

## A characterization of dense vector fields in $\mathcal{G}^1(M)$ on 3-manifolds

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**Abstract.** Recently Morales-Pacífico-Pujals introduced the new concept of singular hyperbolicity and showed that  $C^1$  robust transitive sets of 3-flows are singular hyperbolic sets ([8], [9]). Based on their papers, we shall characterize a dense subset of  $\mathcal{G}^1(M)$  with  $\dim M = 3$ .

*Key words:*  $\mathcal{G}^1(M)$ , singular hyperbolic set, Axiom A.

### 1. Introduction

The purpose of this paper is to study the space of vector fields known as  $\mathcal{G}^1(M)$ . Let  $M$  be a compact smooth manifold without boundary. We denote by  $\chi^1(M)$  the set of  $C^1$  vector fields on  $M$ , endowed with the  $C^1$  topology and by  $X_t$  ( $t \in \mathbb{R}$ ) the  $C^1$  flow on  $M$  generated by  $X \in \chi^1(M)$ .  $\Omega(X)$ ,  $per(X)$ ,  $Sing(X)$  are the sets of nonwandering, periodic and singular points of  $X$  respectively. Recall that a set  $\Lambda \subset M$  is called a hyperbolic set of  $X$  if compact, invariant and there exists a continuous splitting  $TM/\Lambda = E^s \oplus E^X \oplus E^u$ , invariant under the derivative of flow  $X_t$ ,  $DX_t$ , where  $E^s$  and  $E^u$  are exponentially contracted and expanded respectively by  $DX_t$  and  $E^X$  is tangent to  $X$ . We say that  $X \in \chi^1(M)$  satisfies Axiom A if  $\Omega(X)$  is a hyperbolic set of  $X$  and  $\Omega(X) = \overline{Sing(X) \cup per(X)}$  (We denote by  $\overline{A}$  the closure of  $A$  in  $M$ ). Let  $\mathcal{G}^1(M)$  be the interior of the set of vector fields in  $\chi^1(M)$  whose critical elements (singularities and periodic orbits) are hyperbolic.

In [3], Hayashi showed that diffeomorphisms in  $\mathcal{F}^1(M)$  satisfy Axiom A where  $\mathcal{F}^1(M)$  is the diffeomorphism version of  $\mathcal{G}^1(M)$  and this naturally give rise to the following question: Do vector fields in  $\mathcal{G}^1(M)$  satisfy Axiom A? Unfortunately this does not hold generally and the geometric Lorenz attractor in [2] is well-known as one of the counter examples. Vector field generating this attractor is an element of  $\mathcal{G}^1(M)$  but has singularities accu-

mulated by the periodic orbits, hence its nonwandering set cannot be the hyperbolic set. So we hope for the other characterization of  $\mathcal{G}^1(M)$ , or at least dense subset of  $\mathcal{G}^1(M)$ , replacing Axiom A but until now no such a characterization exists for any dimension  $\geq 3$ .

Recently Morales-Pacifico-Pujals introduced the notion of singular hyperbolic set by generalizing both the geometric Lorenz attractor and the concept of hyperbolic set, and showed that  $C^1$  robust transitive sets of 3-flows are singular hyperbolic sets ([8], [9]). Based on their papers, we shall characterize a dense subset of  $\mathcal{G}^1(M)$  on 3-manifold in this paper. Throughout the rest of this paper, we assume  $\dim M = 3$ .

Before stating our theorem, we need the following definition.

**Definition 1.1** ([8], Definition 1) A compact invariant set  $\Lambda$  is a singular hyperbolic set of  $X \in \chi^1(M)$  if it has singularities, all of them hyperbolic and there is a continuous splitting  $TM/\Lambda = E^s \oplus E^{cu}$  invariant under  $DX_t$  such that  $E^{cu}$  contains the direction of the flow  $X_t$ ,  $E^s$  is one-dimensional and there exist two numbers  $\lambda > 0$  and  $C > 0$  satisfying

$$\begin{aligned} & \cdot \|DX_t/E_x^s\| \cdot \|DX_{-t}/E_{X_t(x)}^{cu}\| \leq Ce^{-\lambda t} \\ & \cdot \|DX_t/E_x^s\| \leq Ce^{-\lambda t} \\ & \cdot |\det(DX_t/E_x^{cu})| \geq Ce^{\lambda t} \end{aligned}$$

for all  $t \geq 0$  and  $x \in \Lambda$ . Here  $\det(A)$  means the determinant of  $A$ .

