ON THE DISTRIBUTION OF ALMOST PRIMES IN AN ARITHMETIC PROGRESSION

By

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1. Introduction. An almost prime is a positive integer the number of whose prime divisors is bounded by a certain constant. The purpose of this paper is to deal with an existence problem of almost primes in a short arithmetic progression of integers. We shall prove the following

Theorem. Let k and l be two integers with $k \ge 1$, $0 \le l \le k-1$, (k, l) = 1. There exists a numerical constant $c_1 > 0$ such that for every real number $x \ge c_1 k^{3.5}$ there is at least one integer n satisfying

$$x < n \le 2x$$
, $n \equiv l \pmod{k}$, $V(n) \le 2$,

where V(n) denotes the total number of prime divisors of n. In particular, if we write a(k, l) for the least positive integer n > 1 satisfying

$$n \equiv l \pmod{k}$$
, $V(n) \leq 2$,

then we have

$$a(k, l) < c_2 k^{3.5}$$

with some absolute constant $c_2 > 0$.

It is of some interest to compare our results presented above, though they are not the best possible, with a recent result of T. Tatuzawa [5] on the existence of a prime number p satisfying $x , <math>p \equiv l \pmod{k}$ and a celebrated theorem of Yu. V. Linnik concerning the upper bound for the least prime $p \equiv l \pmod{k}$ (cf. [3: X]).

Our proof of the theorem is based essentially upon the general sieve methods due to A. Selberg. The deepest result which we shall refer to is:

$$\pi(x) = \text{li } x + O\left(x \exp(-c_3(\log x)^{1/2})\right)$$

with a positive constant c_3 , where $\pi(x)$ denotes, as usual, the number of primes not exceeding x (in fact, a slightly weaker result will suffice for our purpose). Apart from this, the proof is entirely elementary.

Notations. Throughout in the following, k represents a fixed positive

integer, l an integer with $0 \le l \le k-1$, (k, l)=1. The letters p, q are used to denote prime numbers and, d, m, n, r to denote positive integers. The functions $\mu(n)$ and $\varphi(n)$ are Möbius' and Euler's functions, respectively. The function g(n) is defined as follows: g(1)=1 and for n>1 g(n)= the greatest prime divisor of n.

- s, t, u, v, w, x, y, z will be used to denote real numbers, constant or variable. c represents positive constants, not depending on k and l, which are not necessarily the same in each occurrence; the constants implied in the symbol O are either absolute or else uniform in k and l.
- 2. Preliminaries. There needs the following lemma for later calculations:

Lemma 1. We have

$$\sum_{p \le t} \frac{1}{p} = \log \log t + c_4 + O\left(\frac{1}{\log t}\right),$$

where c_4 is a constant;

$$\sum_{p \leq t} \frac{\log p}{p} = \log t + O(1);$$

$$\prod_{p \le t} \left(1 - \frac{1}{p} \right)^{-1} = e^{C} \log t + O(1) ,$$

where C is Euler's constant; and

$$\varphi(m) > c \frac{m}{\log \log 3 \, m} \; .$$

These results are well known. For a proof see [3: I, Theorems 3.1, 4.1 and 5.1].

Let $M \ge 0$, $N \ge 2$ be arbitrary but fixed integers and put

$$y = 2k(N+1), \quad w = y^{\frac{1}{2}-\epsilon},$$

where $0 < \varepsilon < \frac{1}{4}$: we shall fix $\varepsilon = \frac{1}{7}$ later on. Further we put

$$z=y^{rac{1}{lpha}}\,, \quad z_{\scriptscriptstyle 1}=y^{rac{1}{eta}}\,, \quad z_{\scriptscriptstyle 2}=y^{rac{1}{eta}}\,,$$

where α , β , γ are fixed real numbers satisfying

$$10 \ge 7 \ge 4 \ge \alpha > 2 \ge \beta > 1.$$

First we wish to evaluate from below the number S_1 of those integers of the form kn+l $(M < n \le M+N)$ which are not divisible by any prime $p \le z$. Applying the 'lower' sieve of A. Selberg (see [2] and [7]), we find that

$$S_1 \ge (1 - Q) N - R_1$$

where

$$Q = \sum_{\substack{p \leq z \ (p,k)=1}} rac{1}{pZ_p} \quad ext{with} \quad Z_p = \sum_{\substack{n \leq w/\sqrt{p} \ (n,k)=1}} rac{\mu^2(n)}{\varphi(n)}$$

and

$$R_1 = O\left(w^2 \sum_{p \le z} \frac{1}{pZ_p^2}\right).$$

It will be shown later that

$$Z_p > c \frac{\varphi(k)}{k} \log p$$
 for all $p \leq z$,

and so we have, by Lemma 1,

$$R_{\scriptscriptstyle 1} = O\left(w^{\scriptscriptstyle 2}(\log\log 3k)^{\scriptscriptstyle 2}\right).$$

We put

$$H_p = \prod_{\substack{q$$

Then it is easily verified that

$$1 - Q = \prod_{\substack{p \le z \\ (p,k)=1}} \left(1 - \frac{1}{p} \right) - \sum_{\substack{p \le z \\ (p,k)=1}} \frac{H_p - Z_p}{p H_p Z_p}.$$

Lemma 2. We have

$$\begin{split} S_{\scriptscriptstyle 1} & \geq \frac{kN}{\varphi(k)} \prod_{p \leq z} \left(1 - \frac{1}{p}\right) - N \sum_{\substack{p \leq z \\ (p,k) = 1}} \frac{H_p - Z_p}{p H_p Z_p} \\ &+ O\left(\frac{N(\log\log 3k)^3}{z \log z}\right) + O\left(w^2 (\log\log 3k)^2\right) \,. \end{split}$$

Proof. We have only to prove that

$$\prod_{\substack{p \leq z \\ (p,k) = 1}} \left(1 - \frac{1}{p} \right) = \frac{k}{\varphi(k)} \prod_{p \leq z} \left(1 - \frac{1}{p} \right) + O\left(\frac{(\log \log 3k)^3}{z \log z} \right)$$

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or

$$\prod_{\substack{p \leq z \\ p \mid k}} \left(1 - \frac{1}{p}\right)^{-1} = \frac{k}{\varphi(k)} + O\left(\frac{(\log \log 3k)^3}{z}\right).$$

