

Logmodular parts of function algebras

By Takahiko NAKAZI

1. Introduction Let A be a function algebra on a compact Hausdorff space X , that is, a closed subalgebra of the complex Banach algebra $C(X)$, which contains the constant functions and separates the points of X . Gleason discovered that $\|\varphi - \psi\| < 2$ defines an equivalent relation on the set $\text{Spec } A$ of all multiplicative linear functionals of A while Bishop introduced the other metric $\sigma(\varphi, \psi)$ on $\text{Spec } A$ such that $\sigma(\varphi, \psi) < 1$ defines the same equivalent relations as Gleason's, and König established an algebraic relation between these two metrics (see [1; 143~144] and [2, 3]). Importance of Gleason's equivalent classes, called Gleason parts, is shown by the fact that φ and ψ belong to the same Gleason part if and only if they admit mutually dominating representing measures in the sense that there are probability measures μ and ν on X such that for all f in A

$$\varphi(f) = \int f d\mu, \quad \psi(f) = \int f d\nu$$

and $\mu/k \leq \nu \leq k\mu$ for some positive constant k . The minimum k can be determined in terms of $\sigma(\varphi, \psi)$ (see [2, 3]).

Let E be a subset of $C(X)$, which is closed under multiplication and contains the constant functions. $M(E)$ is the set of all continuous multiplicative functions Φ of E to the non-negative real numbers with $\|\Phi\|_E \leq 1$, where $\|\cdot\|_E$ denotes the supremum on the set of f with $\|f\| \leq 1$. We shall show that $\sigma_E(\Phi, \Psi) = \sup_{r>0} \|\Phi^r - \Psi^r\|_E < 1$ defines an equivalent relation on $M(E)$, and that, in the case of a multiplicative group E , Φ and Ψ belong to the same equivalent class if and only if there exist positive measures μ and ν such that $\mu/k \leq \nu \leq k\mu$ for some $k > 0$ and for all f in E

$$\log \Phi(f) = \int \log |f| d\mu, \quad \log \Psi(f) = \int \log |f| d\nu.$$

Applicability of these results to $\text{Spec } A$ is based on the observation that each φ in $\text{Spec } A$ is completely determined by the values of its modulus $\Phi(f) = |\varphi(f)|$ on any set containing $\exp A$, the set of f with $f = \exp(g)$ for some g in A . We can take as E the whole space A , $\exp A$ or A^{-1} , the set of invertible functions. Associating to φ its modulus Φ we shall show that though $\sigma_A(\Phi, \Psi)$ is trivial, that is, 0-1 valued, $\|\Phi - \Psi\|_A$ coincides with

$\sigma(\varphi, \psi)$. $\sigma_{\exp A}(\Phi, \Psi) < 1$ is shown to define the same equivalent relation as Gleason's while $\sigma_{A^{-1}}(\Phi, \Psi) < 1$ introduces new equivalent classes, called log-modular parts, in *Spec A*. We shall present several examples in which log-modular parts coincide with or are different from Gleason parts.

The author expresses his sincere thanks to Professor T. Ando for his advice and encouragement.

2. Metric on $M(E)$ $M(E)$ consists of all continuous functions Φ on E such that $\Phi(f) \geq 0$, $\Phi(fg) = \Phi(f)\Phi(g)$ and $\|\Phi\|_E \leq 1$. Since the mapping $\alpha \rightarrow \Phi(\alpha)$ is multiplicative and continuous on the positive numbers, either Φ vanishes identically or $\Phi(\alpha) = \alpha^k$ for some constant k . $\|\Phi\|_E \leq 1$ implies that either $\Phi(f) = 0$ or k is non-negative and $\Phi(f) \leq \|f\|^k$. With respect to point-wise definition $M(E)$ becomes a multiplicative semigroup, and together with Φ all its non-negative exponents Φ^r belong to $M(E)$. The order relation $\Phi_1 \preceq \Phi_2$ is introduced to mean that there is $\Psi \in M(E)$ with $\Phi_1 = \Psi\Phi_2$. Then $\Phi_1 \preceq \Phi_2$ is equivalent to $\Phi_1(f) \leq \Phi_2(f)$ for all $f \in E$ with $\|f\| \leq 1$.