Singular hyperbolic set is as sort of “partially” hyperbolic set which has volume expanding central direction. Here partially hyperbolicity implies that  $TM/\Lambda$  can be decomposed into  $E^s \oplus E^{cu}$ ,  $E^s$  being a uniformly contracting one-dimensional bundle that dominates  $E^{cu}$ . Definition 1.1 requires the additional condition that  $E^{cu}$  is volume expanding. It is easy to see that if singular hyperbolic set  $\Lambda$  has a compact invariant subset which is isolated from singularities in  $\Lambda$ , then this subset is hyperbolic. Hence we see that singular hyperbolicity is a generalized concept of hyperbolicity for 3-flows which can handle the invariant sets with singularities.

Now we state our result.

**Theorem** *There exists a dense subset  $\mathcal{U} \subset \mathcal{G}^1(M)$  such that for any  $X \in \mathcal{U}$ , replacing  $X$  by  $-X$  if necessary,  $X$  satisfies Axiom A or exhibits a singular hyperbolic set.*

By above Theorem, any vector field in  $\mathcal{G}^1(M)$  can be approximated by the vector fields which satisfy Axiom A or have the structure like the geometric Lorenz attractor.

## 2. Some Preliminaries

In this section we will state several results needed for the proof of Theorem. Let  $S$  be any vector field in  $\mathcal{G}^1(M)$  and  $\sigma$  be any singularity of  $S$ . Since  $\sigma$  is hyperbolic, there exist neighborhoods  $\mathcal{N}(S) \subset \mathcal{G}^1(M)$ ,  $U_\sigma \subset M$  of  $S$  and  $\sigma$  respectively and a continuous function  $\rho : \mathcal{N}(S) \rightarrow U_\sigma$  which to each vector field  $X \in \mathcal{N}(S)$  associates the unique singularity of  $X$  in  $U_\sigma$ . We call  $\rho(X)$  the continuation of  $\sigma$  for  $X$ . By  $\rho$  and compactness of  $M$ ,  $S$  has finite number of singularities, denoted by  $\sigma_1(S), \dots, \sigma_l(S)$  respectively, and next lemma is immediate.

**Lemma 2.1** *For any  $S \in \mathcal{G}^1(M)$ , there exists a neighborhood  $\mathcal{U}_0 = \mathcal{U}_0(S)$  of  $S$  in  $\mathcal{G}^1(M)$  such that singularities of each  $X \in \mathcal{U}_0$  are only  $\sigma_1(X), \dots, \sigma_l(X)$ , which are the continuations of  $\sigma_1(S), \dots, \sigma_l(S)$  for  $X$  respectively.*

It is well-known that each element of  $\mathcal{G}^1(M)$  has finitely many attracting and repelling periodic orbits ([10]). Denote the number of these periodic orbits of  $S \in \mathcal{G}^1(M)$  by  $a(S)$  and  $r(S)$  respectively.

**Lemma 2.2** *Any  $S \in \mathcal{G}^1(M)$  can be approximated by neighborhood  $\mathcal{U}_1 = \mathcal{U}_1(S)$  in  $\mathcal{G}^1(M)$  such that  $a(X) = a(Y)$ ,  $r(X) = r(Y)$  for all  $X, Y \in \mathcal{U}_1$ .*