Now we have

$$\begin{split} 0 & \leq \prod_{\substack{p \leq z \\ p \mid k}} \left(1 - \frac{1}{p}\right) - \frac{\varphi(k)}{k} = \prod_{\substack{p \leq z \\ p \mid k}} \left(1 - \frac{1}{p}\right) - \prod_{p \mid k} \left(1 - \frac{1}{p}\right) \\ & \leq \sum_{\substack{p > z \\ p \mid k}} \sum_{\substack{d \mid k \\ d \equiv 0 \, (p)}} \frac{\mu^2(d)}{d} = \sum_{\substack{p > z \\ p \mid k}} \frac{1}{p} \sum_{\substack{d \mid k/p \\ (d,p) = 1}} \frac{\mu^2(d)}{d} \\ & = O\left(\frac{1}{z} \frac{\log k}{\log z} \log \log 3k\right) = O\left(\frac{\log \log 3k}{z}\right), \end{split}$$

from which follows (1) at once.

Let q be a prime number in the interval $z < q \le z_1$ with (q, k) = 1. We next evaluate from above the number S(q) of those integers kn + l $(M < n \le M + N)$ which are not divisible by any prime $p \le z$ and are divisible by the prime q. Applying the 'upper' sieve of A. Selberg (see the Appendix below), we find that

$$S(q) \leq \frac{N}{qW_q} + R(q),$$

where

$$W_q = \sum_{\substack{n \le x^a \ g(n) \le z \ (n,k) = 1}} \frac{\mu^2(n)}{\varphi(n)}$$

with

$$a = \frac{\alpha}{2} \left(1 - 2\varepsilon - \frac{\log q}{\log y} \right)$$

and

$$R(q) = O\!\left(\!\frac{z^{2a}}{W_q^2}\!\right) = O\!\left(\!\frac{w^2}{q\,W_q^2}\!\right).$$

Now, let $r \ge 1$ be a fixed integer and let S_2 denote the number of those integers of the form kn+l $(M < n \le M+N)$ which are not divisible by any prime $p \le z$ and are divisible by at least r+1 distinct primes q in the interval $z < q \le z_1$ with (q, k) = 1. Clearly S_2 is not greater than

$$\frac{1}{r+1} \sum_{\substack{z < q \leq z_1 \\ (q, k)=1}} S(q).$$

Hence:

Lemma 3. We have

$$S_{\scriptscriptstyle 2} \! \leq \! \frac{N}{r\!+\!1} \! \sum_{\scriptscriptstyle \substack{z < q \leq z_1 \\ (q,k) = 1}} \! \frac{1}{q W_q} + O\!\left(\! \frac{w^{\scriptscriptstyle 2} (\log\log 3k)^{\scriptscriptstyle 2}}{\log^{\scriptscriptstyle 2} y} \right) \!.$$

Proof. It will later be shown that

$$W_q > c \frac{\varphi(k)}{k} \log y$$
 for $z < q \le z_1$.

It follows that

$$egin{aligned} rac{1}{r+1} \sum\limits_{\substack{z < q \leq z_1 \ (q, \ k) = 1}} R(q) &= O\Big(rac{w^2 (\log\log 3k)^2}{\log^2 y} \sum\limits_{z < q \leq z_1} rac{1}{q}\Big) \ &= O\Big(rac{w^2 (\log\log 3k)^2}{\log^2 y}\Big), \end{aligned}$$

since

$$\sum_{z < q \le z_1} \frac{1}{q} = \log \frac{\alpha}{\beta} + O(1) = O(1).$$

3. Some lemmas. Here we collect some auxiliary results which will be needed in the next two sections.

Lemma 4. We have

$$\sum_{d \mid m} \frac{\mu^2(d) \log d}{d} = O\left(\left(\log \log 3m\right)^2\right).$$

Proof. The left-hand side is equal to

$$\sum_{d|m} \frac{\mu^2(d)}{d} \sum_{p|d} \log p = \sum_{p|m} \log p \sum_{\substack{d|m\\d\equiv 0 \ (p)}} \frac{\mu^2(d)}{d}$$

$$= \sum_{p|m} \frac{\log p}{p} \sum_{\substack{d|m/p\\(d,p)=1}} \frac{\mu^2(d)}{d},$$

where we have

$$\sum_{\substack{d \mid m/p \\ (d,p)=1}} \frac{\mu^{2}(d)}{d} \leq \sum_{d \mid m} \frac{1}{d} = O(\log \log 3m)$$

and

$$\sum_{p|m} \frac{\log p}{p} = \sum_{\substack{p \leq \log m \\ p \leq \log m}} \frac{\log p}{p} + O(1)$$
$$= O(\log \log 3m).$$

This proves Lemma 4.

Lemma 5. We have

$$\sum_{\substack{n \leq t \\ (n-m) = 1}} \frac{\mu^2(n)}{\varphi(n)} = \frac{\varphi(m)}{m} \log t + O(\log \log 3m).$$

Proof. H. N. Shapiro and J. Warga [4: Appendix I] have proved that

$$\sum_{\substack{n \leq t \\ (n,m)=1}} \frac{\mu^2(n)}{n} = \frac{\varphi(m)}{m} \prod_{p \nmid m} \left(1 - \frac{1}{p^2}\right) \log t + O(\log \log 3m).$$

