

Some remarks on p -absolutely summing operators

By Yasuji TAKAHASHI

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§1. Introduction

In [1], Cohen has shown that the following :

THEOREM A. *Let E be a normed space. Then E is an inner product space iff for all Banach spaces F and for all 2-absolutely summing operators T mapping E into F , the conjugate operator T^* is 2-absolutely summing and $\Pi_2(T^*) \leq \Pi_2(T)$.*

In [2], Kwapien has given a similar characterization of spaces isomorphic to inner product spaces. That is the following :

THEOREM B. *Let E be a Banach space, then the following conditions are equivalent :*

(1) *E is isomorphic (=linearly homeomorphic) to an inner product space.*

(2) *If $T \in \Pi_2(E, l_2)$, then $T^* \in \Pi_2(l_2, E^*)$.*

Theorem A and Theorem B suggest the following (*):

(*) *Let E be a Banach space, and $1 \leq p < \infty$. Then the following conditions are equivalent.*

(1) *For all Banach spaces F ,*

if $T \in \Pi_p(E, F)$, then $T^ \in \Pi_p(F^*, E^*)$.*

(2) *If $T \in \Pi_p(E, l_p)$, then $T^* \in \Pi_p(l_{p^*}, E^*)$.*

In this paper, we shall prove this fact is true, and furthermore, using weakly p -summable sequences, we shall characterize Banach spaces E which satisfy the condition (1) (or equivalently condition (2)).

Notation. Throughout the paper E and F will denote Banach spaces and E^* and F^* the continuous dual spaces. The space of continuous linear operators mapping E into F will be denoted by $L(E, F)$.

§2. Basic definitions and well known results

Let E and F be Banach spaces, and $1 \leq p \leq \infty$.

A sequence $\{x_i\}$ with values in E is called weakly p -summable ($l_p(E)$) if for all $x^* \in E^*$, the sequence $\{x^*(x_i)\} \in l_p$. The space $l_p(E)$ is a normed

space; the norm is given by

$$\varepsilon_p(\{x_i\}) = \begin{cases} \sup \left\{ \left(\sum_{i=1}^{\infty} |x^*(x_i)|^p \right)^{1/p} : \|x^*\| \leq 1 \right\}, & 1 \leq p < \infty \\ \sup_i \left\{ \sup \left\{ |x^*(x_i)| : \|x^*\| \leq 1 \right\} \right\}, & p = \infty. \end{cases}$$

The following theorem, due to Grothendieck (c. f. [6]), provides a useful characterization of $l_p(E)$.

THEOREM 2.1. *For $1 < p \leq \infty$ and $1/p + 1/p^* = 1$, there is an isometric isomorphism between $l_p(E)$ and $L(l_{p^*}, E)$. For $p = 1$, $l_1(E)$ is isometrically isomorphic with $L(c_0, E)$. In both cases, a sequence $\{x_i\}$ in $l_p(E)$ is identified with the operator*

$$T(\{c_i\}) = \sum_{i=1}^{\infty} c_i x_i.$$

A sequence $\{x_i\}$ is called absolutely p -summable ($l_p\{E\}$) if the sequence $\{\|x_i\|\} \in l_p$. The space $l_p\{E\}$ is a normed space; the norm is given by

$$\alpha_p(\{x_i\}) = \begin{cases} \left(\sum_{i=1}^{\infty} \|x_i\|^p \right)^{1/p}, & 1 \leq p < \infty \\ \sup_i \|x_i\|, & p = \infty. \end{cases}$$

A sequence $\{x_i\}$ is called strongly p -summable ($l_p\langle E \rangle$) if for all sequences $\{x_i^*\} \in l_{p^*}(E^*)$, $1/p + 1/p^* = 1$, the series $\sum_{i=1}^{\infty} x_i^*(x_i)$ converges.