*Proof.* By contradiction, suppose that there exists a vector field  $S_0$  and its neighborhood  $\mathcal{B}_0 \subset \mathcal{G}^1(M)$  such that each  $X \in \mathcal{B}_0$  is approximated by  $Y$  satisfying  $a(X) + r(X) < a(Y) + r(Y)$ . Let  $\mathcal{B}_n = \{X \in \mathcal{B}_0 : a(X) + r(X) \geq n\}$  ( $n \geq 1$ ) and then  $\mathcal{B}_n$  is open and dense in  $\mathcal{B}_0$ . Since  $\mathcal{B}_0$  is a Baire space, we can take  $X_0 \in \bigcap_n \mathcal{B}_n (\subset \mathcal{B}_0)$ . But clearly  $a(X_0) + r(X_0) = \infty$ , i.e.,  $X_0$  has infinite number of attracting or repelling periodic orbits. This is a contradiction to [10].  $\square$

We take  $\mathcal{U}_1 \subset \mathcal{U}_0$  in the following. Next we will characterize singularities of vector fields in  $\mathcal{U}_1$  accumulated by the periodic orbits. To do this, we need the following definition.

**Definition 2.3** ([8], Definition 3) Let  $X \in \chi^1(M)$ . We say that  $\sigma \in \text{Sing}(X)$  is Lorenz-like if the eigenvalues  $\{\lambda_1, \lambda_2, \lambda_3\}$  of the derivative  $D_\sigma X$  are real and satisfy

$$\lambda_2 < \lambda_3 < 0 < -\lambda_3 < \lambda_1.$$

In the following we denote by  $\text{per}_1(X)$  and  $\overline{\text{per}_1}(X)$  the sets of saddle periodic points of  $X$  and its closure respectively. We also denote by  $\text{ind } \sigma$  the index of  $\sigma$ , i.e., the dimension of stable subspace of  $D_\sigma X$ .

**Proposition 2.4** *Let  $X \in \mathcal{U}_1$  and  $\sigma_X \in \text{Sing}(X) \cap \overline{\text{per}_1}(X)$  be given. Assume that  $\text{ind } \sigma_X = 2$ . Then  $\sigma_X$  is Lorenz-like and satisfies*

$$W^{ss}(\sigma_X) \cap \overline{\text{per}_1}(X) = \{\sigma_X\},$$

where  $W^{ss}(\sigma_X)$  is the stable manifold associated to the strong contracting eigenvalue  $\lambda_2$ .

*Proof.* Proposition 2.4 is obtained by using the methods in the proof of [9, Lemmas 4.1 and 4.2] and the following lemma.

**Lemma 2.5** ([4], the  $C^1$  Connecting Lemma) *Let  $X \in \chi^1(M)$  and  $p, q$  be two points which are not periodic. Assume that for all neighborhood  $U$  and  $V$  of  $p$  and  $q$  respectively, there is  $x \in U$  such that  $X_t(x) \in V$  for some  $t \geq 0$ . Then  $\forall \epsilon > 0$ ,  $\exists L > 0$  such that for any  $\delta > 0$ , there is  $Y$   $C^1 \epsilon$ -close to  $X$  satisfying*

- $Y = X$  on  $M - B_\delta(X_{[0,L]}(p) \cup X_{[-L,0]}(q))$
- $q$  is on the forward  $Y$ -orbit of  $p$ .

Here  $X_{[a,b]}(x)$  denotes the segment of orbit  $\{X_t(x) \mid a \leq t \leq b\}$  and  $B_\delta(A)$  is  $\delta$ -neighborhood of  $A$  in  $M$ .

By Lemma 2.5, we can perturb  $X$  to  $Y$  so that  $Y \in \mathcal{U}_1$  and  $Y$  exhibits a homoclinic loop associated to  $\sigma_Y$  (continuation of  $\sigma_X$  for  $Y$ ). We can further perturb  $Y$  to obtain  $C^\infty$  vector field  $Z \in \mathcal{U}_1$  such that  $Z$  still has a homoclinic loop associated to  $\sigma_Z$ .

Assume that there exists  $X \in \mathcal{U}_1$  with  $\sigma_X \in \text{Sing}(X) \cap \overline{\text{per}_1}(X)$  having a complex eigenvalue. Then  $\sigma_Z$  also has a complex eigenvalue. The argument of [14, p.247] shows that we can perturb  $Z$  to  $Z_1$ , arbitrarily  $C^1$  close to  $Z$ , to generate a new attracting periodic orbit. This contradicts  $Z \in \mathcal{U}_1$ , proving that the eigenvalues of  $\sigma_X$  are real.

