

$(p - 1)$ th Roots of Unity mod p^n , Generalized Heilbronn Sums, Lind–Lehmer Constants, and Fermat Quotients

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ABSTRACT. For $n \geq 3$, we obtain an improved estimate for the generalized Heilbronn sum $\sum_{x=1}^{p-1} e_{p^n}(yx^{p^{n-1}})$ and use it to show that any interval \mathcal{I} of points in \mathbb{Z}_{p^n} of length $|\mathcal{I}| \gg p^{1.825}$ for $n = 2$, $|\mathcal{I}| \gg p^{2.959}$ for $n = 3$, and $|\mathcal{I}| \geq p^{n-3.269(34/151)^n + o(1)}$ for $n \geq 4$ contains a $(p - 1)$ th root of unity. As a consequence, we derive an improved estimate for the Lind–Lehmer constant for the Abelian group \mathbb{Z}_p^n and improved estimates for Fermat quotients.

1. Introduction

Let p be a prime, $n \in \mathbb{N}$, $\mathbb{Z}_{p^n}^*$ be the group of units mod p^n , and $G_n \subset \mathbb{Z}_{p^n}^*$ be the subgroup of $(p - 1)$ th roots of unity,

$$G_n := \{x \in \mathbb{Z}_{p^n}^* : x^{p-1} = 1\} = \{x^{p^{n-1}} \pmod{p^n} : 1 \leq x \leq p - 1\}.$$

For $y \in \mathbb{Z}$, let $S_n(y)$ denote the generalized Heilbronn sum

$$S_n(y) := \sum_{x \in G_n} e_{p^n}(yx) = \sum_{x=1}^{p-1} e_{p^n}(yx^{p^{n-1}}),$$

where $e_{p^n}(\cdot) = e^{\frac{2\pi i \cdot}{p^n}}$, and let

$$H_n = \max_{p^n \nmid y} |S_n(y)|.$$

Our interest here is in estimating H_n and studying the distribution of points in G_n . In particular, we wish to determine how large M must be so that any interval

$$\mathcal{I} := \{a + 1, \dots, a + M\} \subset \mathbb{Z}_{p^n} \tag{1.1}$$

of length M is guaranteed to contain an element of G_n . Equivalently, we wish to determine an upper bound on the maximal gap between consecutive $(p - 1)$ th roots of unity. It is well known that an estimate for H_n leads to a corresponding estimate on the size of the gap. We make this explicit in Corollary 3.1, where we prove that any interval of length $|\mathcal{I}| \geq 3p^{n-1}H_n$ contains an element of G_n .

The current best estimate for H_2 is due to Shkredov [17, Thm. 15],

$$H_2 \ll p^{\frac{5}{6}} \log^{\frac{1}{6}} p, \tag{1.2}$$

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improving earlier bounds of Heath-Brown [7], Heath-Brown and Konyagin [8], and Shkredov [16], and we make no further improvement here. For $n \geq 3$, Malykhin [14, Cor. 1] obtained $H_n \ll_n p^{1-\frac{3.906}{5^n}}$ for $n \geq 3$. Bourgain and Chang [1, Cor. 4.4] also obtained a nontrivial bound of the type $H_n \ll p^{1-\delta_n}$ for some undetermined constant $\delta_n > 0$, as a special case of their very general exponential sum estimate over subgroups of \mathbb{Z}_m^* with m composite. Here, we use the bound for H_2 in (1.2) and a recent energy estimate of Shkredov, Solodkova, and Vyugin [18] to refine the estimate of Malykhin, obtaining in Theorem 8.1 and Corollary 8.1

$$H_3 \ll p^{1-\frac{29}{702}+o(1)} = p^{0.95868\dots+o(1)}; \tag{1.3}$$

$$H_n \ll p^{1-3.269(\frac{34}{151})^n+o(1)} \quad \text{for } n \geq 4. \tag{1.4}$$

The same estimate for H_3 was also obtained recently by Shteinikov [21, Thm. 13] in a similar manner.

From Corollary 3.1 we immediately deduce the following result for $n \geq 3$.

THEOREM 1.1. *Any interval $\mathcal{I} \subset \mathbb{Z}_{p^n}$ of length as further given in (1.5) contains an element of G_n*

$$|\mathcal{I}| \geq \begin{cases} p^{2-\frac{575}{3276}+o(1)} & \text{if } n = 2; \\ p^{3-\frac{29}{702}+o(1)} & \text{if } n = 3; \\ p^{n-3.269(\frac{34}{151})^n+o(1)} & \text{if } n \geq 4. \end{cases} \tag{1.5}$$

To be precise, for $n = 2$, the $o(1)$ is an undetermined function of p that goes to 0 as $p \rightarrow \infty$, whereas for $n \geq 3$, $o(1) = c_n \log \log p / \log p$ for some effectively computable constant c_n . The estimate given for the case $n = 2$ does not follow from Theorem 3.1, but requires instead a method of Konyagin and Shparlinski [10] given in Section 4; the proof for $n = 2$ is given in Section 5. As a consequence of the theorem, we obtain an improved estimate for the Lind–Lehmer constant for the Abelian group \mathbb{Z}_p^n (Sect. 2) and improved estimates for Fermat quotients (Sect. 6).