Using this inequality we obtain

$$\begin{split} \sum_{\substack{n \leq t \\ (n, \, m) = 1}} \frac{\mu^2(n)}{\varphi(n)} &= \sum_{\substack{n \leq t \\ (n, \, m) = 1}} \frac{\mu^2(n)}{n} \prod_{\substack{p \mid n}} \left(1 + \frac{1}{p - 1}\right) \\ &= \sum_{\substack{n \leq t \\ (n, \, m) = 1}} \frac{\mu^2(n)}{n} \sum_{\substack{n \leq t \\ (n, \, m) = 1}} \frac{1}{\varphi(d)} \\ &= \sum_{\substack{d \leq t \\ (n, \, m) = 1}} \frac{\mu^2(d)}{d\varphi(d)} \sum_{\substack{n \leq t \mid d \\ (n, \, dm) = 1}} \frac{\mu^2(n)}{n} \\ &= \sum_{\substack{d \leq t \\ (n, \, m) = 1}} \frac{\mu^2(d)}{d\varphi(d)} \left(\frac{\varphi(dm)}{dm} \prod_{\substack{p \mid d \mid d}} \left(1 - \frac{1}{p^2}\right) \log \frac{t}{d} + O(\log\log 3dm)\right) \\ &= \frac{\varphi(m)}{m} \prod_{\substack{p \mid m}} \left(1 - \frac{1}{p^2}\right) \sum_{\substack{d \leq t \\ (n, \, m) = 1}} \frac{\mu^2(d)}{d^2} \prod_{\substack{p \mid d}} \left(1 - \frac{1}{p^2}\right)^{-1} \log \frac{t}{d} \\ &+ O\left(\sum_{\substack{d \leq t \\ d \neq t}} \frac{\mu^2(d)}{d\varphi(d)} \log\log 3dm\right) \\ &= \frac{\varphi(m)}{m} \prod_{\substack{p \mid m}} \left(1 - \frac{1}{p^2}\right) \sum_{\substack{d \leq t \\ (n, \, m) = 1}} \frac{\mu^2(d)}{d^2} \prod_{\substack{p \mid d}} \left(1 - \frac{1}{p^2}\right)^{-1} \log t \\ &+ O\left(\sum_{\substack{k \leq t \\ d \geq t}} \frac{\mu^2(d)}{d^2} \prod_{\substack{p \mid d}} \left(1 - \frac{1}{p^2}\right)^{-1} \log d\right) \\ &+ O\left(\sum_{\substack{d \leq t \\ d \leq t}} \frac{\mu^2(d)}{d\varphi(d)} \log\log 3dm\right) \end{split}$$

$$= \frac{\varphi(m)}{m} \log t + O(\log \log 3m),$$

since

$$\sum_{\substack{d=1\\ (d,m)=1}}^{\infty} \frac{\mu^2(d)}{d^2} \prod_{p \mid d} \left(1 - \frac{1}{p^2}\right)^{-1} = \prod_{p \nmid m} \left(1 + \frac{1}{p^2 - 1}\right) = \prod_{p \nmid m} \left(1 - \frac{1}{p^2}\right)^{-1}.$$

Now, for u>0, $v\ge 2$, let G(u,v) denote the number of positive integers $n\le u$ with $g(n)\le v$.

Define the function $\rho(s)$ by the following properties:

(2)
$$\begin{cases} \rho(s) = 0 & (s < 0); & \rho(s) = 1 & (0 \le s \le 1); \\ s\rho'(s) = -\rho(s-1) & (s > 1); & \rho(s) \text{ continuous for } s > 0. \end{cases}$$

Then the following result has been proved by N. G. de Bruijn [1]:

Lemma 6. Let u>0, $v \ge 2$, and put $t=(\log u)/\log v$. Then we have

$$G(u, v) = O(u e^{-ct})$$

and, more precisely,

$$G(u, v) = u\rho(t)\left(1 + O\left(\frac{\log(2+t)}{\log v}\right)\right) + O(1) + O\left(ut^2P(v)\right),$$

where P(v) is a function satisfying

$$P(v) \downarrow 0$$
 $(v \rightarrow \infty)$, $P(v) > (\log v)/v$ $(v \ge 2)$, $|\pi(v) - \operatorname{li} v| < vP(v)/\log v$ $(v \ge 2)$.

As to the function $\rho(s)$ itself, it is not difficult to prove the following result, which is known as a lemma of N. C. Ankeny:

Lemma 7. For $s_1 \ge s_2 \ge 1$ we have

$$\rho(s_1) \leq \rho(s_2) e^{-(s_1-s_2)},$$

so that

$$\int_{s}^{\infty} \rho(t) dt \leq \rho(s) \qquad (s \geq 1).$$

For a proof of this result see [8].

4. Evaluation of S_1 . We are now going to find an explicit lower bound for S_1 on the basis of Lemma 2.

First we have to evaluate Z_p and H_p-Z_p for $p \le z$. To accomplish this we distinguish three cases on the magnitude of the prime p.

It is clear that

$$T_p \stackrel{\text{def}}{=} \sum_{\substack{n > w/\sqrt{p} \\ g(n) \leq p \\ (n,k) = 1}} \frac{1}{n} \geq H_p - Z_p \geq 0.$$

Case 1: $2 \le p \le \exp(\log y)^{\frac{2}{3}}$. By partial summation we get

$$T_p \leq \sum_{\substack{n > w/\sqrt{p} \\ g(n) \leq p}} \frac{1}{n} = \sum_{n > w/\sqrt{p}} \frac{G(n, p)}{n^2} + O(y^{-c_5}),$$

where $c_5 = \frac{1}{2} - \varepsilon - \frac{1}{2\alpha}$. By Lemma 6 we have

$$\sum_{n>w/\sqrt{p}} \frac{G(n,p)}{n^2} = O\left(\sum_{n>w/\sqrt{p}} n^{-(1+c/\log p)}\right)$$
$$= O\left(\left(\log y\right)^{\frac{2}{3}} \exp\left(-c(\log y)^{\frac{1}{3}}\right)\right).$$

It follows that

$$H_p - Z_p = O\left(\frac{1}{\log^2 y}\right), \quad Z_p > c \frac{\varphi(k)}{k} \log p,$$

since, by Lemma 1,

$$H_{p} = \prod_{\substack{q$$

Case 2: $\exp(\log y)^{\frac{c}{3}} . We have$

$$T_{p} = \sum_{\substack{n > w/\sqrt{p} \\ g(n) \leq p}} \frac{1}{n} \sum_{\substack{d \mid (n,k)}} \mu(d)$$

$$= \sum_{\substack{d \mid k \\ g(d) \leq p}} \frac{\mu(d)}{d} \sum_{\substack{n > w/d\sqrt{p} \\ g(n) \leq p}} \frac{1}{n}$$

$$= \sum_{\substack{d \mid k \\ g(d) \leq p}} \frac{\mu(d)}{d} \sum_{\substack{n > w/\sqrt{p} \\ g(n) \leq p}} \frac{1}{n}$$

$$+ \sum_{\substack{d \mid k \\ g(d) \leq p}} \frac{\mu(d)}{d} \sum_{\substack{w/\sqrt{p} \geq n > w/d\sqrt{p} \\ g(n) \leq p}} \frac{1}{n}$$

$$= \prod_{\substack{q \mid k \\ q \le p}} \left(1 - \frac{1}{q}\right) \sum_{\substack{n > w/\sqrt{p} \\ q(n) \le p}} \frac{1}{n} + O\left((\log \log 3k)^2\right),\,$$

since we have, by Lemma 4,

$$\sum_{\substack{d \mid k \\ g(d) \leq p}} \frac{\mu(d)}{d} \sum_{w/\sqrt{p} \geq n > w/d\sqrt{p}} \frac{1}{n} = O\left(\sum_{d \mid k} \frac{\mu^{2}(d) \log d}{d}\right) = O\left((\log \log 3k)^{2}\right).$$

