

On polarized surfaces (X, L) with $h^0(L) \geq 2$, $\kappa(X) \geq 0$, and $g(L) = q(X) + 1$

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Abstract. Let (X, L) be a polarized surface defined over the complex number field \mathbb{C} . If $h^0(L) > 0$, then $g(L) \geq q(X)$ holds, where $g(L)$ is the sectional genus of (X, L) and $q(X)$ is the irregularity of X . In previous papers, we have studied polarized surfaces (X, L) with $h^0(L) > 0$ and $g(L) = q(X)$. In this paper, we study a classification of (X, L) with $\kappa(X) \geq 0$, $h^0(L) \geq 2$, and $g(L) = q(X) + 1$.

Key words: polarized surface, sectional genus, irregularity.

Introduction

Let X be a smooth projective variety over the complex number field \mathbb{C} , and let L be an ample line bundle on X . Then we call the pair (X, L) a polarized manifold. The sectional genus $g(L)$ of (X, L) is defined as follows:

$$g(L) = 1 + \frac{1}{2}(K_X + (n-1)L)L^{n-1},$$

where K_X is the canonical line bundle of X and $n = \dim X$. A classification of (X, L) with small value of sectional genus was obtained by several authors. On the other hand, Fujita proved the following theorem (see Theorem (2.13.1) in [Fj5]).

Theorem *Let (X, L) be a polarized manifold. Then for any fixed $n = \dim X$ and $g(L)$, there are only finitely many deformation type of (X, L) unless (X, L) is a scroll over a smooth curve.*

(For a definition of the deformation type of (X, L) , see §13 of Chapter II in [Fj5].) By this theorem, Fujita proposed the following conjecture (see (II.13.7) in [Fj5], Question 7.2.11 in [BS]);

Conjecture (Fujita) *Let (X, L) be a polarized manifold. Then $g(L) \geq q(X)$, where $q(X) = h^1(\mathcal{O}_X)$: the irregularity of X .*

This conjecture is very difficult and it is unsolved even for the case in which X is a surface.

In this paper we consider the case in which $\dim X = 2$. If $\dim X = 2$, then we can prove that $g(L) \geq q(X)$ under one of the following conditions;

- (A) $\kappa(X) \leq 1$,
- (B) $\kappa(X) = 2$ and $h^0(L) > 0$.

In [Fk2] and [Fk3], we obtained a classification of (X, L) with $g(L) = q(X)$ under one of the following cases;

- (0-1) $\kappa(X) \leq 1$,
- (0-2) $\kappa(X) = 2$ and $h^0(L) > 0$.

Furthermore in [Fk4], we obtained a classification of (X, L) with $g(L) = q(X) + 1$ under one of the following cases;

- (1-1) $\kappa(X) = -\infty$,
- (1-2) $\kappa(X) \geq 0$ and $h^0(L) > 0$.

In particular if (X, L) is the case (1-2) above, then we determined a type of the divisor L , but we were not able to classify the type (X, L) in detail. So in this paper, we consider this case under the condition that $h^0(L) \geq 2$, and we get the following theorem;

Theorem 2.1 *Let (X, L) be a polarized surface with $\kappa(X) \geq 0$ and $h^0(L) \geq 2$. If $g(L) = q(X) + 1$, then (X, L) is one of the following types.*

- (1) X is birationally equivalent to an Abelian surface and $g(L) = 3$.
- (2) $X = F \times C$, $L \equiv 2F + C$, where F and C are smooth curves such that $g(F) = 1$ and $g(C) \geq 2$. (Here \equiv denotes the numerical equivalence of divisors.)
- (3) X is the relatively minimal elliptic fibration which is a quasi-bundle and has two multiple fibers, and $L \equiv F_1 + N$ with $g(L) = 3$ and $q(X) = 2$, where F_1 is an irreducible component of some multiple fiber and N is a smooth irreducible curve of $g(N) = 2$ with $NF_1 = 1$.
- (4) X is minimal with $\kappa(X) = 2$, $L^2 = 1$, $g(L) = 3$, and $q(X) = 2$. Then there exists a smooth projective surface X' , a birational morphism $\mu : X' \rightarrow X$ and a fiber space $f : X' \rightarrow \mathbb{P}^1$ such that μ is a one point blowing up of X and the (-1) -curve E is a section of f , any fiber of f is irreducible and reduced, $L = \mu_*(F)$, and $g(F) = 3$ for a general fiber F of f .