2. The Lind–Lehmer Constant for Finite Abelian Groups

Our interest in the distribution of elements of G_n was originally motivated by the problem of determining the Lind–Lehmer constant for the group \mathbb{Z}_p^n .

For a polynomial $F(x) = a_0 \prod_{i=1}^n (x - \alpha_i) \in \mathbb{C}[x]$, we define the traditional Mahler measure $M(F) = |a_0| \prod_{i=1}^n \max\{1, |\alpha_i|\}$ and the logarithmic Mahler measure $m(F) = \log M(F)$. Famously, Lehmer [11] asked whether there exists a constant $c > 0$ such that, for any polynomial F in $\mathbb{Z}[x]$, either $m(F) = 0$ or $m(F) > c$. By Jensen’s formula we can write

$$m(F) = \int_0^1 \log |F(e^{2\pi i x})| dx,$$

allowing us to generalize the concept of Mahler measure to $F \in \mathbb{C}[x_1, \dots, x_n]$:

$$m(F) := \int_0^1 \dots \int_0^1 \log |F(e^{2\pi i x_1}, \dots, e^{2\pi i x_n})| dx_1 \dots dx_n. \tag{2.1}$$

Since (see, e.g., Boyd [4])

$$m(F(x_1, x_2, \dots, x_n)) = \lim_{k \rightarrow +\infty} m(F(x, x^k, x^{k^2}, \dots, x^{k^{n-1}})),$$

the infimum of positive measures over polynomials in $\mathbb{Z}[x_1, \dots, x_n]$ reduces to the classical one-variable Lehmer problem.

Lind [13], viewing (2.1) as an integral over the group $\mathbb{R}/\mathbb{Z} \times \dots \times \mathbb{R}/\mathbb{Z}$ and $F(e^{2\pi i x_1}, \dots, e^{2\pi i x_n})$ as a linear sum of characters on that group, generalized the concept of Mahler measure to an arbitrary compact Abelian group G with normalized Haar measure μ and dual group of characters \hat{G} , defining, for an f in $\mathbb{Z}[\hat{G}]$,

$$m_G(f) = \int_G \log |f| d\mu.$$

Analogously to the Lehmer problem, we can ask what is the smallest positive measure for that group and define the Lind-Lehmer constant

$$\lambda(G) := \inf\{m_G(f) : f \in \mathbb{Z}[\hat{G}], m_G(f) > 0\}.$$

For example, for a finite Abelian group

$$G = \mathbb{Z}_{m_1} \times \dots \times \mathbb{Z}_{m_n}$$

and $F \in \mathbb{C}[x_1, \dots, x_n]$, we can define, as a natural counterpart to (2.1), the measure

$$m_G(F) = \frac{1}{|G|} \sum_{j_1=1}^{m_1} \dots \sum_{j_n=1}^{m_n} \log |F(e^{2\pi i j_1/m_1}, \dots, e^{2\pi i j_n/m_n})|,$$

and $\lambda(G)$ will be the minimum positive measure $m_G(F)$ over the $F \in \mathbb{Z}[x_1, \dots, x_n]$.

In [5] the latter two authors showed that

$$\lambda(\mathbb{Z}_2^n) = \frac{1}{2^n} \log(2^n - 1)$$

and, for an odd prime p , that

$$\lambda(\mathbb{Z}_p^n) = \frac{1}{p^n} \log \mathcal{M}_n,$$

where

$$\mathcal{M}_n := \min\{2 \leq a \leq p^n - 1 : a \in G_n\}.$$

Thus, an upper bound on the Lehmer constant $\lambda(\mathbb{Z}_p^n)$ will follow at once from any limitation on the size of an interval not containing an element of G_n . In the next section we relate this to bounds on the Heilbronn sums; in particular, we show that

$$\mathcal{M}_n \leq 3p^{n-1} H_n.$$

3. Using Estimates for H_n to Estimate Gap Sizes

In this section we use the standard method to obtain a basic theorem relating the distribution of elements of G_n to the estimation of the Heilbronn sum. In fact, the result we obtain can be stated for any subgroup G of \mathbb{Z}_p^n . Set

$$\Phi_G = \max_{p^n \nmid y} \left| \sum_{x \in G} e_{p^n}(yx) \right|.$$

THEOREM 3.1. *For any prime power p^n and subgroup G of \mathbb{Z}_p^n , any interval $\mathcal{I} \subset \mathbb{Z}_p^n$ of length $|\mathcal{I}| \geq 2(\Phi_G/|G|)p^n$ contains an element of G .*

Applying the theorem to G_n and using the fact that $|G_n| = p - 1 \geq \frac{2}{3}p$ for odd p , we obtain the following corollary. (The statement is trivial for $p = 2$.)