Now, by partial summation, we have

$$\sum_{\substack{n>w/\sqrt{p}\\g(n)\leq p}}\frac{1}{n}=\sum_{n>w/\sqrt{p}}\frac{G(n,p)}{n^2}+O(y^{-c_5}).$$

Here, by Lemma 6, we find that

$$\sum_{n>\exp(\log y)^2} \frac{G(n,p)}{n^2} = O\left(\sum_{n>\exp(\log y)^2} n^{-(1+c/\log p)}\right)$$
$$= O\left(\log y \exp(-c \log y)\right)$$
$$= O\left((\log y) y^{-c}\right),$$

so that

$$\sum_{n>w/\sqrt{p}} \frac{G(n,p)}{n^2} = \sum_{\exp(\log y)^2 \ge n>w/\sqrt{p}} \frac{G(n,p)}{n^2} + O\left(\frac{1}{\log^2 y}\right).$$

Let us write I for the interval $w/\sqrt{p} < n \le \exp(\log y)^2$. Then, by making use of the result in Lemma 6, we obtain

$$\sum_{n \in I} \frac{G(n, p)}{n^2} = \sum_{n \in I} \frac{1}{n} P\left(\frac{\log n}{\log p}\right) \left(1 + O\left(\frac{\log \log y}{\log p}\right)\right) + O\left(\sum_{n \in I} \frac{1}{n}\right) + O\left(\sum_{n \in I} \frac{1}{n} \left(\frac{\log n}{\log p}\right)^2 P(p)\right).$$

It is easily verified that

$$\sum_{n\in I} \frac{1}{n} \rho\left(\frac{\log n}{\log p}\right) = \log p \int_{t_p}^{\infty} \rho(t) dt + O(y^{-c_s}),$$

where $t_p = (\log w / \sqrt{p}) / \log p$;

$$\sum_{n\in I} \frac{1}{n} = O(y^{-c_5}); \qquad \sum_{n\in I} \frac{1}{n} \left(\frac{\log n}{\log p}\right)^2 P(p) = O\left(\frac{1}{\log^2 y}\right),$$

where we have taken $P(v) = c \exp(-c(\log v)^{\frac{1}{2}})$.

We thus have

$$T_{p} = \log p \int_{t_{p}}^{\infty} \rho(t) dt \left(1 + O\left(\frac{\log \log y}{\log p}\right) \right) + O\left(\frac{1}{\log^{2} y}\right).$$

Hence

$$\begin{split} H_{p} - Z_{p} & \leq \prod_{\substack{q \mid k \\ q \leq p}} \left(1 - \frac{1}{q}\right) \log p \int_{t_{p}}^{\infty} \rho\left(t\right) dt \left(1 + O\left(\frac{\log\log y}{\log p}\right)\right) \\ & + O\left(\left(\log\log 3k\right)^{2}\right) \;, \\ Z_{p} & \geq \prod_{\substack{q \mid k \\ q \leq p}} \left(1 - \frac{1}{q}\right) \left(e^{C} - \int_{t_{p}}^{\infty} \rho\left(t\right) dt\right) \log p + O\left(\log\log y\right) \\ & + O\left(\left(\log\log 3k\right)^{2}\right) \;. \end{split}$$

Case 3: $z_2 . Put <math>t_p = (\log w/\sqrt{p})/\log p$, as before. If $0 < t_p \le 1$ then we have

$$\begin{split} Z_p &= \sum_{\substack{n \leq w/\sqrt{p} \\ (n, k) = 1}} \frac{\mu^2(n)}{\varphi(n)} \\ &= \frac{\varphi(k)}{k} \log \frac{w}{\sqrt{p}} + O(\log \log 3k) \\ &= \frac{\varphi(k)}{k} t_p \log p + O(\log \log 3k) \;, \\ H_p - Z_p &= \frac{\varphi(k)}{k} (e^c - t_p) \log p + O(\log 3k) \;, \end{split}$$

by Lemma 5. If $t_p > 1$ then

$$\begin{split} Z_p &\geq \sum_{\substack{n \leq w/\sqrt{p} \\ (n, k) = 1}} \frac{\mu^2(n)}{\varphi(n)} - \sum_{\substack{p \leq q \leq w/\sqrt{p} \\ (q, k) = 1}} \sum_{\substack{n \leq w/\sqrt{p} \\ (n, k) = 1}} \frac{\mu^2(n)}{\varphi(n)} \\ &= \sum_{\substack{n \leq w/\sqrt{p} \\ (n, k) = 1}} \frac{\mu^2(n)}{\varphi(n)} - \sum_{\substack{p \leq q \leq w/\sqrt{p} \\ (q, k) = 1}} \frac{1}{\varphi(q)} \sum_{\substack{n \leq w/q\sqrt{p} \\ (n, qk) = 1}} \frac{\mu^2(n)}{\varphi(n)} , \end{split}$$