Let us introduce a functional:

$$\rho_E(\Phi, \Psi) = \sup \left\{ \left| \log \left[\frac{\log \Phi(f)}{\log \Psi(f)} \right] \right| ; f \in E \quad \|f\| < 1 \right\}$$

with convention $-\infty / -\infty = 1$. Clearly $\rho_E(\Phi, \Psi) < \infty$ defines an equivalent relation on $M(E)$ and is given by

$$\rho_E(\Phi, \Psi) = \inf \{ \log k : \Phi^k \preceq \Psi \preceq \Phi^{1/k}, \quad k > 1 \}.$$

Now a metric on $M(E)$ is defined by

$$\sigma_E(\Phi, \Psi) = \sup_{r > 0} \|\Phi^r - \Psi^r\|_E.$$

THEOREM 1. $\sigma_E(\Phi, \Psi)$ and $\rho_E(\Phi, \Psi)$ are connected to each other by the relation

$$\sigma_E(\Phi, \Psi) = H(\rho_E(\Phi, \Psi)),$$

where $H(x)$ ($x \geq 0$) is the monotone increasing function defined by

$$H(x) = (e^x - 1) \exp \left[\frac{xe^x}{1 - e^x} \right].$$

In particular, $\sigma_E(\Phi, \Psi) < 1$ defines an equivalent relation on $M(E)$.

PROOF. Since $H(x)$ is monotone increasing, it suffices to prove that for $1 > \alpha \geq \beta \geq 0$.

$$\sup_{r>0}(\alpha^r - \beta^r) = H\left(\log\left(\frac{\log \beta}{\log \alpha}\right)\right).$$

If $F(r) = \alpha^r - \beta^r$, $r > 0$ and $r_0 = \log\left(\frac{\log \beta}{\log \alpha}\right) / \log \frac{\alpha}{\beta}$, $F'(r_0) = 0$.

$$F(r_0) = \left[\frac{1}{\alpha \log \alpha - \log \beta} \times \log\left(\frac{\log \beta}{\log \alpha}\right) \right] \times \left[\frac{\log \beta - \log \alpha}{\log \beta} \right]$$

and

$$F(r_0) = \left[\frac{1}{\beta \log \alpha - \log \beta} \times \log\left(\frac{\log \beta}{\log \alpha}\right) \right] \times \left[\frac{\log \beta - \log \alpha}{\log \alpha} \right]$$

$$\sup_{r>0} F(r) = \sqrt{F(r_0)F(r_0)}$$

$$= \frac{\log \beta - 1}{\log \alpha} \exp \left[\frac{1 + \frac{\log \beta}{\log \alpha}}{1 - \frac{\log \beta}{\log \alpha}} \times \frac{1}{2} \log \frac{\log \beta}{\log \alpha} \right]$$

$$= H\left(\log\left(\frac{\log \beta}{\log \alpha}\right)\right).$$

This completes the proof.

A positive measure μ on X is called a Jensen measure for $\Phi \in M(E)$ if for all $f \in E$

$$\log \Phi(f) \leq \int \log |f| d\mu.$$

If E becomes a multiplicative group, inequality in the above definition becomes equality. Existence of a Jensen measure can be shown just as in Bishop (c.f. [1; 33-34]). In fact, if $\Phi(f) = 0$ for all $f \in E$, it is trivial. Let $\Phi(f) \leq \|f\|^k$ for a positive constant k . In the real Banach space $C_R(X)$ of all real valued continuous functions the convex cone of negative functions is disjoint from the convex cone of functions u such that $nu > k \log |f| - \log \Phi(f)$ for some $f \in E$ and some positive integer n , and a positive measure, which represents a functional separating these two convex cones, is a Jensen measure for Φ .