At present unfortunately we don't know whether an example of the case (4) in Theorem 2.1 exists or not. But the above result is very useful to classify (X, L) of $\dim X = n \geq 3$ with $g(L) = q(X) + 1$ and $\dim \text{Bs } |L| = 0$. We study these (X, L) in a forthcoming paper.

Here we remark that the method in this paper is different from that in [Fk4].

We use the customary notation in algebraic geometry.

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1. Preliminaries

Lemma 1.1 (Debarre) *Let X be a minimal surface of general type with $q(X) \geq 1$. Then $K_X^2 \geq 2p_g(X)$. (Hence $K_X^2 \geq 2q(X)$ for any minimal surface of general type.)*

Proof. See Théorème 6.1 in [De]. □

Theorem 1.2 ([Fk1]) *Let (X, L) be an L -minimal quasi-polarized surface with $\kappa(X) \geq 0$. If $h^0(L) \geq 2$, then (X, L) satisfies one of the following conditions:*

- (1) $g(L) \geq 2q(X) - 1$.
- (2) *For any linear pencil $\Lambda \subseteq |L|$, the fixed part $Z(\Lambda)$ of Λ is not zero and $\text{Bs } \Lambda_M = \emptyset$, where Λ_M is movable part of Λ . Let $f : X \rightarrow C$ be the fiber space induced by Λ_M . Then $g(L) \geq g(C) + 2g(F) \geq q(X) + g(F)$, $g(C) \geq 2$ and $LF = 1$ for a general fiber F of f .*

Proof. See Theorem 3.1 in [Fk1]. □

Definition 1.3 (See Definition 1.1 in [Se1].) *Let X (resp. C) be a smooth projective surface (resp. a smooth curve). A fibration $f : X \rightarrow C$ is called a *quasi-bundle* if all smooth fibers are pairwise isomorphic, and the only singular fibers are multiples of smooth curves.*

Proposition 1.4 *Let X be a smooth projective surface. Assume that X is minimal and X has an elliptic fibration $f : X \rightarrow C$ over a smooth curve C . If $q(X) = g(C) + 1$, then $\chi(\mathcal{O}_X) = 0$ and f is a quasi-bundle.*

Proof. See Lemma 1.5 and Lemma 1.6 in [Se1]. □

Lemma 1.5 *Let $f : X \rightarrow C$ be a relatively minimal elliptic fibration with $q(X) = g(C) + 1$. If f has a section, then $X \cong F \times C$, where F is a general fiber of f .*

Proof. (See [Fj4].) By Proposition 1.4, f is a quasi-bundle. Let C' be a section of f . Let $\Sigma \subset C$ be the singular locus of f and $U = C - \Sigma$. We fix an elliptic curve $E \cong f^{-1}(x)$ for $x \in U$. Then by [Fj4], we have a map $\varphi : \pi_1(U) \rightarrow \text{Aut}(E, C'_E)$. Since $q(X) = g(C) + 1$, $\pi_1(U)$ acts on E as translations. Since $\deg C'_E = 1$, the translation part of $\text{Aut}(E, C'_E)$ is trivial. Hence φ is trivial and we get the assertion. \square

Lemma 1.6 *Let (X, L) be a polarized surface with $\kappa(X) \geq 0$, $L^2 = 1$, $g(L) = 2$, and $\Delta(L) = 1$, where $\Delta(L)$ is the delta genus of (X, L) (See [Fj1], [Fj2] or [Fj4]). Then $q(X) = 0$.*