where, again by Lemma 5,

$$\begin{split} &\sum_{\substack{p \leq q \leq w/\sqrt{p} \\ (q, k) = 1}} \frac{1}{\varphi(q)} \sum_{\substack{n \leq w/q\sqrt{p} \\ (n, qk) = 1}} \frac{\mu^{2}(n)}{\varphi(n)} \\ &= \sum_{\substack{p \leq q \leq w/\sqrt{p} \\ (q, k) = 1}} \frac{1}{\varphi(q)} \left(\frac{\varphi(qk)}{qk} \log \frac{w}{q\sqrt{p}} + O(\log\log 3qk) \right) \\ &= \frac{\varphi(k)}{k} \sum_{\substack{p \leq q \leq w/\sqrt{p} \\ (q, k) = 1}} \frac{1}{q} \log \frac{w}{q\sqrt{p}} + O\left(\sum_{\substack{p \leq q \leq w/\sqrt{p} \\ (q, k) = 1}} \frac{\log\log 3qk}{\varphi(q)} \right) \\ &= \frac{\varphi(k)}{k} \left(\log \frac{w}{\sqrt{p}} \log \frac{\log \frac{w}{\sqrt{p}}}{\log p} - \log \frac{w}{\sqrt{p}} + \log p \right) + O(\log\log y) \,, \end{split}$$

and hence

$$Z_p \ge \frac{\varphi(k)}{k} (2t_p - 1 - t_p \log t_p) \log p + O(\log \log y).$$

Therefore

$$H_p - Z_p \leq \frac{\varphi(k)}{k} \left(e^C - (2t_p - 1 - t_p \log t_p) \right) \log p + O(\log \log y) .$$

Here we have, as in the proof of Lemma 2,

$$\begin{split} H_{p} &= \prod_{\substack{q$$

We are now in position to be able to evaluate the sum

$$\sum_{\substack{p \leq z \\ (p,k)=1}} \frac{H_p - Z_p}{p H_p Z_p} .$$

Define:

$$A(t) = \begin{cases} \frac{e^{c} - t}{t} & (0 < t \le 1), \\ \frac{e^{c} - (2t - 1 - t \log t)}{2t - 1 - t \log t} & (1 < t < e^{c_{\delta}}), \end{cases}$$

where $t = e^{c_6}$ is the unique solution of

$$2t-1-t\log t = 0$$
, $t > 1$,

so that $1.8 < c_6 < 1.9$; and

$$B(t) = \frac{\int_{t}^{\infty} \rho(s) ds}{e^{c} - \int_{t}^{\infty} \rho(s) ds} \qquad \left(t > \frac{1}{4}\right).$$

Let us put, for the sake of brevity,

$$z_3 = \exp(\log y)^{2/3}.$$

Then we have

$$\sum_{\substack{2 \le p \le z_3 \\ (p,k)=1}} \frac{H_p - Z_p}{p H_p Z_p} = O\left(\frac{k}{\varphi(k)} \frac{\log \log 3k}{\log^2 y} \sum_{p} \frac{1}{p \log^2 p}\right)$$

$$= O\left(\frac{k}{\varphi(k)} \frac{\log \log 3k}{\log^2 y}\right),$$

and, noticing that

$$\prod_{\substack{q \mid k \\ q \leq p}} \left(1 - \frac{1}{q}\right)^{-1} \leq \frac{k}{\varphi(k)}$$

for every p,

$$\begin{split} \sum_{\substack{z_3$$

where we have used the inequality

$$\sum_{z_3$$

We now assume that γ , $4 \le \gamma \le 10$, be integral. Write J_{ν} for the interval $y^{1/\nu+1} . Then, since the function <math>B(t)$ is monotone decreasing,

$$\sum_{z_3$$

$$\begin{split} & \leq \sum_{\gamma \leq \nu < c(\log y)^{\frac{1}{9}}} \left(\sum_{p \in \mathcal{J}_{\nu}} \frac{1}{p}\right) \max_{p \in \mathcal{J}_{\nu}} \frac{B(t_p)}{\log p} \\ & \leq \sum_{\gamma \leq \nu < c(\log y)^{\frac{1}{3}}} \log \frac{\nu + 1}{\nu} \frac{\nu + 1}{\log y} B\left(\left(\frac{1}{2} - \varepsilon\right)\nu - \frac{1}{2}\right) \\ & + O\left(\sum_{\gamma \leq \nu < c(\log y)^{\frac{1}{3}}} \frac{\nu}{\log^2 y}\right) \\ & \leq \frac{1}{\log y} \sum_{\nu = \gamma}^{\infty} (\nu + 1) \log \frac{\nu + 1}{\nu} B\left(\left(\frac{1}{2} - \varepsilon\right)\nu - \frac{1}{2}\right) + O\left(\frac{1}{\log^{4/3} y}\right). \end{split}$$

Thus we obtain

$$\begin{split} \sum_{\substack{z_3$$

We have similarly

$$\sum_{\substack{z_{2}
$$+ O\left(\frac{k}{\varphi(k)} \frac{(\log \log 3k) \log \log y}{\log^{2} y}\right).$$$$

Put

$$n = [\log^{1/2} y], \quad u_j = \alpha + \frac{\gamma - \alpha}{n} j \quad (j \ge 0),$$

and write K_j for the interval

$$y^{1/u_{j+1}} $(0 \le j \le n-1)$.$$

Now, the function A(t) is continuous, monotone decreasing in the interval $0 < t \le e$ and monotone increasing in the interval $e < t < e^{c_0}$. Thus, if we denote by m the integer for which

$$\left(\frac{1}{2}-\varepsilon\right)u_m-\frac{1}{2}\leq e<\left(\frac{1}{2}-\varepsilon\right)u_{m+1}-\frac{1}{2},$$

then

$$\begin{split} \sum_{z_{z}$$

where it should be noticed that we have uniformly

$$u_{j+1}\log\frac{u_{j+1}}{u_j} = \frac{\gamma - \alpha}{n} + O\left(\frac{1}{n^2}\right) \qquad (0 \le j \le n-1).$$