COROLLARY 3.1. *For any prime power p^n , any interval $\mathcal{I} \subset \mathbb{Z}_p^n$ of length $|\mathcal{I}| \geq 3p^{n-1}H_n$ contains an element of G_n .*

Proof of Theorem 3.1. Let $\alpha : \mathbb{Z}_p^n \rightarrow \mathbb{R}$ be a real-valued function supported on an interval \mathcal{I} as given in (1.1). If we can show that $\sum_{x \in G} \alpha(x) > 0$, then it follows that $G \cap \mathcal{I}$ is nonempty. To this end, we let $\alpha(x) = \sum_{y=1}^{p^n} a(y)e_{p^n}(yx)$ be the Fourier expansion of α , where for any y , $a(y) = p^{-n} \sum_{x=1}^{p^n} e_{p^n}(-yx)\alpha(x)$. Also, for any integer y , put

$$S(y) := \sum_{x \in G} e_{p^n}(yx).$$

Then

$$\begin{aligned} \sum_{x \in G} \alpha(x) &= \sum_{x \in G} \sum_{y=1}^{p^n} a(y)e_{p^n}(yx) \\ &= p^{-n}|G| \sum_{x=1}^{p^n} \alpha(x) + \sum_{y=1}^{p^n-1} a(y)S(y) := M_\alpha + E_\alpha, \end{aligned} \tag{3.1}$$

say. We call M_α the main term of (3.1), and E_α the error term.

The simplest way to bound the error term E_α is just to say

$$|E_\alpha| \leq \sum_{y=1}^{p^n-1} |a(y)S(y)| \leq \Phi_G \sum_{y=1}^{p^n-1} |a(y)|. \tag{3.2}$$

We apply this estimate to the weighted function $\alpha = 1_{\mathcal{J}} * 1_{\mathcal{K}}$, where

$$\mathcal{J} = \{1, 2, \dots, \lceil M/2 \rceil\}, \quad \mathcal{K} = \{a, \dots, a + \lfloor M/2 \rfloor\}.$$

Here, 1_J and 1_K are the characteristic functions of the intervals J and K, say with Fourier coefficients a_J(y) and a_K(y) respectively, and * denotes convolution. We note that alpha is supported on I,

$$M_alpha = p^{-n} |G| \sum_{x=1}^{p^n} alpha(x) = p^{-n} |G| |J| |K|,$$

and that

$$a(y) = p^n a_J(y) a_K(y).$$

Thus, by the Cauchy-Schwarz inequality and Parseval identity,

$$\begin{aligned} \sum_{y=1}^{p^n} |a(y)| &= p^n \sum_{y=1}^{p^n} |a_J(y)| |a_K(y)| \leq p^n \left(\sum_{y=1}^{p^n} |a_J(y)|^2 \right)^{1/2} \left(\sum_{y=1}^{p^n} |a_K(y)|^2 \right)^{1/2} \\ &= p^n p^{-n} \left(\sum_{x=1}^{p^n} |1_J(x)|^2 \right)^{1/2} \left(\sum_{x=1}^{p^n} |1_K(x)|^2 \right)^{1/2} = |J|^{1/2} |K|^{1/2}, \end{aligned}$$

and so the main term M_alpha in (3.1) exceeds the error term E_alpha, provided that

$$p^{-n} |G| |J| |K| > \Phi_G |J|^{1/2} |K|^{1/2},$$

that is,

$$|J| |K| > (\Phi_G / |G|)^2 p^{2n}.$$

Since |J| |K| = [M/2] (1 + [M/2]) > M^2/4, we see that it suffices to have M >= 2(\Phi_G / |G|) p^n, establishing the theorem. □

4. Improving the Error Estimate

We can improve the estimate of the error term in certain cases using a method of Konyagin and Shparlinski [10, Chap. 7]. The same method was also used for related problems in [3] and [2]. Let q = p^n, and G be any subgroup of Z_q^*. Partition Z_q^* into the different cosets of G:

$$Z_q^* = Gy_1 \cup Gy_2 \cup \dots \cup Gy_L,$$

where L = (p^n - p^{n-1}) / |G|. Fix a parameter h < p, to be determined later, and let

$$N_i := \#\{y \in Gy_i : 0 < |y| \leq h\}$$

and

$$\phi_i := |S(y_i)|.$$

It is plain that phi_i just depends on the coset Gy_i and not on the representative y_i. Let

$$alpha = 1_{J_1} * 1_{J_2} * \dots * 1_{J_k},$$

where the J_i are intervals of length m = [M/k], chosen so that alpha is supported on I. Then the Fourier coefficients of alpha satisfy

$$a(0) = q^{-1} m^k,$$

and for any $y \neq 0$ with $|y| \leq q/2$, we have

$$|a(y)| = \frac{1}{q} \frac{|\sin^k(\pi y m/q)|}{|\sin^k(\pi y/q)|} \leq \min \left\{ \frac{m^k}{q}, \frac{q^{k-1}}{2^k |y|^k} \right\}. \tag{4.1}$$

Thus, to estimate the error term in (3.1), we write

$$\begin{aligned} |E_\alpha| &= \left| \sum_{y=1}^{q-1} a(y)S(y) \right| = \left| \sum_{0 < |y| \leq q/2} a(y)S(y) \right| \\ &\leq \sum_{0 < |y| \leq h} |a(y)||S(y)| + \sum_{h < |y| \leq q/2} |a(y)||S(y)| = \Sigma_1 + \Sigma_2, \end{aligned}$$

say. Noting that, for $0 < |y| \leq h < p$, we must have $y \in \mathbb{Z}_q^*$, we obtain

$$\Sigma_1 \leq \frac{m^k}{q} \sum_{0 < |y| < h} |S(y)| = \frac{m^k}{q} \sum_{i=1}^L \sum_{\substack{0 < |y| < h \\ y \in G y_i}} \phi_i = \frac{m^k}{q} \sum_{i=1}^L N_i \phi_i, \tag{4.2}$$

whereas for Σ_2 , by the definition of Φ_G and (4.1) we have

$$\begin{aligned} \Sigma_2 &\leq \max_{y \neq 0} |S(y)| \sum_{h \leq |y| \leq q/2} \frac{q^{k-1}}{2^k |y|^k} \leq \Phi_G \frac{q^{k-1}}{2^{k-1}} \left(\frac{1}{h^k} + \frac{1}{(k-1)h^{k-1}} \right) \\ &\leq \frac{\Phi_G q^{k-1} (k+h-1)}{2^{k-1} h^k (k-1)}. \end{aligned}$$