Proof. By hypothesis, $h^0(L) = 2$. Since $L^2 = 1$, any element of $|L|$ is an irreducible reduced curves and $\text{Bs } |L| = \{p\}$. Let $\varphi : X \dashrightarrow \mathbb{P}^1$ be a rational map defined by $|L|$. Then by blowing up at p , we have a fiber space $f : X' \rightarrow \mathbb{P}^1$ where $\mu : X' \rightarrow X$ is blowing up at p . Let $D \in |L|$ be an irreducible reduced smooth curve. (This D exists since $L^2 = 1$ and $\text{Bs } |L| = \{p\}$.) Then $\mu^*D - E$ is a fiber of f , where E is a (-1) -curve with $\mu(E) = p$. On the other hand $K_{X'} = \mu^*K_X + E$. Hence

$$\begin{aligned} g(F) &= 1 + \frac{1}{2}(K_{X'} + F)F \\ &= 1 + \frac{1}{2}(\mu^*(K_X + D))(\mu^*D - E) \\ &= 1 + \frac{1}{2}(K_X + D)D \\ &= g(L) = 2 \end{aligned}$$

Therefore F is a hyperelliptic curve. Hence $q(X) = 0$ by Fujita's result [Fj1] (see also (I.6.18) in [Fj5]). This completes the proof of Lemma 1.6. \square

2. Polarized surfaces with $h^0(L) \geq 2$, $\kappa(X) \geq 0$ and $g(L) = q(X) + 1$

In this section, we classify polarized surfaces with $h^0(L) \geq 2$, $\kappa(X) \geq 0$ and $g(L) = q(X) + 1$.

Theorem 2.1 *Let (X, L) be a polarized surface with $\kappa(X) \geq 0$ and $h^0(L) \geq 2$. If $g(L) = q(X) + 1$, then (X, L) is one of the following types.*

- (1) *X is birationally equivalent to an Abelian surface and $g(L) = 3$.*
- (2) *$X = F \times C$, $L \equiv 2F + C$, where F and C are smooth curves such that $g(F) = 1$ and $g(C) \geq 2$. (Here \equiv denotes the numerical equivalence of divisors.)*
- (3) *X is the relatively minimal elliptic fibration which is a quasi-bundle and has two multiple fibers, and $L \equiv F_1 + N$ with $g(L) = 3$ and $q(X) = 2$, where F_1 is an irreducible component of some multiple fiber and N is a smooth irreducible curve of $g(N) = 2$ with $NF_1 = 1$.*
- (4) *X is minimal with $\kappa(X) = 2$, $L^2 = 1$, $g(L) = 3$, and $q(X) = 2$. Then there exists a smooth projective surface X' , a birational morphism $\mu : X' \rightarrow X$ and a fiber space $f : X' \rightarrow \mathbb{P}^1$ such that μ is a one point blowing up of X and the (-1) -curve E is a section of f , any fiber of f is irreducible and reduced, $L = \mu_*(F)$, and $g(F) = 3$ for a general fiber F of f .*

Proof. By Theorem 1.2, we have the following two cases. (We use the notation in Theorem 1.2.)

Case (A) $g(L) \geq 2q(X) - 1$.

Case (B) $Z(\Lambda) \neq 0$, $\text{Bs } \Lambda_M = \emptyset$, $g(C) \geq 2$ and $LF = 1$. Then $g(L) \geq g(C) + 2g(F)$.

(I) First we consider the case (B). Then $q(X) + 1 = g(L) \geq g(C) + 2g(F) \geq q(X) + g(F)$. Hence $g(F) \leq 1$. Since $\kappa(X) \geq 0$, then $g(F) = 1$ and $q(X) = g(C) + 1$, that is, $f : X \rightarrow C$ is an elliptic fibration with $\chi(\mathcal{O}_X) = 0$ by Proposition 1.4. Since $LF = 1$, we obtain that any fiber of f is irreducible, f has no multiple fiber, and $K_X L = (2g(C) - 2)LF = 2g(C) - 2$. Hence $g(C) + 2 = q(X) + 1 = g(L) = 1 + \frac{1}{2}L^2 + g(C) - 1$. So we have $L^2 = 4$. Furthermore since $h^0(L) \geq 2$ and $LF = 1$, there exists an irreducible and reduced curve C' such that $h^0(L - C') > 0$ and C' is a section of f . Hence $X \cong F \times C$ by Lemma 1.5, and there exists an effective divisor D' on X such that $C' + D' \in |L|$. Since $K_X C' = (2g(C) - 2)C'F = 2g(C) - 2 = 2g(C') - 2$, we have $(C')^2 = 0$. Since $LF = 1$ for any fiber F of f , we obtain that f has no multiple fiber, any fiber of f is irreducible, and D' is a sum of fibers of f . Because $L^2 = 4$ and $(C')^2 = 0$, we get that $D' = F_1 + F_2$, where F_1 and F_2 are fibers of f . For any $t \in C$, we put $(u(t), t) = C' \cap f^{-1}(t) \subset F \times C$. Next we consider the following morphism