The space $l_p\langle E \rangle$ is a normed space; the norm is given by

$$\sigma_p(\{x_i\}) = \sup \left\{ \left| \sum_{i=1}^{\infty} x_i^*(x_i) \right| : \varepsilon_{p^*}(\{x_i^*\}) \leq 1 \right\}.$$

DEFINITION 2.1. *Let $1 \leq p, q \leq \infty$. An operator T mapping E into F is (p, q) -absolutely summing ($\Pi_{p,q}(E, F)$) if there exists a constant $c \geq 0$, such that for all finite sets x_1, \dots, x_n , the inequality*

$$\alpha_p(\{Tx_i\}) \leq c\varepsilon_q(\{x_i\})$$

is satisfied. The smallest number c , such that the above inequality is satisfied, is called the (p, q) -absolutely summing norm ($\Pi_{p,q}(T)$) of T .

We shall say p -absolutely summing instead of (p, p) -absolutely summing, and absolutely summing instead of 1-absolutely summing, respectively.

It is easily seen that the following:

THEOREM 2.2. *A linear operator T mapping E into F is (p, q) -absolutely summing iff for each $\{x_i\} \in l_q(E)$, $\{Tx_i\} \in l_p\{F\}$.*

DEFINITION 2.2. Let $1 \leq p, q \leq \infty$. An operator T mapping E into F is (p, q) -strongly summing ($D_{p,q}(E, F)$) if there exists a constant $c \geq 0$ such that for all finite sets x_1, \dots, x_n , the inequality

$$\sigma_p(\{Tx_i\}) \leq c\alpha_q(\{x_i\})$$

is satisfied. The smallest number c , such that the above inequality is satisfied, is called the (p, q) -strongly summing norm ($D_{p,q}(T)$) of T .

We shall say p -strongly summing instead of (p, p) -strongly summing.

Next, we shall introduce an $\mathcal{L}_{p,\lambda}$ -space. The definition of this space is due to Lindenstrauss and Pełczyński (c.f. [7]).

Let E and F be Banach spaces. The distance $d(E, F)$ between E and F is defined by $d(E, F) = \inf \{\|T\| \cdot \|T^{-1}\|\}$, where the infimum is taken over all invertible operators in $L(E, F)$. If no such T exists, i.e., if E and F are not isomorphic, $d(E, F)$ is taken as ∞ .

DEFINITION 2.3. Let $1 \leq p \leq \infty$, and $1 \leq \lambda < \infty$. A Banach space E is called an $\mathcal{L}_{p,\lambda}$ -space if for all finite dimensional subspaces $M \subset E$ there exists a finite dimensional subspace N containing M such that $d(N, l_p^n) \leq \lambda$, where $n = \dim(N)$.

It can be shown (c.f. [7]) that every $L_p(\mu)$ space is an $\mathcal{L}_{p,\lambda}$ -space for all $\lambda > 1$ and every space of type $C(K)$, where K is a compact Hausdorff space, is an $\mathcal{L}_{\infty,\lambda}$ -space for all $\lambda > 1$. More generally, every Banach space whose dual is isometric to an $L_1(\mu)$ -space (e.g. every M space in the sense of Kakutani [8]) is an $\mathcal{L}_{\infty,\lambda}$ -space for every $\lambda > 1$ (c.f. [9]).

The following theorems are due to J. S. Cohen (c.f. [3]).

THEOREM 2.3. Let $1/p + 1/q = 1$.

(1) Let $1 \leq p < \infty$. An operator T belongs to $\Pi_p(E, F)$ iff the conjugate operator T^* belongs to $D_q(F^*, E^*)$.

(2) Let $1 < q \leq \infty$. An operator T belongs to $D_q(E, F)$ iff the conjugate operator T^* belongs to $\Pi_p(F^*, E^*)$.

THEOREM 2.4. Let $1 < p \leq \infty$ and $1/p + 1/q = 1$.

(1) Let E be an $\mathcal{L}_{p,\lambda}$ -space. Then, $\Pi_q(E, F) \subset D_p(E, F)$.

(2) Let F be an $\mathcal{L}_{q,\lambda}$ -space. Then, $D_p(E, F) \subset \Pi_q(E, F)$.

The following theorem are due to M. Kato (c.f. [4]), and this is a generalization of the Theorem 2.3..