We succeed with this method provided that $\Sigma_1 \leq \frac{1}{2} M_\alpha$ and $\Sigma_2 < \frac{1}{2} M_\alpha$, with M_α the main term in (3.1),

$$M_\alpha = q^{-1} |G| \sum_x \alpha(x) = q^{-1} |G| m^k.$$

Thus, it suffices to have

$$\frac{\Phi_G q^{k-1} (k+h-1)}{2^{k-1} h^k (k-1)} < \frac{m^k |G|}{2q} \quad \text{and} \quad \frac{m^k}{q} \sum_{i=1}^L N_i \phi_i \leq \frac{|G| m^k}{2q}$$

or, equivalently,

$$m > \left(\frac{4\Phi_G (k+h-1)}{|G|(k-1)} \right)^{\frac{1}{k}} \frac{q}{2h} \quad \text{and} \quad \sum_{i=1}^L N_i \phi_i \leq \frac{|G|}{2}.$$

Taking $k = \lceil \log p \rceil$ and observing that

$$\left(\frac{4\Phi_G (k+h-1)}{|G|(k-1)} \right)^{\frac{1}{k}} \leq \left(\frac{4(k+h-1)}{k-1} \right)^{\frac{1}{k}} \leq \left[4 \left(1 + \frac{p}{\lceil \log p \rceil} \right) \right]^{\frac{1}{\lceil \log p \rceil}} < 6$$

(the maximum value of the latter expression, 5.2915..., occurring at $p = 7$), we see that the first condition holds provided that $m \geq \frac{3q}{h}$. Thus, we arrive at the following generalization and refinement of [10, Lemma 7.1], which was stated for the case of subgroups of \mathbb{Z}_p^* .

PROPOSITION 4.1. Suppose that q = p^n is a prime power, G is a subgroup of Z_q^*, and that h < p is such that sum_{i=1}^L N_i phi_i < |G|/2. Then any interval of length M >= [3q/h][log p] contains a point in G.

In comparison, the result of [10, Lemma 7.1] for n = 1 requires M >>_epsilon p^{1+epsilon}/h for the same conclusion.

We can estimate the sum sum_{i=1}^L N_i phi_i using the Hölder inequality:

sum_{i=1}^L N_i phi_i <= (sum_{i=1}^L N_i)^{1/2} (sum_i N_i^2)^{1/4} (sum_{i=1}^L phi_i^4)^{1/4}. (4.3)

Now

sum_{i=1}^L N_i = sum_{i=1}^L sum_{substack{y in Gy_i \\ |y| <= h}} 1 = sum_{substack{y in Z_q^* \\ |y| <= h}} 1 <= 2h,
sum_{i=1}^L N_i^2 = sum_{i=1}^L #{(y, z) : y, z in Gy_i, |y| <= h, |z| <= h} = N(h),

where

N(h) := #{(y, z) : y/z in G, |y| <= h, |z| <= h},

and

sum_{i=1}^L phi_i^4 = sum_{i=1}^L |sum_{x in G} e_q(y_i x)|^4 = 1/|G| sum_{y in Z_q^*} |sum_{x in G} e_q(yx)|^4
<= 1/|G| sum_{y in Z_q} |sum_{x in G} e_q(yx)|^4 = q/|G| T_2(G),

where T_2(G) is the additive energy of G,

T_2(G) := #{(x_1, x_2, y_1, y_2) : x_i, y_i in G, x_1 + x_2 = y_1 + y_2}.

Thus, by (4.3) we have

sum_{i=1}^L N_i phi_i <= (2h)^{1/2} N(h)^{1/4} (q/|G|)^{1/4} T_2(G)^{1/4}. (4.4)

In order to proceed further, we need good estimates for N(h) and for T_2(G). Bourgain, Konyagin, and Shparlinski [3, Thm. 1] established that, for any non-negative integer q, subgroup G of Z_q^*, and positive integer nu,

N(h) <= hq^{1/(4nu(v+1))+o(1)} + h^2 q^{-1/(2v)+o(1)},

where the o(1) indicates a function that tends to zero as q -> infinity. The optimal choice for our application is nu = 6, where we have

N(h) <= hq^{1/168+o(1)} + h^2 q^{-1/12+o(1)}. (4.5)

In the next section we apply this estimate to the subgroup G_2 of $(p - 1)$ th roots of unity in \mathbb{Z}_p^* .