$\theta : F \times C \rightarrow F \times C$; $\theta(x, t) = (x - u(t), t)$. Then θ is an isomorphism and $L \cong C + F_1 + F_2$ by this isomorphism. Therefore $L \equiv 2F + C$. This is the type (2) in Theorem 2.1.

(II) Next we consider the case (A). Then $q(X) + 1 = g(L) \geq 2q(X) - 1$. Hence $q(X) \leq 2$. On the other hand $2 \leq g(L) = q(X) + 1$. So we have $q(X) = 1$ or 2 . Hence we get the following two types:

Case (A-1) The case in which $q(X) = 1$ and $g(L) = 2$.

Case (A-2) The case in which $q(X) = 2$ and $g(L) = 3$.

We study these cases by the value of the Kodaira dimension of X .

(II.1) First we consider the case in which $\kappa(X) = 0$.

Claim 2.2 If $\kappa(X) = 0$, then (X, L) is the type (1) in Theorem 2.1.

Proof. (i) First we consider the case (A-1).

(i-1) If X is minimal, then $K_X L = 0$ and $L^2 = 2$. Furthermore since $q(X) = 1$, by the classification theory of surfaces we have $\chi(\mathcal{O}_X) = 0$. But by the Riemann-Roch theorem and the Kodaira vanishing theorem, $h^0(L) = \chi(\mathcal{O}_X) + \frac{1}{2}(L^2 - K_X L) = 1$. So this case cannot occur.

(i-2) If X is not minimal, then $K_X L = 1$ and $L^2 = 1$. Let $\mu : X \rightarrow X_1$ be the minimal model of X and $L_1 = \mu_* L$. Then $L_1^2 = 2$ and $K_{X_1} L_1 = 0$. But this (X_1, L_1) does not occur by the same argument as the case (i-1).

(ii) Next we consider the case (A-2). Then X is birationally equivalent to an Abelian surface X and $g(L) = 3$. If X is an Abelian surface, then this (X, L) is known (See (1.2) in [Ba] or [LB] P.317 Ex (1)). This completes the proof of Claim 2.2. \square

(II.2) Next we consider the case in which $\kappa(X) \geq 1$.

Claim 2.3 If $\kappa(X) \geq 1$, then the case (A-1) cannot occur.

Proof. Assume that $(g(L), q(X)) = (2, 1)$. Then we get that $L^2 = 1$ and $K_X L = 1$. Since $h^0(L) \geq 2$ and $\kappa(X) \geq 1$, we get that $\Delta(L) = 1$. By Lemma 1.6, we obtain that $q(X) = 0$ and this is a contradiction. \square

By Claim 2.3 we assume that (X, L) is the case (A-2).

(II.2.1) We consider the case in which $\kappa(X) = 2$.

Claim 2.4 If $\kappa(X) = 2$, then (X, L) is the type (4) in Theorem 2.1.

Proof. Let $\mu : X \rightarrow X'$ be the minimal model of X and $L' = \mu_* L$. Then $K_X L \geq K_{X'} L'$. Since $q(X) = q(X') = 2$, we have $K_{X'}^2 \geq 4$ by Lemma 1.1.

Hence $K_X L \geq K_{X'} L' \geq 2$ by the Hodge index theorem. Because $g(L) = 3$, we have the following two types.

- (a) $L^2 = 2, K_X L = 2$.
- (b) $L^2 = 1, K_X L = 3$.