THEOREM 2. *The following assertions for $\Phi, \Psi \in M(E)$ are equivalent:*

- (1) $\Phi^k \preceq \Psi \preceq \Phi^{1/k}$ for some $k > 1$.
- (2) there exist Jensen measures μ for Φ and ν for Ψ such that $\mu/k \leq \nu \leq k\mu$ and for all $f \in E$

$$\begin{aligned} \frac{1}{k} \left[\int \log |f| d\mu - \log \Phi(f) \right] &\leq \int \log |f| d\nu - \log \Psi(f) \\ &\leq k \left[\int \log |f| d\mu - \log \Phi(f) \right], \end{aligned}$$

with convention $-\infty + \infty = 0$. If E becomes a multiplicative group the last integral inequalities are redundant.

Proof is almost parallel to Bishop (c.f. [1; 143]). We may assume $\Phi \neq 0$ and $\Psi \neq 0$. Suppose $\Phi^k \ll \Psi \ll \Phi^{1/k}$ for some $k > 1$. Then, there exist F and G in $M(E)$ such that $\Phi^k = F\Psi$ and $\Psi^k = G\Phi$. There exist Jensen measures σ for F and τ for G . Put $\mu = (k\sigma + \tau)/(k^2 - 1)$ and $\nu = (k\tau + \sigma)/(k^2 - 1)$, then μ and ν are Jensen measures for Φ and Ψ respectively. Clearly $0 \leq \mu \leq k\nu$ and $0 \leq \nu \leq k\mu$. From $\sigma = k\mu - \nu$ and $\tau = k\nu - \mu$, we get the latter half of (2). This shows that (1) implies (2).

If (2) is valid, for all $f \in E$

$$\begin{aligned} k \log \Psi(f) - \log \Phi(f) &\leq \int \log |f| d(k\nu - \mu) \\ k \log \Phi(f) - \log \Psi(f) &\leq \int \log |f| d(k\mu - \nu). \end{aligned}$$

Put $F(f) = \Psi(f)^k / \Phi(f)$ if $\Phi(f) \neq 0$, $F(f) = 0$ if $\Phi(f) = 0$ and put $G(f) = \Phi(f)^k / \Psi(f)$ if $\Psi(f) \neq 0$, $G(f) = 0$ if $\Psi(f) = 0$. Then $F \in M(E)$ and $G \in M(E)$, thus we get (1).

3. Gleason parts and log-modular parts Let A be a function algebra on X . Let us denote for φ, ψ, \dots in the set $\text{Spec } A$ of all multiplicative linear functionals of A their moduluses by corresponding capitals Φ, Ψ, \dots ; $\Phi(f) = |\varphi(f)|$.

Gleason showed that $\|\varphi - \psi\| < 2$ defines an equivalent relation on $\text{Spec } A$. Bishop and König introduced functions

$$\sigma(\varphi, \psi) = \sup \left\{ |\psi(f)| ; \varphi(f) = 0, \|f\| < 1, f \in A \right\}$$

and

$$G(\varphi, \psi) = \sup \left\{ |\log \text{Re} \varphi(f) - \log \text{Re} \psi(f)| ; \text{Re} f > 0, f \in A \right\}$$

respectively, and König showed the relations;

$$G(\varphi, \psi) = \log \frac{1 + \sigma(\varphi, \psi)}{1 - \sigma(\varphi, \psi)} = 2 \log \frac{2 + \|\varphi - \psi\|}{2 - \|\varphi - \psi\|}.$$

To apply the results of §2, let us first take as E the whole space A . Since for $\varphi \neq \psi$ in $\text{Spec } A$ there is $f \in A$ with $\psi(f) \neq 0$ and $\varphi(f) = 0$, $\sigma_A(\Phi, \Psi) = 0$

or 1 according as $\varphi = \psi$ or not. Thus each equivalent class with respect to σ_A reduces to a singleton. In this case, however, the metric $\|\Phi - \Psi\|_A$ itself gives rise to the Gleason parts.

THEOREM 3. For $\varphi, \psi \in \text{Spec } A$

$$\|\Phi - \Psi\|_A = \sigma(\varphi, \psi).$$

In particular, $\|\Phi - \Psi\|_A < 1$ defines the same equivalent relation as Gleason's.