THEOREM 2.5. Let $1/p + 1/p^* = 1$, $1/q + 1/q^* = 1$.

(1) Let $1 \leq p, q < \infty$. An operator T belongs $\Pi_{p,q}(E, F)$ iff the conjugate operator T^* belongs to $D_{q^*,p^*}(F^*, E^*)$.

(2) Let $1 < p < \infty$ and $1 \leq q < \infty$. An operator T belongs $D_{q^*, p^*}(E, F)$ iff the conjugate operator T^* belongs to $\Pi_{p, q}(F^*, E^*)$.

§3. Main theorems and other results

Throughout this section, let X be a set and \mathfrak{B} be a σ -algebra in X , and let μ be a positive measure such that there exist positive constants C_1, C_2 and pairwise disjoint measurable subsets $\{X_n\}$, which satisfy the following conditions :

$$C_1 \leq \mu(X_n) \leq C_2, \quad \text{for all } n = 1, 2, \dots$$

Let $L_p(X, \mu)$ be a usual Banach space, then l_p (usual sequence space) is a $L_p(X, \mu)$ -space which satisfies the above conditions.

We shall denote L_p instead of $L_p(X, \mu)$ in the ensuing discussions.

THEOREM 3.1. Let E be a Banach space, $1 \leq p \leq q \leq r < \infty$. Then the following conditions are equivalent.

- (1) For all Banach spaces F ,
if $T \in \Pi_{q, p}(E, F)$, then $T^* \in \Pi_{r, q}(F^*, E^*)$.
- (2) If $T \in \Pi_{q, p}(E, L_q)$, then $T^* \in \Pi_{r, q}(L_{q^*}, E^*)$ ($1/q + 1/q^* = 1$).
- (3) For any $\{x_n^*\} \subset E^*$ with $\|x_n^*\| = 1$ ($n = 1, 2, \dots$),

$$\bigcap_{\alpha} 1_q(\rho_{n, \alpha}) \subset 1_r$$

where $\rho_{n, \alpha} = \sum_{i=1}^{\infty} |x_n^*(x_i)|^q$, with $\{x_i\} \in 1_p(E)$.

PROOF.

(1) \Rightarrow (2): It is obvious.

(2) \Rightarrow (3): Assume the contrary, then there exist $\{x_n^*\} \subset E^*$ with $\|x_n^*\| = 1$ ($n = 1, 2, \dots$), and complex sequence $\{b_n\}$ such that $\sum_{n=1}^{\infty} |b_n|^q \rho_{n, \alpha} < \infty$ for all $\rho_{n, \alpha}$, and $\sum_{n=1}^{\infty} |b_n|^r = \infty$.

From the assumption of μ , there exist positive constants C_1, C_2 and pairwise disjoint measurable subsets $\{X_n\}$ in X such that

$$C_1 \leq \mu(X_n) \leq C_2 \quad (n = 1, 2, \dots).$$

Let

$$f_n(s) = \begin{cases} 1 & \text{for } s \in X_n \\ 0 & \text{for } s \in X_n^c \text{ (complement of } X_n), \end{cases}$$

then obviously $\{f_n\} \subset L_q$.

Now, we shall define an operator T mapping E into L_q such that

$$Tx = \sum_{n=1}^{\infty} b_n x_n^*(x) f_n \quad \text{for } x \in E.$$

Claim (a): T is (q, p) -absolutely summing.

For each $\{x_i\} \in 1_p(E)$,

$$\begin{aligned} \|Tx_i\|^q &= \int_X \left| \sum_{n=1}^{\infty} b_n x_n^*(x_i) f_n \right|^q d\mu \\ &= \sum_{n=1}^{\infty} \int_{X_n} |b_n x_n^*(x_i)|^q d\mu \\ &= \sum_{n=1}^{\infty} |b_n x_n^*(x_i)|^q \mu(X_n) \\ &\leq C_2 \sum_{n=1}^{\infty} |b_n x_n^*(x_i)|^q \end{aligned}$$

therefore, we have

$$\begin{aligned} \sum_{i=1}^{\infty} \|Tx_i\|^q &\leq \sum_{i=1}^{\infty} \sum_{n=1}^{\infty} C_2 |b_n x_n^*(x_i)|^q \\ &= C_2 \sum_{n=1}^{\infty} |b_n|^q \rho_{n,\alpha} < \infty. \end{aligned}$$

That is the assertion.