(a) The case in which $L^2 = 2, K_X L = 2$.

Then X is minimal by the above argument. So we have $(LK_X)^2 \geq L^2 K_X^2 \geq 8$ by the Hodge index theorem. But this case cannot occur.

(b) The case in which $L^2 = 1, K_X L = 3$.

First we prove that X is minimal. Assume that X is not minimal. Let $\delta : X \rightarrow Y$ be its minimalization and $A = \delta_*(L)$, where Y is a smooth projective surface. Here we remark that A is ample. Then $1 = L^2 < A^2$ and $0 < K_Y A < K_X L = 3$. In this case $(A^2, K_Y A) = (2, 2), (3, 1)$ or $(5, 1)$. By the Hodge index theorem, we get that $(A^2, K_Y A) = (2, 2)$. In this case $g(A) = 3 = q(Y) + 1$. But this is impossible by the same argument as in the case (a). Therefore X is minimal.

Next we prove that $\dim H^0(L) = 2$ and $\dim \text{Bs } |L| = 0$. If $h^0(L) \geq 3$, then $\Delta(L) = 0$ and this is impossible because $\kappa(X) = 2$. So we get that $h^0(L) = 2$ and $\Delta(L) = 1$. By Δ -genus inequality, we get that $\dim \text{Bs } |L| < \Delta(L) \leq 1$. Since L is ample with $h^0(L) = 2$, we get that $\dim \text{Bs } |L| = 0$. Let $\Phi_{|L|} : X \dashrightarrow \mathbb{P}^1$ be a rational map which is defined by $|L|$. Since $L^2 = 1$ and $\dim \text{Bs } |L| = 0$, we get that there exist a smooth surface X' and a birational morphism $\mu : X' \rightarrow X$ such that μ is one point blowing up of X at the base point of $|L|$. Then there exists a fiber space $f : X' \rightarrow \mathbb{P}^1$ and f has a section E of f such that E is the (-1) -curve with $\dim \mu(E) = 0$. Here we remark that any fiber of f is irreducible and reduced since L is ample with $L^2 = 1$. For a general fiber F of f , $g(F) = 3$ and $q(X') = 2$. This is the type (4) of this theorem. This completes the proof of Claim 2.4. \square

(II.2.2) We consider the case in which $\kappa(X) = 1$.

Claim 2.5 If $\kappa(X) = 1$, then (X, L) is the type (3) in Theorem 2.1.

Proof. Let $f : X \rightarrow C$ be an elliptic fibration. Then $g(C) \leq q(X) \leq g(C) + 1$. So $g(C) = 1$ or 2 .

(α) The case in which f is relatively minimal.

($\alpha.1$) The case in which $g(C) = 1$.

Then $q(X) = g(C) + 1$. Hence $\chi(X) = 0$ and f is a quasi-bundle by Proposition 1.4. By Lemma 1.6(ii) in [Se1] we get that $(R^1 f_* \mathcal{O}_X)^\vee \cong \mathcal{O}_C$,

so by the canonical bundle formula for an elliptic fibration,

$$\begin{aligned} K_X &= f^*(K_C \otimes (R^1 f_* \mathcal{O}_X)^\vee) \otimes \mathcal{O}\left(\sum_i (m_i - 1)F_i\right) \\ &= \mathcal{O}\left(\sum_i (m_i - 1)F_i\right), \end{aligned}$$

where $m_i F_i$ is a multiple fiber of f for any i .

Since $\kappa(X) = 1$, by Proposition 1.3 in [Se2], f has at least 2 multiple fibers. Since L is ample and $g(L) = 3$, we have the following two types.