Hence

$$\begin{split} \sum_{\substack{z_z$$

Collecting these results, we thus obtain, via Lemma 2, the following

Lemma 8. We have

$$\begin{split} S_{\scriptscriptstyle 1} & \geq \frac{kN}{\varphi\left(k\right)} \, \frac{e^{-\mathcal{C}}}{\log y} \left(\alpha - \int_{\alpha}^{\tau} A\left(\left(\frac{1}{2} - \varepsilon\right)u - \frac{1}{2}\right) du \right. \\ & - \sum_{\nu = \tau}^{\infty} (\nu + 1) \, \log \frac{\nu + 1}{\nu} \, B\left(\left(\frac{1}{2} - \varepsilon\right)\nu - \frac{1}{2}\right)\right) \\ & + O\left(\frac{kN}{\varphi\left(k\right)} \, \frac{(\log\log 3k)^{\scriptscriptstyle 3}}{\log^{\scriptscriptstyle 4/3} y}\right) + O\left(\frac{kN}{\varphi\left(k\right)} \, \frac{\log\log y}{\log^{\scriptscriptstyle 4/3} y}\right) \\ & + O\left(\frac{N(\log\log 3k)^{\scriptscriptstyle 3}}{y^{\scriptscriptstyle 1/4} \log y}\right) + O\left(y^{\scriptscriptstyle 1-2\varepsilon} \, (\log\log 3k)^{\scriptscriptstyle 2}\right) \,. \end{split}$$

5. Evaluation of S_2 . By virtue of Lemma 3, our present task is only to estimate the quantity

$$\sum_{\substack{z < q \le z_1 \\ (q,k) = 1}} \frac{1}{q W_q}.$$

We set

$$C(t) = \begin{cases} \frac{\alpha}{a} & (0 < a \leq 1), \\ \frac{\alpha}{2a - 1 - a \log a} & (1 < a \leq 2), \end{cases}$$

where

$$a = \frac{\alpha}{2} \left(1 - 2\varepsilon - \frac{1}{t} \right).$$

Then, it is not difficult to verify, by Lemma 5, that, with $t=t_q=(\log y)/\log q$

$$\begin{split} W_q &= \sum_{\substack{n \leq z^a \\ g(n) \leq z \\ (n,k)=1}} \frac{\mu^2(n)}{\varphi(n)} \\ &\geq \frac{\varphi(k)}{k} \frac{\log y}{C(t_q)} + O(\log \log 3k) \qquad (z < q \leq z_1), \end{split}$$

and consequently

$$\sum_{\substack{z < q \leq x_1 \\ (q, k) = 1}} \frac{1}{q W_q} \leq \frac{k}{\varphi(k)} \frac{1}{\log y} \sum_{z < q \leq x_1} \frac{C(t_q)}{q} + O\left(\frac{k}{\varphi(k)} \frac{(\log \log 3k)^2}{\log^2 y}\right).$$

Put

$$n = [\log^{1/2} y], \quad u_j = \beta + \frac{\alpha - \beta}{n} j \quad (0 \leq j \leq n),$$

and write L_j for the interval

$$y^{1/u_{j+1}} < q \leq y^{1/u_j}$$
 $(0 \leq j \leq n-1)$

Then we have

$$\sum_{z < q \leq z_1} \frac{C(t_q)}{q} = \sum_{j=0}^{n-1} \sum_{q \in L_j} \frac{C(t_q)}{q} \leq \sum_{j=0}^{n-1} \left(\sum_{q \in L_j} \frac{1}{q} \right) \max_{q \in L_j} C(t_q)$$

$$\leq \sum_{j=0}^{n-1} \left(\log \frac{u_{j+1}}{u_j} + O\left(\frac{1}{\log y}\right) \right) C(u_j) = \int_{\beta}^{\alpha} C(u) \frac{du}{u} + O\left(\frac{1}{\log^{1/2} y}\right),$$

since the function C(t) is continuous and decreases monotonously for $t > (1-2\varepsilon)^{-1}$ and since we have uniformly

$$\log \frac{u_{j+1}}{u_j} = \frac{\alpha - \beta}{n} \frac{1}{u_j} + O\left(\frac{1}{n^2}\right) \qquad (0 \leq j \leq n-1).$$

We thus have proved the following

Lemma 9. We have

$$\begin{split} S_2 & \leq \frac{1}{r+1} \, \frac{kN}{\varphi(k)} \, \frac{1}{\log y} \int_{\beta}^{\alpha} \frac{C(u)}{u} \, du \\ & + O\bigg(\frac{kN}{\varphi(k)} \, \frac{(\log\log 3k)^2}{\log^2 y}\bigg) + O\bigg(\frac{kN}{\varphi(k)} \, \frac{1}{\log^{3/2} y}\bigg) + O\bigg(\frac{y^{1-2\epsilon}(\log\log 3k)^2}{\log^2 y}\bigg). \end{split}$$

6. Numerical computations. We need the following easy lemma, a part of which has already been used in the proof of Lemmas 8 and 9.

Lemma 10. The function

$$f(s) = \frac{1}{2s - 1 - s \log s} \qquad (1 < s < e^{c_s})$$

is positiv, convex, and monotone decreasing for $1 < s \le e$ and monotone increasing for $e < s < e^{c_s}$.

Putting $f_1(s) = (f(s))^{-1}$, we see that $f_1(s) > 0$, $f_1'(s) = 1 - \log s$ and $f_1''(s) = -1/s$, and the result follows at once.

We now choose $\varepsilon = \frac{1}{7}$ and take

$$\alpha = 4$$
, $\beta = 2$, and $\gamma = 10$.

Our aim in this section is to compute numerically two integrals and a sum appearing in Lemmas 8 and 9.

(i) Computation of

$$\int_{a}^{r} A\left(\left(\frac{1}{2}-\varepsilon\right)u-\frac{1}{2}\right)du = \int_{4}^{10} A\left(\frac{5}{14}u-\frac{1}{2}\right)du.$$

The integral is equal to

where the first integral is found to be

$$= e^{C} \int_{4}^{4.2} \left(\frac{5}{14} u - \frac{1}{2} \right)^{-1} du - \int_{4}^{4.2} du$$

$$= e^{C} \frac{14}{5} \log \frac{14}{13} - 0.2 < 0.1696,$$

while the second is

$$=e^{C}\int_{4.2}^{10}F(u)\,du-\int_{4.2}^{10}du$$

with F(u)=f(s(u)), where f(s) is the function defined in Lemma 10 and $s(u)=\frac{5}{14}u-\frac{1}{2}$. To estimate the integral of F(u) over (4.2, 10) we proceed as follows.

We find:

$$F(4.2) = 1.0000$$
; $F(4.5) < 0.9080$;
 $F(5) < 0.8011$; $F(6) < 0.6803$;
 $F(7) < 0.6197$; $F(8) < 0.5907$;
 $F(9) < 0.5820$; $F(10) < 0.5896$.