PROOF. Let $A_\varphi = \{g \in A; \varphi(g) = 0 \text{ } \|g\| < 1\}$. For any $f \in A$ and $\|f\| < 1$, we can write $f = \frac{g + \varphi(f)}{1 + \varphi(f)} g \in A_\varphi$. For any $g \in A_\varphi$, let $f = \frac{g + \lambda}{1 + \bar{\lambda}g}$ $|\lambda| < 1$, then $f \in A$ and $\varphi(f) = \lambda$. Then,

$$\begin{aligned} \|\Phi - \Psi\|_A &= \sup_{g \in A_\varphi} \sup_{|\lambda| < 1} \left\| \left| \lambda \right| - \left| \frac{\psi(g) + \lambda}{1 + \bar{\lambda}\psi(g)} \right| \right\| \\ &= \sup_{g \in A_\varphi} \sup_{0 \leq t < 1} \sup_{\theta} \left\| \left| t \right| - \left| \frac{\psi(g) + e^{i\theta}t}{1 + e^{-i\theta}t\psi(g)} \right| \right\|. \end{aligned}$$

When $t \geq |\psi(g)|$, we can get by simple computation,

$$\sup_{|\psi(g)| \leq t < 1} \sup_{\theta} \left\| \left| t \right| - \left| \frac{\psi(g) + e^{i\theta}t}{1 + e^{-i\theta}t\psi(g)} \right| \right\| = \sup_{|\psi(g)| \leq t < 1} \left\{ \frac{|\psi(g)|(1-t^2)}{1-t|\psi(g)|} \right\} = |\psi(g)|.$$

When $t \leq |\psi(g)|$, we can get similarly

$$\begin{aligned} &\sup_{0 \leq t \leq |\psi(g)|} \sup_{\theta} \left\| \left| t \right| - \left| \frac{\psi(g) + e^{i\theta}t}{1 + e^{-i\theta}t\psi(g)} \right| \right\| \\ &= \sup_{0 \leq t \leq |\psi(g)|} \max \left\{ \frac{-t^2|\psi(g)| + 2t - |\psi(g)|}{1-t|\psi(g)|}, \frac{|\psi(g)|(1-t^2)}{1+t|\psi(g)|} \right\} = |\psi(g)|. \end{aligned}$$

Thus,

$$\|\Phi - \Psi\|_A = \sup_{g \in A_\varphi} |\psi(g)| = \sigma(\varphi, \psi).$$

Secondly, let us take as E the set $\text{exp } A$. The metric $\sigma_{\text{exp } A}(\Phi, \Psi)$ coincides with $\|\Phi - \Psi\|_{\text{exp } A}$ for $\varphi, \psi \in \text{Spec } A$. Since

$$G(\varphi, \psi) = \sup \left\{ \log \left| \frac{\log |\varphi(\text{exp } f)|}{\log |\psi(\text{exp } f)|} \right|, \|\text{exp } f\| < 1 \right\},$$

Theorem 1 and König's result yields;

THEOREM 4. For $\varphi, \psi \in \text{Spec } A$

$$\sigma_{\text{exp } A}(\Phi, \Psi) = H \left(\log \frac{1 + \sigma(\varphi, \psi)}{1 - \sigma(\varphi, \psi)} \right),$$

where $H(x) = (e^x - 1) \exp \left[\frac{xe^x}{1 - e^x} \right]$.

In particular, $\sigma_{\exp A}(\Phi, \Psi) < 1$ defines the same equivalent relation as Gleason's.

Jensen measures for $\exp A$ are merely representing measures.

Finally let us take as E the set A^{-1} of all invertible functions. Then $\sigma_{A^{-1}}(\Phi, \Psi) < 1$ defines an equivalent relation on $\text{Spec } A$. The equivalent class, containing φ , is called the log-modular part of φ . Then Theorem 2 yields;

THEOREM 5. *Multiplicative linear functionals φ and ψ belong to the same log-modular part if and only if there are positive measures μ and ν on X such that $\mu/k \leq \nu \leq k\mu$ for some $k > 0$ and*

$$\log |\varphi(f)| = \int \log |f| d\mu \quad \text{and} \quad \log |\psi(f)| = \int \log |f| d\nu.$$

4. Examples Let A be a function algebra on a compact Hausdorff set X . The log-modular part of a multiplicative linear functional sometimes coincides with its Gleason part, but sometimes not.