Next, let $g_i^*(s) = f_i(s)$ for $s \in X$, then $\{g_i^*\} \subset L_{q^*}$.

Claim (b): $\{g_i^*\}$ is weakly q -summable in L_{q^*} .

If $q=1$, then for any $g \in (L_{\infty})^*$, there exists complex sequence $\{\alpha_i\}$ such that $|\alpha_i|=1$, $|g(g_i^*)| = \alpha_i g(g_i^*)$.

Therefore, for positive integer N , we have

$$\begin{aligned} \sum_{i=1}^N |g(g_i^*)| &= g\left(\sum_{i=1}^N \alpha_i g_i^*\right) \\ &\leq \|g\|_{(L_{\infty})^*} \left\| \sum_{i=1}^N \alpha_i g_i^* \right\|_{L_{\infty}} \\ &= \|g\|_{(L_{\infty})^*}. \end{aligned}$$

Thus, we have the assertion.

If $q > 1$, then L_q is reflexive. For any $g \in L_q$,

$$\begin{aligned} |g(g_i^*)| &\leq \int_X |g(s) g_i^*(s)| d\mu(s) \\ &\leq \left(\int_{X_i} |g|^q d\mu \right)^{1/q} \left(\int_{X_i} |g_i^*|^{q^*} d\mu \right)^{1/q^*} \\ &\leq (C_2)^{1/q^*} \left(\int_{X_i} |g|^q d\mu \right)^{1/q} \end{aligned}$$

therefore, we have

$$\sum_{i=1}^{\infty} |g(g_i^*)|^q \leq (C_2)^{q/q^*} \|g\|_{L_q}^q < \infty.$$

Thus, we have the assertion.

Claim (c): $T^*g_i^* = \mu(X_i)b_i x_i^*$.

For any $x \in E$, it is easily seen that the following:

$$T^*g_i^*(x) = \mu(X_i)b_i x_i^*(x).$$

Thus, we have the assertion.

Finally, if the condition (2) is satisfied, then by claim (a), T^* must be (r, q) -absolutely summing. Therefore, by claim (b) and claim (c), we have

$$\sum_{n=1}^{\infty} |b_n|^r < \infty.$$

That is a contradiction.

(3) \Rightarrow (1): Let T be a (q, p) -absolutely summing operator mapping E into F . For any $\{y_n^*\} \in l_q(F^*)$, it is easily seen that

$$C = \sup \left\{ \sum_{n=1}^{\infty} |y_n^*(y)|^q : \|y\|_F \leq 1 \right\} < \infty.$$

Without loss of generality, we assume that $T^*y_n^*$ is non-zero elements, and so we put

$$x_n^* = \frac{T^*y_n^*}{\|T^*y_n^*\|},$$

then, $\|x_n^*\| = 1$ ($n = 1, 2, \dots$).

In order to show that $\{T^*y_n^*\} \in l_r\{E^*\}$, by the condition (3), it is sufficient to show that the following (*):

$$(*) \quad \sum_{n=1}^{\infty} \|T^*y_n^*\|^q \rho_{n,\alpha} < \infty \quad \text{for all } \rho_{n,\alpha}$$

$$\text{where } \rho_{n,\alpha} = \sum_{i=1}^{\infty} |x_n^*(x_i)|^q, \quad \{x_i\} \in l_p(E).$$

$$\begin{aligned} \text{Proof of } (*): \quad \sum_{n=1}^{\infty} \|T^*y_n^*\|^q \rho_{n,\alpha} &= \sum_{n=1}^{\infty} \|T^*y_n^*\|^q \sum_{i=1}^{\infty} |x_n^*(x_i)|^q \\ &= \sum_{n=1}^{\infty} \sum_{i=1}^{\infty} |T^*y_n^*(x_i)|^q \\ &= \sum_{n=1}^{\infty} \sum_{i=1}^{\infty} |y_n^*(Tx_i)|^q \\ &\leq C \sum_{i=1}^{\infty} \|Tx_i\|^q \end{aligned}$$

from this and the assumptions of T and $\{x_i\}$, we have the assertion.