5. Proof of the Case $n = 2$ of Theorem 1.1

Inserting the bound for $N(h)$ into (4.5) and the current record breaking bound for $T_2(G_2)$ of Shkredov, Solodkova, and Vyugin [18, Thm. 24],

$$T_2(G_2) \ll p^{\frac{32}{13}} \log^{\frac{14}{13}} p \ll p^{2.46153\dots+o(1)}, \tag{5.1}$$

from (4.4) we obtain

$$\begin{aligned} \sum_{i=1}^L N_i \phi_i &\ll h^{\frac{1}{2}} (h^{\frac{1}{4}} p^{\frac{1}{336}+o(1)} + h^{\frac{1}{2}} p^{-\frac{1}{24}+o(1)}) p^{\frac{1}{4}} (p^{\frac{32}{13}} \log^{\frac{14}{13}} p)^{\frac{1}{4}} \\ &\leq h^{\frac{3}{4}} p^{\frac{3793}{4368}+o(1)} + hp^{\frac{257}{312}+o(1)}. \end{aligned} \tag{5.2}$$

Thus, Proposition 4.1 applies, provided that $h \ll p^{\frac{575}{3276}+o(1)}$, and so we see that any interval of length $|\mathcal{I}| \geq p^{\frac{5977}{3276}+o(1)} = p^{1.82448\dots+o(1)}$ contains a p th power, proving the case $n = 2$ of Theorem 1.1.

REMARK 5.1. It is conjectured [3] that, for $\varepsilon > 0$ and $h < p^{n-1}$,

$$N(h) \ll_{\varepsilon} hq^{\varepsilon}. \tag{5.3}$$

See also an analogous conjecture in [10, Quest. 7.8] for the case of prime moduli. Such a bound follows from GRH as we demonstrate in the following proposition. If we use the conjectured upper bound on $N(h)$ in the previous argument, then we would obtain the improvement $|\mathcal{I}| \geq p^{\frac{49}{27}+\varepsilon} = p^{1.81481\dots+\varepsilon}$.

PROPOSITION 5.1. *On the assumption of GRH, we have that, for $h < q$,*

$$N(h) \ll_{\varepsilon} \frac{h^2}{p^{n-1}} + hq^{\varepsilon}.$$

Proof. We have

$$\begin{aligned} N(h) &= \frac{1}{p^{n-1}} \sum_{|y| \leq h} \sum_{|z| \leq h} \sum_{\chi_{p^{n-1}} = \chi_0} \chi(y/z) \\ &\ll \frac{h^2}{p^{n-1}} + \frac{1}{p^{n-1}} \sum_{\substack{\chi_{p^{n-1}} = \chi_0 \\ \chi \neq \chi_0}} \left| \sum_{y=1}^h \chi(y) \right|^2 \\ &\ll_{\varepsilon} \frac{h^2}{p^{n-1}} + \frac{1}{p^{n-1}} p^{n-1} (h^{1/2} q^{\varepsilon})^2, \end{aligned}$$

the latter inequality being a consequence of GRH, as noted by Montgomery and Vaughan [15]. □

REMARK 5.2. The estimate for n = 2 has strong parallels with the following result of Shteinikov [21, Thm. 10] for subgroups of Z_p^*. We restate his result in the notation of this paper.

THEOREM 5.1. Let G be a subgroup of Z_p^* of order |G| ≥ √p. Then any interval I of length |I| ≥ p^{5977/6552 + o(1)} contains an element of G.

The square root threshold needed for applying the theorem, in the context of subgroups of Z_p^*, is satisfied by G_2 when n = 2, where |G_2| = (p - 1) is roughly √p^2, but fails for G_n with n > 2. This is why we were able to obtain the improvement for n = 2 but not for n > 2. The proof in [21] follows a similar line of argument as our proof before for n = 2. Indeed, its main appeal is to the result of Konyagin and Shparlinski [10, Lemma 7.1] (analogous to our Prop. 4.1) and to the estimate of Bourgain, Konyagin, and Shparlinski in (4.5) (with q = p).

6. Fermat Quotients

For prime power p^n with n ≥ 2 and integer u with p ∤ u, we define the Fermat quotient q_{p^{n-1}}(u) to be the unique integer with 0 ≤ q_{p^{n-1}}(u) ≤ p^{n-1} - 1 and

$$q_{p^{n-1}}(u) \equiv \frac{u^{p-1} - 1}{p} \pmod{p^{n-1}}.$$

It is plain that q_{p^{n-1}} is constant on any coset of G_n and that it takes on distinct values on distinct cosets of G_n. Thus, the Fermat quotients take on all values from 0 to p^{n-1} - 1 as u runs through a complete residue system mod p^n. Following Shparlinski [19], we define Δ_{p^{n-1}} to be the minimal value L such that, on any interval of length L, q_{p^{n-1}}(u) takes on a full spectrum of values from 0 to p^{n-1} - 1,

$$\Delta_{p^{n-1}} := \min\{L : \forall K \in \mathbb{Z}, \text{ we have } \#\{q_{p^{n-1}}(K + 1), \dots, q_{p^{n-1}}(K + L)\} = p^{n-1}\}.$$

A value L is permissible if for any coset of G_n and any interval I of length L, I contains an element of the coset. It is plain from the proof of Theorem 1.1 that the theorem holds identically with G_n replaced with any coset of G_n. Thus we obtain the following:

THEOREM 6.1. We have

$$\Delta_{p^{n-1}} \leq \begin{cases} p^{2 - \frac{575}{3276} + o(1)} & \text{if } n = 2; \\ p^{3 - \frac{29}{702} + o(1)} & \text{if } n = 3; \\ p^{n - 3.269(\frac{34}{151})^n + o(1)} & \text{if } n \geq 4. \end{cases}$$

The theorem improves on a result of Shparlinski, who obtained, for n = 2, the estimate Δ_p ≤ p^{463/252 + o(1)}.