$$(\alpha.1.1) \quad L^2 = 1, LK_X = 3.$$

$$(\alpha.1.2) \quad L^2 = 2, LK_X = 2.$$

First we consider the case $(\alpha.1.1)$. Then $3 = K_X L = \sum_i (m_i - 1)LF_i$, where $m_i F_i$ is a multiple fiber of f and m_i is its multiplicity. If $m_k \geq 3$ for some k , then $m_k = 3$ because f has at least two multiple fibers. But then $LF_k = 1$ because $(m_k - 1)LF_k = 2LF_k \geq 2$ and $LK_X = 3$. Therefore $LF = 3$ for any fiber F . Let $m_j F_j$ be another multiple fiber. Since $K_X L = 3$, we obtain that $(m_j - 1)F_j L = 1$. Therefore $m_j = 2$ and $LF_j = 1$. But this is a contradiction because $LF = 3$ for any fiber F . Therefore $m_i = 2$ for any i . Moreover $LF_i = LF_j$ for any $i \neq j$ and $(m_i - 1)LF_i = LF_i$ for any i . Hence f has three multiple fibers, each multiplicity is 2, and $LF = 2$ for a general fiber F of f because $K_X L = 3$. Then $L^2 = 1$ and $K_X = F_1 + F_2 + F_3$. Since $L^2 = 1$, then $\text{Bs } |L|$ is one point. Therefore for some i , $\text{Bs } |L_{F_i}| = \emptyset$. But since $LF_i = 1$, we obtain $F_i \cong \mathbb{P}^1$. This is a contradiction.

Next we consider the case $(\alpha.1.2)$. Since $K_X L = 2$, we obtain that f has just two multiple fibers whose multiplicities are 2. We write these multiple fibers as $2F_1$ and $2F_2$. Here we remark that F_1 and F_2 are smooth elliptic curves because f is a quasi-bundle. Since $2 = K_X L = (F_1 + F_2)L$, we get that $LF_1 = 1$. Hence $h^0(L|_{F_1}) = 1$. By the following exact sequence

$$0 \rightarrow L - F_1 \rightarrow L \rightarrow L|_{F_1} \rightarrow 0,$$

we get that

$$0 \rightarrow H^0(L - F_1) \rightarrow H^0(L) \rightarrow H^0(L|_{F_1})$$

is exact. Because $h^0(L) \geq 2$ and $h^0(L|_{F_1}) = 1$, we get that $h^0(L - F_1) \neq 0$. Since F_1 is not ample, there exists an effective divisor N such that $N + F_1 \in |L|$. Since $L^2 = 2$ and $LF_1 = 1$, N is an irreducible and reduced curve with $N^2 = 0$ and N is a double covering of C . Here we remark that

$K_X N = K_X L = 2$. Hence $g(N) = 2$. Let \tilde{N} be a normalization of N and let $\pi : \tilde{N} \rightarrow N$ be its morphism. We note that $f|_N \circ \pi : \tilde{N} \rightarrow N \rightarrow C$ is a surjective morphism. Let $x_j = N \cap F_j$ for $j = 1, 2$. Since $NF_j = 1$ for $j = 1, 2$, N is smooth at x_j . On the other hand by construction $f|_N \circ \pi$ is ramified at x_j for $j = 1, 2$. Since $g(C) = 1$, we get that $g(\tilde{N}) \geq 2$. Therefore $\tilde{N} \cong N$ because $g(N) = 2$. Namely N is a smooth curve. Therefore we get the type (3) in Theorem 2.1.

($\alpha.2$) The case in which $g(C) = 2$.

Then $K_X L \geq (2g(C) - 2 + \chi(\mathcal{O}_X))LF \geq 2LF$, where F is a general fiber of f . Since $g(L) = 3$, we have $K_X L \leq 3$. So $LF = 1$. If $L^2 = 1$, then any element D of $|L|$ is irreducible and smooth. But since $g(L) = 3$, D is not a section of $f : X \rightarrow C$. Hence $LF = DF \geq 2$. This is a contradiction. If $L^2 \geq 2$, then $L^2 = 2$ because $K_X L \geq 2$. Since $h^0(L) \geq 2$, we have $\Delta(L) \leq 2$ and $\dim \text{Bs } |L| \leq 1$. If $\dim \text{Bs } |L| \leq 0$, then $|L|$ has an irreducible and reduced curve. But this is impossible by the same argument as above. If $\dim \text{Bs } |L| = 1$, then $\Delta(L) = 2$ and the fixed part Z of $|L|$ is \mathbb{P}^1 . (See (1.14) in [Fj3]. See also (I.10.4) in [Fj5].) Hence Z is contained in a fiber of f because $g(C) = 2$. Therefore L_F is free for a general fiber F of f , and $LF \geq 2$. But this is a contradiction.