By Lemma 10, the function F(u) is convex for $4.2 \le u \le 10$. Hence

$$\int_{4,2}^{10} F(u) du \le \frac{3}{20} \left(F(4.2) + F(4.5) \right) + \frac{1}{4} \left(F(4.5) + F(5) \right)$$

$$+ \frac{1}{2} \left(F(5) + F(10) \right) + \left(F(6) + F(7) + F(8) + F(9) \right)$$

$$< 3.8817,$$

and the second integral in (3) is less than

$$3.8817 e^{c} - 5.8 < 1.1137$$
.

Thus we have

$$\int_{4}^{10} A\left(\frac{5}{14}u - \frac{1}{2}\right) du < 0.1696 + 1.1137 = 1.2833.$$

(ii) Computation of

$$\begin{split} &\sum_{\nu=\tau}^{\infty} (\nu+1) \log \frac{\nu+1}{\nu} B \left(\left(\frac{1}{2} - \varepsilon \right) \nu - \frac{1}{2} \right) \\ &= \sum_{\nu=10}^{\infty} (\nu+1) \log \frac{\nu+1}{\nu} B \left(\frac{5}{14} \nu - \frac{1}{2} \right). \end{split}$$

By the definition (2), the function $\rho(s)$ is positive and monotone decreasing for s>0, and moreover

$$\rho(s) = 1 - \log s$$
 for $1 \le s \le 2$.

Put
$$s(\nu) = \frac{5}{14} \nu - \frac{1}{2}$$
. Then we have $s(10) = \frac{43}{14} > 3$ and

$$\rho\left(s(10)\right) \le \rho(3) \le \rho(2)e^{-1} = (1 - \log 2)e^{-1} < 0.1129$$
,

by Lemma 7. Now, using Lemma 7 again, we find that for $\nu \ge 10$

$$B\left(s\left(\nu\right)\right) \leq \frac{\rho\left(s\left(\nu\right)\right)}{e^{c} - \rho\left(s\left(\nu\right)\right)} \leq \frac{\rho\left(s\left(10\right)\right)}{e^{c} - \rho\left(s\left(10\right)\right)} e^{-\frac{5}{14}\left(\nu - 10\right)}.$$

Since $(\nu+1)\log((\nu+1)/\nu)$ decreases monotonously as $\nu\to\infty$, we thus obtain

$$\sum_{\nu=10}^{\infty} (\nu+1) \log \frac{\nu+1}{\nu} B\left(s(\nu)\right)$$

$$\leq 11 \log \frac{11}{10} \frac{\rho(s(10))}{e^{C} - \rho(s(10))} \frac{1}{1 - e^{-5/14}} < 0.2366.$$

(iii) Computation of

$$\int_{\beta}^{\alpha} \frac{C(u)}{u} du = \int_{2}^{4} \frac{C(u)}{u} du.$$

For $2 \le u \le 4$ we have

$$\frac{3}{7} \le a = 2\left(\frac{5}{7} - \frac{1}{u}\right) \le \frac{13}{14}.$$

Hence

$$\int_{2}^{4} \frac{C(u)}{u} du = 2 \int_{2}^{4} \left(\frac{5}{7} u - 1\right)^{-1} du$$

$$= \frac{14}{5} \log \frac{13}{3} < 4.1058.$$

7. Proof of the theorem. Let $1 \le k < x$, $0 \le l \le k-1$, (k, l) = 1. Take

$$M = \left[\frac{x-l}{k}\right], \quad N = \left[\frac{x}{k}\right],$$

and put

$$y = 2k(N+1)$$
, $z = y^{1/4}$, $z_1 = y^{1/2}$, $w = y^{5/14}$.

Then it is clear that y>2x and that $M< n \le M+N$ implies $x< kn+l \le 2x$.

By D(x; k, l) we denote the number of those integers of the form kn+l $(M < n \le M+N)$ which are divisible by no primes $p \le z$, by at most two primes q in $z < q \le z_1$, and by no integers of the form q^2 , q being a prime in $z < q \le z_1$: clearly such an integer kn+l $(M < n \le M+N)$, if it exists, has at most two prime factors, i.e. $V(kn+l) \le 2$.

In order to estimate D(x; k, l) from below, we apply Lemma 8 and Lemma 9 with r=2. Let us note that we have from the data in §6

$$e^{-c} \left(4 - \int_{4}^{10} A\left(s(u)\right) du - \sum_{\nu=10}^{\infty} (\nu + 1) \log \frac{\nu + 1}{\nu} B\left(s(\nu)\right) \right)$$

$$> e^{-c} (4 - 1.2833 - 0.2366) > 1.3923$$

and

$$\frac{1}{3} \int_{2}^{4} \frac{C(u)}{u} du < \frac{4.1058}{3} = 1.3686.$$

Now, the number R_2 of those integers kn+l $(M < n \le M+N)$ which are not divisible by any prime $p \le z$ and are divisible by some integer q^z with q in $z < q \le z_1$ does not exceed

$$\sum_{z < q \leq z_1} \left(rac{N}{q^2} + 1
ight) = O\left(rac{N}{z}
ight) + O(z_1)$$
 .

We find, therefore, that

$$\begin{split} D(x\,;\,k,\,l) &\geq S_{1} - S_{2} - R_{2} \geq (1.3923 - 1.3686) \frac{kN}{\varphi(k)} \frac{1}{\log y} \\ &+ O\left(\frac{kN}{\varphi(k)} \frac{(\log\log 3k)^{3}}{\log^{4/3} y}\right) + O\left(\frac{kN}{\varphi(k)} \frac{\log\log y}{\log^{4/3} y}\right) \\ &+ O\left(\frac{N(\log\log 3k)^{3}}{y^{1/4}\log y}\right) + O\left(y^{5/7} (\log\log 3k)^{2}\right) + O\left(\frac{N}{y^{1/4}}\right) \,. \end{split}$$

Since $N = \frac{x}{k} + O(1)$, $2x < y \le 4x$, it follows that

$$\begin{split} D(x\,;k,\,l) & \geq 0.0237 \frac{1}{\varphi\left(k\right)} \frac{x}{\log x} \\ & + O\left(\frac{1}{\varphi\left(k\right)} \frac{x (\log\log3k)^3}{\log^{4/3}x}\right) + O\left(\frac{1}{\varphi\left(k\right)} \frac{x\log\log x}{\log^{4/3}x}\right) \end{split}$$

$$+O\left(\frac{1}{k}\frac{x^{^{3/4}}(\log\log 3k)^{^{3}}}{\log x}\right)+O\left(x^{^{5/7}}(\log\log 3k)^{^{2}}\right)+O\left(\frac{1}{k}x^{^{3/4}}\right).$$

Let $c_7 > 3.5$ be a fixed number. If $x \ge k^{c_7}$ and k is sufficiently large, then all the error terms on the right-hand side of the above inequality for D(x; k, l) are of negligible order of magnitude, with respect to the leading term. Thus, for all large enough k, $x \ge k^{c_7}$ implies that

$$D(x; k, l) > 0.0236 \frac{1}{\varphi(k)} \frac{x}{\log x} > 1$$
.