We can arrange the eigenvalues  $\lambda_1, \lambda_2, \lambda_3$  of  $D_\sigma X$  such that

$$\lambda_2 \leq \lambda_3 \leq \lambda_1.$$

Then  $X \in \mathcal{U}_1$  implies that  $\lambda_3 < 0$  and  $\lambda_1 > 0$ . So if  $\sigma_X$  is not Lorenz-like, we

have that  $|\lambda_2|, |\lambda_3| > \lambda_1$ . Since the eigenvalues of  $\sigma_Z$  satisfy this inequality, [14, Theorem 3.2.12] enable us to perturb  $Z$  to generate a new attracting periodic orbit. This contradicts  $Z \in \mathcal{U}_1$ , proving  $\sigma_X$  is Lorenz-like. For the rest of Proposition, we can perturb  $X$  as in the proof of [9, Lemma 4.2] to generate an attracting periodic orbit again, which contradicts  $X \in \mathcal{U}_1$ .  $\square$

### 3. Proof of Theorem

In this section we complete the proof of Theorem. For this let us state two key results. In [6], it was shown that on 2-manifolds compact invariant sets of diffeomorphisms in  $\mathcal{F}^1(M)$  which have dense periodic orbits are hyperbolic. By applying the same method, we can immediately obtain the corresponding result for 3-flows as in the following (see also [12]).

**Proposition 3.1** *Let  $X \in \mathcal{G}^1(M)$  and  $\Lambda$  is a compact invariant set of  $X$  which has dense periodic orbits. Then if  $\Lambda \cap \text{Sing}(X) = \emptyset$ ,  $\Lambda$  is a hyperbolic set of  $X$ .*

If  $\Lambda \cap \text{Sing}(X) \neq \emptyset$ ,  $\Lambda$  cannot be a hyperbolic set of  $X$ . However the following result holds in parallel with above Proposition.

**Proposition 3.2** *Let  $X \in \mathcal{G}^1(M)$  and  $\Lambda$  is a compact invariant set of  $X$  which has dense periodic orbits. Suppose that  $X$  has a neighborhood  $\mathcal{U}_X$  such that  $a(Y_1) = a(Y_2)$  and  $r(Y_1) = r(Y_2)$  for all  $Y_1, Y_2 \in \mathcal{U}_X$ . Then if  $\Lambda \cap \text{Sing}(X) \neq \emptyset$  and every singularity in  $\Lambda$  is Lorenz-like,  $\Lambda$  is a singular hyperbolic set of  $X$ .*

Proposition 3.2 is obtained from the methods in the proof of [9, Theorem C]. Let  $\mathcal{P}_\Lambda$  be the set of periodic orbits contained in  $\Lambda$ . Then we have the hyperbolic splitting  $TM/\mathcal{P}_\Lambda = E^s \oplus E^X \oplus E^u$ , where  $E^s$  is the stable bundle,  $E^u$  is the unstable bundle and  $E^X$  is tangent to the flow direction. We set  $E^{cu} = E^X \oplus E^u$  and define over  $\mathcal{P}_\Lambda$  the splitting

$$TM/\mathcal{P}_\Lambda = E^s \oplus E^{cu}. \quad (1)$$

Suppose that we can extend this splitting continuously to the closure  $\overline{\mathcal{P}_\Lambda} = \Lambda$ , denoted by  $TM/\Lambda = \tilde{E}^s \oplus \tilde{E}^{cu}$ , and  $\tilde{E}^s$  dominates  $\tilde{E}^{cu}$ . Then we can show that  $\tilde{E}^s$  and  $\tilde{E}^{cu}$  is actually uniformly contracting and volume expanding bundle respectively. In fact if  $\tilde{E}^s$  (resp.  $\tilde{E}^{cu}$ ) is not contracting (resp. volume

expanding), we can perturb  $X$  to generate a new repelling (resp. attracting) periodic orbit by [9, §5.3 and 5.4], the argument similar to [6, pp.521–524]. But this contradicts  $X \in \mathcal{U}_1$ . Thus we see that  $\Lambda$  is a singular hyperbolic set of  $X$ .