Of perhaps greater interest in the study of Fermat quotients is the determination of ℓ_p , the minimal positive value of u for which $q_p(u) \neq 0$. Lenstra [12] obtained the uniform upper bound $\ell_p \leq 4 \log^2 p$ for all primes p . This was improved by Bourgain, Ford, Konyagin, and Shparlinski [2] to $\ell_p \leq (\log p)^{\frac{463}{252} + o(1)}$ as $p \rightarrow \infty$, by Shkredov [16] to $\ell_p \leq (\log p)^{\frac{7829}{4284} + o(1)}$, and by Shkredov, Solodkova, and Vyugin [18, Thm. 28] to $\ell_p \leq (\log p)^{\frac{5977}{3276} + o(1)} = (\log p)^{1.82448\dots + o(1)}$. Sharper estimates have been obtained that hold for almost all primes, $\ell_p \leq (\log p)^{\frac{5}{3} + \varepsilon}$ in [2] and $\ell_p \leq (\log p)^{\frac{3}{2} + \varepsilon}$ in [20]. Granville [6, Conj. 10] conjectured that $\ell_p = o(\log^{\frac{1}{4}} p)$. Lenstra [12] suggested that the truth may in fact be $\ell_p \leq 3$ for all p .

Here, we generalize the problem to any prime power p^n with $n \geq 2$, defining $\ell_{p^{n-1}}$ to be the minimal positive integer u such that p^n is not a divisor of $u^{p^{n-1}} - 1$, that is, $u \notin G_n$.

THEOREM 6.2. (i) We have $\ell_p \leq (\log p)^{2 - \frac{575}{3276} + o(1)}$ as $p \rightarrow \infty$.

(ii) For $n \geq 2$, given an upper bound $H_n \leq p^{1 - \varepsilon_n}$ on the Heilbronn sum, we have

$$\ell_{p^{n-1}} \leq n(\log p)^{1 + \frac{1 - \varepsilon_n}{n-1} + o(1)}$$

as $p^n \rightarrow \infty$.

We note that the upper bound in (ii) for $n = 2$, using $H_2 \ll p^{\frac{5}{6} + o(1)}$, is slightly weaker than the bound in part (i). The estimate in (i) is the result of [18] mentioned before. For the convenience of the reader, we include a proof here. The estimate in (ii) for $n = 3$, using $H_3 \leq p^{1 - \frac{29}{702} + o(1)}$, was obtained by Shteinikov [21, Thm. 16]. For $n \geq 4$, using the estimate for H_n in (1.4), from (ii) we obtain

$$\ell_{p^{n-1}} \leq n(\log p)^{1 + \frac{1}{n-1} - \frac{3 \cdot 269}{n-1} (\frac{34}{151})^n + o(1)}. \tag{6.1}$$

Proof. (i) The proof follows identically [2] (and its subsequent improvements), and so we sketch only the outline here. We start with the upper bound of [3, Lemma 12], which in the notation of Section 4 can be stated for any interval \mathcal{I} of points in \mathbb{Z}_{p^n} :

$$|G_n \cap \mathcal{I}| \ll_{\varepsilon} \frac{(p-1)}{q} |\mathcal{I}| + \frac{|\mathcal{I}|}{q} \sum_{i=1}^L N_i \phi_i \tag{6.2}$$

with $h = \min\{q^{1+\varepsilon}/|\mathcal{I}|, q/2\}$. Using the upper bound in (5.2), we have, for $n = 2$,

$$|G_2 \cap \mathcal{I}| \ll_{\varepsilon} \frac{|\mathcal{I}|}{p^2} (p + h^{\frac{3}{4}} p^{\frac{3793}{4368} + o(1)} + hp^{\frac{257}{312} + o(1)}).$$

Taking $|\mathcal{I}| = \lfloor p^{2 - \frac{575}{3276} + 3\varepsilon} \rfloor$, we have $h \ll p^{\frac{575}{3276} - \varepsilon}$ and

$$|G_2 \cap \mathcal{I}| \ll_{\varepsilon} \frac{|\mathcal{I}|}{p}.$$

Next, let $\mathcal{I} = [1, M]$ with $M = \lfloor p^{2 - \frac{575}{3276} + 3\varepsilon} \rfloor$. Since $u^{p-1} \equiv 1 \pmod{p^2}$ for all $u \leq \ell_p$, the same is true for all integers in \mathcal{I} comprised of prime factors $\leq \ell_p$.

By [9, Thm. 2.1], the number of such integers is at least M^{1-\log \log M / \log \ell_p}, and thus

$$M^{1-\log \log M / \log \ell_p} \ll M/p,$$

from which the theorem follows.