Hence, by continuity argument, we conclude that there is a (finite) natural number k_0 such that, if $k \ge k_0$ and $x \ge k^{3.5}$ then we have D(x; k, l) > 0. Therefore there exists an absolute constant $c_1 > 0$ such that

$$D(x; k, l) > 0$$
 for all $x \ge c_1 k^{3.5}$, $k \ge 1$.

This completes the proof of our theorem.

Appendix

ON THE 'UPPER' SIEVE OF A. SELBERG

Here we aim at generalizing the results obtained in [6].

Let N>1 and let a_1, a_2, \dots, a_N be rational integers not necessarily different from each other. Let S denote the number of those integers a_j $(1 \le j \le N)$ which are not divisible by any prime number $p \le z$, where $z \ge 2$. Suppose that for every positive integer d

$$S_a \stackrel{\text{def}}{=} \sum_{\substack{n \leq N \\ a_n \equiv 0 \ (d)}} 1 = \frac{\omega(d)}{d} N + R(d),$$

where R(d) is the error term for S_a and $\omega(d)$ is a multiplicative function of d. We put

$$f(d) = \frac{d}{\omega(d)}$$

and suppose that f(d)>1 for all d>1.

Let w be an arbitrary but fixed real number such that $w \ge 2$. We define for positive integers m and d

$$f_{\scriptscriptstyle 1}(m) = \sum_{n \mid m} \mu(n) f\left(\frac{m}{n}\right),$$

$$W(d) = \sum_{\substack{r \leq w/d \ (r,d)=1}} \varepsilon_z(r) rac{\mu^2(r)}{f_1(r)} \,, \qquad W = \,W(1) \,,$$

$$\lambda(d) = \varepsilon_z(d) \, \mu(d) \prod_{p \mid d} \left(1 - \frac{1}{f(p)}\right)^{-1} \cdot \frac{W(d)}{W},$$

where $\varepsilon_z(n)=0$ or 1 according as n has or has not a prime factor >z. Then we have, since $\lambda(1)=1$,

$$S \leq \sum_{n \leq N} \left(\sum_{\substack{d \leq w \\ d \mid a_n}} \lambda(d) \right)^2 = \sum_{d \leq w^2} \left(\sum_{\substack{d_1, d_2 \leq w \\ \{d_1, d_2\} = d}} \lambda(d_1) \, \lambda(d_2) \right) \frac{N}{f(d)} + \sum_{\substack{d_1, d_2 \leq w \\ \{d_1, d_2\} \leq w}} |\lambda(d_1) \, \lambda(d_2) \, R(\{d_1 \, d_2\})|,$$

where $\{d_1, d_2\}$ denotes the least common multiple of d_1 and d_2 . Now

$$\begin{split} &\sum_{d \leq w^2} \left(\sum_{\substack{d_1, d_2 \leq w \\ (d_1, d_2) = d}} \lambda(d_1) \lambda(d_2) \right) \frac{1}{f(d)} \\ &= \sum_{r \leq w} f_1(r) \left(\sum_{\substack{d \leq w \\ d \equiv 0 \ (r)}} \frac{\lambda(d)}{f(d)} \right)^2 \\ &= \frac{1}{W^2} \sum_{r \leq w} f_1(r) \left(\sum_{\substack{d \leq w \\ d \equiv 0 \ (r)}} \varepsilon_z(d) \mu(d) \frac{1}{f_1(d)} \sum_{\substack{m \leq w/d \\ (m,d) = 1}} \varepsilon_z(m) \frac{\mu^2(m)}{f_1(m)} \right)^2 \\ &= \frac{1}{W^2} \sum_{r \leq w} f_1(r) \left(\varepsilon_z(r) \frac{\mu(r)}{f_1(r)} \sum_{\substack{n \leq w/r \\ (n,r) = 1}} \varepsilon_z(n) \frac{\mu^2(n)}{f_1(n)} \sum_{d \mid n} \mu(d) \right)^2 \\ &= \frac{1}{W^2} \sum_{r \leq w} \varepsilon_z(r) \frac{\mu^2(r)}{f_1(r)} = \frac{1}{W} . \end{split}$$

We thus have proved the following

Theorem. Under the notations and conditions described above we have

$$S \leq \frac{N}{W} + R$$

with

$$R = \sum_{d_1, d_2 \leq w} |\lambda(d_1) \lambda(d_2) R(\{d_1, d_2\})|.$$

This is a generalization of [3: II, Theorem 3.1].

To evaluate the remainder term R explicitly, let us suppose that for all positive integers $d,\,d_1,\,d_2$

$$|R(d)| \leq B\omega(d)$$
, $\omega(\{d_1, d_2\}) \leq \omega(d_1)\omega(d_2)$,

where B>0 is a constant independent of d. These conditions imply

$$R \leq B \left(\sum_{d \leq w} \lambda(d) \, \omega(d) \right)^2$$
.

Then, it is not difficult to show that we have, in general,

$$R = O\left(w^2(\log\log w)^2\right)$$
,

and, in the special case where $\omega(p) \leq 1$ for all primes $p \leq z$,

$$R = O\left(\frac{w^2}{W^2}\right),$$

where the constants implied in the symbol O depend only on the constant B. The proof of these estimates of the remainder term R can easily be carried out just in the same way as in [6], and we shall omit the details (cf. also [7]).

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