The proof of the continuous extension of (1) to  $\Lambda$  and the domination property of  $(\tilde{E}^s, \tilde{E}^{cu})$  is rather technical, but the basic idea follow from [6] substantially. In fact it is primarily proved that  $(E^s, E^{cu})$  has the domination property, then by this property and [7, Proposition 1.3], (1) can be extended continuously to  $\Lambda$ . To prove the domination property of  $(E^s, E^{cu})$ , roughly speaking, it is enough to show that the angle between  $E^s$  and  $E^{cu}$  is uniformly bounded away from 0 over  $\mathcal{P}_\Lambda$ , which corresponds to the result of [6, Lemma II.9].

The hypothesis of robust transitivity is necessary to prove these facts for two reasons: to utilize the property of the periodic orbits of [9, Theorem 3.11] and prohibit the generation of new attracting or repelling periodic orbit by small  $C^1$  perturbation. But our situation that  $X \in \mathcal{U}_1$  satisfies these properties, hence we can directly use the proof of [9, Theorem C] to show Proposition 3.2.

To complete the proof of Theorem, we shall show that there exists a vector field in  $\mathcal{U}_1$  of Lemma 2.2 which satisfies Axiom A or has a compact invariant subset satisfying the condition of Proposition 3.2. At first we state a well-known generic property without proof.

**Lemma 3.3** *There exists a residual subset  $\mathcal{R}$  of  $\chi^1(M)$  such that, for any  $X \in \mathcal{R}$ , if  $K$  is a compact subset in  $M$  satisfying  $\overline{\text{per}}_1(X) \cap K = \emptyset$ , then  $\overline{\text{per}}_1(Y) \cap K = \emptyset$  for all  $Y$  sufficiently  $C^1$  close to  $X$ .*

Since we now take  $\mathcal{U}_1 \subset \mathcal{U}_0$ , each  $X \in \mathcal{U}_1$  has  $l$  singularities,  $\sigma_1(X), \dots, \sigma_l(X)$ . By using Lemma 3.3 and arranging the subscript of these singularities appropriately, we obtain the following lemma.

**Lemma 3.4** *There exist a neighborhood  $\mathcal{U}_2 \subset \mathcal{U}_1$  and number  $k$  with  $0 \leq k \leq l$  such that*

- 1)  $X \in \mathcal{U}_2 \Rightarrow \sigma_1(X), \dots, \sigma_k(X)$  are not accumulated by the periodic orbits of  $X$ .
- 2)  $X \in \mathcal{U}_2 \cap \mathcal{R} \Rightarrow \sigma_{k+1}(X), \dots, \sigma_l(X)$  are accumulated by the periodic orbits of  $X$ .

*Proof.* First assume that there exist  $X_1 \in \mathcal{U}_1 \cap \mathcal{R}$  and  $\sigma_1(X_1) \in \text{Sing}(X_1)$  such that  $\overline{\text{per}}_1(X_1) \cap \{\sigma_1(X_1)\} = \emptyset$ , otherwise the lemma is proved by setting  $\mathcal{U}_2 = \mathcal{U}_1$  and  $k = 0$ . Then by Lemma 3.3, there exists a neighborhood  $\mathcal{V}_1$  of  $X_1$  in  $\mathcal{U}_1$  such that  $\overline{\text{per}}_1(Y) \cap \{\sigma_1(Y)\} = \emptyset$  for any  $Y \in \mathcal{V}_1$ . Next assume that there exist  $X_2 \in \mathcal{V}_1 \cap \mathcal{R}$  and  $\sigma_2(X_2) \in \text{Sing}(X_2)$  such that  $\overline{\text{per}}_1(X_2) \cap \{\sigma_2(X_2)\} = \emptyset$ , otherwise the lemma is proved by setting  $\mathcal{U}_2 = \mathcal{V}_1$  and  $k = 1$ . Again by Lemma 3.3, there exists a neighborhood  $\mathcal{V}_2$  of  $X_2$  in  $\mathcal{V}_1$  such that  $\overline{\text{per}}_1(Y) \cap \{\sigma_2(Y)\} = \emptyset$  for any  $Y \in \mathcal{V}_2$ . Since the number of singularities of vector fields in  $\mathcal{U}_1$  is  $l$ , we can prove the lemma by continuing this process at most  $l$  times.  $\square$