(ii) For n \ge 3, we follow the method of Section 3, taking (with M even) \mathcal{I} = [1, M], \mathcal{J} = [-\frac{M}{2} + 1, \frac{M}{2}], \alpha = 1_I * 1_J. Noting that \alpha(x) \ge M/2 on \mathcal{I}, we obtain the upper bound

$$|G_n \cap \mathcal{I}| \leq \frac{2}{M} \sum_{x \in G_n} \alpha(x) \leq \frac{2}{M} (p^{-n} |G_n| M^2 + H_n M) < 2 \frac{|\mathcal{I}|}{p^{n-1}} + 2H_n.$$

Say H_n \le p^{1-\varepsilon_n}. Then with M = \lceil p^{n-\varepsilon_n} \rceil we have |G_n \cap \mathcal{I}| \le 4 \frac{M}{p^{n-1}} and so, as before,

$$M^{1-\log \log M / \log \ell_{p^{n-1}}} \le 4M/p^{n-1},$$

from which we derive

$$\ell_{p^{n-1}} \leq n(\log p)^{1+\frac{1-\varepsilon_n}{n-1}+o(1)}$$

as p^n \to \infty. □

7. Asymptotic Formula for T_k(G_n)

For k \in \mathbb{N}, let G_n^{2k} denote the Cartesian product of G_n with itself 2k-times and T_k(G_n)

$$\begin{aligned} &= \#\{(\mathbf{x}, \mathbf{y}) \in G_n^{2k} : x_1 + \dots + x_k = y_1 + \dots + y_k\} \\ &= \#\left\{(\mathbf{x}, \mathbf{y}) \in \mathbb{Z}^{2k} : 1 \leq x_i, y_i \leq p-1, \sum_{i=1}^k x_i^{p^{n-1}} \equiv \sum_{i=1}^k y_i^{p^{n-1}} \pmod{p^n}\right\}. \end{aligned}$$

In particular, T_1(G_n) = |G_n|, and T_2(G_n) denotes the additive energy of the group G_n. As noted by Malykhin [14], we have the elementary estimate,

$$T_k(G_n) \leq T_k(G_{n-1}) \tag{7.1}$$

for any k, n with n \ge 2. The estimate follows from the observation that if 1 \le x_i, y_i < p are integers such that

$$x_1^{p^{n-1}} + \dots + x_k^{p^{n-1}} \equiv y_1^{p^{n-1}} + \dots + y_k^{p^{n-1}} \pmod{p^n},$$

then, since x_i^{p^{n-1}} \equiv x_i^{p^{n-2}} \pmod{p^{n-1}}, we also have

$$x_1^{p^{n-2}} + \dots + x_k^{p^{n-2}} \equiv y_1^{p^{n-2}} + \dots + y_k^{p^{n-2}} \pmod{p^{n-1}}.$$

As noted in (5.1), Shkredov, Solodkova, and Vyugin established that T_2(G_2) \ll p^{\frac{32}{13}} \log^{\frac{14}{13}} p. In the next section we obtain T_3(G_2) \ll p^{\frac{161}{39}} \log^{\frac{55}{39}} p and prove asymptotic results for T_k(G_n). The key lemma needed for proving these is as follows.

LEMMA 7.1. *For any positive integers n, k, l with $k \geq l$, we have*

$$T_k(G_n) = (p - 1)^{2k} p^{-n} + O(H_n^{2k-2l} T_l(G_n)),$$

where the constant in the big- O is less than 1.

Proof. We have, for $k \geq l$,

$$\begin{aligned} T_k(G_n) &= p^{-n} \sum_{\lambda=0}^{p^n-1} \sum_{\mathbf{x} \in G_n^k} \sum_{\mathbf{y} \in G_n^k} e_{p^n}(\lambda(x_1 + \dots + x_k - y_1 - \dots - y_k)) \\ &= p^{-n} |G_n|^{2k} + p^{-n} \sum_{\lambda=1}^{p^n-1} |S_n(\lambda)|^{2k} \\ &= p^{-n} |G_n|^{2k} + O\left(p^{-n} H_n^{2k-2l} \sum_{\lambda=1}^{p^n-1} |S_n(\lambda)|^{2l}\right) \\ &= (p - 1)^{2k} p^{-n} + O(H_n^{2k-2l} T_l(G_n)). \quad \square \end{aligned}$$

For $n = 2$, using $H_2 \ll p^{\frac{5}{6}} \log^{\frac{1}{6}} p$ and $T_2(G_2) \ll p^{\frac{32}{13}} \log^{\frac{14}{13}} p$ (though in fact much weaker bounds will do), from the lemma we obtain

$$T_k(G_2) = p^{2k-2} + O(p^{2k-3}) + O(p^{\frac{5}{3}k - \frac{34}{39} + o(1)})$$

for $k \geq 2$, and thus the asymptotic formula $T_k(G_2) \sim p^{2k-2}$ holds for $k \geq 4$. The asymptotic result for $n \geq 3$ is given in the next section.

In order to state our next lemma, we define

$$H'_n := \max_{p \nmid y} |S_n(y)|.$$

Plainly, for $n \geq 2$,

$$H_n = \max\{H'_n, H_{n-1}\}.$$

The key lemma needed for estimating the higher-order Heilbronn sums is the well-known Hölder-type inequality relating H'_n to the $T_k(G_n)$ (see, e.g., [10]). A proof is provided in the [Appendix](#) for the convenience of the reader.

