)$  be the group of all the group-like elements of  $H$  (hence, the group of the rational characters of  $G$ ). If  $X(A)=X(H)\cap A$  is the subgroup of  $X(H)$ , then  $A=LJ(A)$  and  $A$  is an affine  $k$ -algebra. For any left coideal subalgebra  $A$  of  $H$ ,  $LJ(A)$  satisfies the hypothesis, hence  $LJ(A)$  is always an affine  $k$ -algebra.*

We need the following lemma due to M.E. Sweedler :

LEMMA ([4], Collary 2.2). *Let  $H$  be as above, then  $U(H)=U(k)\times X(H)$  and  $X(H)$  is a finitely generated free abelian group, where  $U(H)$  and  $U(k)$  are the unit groups of  $H$  and  $k$  respectively.*

PROOF. Let  $a_i\in A$  ( $1\leq i\leq n$ ) be a system of generators of an ideal  $J(A)$ . Since  $X(H)$  is a finitely generated free abelian group, so is  $X(A)$ . Let  $x_j$  ( $1\leq j\leq t$ ) be a generators of  $X(A)$ . The left subcoideal of  $A$  generated by  $a_i(1\leq i\leq n)$  and  $x_j^{-1}(1\leq j\leq t)$  is of finite dimension, hence the  $k$ -algebra generated by the left coideal is finitely generated over  $k$  as an algebra and also satisfies the hypothesis. Therefore we may assume  $A$  is an affine  $k$ -algebra. By the above lemma,  $k[G/K]=S^{-1}A$ , where

$$S=\{f\in A\mid f(y)\neq 0 \text{ for all } y\in \mathcal{P}(G)\}.$$

In particular,  $S\subset U(H)$ . But by Sweedler's lemma and by the hypothesis on  $A$ ,  $S\subset U(A)$ . Therefore  $k[G/K]=A$ .

COROLLARY. *Let  $G$  be an affine algebraic group over  $k$  and  $K$  be a closed subgroup of  $G$ . Then  $k[G]^K$  is an affine  $k$ -algebra.*

In fact, we may assume that  $G$  is connected. Let  $I=I(K)$  be an ideal of definition for  $K$ , then  $L(I)=k[G]^K$ . Since  $LJL(I)=L(I)$  and  $JL(I)$  is observable and satisfies the hypothesis of theorem, we get the required result.

REMARKS. (1) In [2], the observable subgroup  $K$  of a connected affine algebraic group  $G$  is said to satisfy the codimension 2 condition on  $G/K$  iff  $k[G]^K$  is affine. Hence our result shows that every observable subgroup automatically satisfies the codimension 2 condition on  $G/K$ .

(2) Let  $A$  be a left coideal subalgebra of  $H$ . Assume that  $H$  is faithfully flat over  $A$ . Then  $0\rightarrow A\rightarrow H\overset{in_1-in_2}{\rightarrow} H\otimes_A H$  is exact, hence  $A=LJ(A)$ . By the theorem

4,  $A$  is an affine  $k$ -algebra. It follows from the faithfully flatness that  $Y=p(G)$  in the lemma, hence  $G/K$  is affine. So we get the bijective correspondence between the set of left coideal subalgebras  $A$  of  $H$  such that  $H$  is faithfully flat over  $A$  and the set of closed subgroups  $K$  of  $G$  such that  $G/K$  is affine.

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