**Remark** After continuing this process, suppose that we have reached the situation such that  $\text{Sing}(X_0) \cap \overline{\text{per}}_1(X_0) = \emptyset$  for some  $X_0 \in \mathcal{U}_1 \cap \mathcal{R}$ . Then by Proposition 3.1, we have that  $\overline{\text{per}}_1(X_0)$  (and therefore  $\overline{\text{Sing}(X_0) \cup \text{per}(X_0)}$ ) is a hyperbolic set of  $X_0$ . Moreover by the general density theorem ([11]), we may assume that  $\Omega(X_0) = \overline{\text{Sing}(X_0) \cup \text{per}(X_0)}$ . This implies  $X_0$  satisfies Axiom A, proving our Theorem. So we will assume  $k \leq l$  in the following.

**Lemma 3.5** *Let  $X \in \mathcal{U}_2 \cap \mathcal{R}$ . Then for any  $\sigma_X \in \text{Sing}(X) \cap \overline{\text{per}}_1(X)$  and any  $\delta > 0$ , there exists a neighborhood  $\mathcal{W}$  of  $X$  in  $\mathcal{U}_2$  such that each  $Y \in \mathcal{W}$  satisfies*

$$W^u(\sigma_Y) \subset B_\delta(\overline{\text{per}}_1(X)) \quad \text{or} \quad W^s(\sigma_Y) \subset B_\delta(\overline{\text{per}}_1(X))$$

according to  $\text{ind } \sigma_X = 2$  or 1 respectively. Here  $\sigma_Y$  is the continuation of  $\sigma_X$  for  $Y$ .

*Proof.* This Lemma corresponds to [9, Corollary 3.5], for which the property of robust transitivity is essential. The proof here is, however, the consequence from Lemma 3.3.

Suppose that on the contrary, there exist  $\sigma_X \in \text{Sing}(X) \cap \overline{\text{per}}_1(X)$ ,  $\delta_0 > 0$  and  $Y_0$  arbitrarily  $C^1$  close to  $X$  such that the lemma is false. Replacing  $X$  by  $-X$  if necessary, we may assume  $\text{ind } \sigma_X = 2$ , i.e.,  $\sigma_X$  is Lorenz-like. So we assume that

$$W^u(\sigma_{Y_0}) \not\subset B_{\delta_0}(\overline{\text{per}}_1(X)).$$

Then there exists a neighborhood  $\mathcal{V}(Y_0)$  of  $Y_0$  in  $\mathcal{U}_2$  such that for each  $Z \in \mathcal{V}(Y_0)$ ,  $\sigma_Z$  is Lorenz-like and

$$W^u(\sigma_Z) \not\subset B_{\delta_0}(\overline{\text{per}}_1(X)). \tag{2}$$

Shrinking  $\mathcal{V}(Y_0)$  if necessary, we may also assume that

$$\overline{per}_1(Z) \subset B_{\delta_0}(\overline{per}_1(X)) \quad (3)$$

from Lemma 3.3. Now we carry out the following sequence of perturbations of  $Y_0$ .