Hence, T^* is (r, q) -absolutely summing, that completes the proof.

From the above Theorem and Theorem 2.5., we have the following :

THEOREM 3.2. *Let $1 \leq p \leq q \leq r < \infty$. Then the following conditions are equivalent.*

- (1) *For all Banach spaces F , $\Pi_{q,p}(E, F) \subset D_{q^*, r^*}(E, F)$.*
- (1') *For all Banach spaces F , $D_{p^*, q^*}(F^*, E^*) \subset \Pi_{r,q}(F^*, E^*)$.*
- (2) *$\Pi_{q,p}(E, L_q) \subset D_{q^*, r^*}(E, L_q)$.*
- (2') *$D_{p^*, q^*}(L_{q^*}, E^*) \subset \Pi_{r,q}(L_{q^*}, E^*)$.*

Next, by Theorem 2.1. and Theorem 3.1., we have the following main Theorem.

THEOREM 3.3. *Let $1 \leq p < \infty$. Then the following conditions are equivalent.*

- (1) *For all Banach spaces F ,
if $T \in \Pi_p(E, F)$, then $T^* \in \Pi_p(F^*, E^*)$.*
- (2) *If $T \in \Pi_p(E, L_p)$, then $T^* \in \Pi_p(L_{p^*}, E^*)$.*
- (3) *For any $\{x_n^*\} \subset E^*$ with $\|x_n^*\| = 1$ ($n=1, 2, \dots$),*

$$\bigcap_{T \in L(F, E)} l_p(\|T^* x_n^*\|^p) = l_p$$

where if $p > 1$, $F = l_{p^}$; if $p = 1$, $F = c_0$ ($1/p + 1/p^* = 1$).*

Proof is easy.

In the above Theorem, if a Banach space E satisfies the condition (3) (or equivalently (1), (2)), we shall call that E has a $(*)_p$ -conditions.

In this sense, it is easily seen that if E^* is isomorphic to a subspace of l_p , then E has a $(*)_p$ -conditions. More generally, by the Theorem 2.3. and Theorem 2.4., $\mathcal{L}_{p^*, 1}$ -space has a $(*)_p$ -condition.

In particular, every space of type $C(K)$ (K is a compact Hausdorff space), every M space in the sense of Kakutani has a $(*)_1$ -conditions, and every $L_{p^*}(\mu)$ -space has a $(*)_p$ -conditions.

Now, by Theorem B and Theorem 3.3, we obtain a characterization of inner product spaces. That is the following :

THEOREM 3.4. *Let E be a Banach space, then the following conditions are equivalent :*

- (1) *E is isomorphic to an inner product space.*
- (2) *For every separable subspace H of E , H is isomorphic to l_2 .*
- (3) *For any $\{x_n^*\} \subset E^*$ with $\|x_n^*\| = 1$ ($n=1, 2, \dots$),*

$$\bigcap_{T \in L(l_2, E)} l_2(\|T^* x_n^*\|^2) = l_2.$$

Proof is easy.

§4. Application

In this section, as an application of a Banach space E which satisfies a $(*)_p$ -conditions, we shall give the Sazonov's theorem concerning Gaussian measure. (For details, c. f. [10], [11], [12])

THEOREM 4.1. *Let E be a Banach space which satisfies a $(*)_p$ -conditions for $1 \leq p \leq 2$, and let μ be a Gaussian measure on E^* . Then, the following conditions are equivalent:*

- (1) μ is countably additive.
- (2) μ is continuous relative to the Hilbert-Schmidt topology.

Proof is omitted.

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Department of Mathematics
Hokkaido University