If $\exp A$ is (uniformly) dense in A^{-1} , $\sigma_{A^{-1}}(\Phi, \Psi)$ coincides with $\sigma_{\exp A}(\Phi, \Psi)$, hence every log-modular part is a Gleason part. Moreover, if A^{-1} is uniformly dense in A , every Gleason part (and hence every log-modular part) reduces to a singleton.

If the set of all representing measures for a multiplicative linear functional φ is finite dimensional, it is known [1; 113-114] that φ admits a Jensen measure μ (with respect to A^{-1}) such that every representing measure is majorated by a scalar multiple of μ . If ψ belongs to the Gleason part of such φ , the set of representing measures for ψ is also finite dimensional. Since φ and ψ admit mutually dominating representing measures, they admit mutually dominating Jensen measures, hence by Theorem 5, ψ belongs to the log-modular part of φ .

THEOREM 6. *If for some $f \in A$ $\varphi(f)$ lies on the boundary of the set $\{\psi(f); \psi \in \text{Spec } A\}$ in the complex plane, then the log-modular part of φ is contained in the set $\{\psi \in \text{Spec } A; \psi(f) = \varphi(f)\}$.*

PROOF. Let $\{s_n\}$ be a complex number sequence such that $s_n \notin \{\psi(f); \psi \in \text{Spec } A\}$ $n=1, 2, \dots$ and $s_n \rightarrow \varphi(f)$, which lies on the boundary of the set $\{\psi(f); \psi \in \text{Spec } A\}$. Let $\sup \{ |s_n - \psi(f)|; \psi \in \text{Spec } A \} = c_n/2$ and $g_n = \frac{s_n - f}{c_n}$, then $g_n \in A^{-1}$ and $\|g_n\| < 1$. We can assume $c_n \not\rightarrow 0$, so $\psi(g_n) \rightarrow 0$ for every $\psi \in \text{Spec } A$ such that $\psi(f) = \varphi(f)$, while $\psi(g_n) \not\rightarrow 0$ for every $\psi \in \text{Spec } A$ such that $\psi(f) \neq \varphi(f)$. Thus if $\psi(f) \neq \varphi(f)$, $\sigma_{A^{-1}}(\Psi, \Phi) = 1$.

To apply these results, let X be a compact plane set and $A=R(X)$ the subspace of functions in $C(X)$, which are uniformly approximated by rational functions with poles off X . It is well known [1; 27] that any multiplicative linear functional φ on $R(X)$ is realized by point evaluation at some $x \in X$; $\varphi(f)=f(x)$. Under this identification, the log-modular part of x on the boundary of X is a singleton. For the proof, apply Theorem 6 with f , the coordinate function: $f(x)=x$. Further the set of representing measures for x on the boundary is finite dimensional only if the Dirac measure at x is the unique representing measure for x . In fact, since the finite dimensionality leads to coincidence of Gleason and log-modular parts, the Gleason part of x reduces to a singleton. On the other hand, Wilken (c. f. [1; 146]) showed that the Gleason part of x reduces to a singleton only if the Dirac measure at x is the unique representing measures for x .

A Swiss cheese X [1; 25-26] of the complex plane shows an example that the Gleason parts are different from the log-modular parts in $R(X)$. In fact, there exist the points which are not peak point, while each point of X is one point log-modular part.

Research Institute of Applied Electricity,
Hokkaido University

References

- [1] GAMELIN, T. W.: Uniform algebras, Prentice-Hall, Inc. 1969.
- [2] KÖNIG, H.: Zur abstruckten Theorie der analytischen Funktionen. II, Math. Ann., Vol. 1 163 (1966), 9-17.
- [3] KÖNIG, H.: On the Gleason and Harnack metrics for uniform algebras, Proc. Am. Math. Soc., Vol. 22 (1969), 100-101.

(Received August 31, 1972)