1. By Lemma 3.4 and (2), we can perturb  $Y_0$  to  $Y_1$ , arbitrarily  $C^1$  close to  $Y_0$ , so that  $\sigma_{Y_1} \in \text{Sing}(Y_1) \cap \overline{per}_1(Y_1)$  and  $W^u(\sigma_{Y_1}) \not\subset B_{\delta_0}(\overline{per}_1(X))$ .
2. Then by using Lemma 2.5, we can perturb  $Y_1$  to  $Y_2$ , arbitrarily  $C^1$  close to  $Y_1$ , so that  $Y_2$  exhibits a homoclinic loop  $\Gamma$  associated to  $\sigma_{Y_2}$  and still  $W^u(\sigma_{Y_2}) \not\subset B_{\delta_0}(\overline{per}_1(X))$ .
3. Since  $W^u(\sigma_{Y_2}) \not\subset B_{\delta_0}(\overline{per}_1(X))$ , there are orbits which are through points arbitrarily near  $\Gamma$  and leave  $B_{\delta_0}(\overline{per}_1(X))$  along one branch of  $W^u(\sigma_{Y_2})$ . So we can perturb  $Y_2$  to  $Y_3$ , arbitrarily  $C^1$  close to  $Y_2$ , so that both branches of  $W^u(\sigma_{Y_3})$  leave  $B_{\delta_0}(\overline{per}_1(X))$ .
4. Again by Lemma 3.4 we can perturb  $Y_3$  to  $Y_4$ , arbitrarily  $C^1$  close to  $Y_3$ , so that  $\sigma_{Y_4} \in \text{Sing}(Y_4) \cap \overline{per}_1(Y_4)$  and both branches of  $W^u(\sigma_{Y_4})$  still leave  $B_{\delta_0}(\overline{per}_1(X))$ . Clearly we have  $\overline{per}_1(Y_4) - B_{\delta_0}(\overline{per}_1(X)) \neq \emptyset$ .

As we can perturb  $Y_0$  to  $Y_4$  arbitrarily  $C^1$  close to  $Y_0$ , this is a contradiction to (3). Thus we have completed the proof of Lemma 3.5.  $\square$

**Lemma 3.6** *Let  $X \in \mathcal{U}_2 \cap \mathcal{R}$ . Then we have the following:*

*Let  $\sigma_1, \sigma_2$  be any two singularities of  $X$ . If there are points  $p_n \in \overline{per}_1(X)$  and numbers  $t_n > 0$  such that  $\lim_{n \rightarrow \infty} p_n = \sigma_1$  and  $\lim_{n \rightarrow \infty} X_{t_n}(p_n) = \sigma_2$ , then  $\text{ind } \sigma_1 = \text{ind } \sigma_2$ .*

*Proof.* Suppose that  $X$  has two singularities  $\sigma_1(X), \sigma_2(X)$  such that the lemma is false. Let  $\text{ind } \sigma_1(X) = 1$  and  $\text{ind } \sigma_2(X) = 2$  respectively. By Proposition 2.4, we have  $W^{uu}(\sigma_1(X)) \not\subset V$  for a small neighborhood  $V$  of  $\overline{per}_1(X)$ . Then using the argument in the proof of [9, Lemma 4.3], we can perturb  $X$  to  $Y$ , arbitrarily  $C^1$  close to  $X$  so that  $W^u(\sigma_2(Y)) \not\subset V$ . This contradicts Lemma 3.5.  $\square$

Now we conclude the proof of Theorem. Let  $S$  be any vector field in  $\mathcal{G}^1(M)$ . Then  $S$  can be  $C^1$  approximated by  $X \in \mathcal{U}_2 \cap \mathcal{R}$ . By Lemma 3.4 and Remark after it,  $X$  has singularities accumulated by the periodic orbits, so we can take a sequence of saddle periodic orbits of  $X$ ,  $\{\gamma_n\}_{n \geq 1}$ , such that



$\overline{\bigcup_{n=1}^{\infty} \gamma_n} \cap \text{Sing}(X) \neq \emptyset$ . Set  $K = \overline{\bigcup_{n=1}^{\infty} \gamma_n}$ . Since all the singularities in  $K$  have the same index by Lemma 3.6, replacing  $X$  by  $-X$  if necessary, we may assume that those index is two. So given  $\sigma \in K \cap \text{Sing}(X)$ ,  $\sigma$  is Lorenz-like by Proposition 2.4. Then Proposition 3.2 implies that  $K$  is a singular hyperbolic set of  $X$ . We have completed the proof of Theorem.

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