(β) The case in which f is not relatively minimal.

Let $\mu : X \rightarrow X_1$ be the relatively minimal model of $f : X \rightarrow C$. Then we have a fiber space $f_1 : X_1 \rightarrow C$ such that f_1 is relatively minimal. Let $L_1 = \mu_* L$. Then $K_X L > K_{X_1} L_1 \geq 1$. Therefore we have the following two types.

($\beta.1$) $L^2 = 2$, $LK_X = 2$

($\beta.2$) $L^2 = 1$, $LK_X = 3$

If (X, L) satisfy ($\beta.1$), then $L_1^2 = 3$ and $L_1 K_{X_1} = 1$. (We remark that $h^0(L_1) \geq 2$.) But by the same argument as the cases ($\alpha.1$) and ($\alpha.2$), we get that $K_{X_1} L_1 \geq 2$ and this (X, L) does not exist.

We assume that (X, L) satisfy ($\beta.2$). If $L_1^2 = 2$ and $L_1 K_{X_1} = 2$, then μ is one point blowing up and $L = \mu^*(L_1) - E_1$, where E_1 is the (-1) -curve. Moreover (X_1, L_1) is the type (3) in Theorem 2.1 by the above argument. If $h^0(L) < h^0(L_1)$, then $\Delta(L_1) \leq 1$ because $h^0(L) \geq 2$. By a Fujita's result (see e.g. Corollary 6.13 in [Fj5]) we get that $q(X) = 0$. Hence $g(L) = 1$ and this is impossible because $\kappa(X) \geq 0$. Hence $h^0(L) = h^0(L_1)$ and $\mu(E_1) \in \text{Bs } |L_1|$. But this is impossible because L_1 is the type (3) in Theorem 2.1 and L does not become ample. Therefore $L_1^2 = 3$ or 5 and $L_1 K_{X_1} = 1$.

Then $g(C) = 1$ or 2 , because $q(X) = g(L) - 1 = 2$.

If $g(C) = 2$, then $K_{X_1}L_1 \geq (2g(C) - 2 + \chi(\mathcal{O}_X))LF \geq 2$. So this case cannot occur.

If $g(C) = 1$, then $q(X) = g(C) + 1$ and $\chi(\mathcal{O}_X) = 0$ by Proposition 1.4. By the canonical bundle formula, $K_{X_1} \equiv \sum (m_i - 1)F_i$, where $m_i F_i$ is a multiple fiber of f_1 with $m_i \geq 2$. But since $\chi(\mathcal{O}_X) = 0$ and $q(X) = g(C) + 1$, f_1 is locally trivial or the number of multiple fiber of f_1 is greater than one (Proposition 1.3 in [Se2]). But this case cannot occur since $L_1 K_{X_1} = 1$ and L_1 is ample. This completes the proof of Claim 2.5. \square

By the above, we get the assertion of Theorem 2.1. \square

Remark 2.6 We consider the type (4) in Theorem 2.1. If there exist a smooth projective surface X' and a fiber space $f : X' \rightarrow \mathbb{P}^1$ such that $q(X') = 2$, $g(F) = 3$ for a general fiber F of f , f has a section E of f , any fiber of f is irreducible and reduced, and E is a (-1) -curve, then there exist a smooth projective surface X and a birational morphism $\mu : X' \rightarrow X$ such that μ is the blowing down of E . We put $L = \mu_*(F + E)$. Then L is ample with $L^2 = 1$, $g(L) = 3$, $q(X) = 2$, and $h^0(L) = 2$. In [C] Cai gets a classification of a fiber space $f : X \rightarrow C$ with $g(F) = 3$ and $q(X) - g(C) = 2$. But it is unknown whether there is an example of $f : X \rightarrow \mathbb{P}^1$ with $\kappa(X) = 2$, $g(F) = 3$, $q(X) = 2$, any fiber of f is irreducible and reduced, and f has a section E such that E is a (-1) -curve.

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