LEMMA 7.2. *For any positive integers n, k, l , we have*

$$H'_n \leq (p^n T_k(G_n) T_l(G_n))^{\frac{1}{2kl}} (p - 1)^{1 - \frac{1}{k} - \frac{1}{l}}.$$

8. Estimation of H_n and $T_k(G_n)$

From Lemma 7.1, Lemma 7.2, and (7.1) we obtain an iterative process for estimating successive $H_n, T_k(G_n)$, starting from estimates for H_2 and $T_2(G_2)$. We suppose that

$$H_2 \ll p^\gamma, \quad T_2(G_2) \ll p^\lambda \tag{8.1}$$

and define

$$\beta := \max\{4, 2\gamma + \lambda\}. \tag{8.2}$$

From Lemma 7.1 we thus have $T_k(G_2) \sim p^{2k-2}$ for $k \geq 4$ and

$$T_3(G_2) = p^4 + O(H_2^2 T_2(G_2)) \ll p^\beta. \tag{8.3}$$

The exponents $\gamma = \frac{5}{6} + o(1)$, $\lambda = \frac{32}{13} + o(1)$ mentioned before give $\beta = \frac{161}{39} + o(1) = 4.1282\dots$

THEOREM 8.1. *Let $\{\ell_n\}$ and $\{k_n\}$ be the sequences of positive integers defined by*

$$\ell_2 := 4, \quad \ell_3 := \left\lceil \frac{3\beta}{9-2\beta} \right\rceil, \quad \ell_{n+1} := \left\lceil \left(\frac{8-\beta}{5-\beta} \right) \ell_n \right\rceil \quad \text{for } n \geq 3$$

and

$$k_2 := 3, \quad k_3 := \left\lceil \frac{3\beta}{9-2\beta} \right\rceil, \quad k_{n+1} := \left\lceil \left(\frac{8-\beta}{5-\beta} \right) \ell_n \right\rceil \quad \text{for } n \geq 3.$$

For $n \geq 2$, we have $T_k(G_n) \ll p^{2k-n}$ for $k \geq \ell_n$ with $T_k(G_n) \sim p^{2k-n}$ for $k > k_n$.

For $n \geq 3$, we have

$$H_n \ll p^{1-\varepsilon_n}, \quad \varepsilon_n := \begin{cases} (9-2\beta)/18 & \text{for } n = 3, \\ (5-\beta)/6\ell_{n-1} & \text{for } n \geq 4. \end{cases}$$

Proof. From Lemma 7.2, (7.1), and (8.3) we have

$$H'_3 \leq (p^3 T_3(G_3)^2)^{\frac{1}{18}} p^{\frac{1}{3}} = p^{\frac{1}{2}} T_3(G_3)^{\frac{1}{9}} \leq p^{\frac{1}{2}} T_3(G_2)^{\frac{1}{9}} \ll p^{\frac{1}{2} + \frac{\beta}{9}} = p^{1-\varepsilon_3}.$$

Since $\frac{1}{2} + \frac{\beta}{9} \geq \frac{1}{2} + \frac{4}{9} > \gamma$, we also have

$$H_3 = \max\{H'_3, H_2\} \ll p^{1-\varepsilon_3}.$$

Hence, by Lemma 7.1, for $k \geq 3$, we get

$$\begin{aligned} T_k(G_3) &= (p-1)^{2k} p^{-3} + O(H_3^{2k-6} T_3(G_2)) \\ &= p^{2k-3} + O(p^{2k-4}) + O(p^{(\frac{1}{2} + \frac{\beta}{9})(2k-6) + \beta}). \end{aligned}$$

For $k > \frac{3\beta}{9-2\beta}$, the exponent $2k - 3$ dominates, and we get $T_k(G_3) \sim p^{2k-3}$ with

$$T_k(G_3) \ll p^{2k-3}, \quad k \geq \ell_3, \quad \text{and} \quad T_k(G_3) \ll p^{(\frac{1}{2} + \frac{\beta}{9})(2k-6) + \beta}, \quad 3 \leq k < \ell_3,$$

establishing the case $n = 3$ of Theorem 8.1.

For $n > 3$, we proceed by induction. Suppose that, for a given n , we have already established that

$$H_n \ll p^{1-\varepsilon_n}, \tag{8.4}$$

$$T_k(G_n) \ll p^{2k-n} \quad \text{for } k \geq \ell_n. \tag{8.5}$$

Hence, by Lemma 7.2, (7.1), (8.3), and (8.5),

$$\begin{aligned} H'_{n+1} &\leq (p^{n+1} T_3(G_{n+1}) T_{\ell_n}(G_{n+1}))^{\frac{1}{6n}} p^{\frac{2}{3} - \frac{1}{\ell_n}} \\ &\leq (p^{n+1} T_3(G_2) T_{\ell_n}(G_n))^{\frac{1}{6n}} p^{\frac{2}{3} - \frac{1}{\ell_n}} \\ &\ll p^{\frac{n+1}{6n}} (p^\beta)^{\frac{1}{6n}} p^{(2\ell_n-n)\frac{1}{6n}} p^{\frac{2}{3} - \frac{1}{\ell_n}} = p^{1 - \frac{1}{\ell_n} (\frac{5-\beta}{6})} = p^{1-\varepsilon_{n+1}}, \end{aligned}$$

and thus, since $\varepsilon_{n+1} < \varepsilon_n$,

$$H_{n+1} = \max\{H'_{n+1}, H_n\} \ll p^{1-\varepsilon_{n+1}}.$$

Therefore, by Lemma 7.1 and (7.1), for $k \geq \ell_n$, we have

$$\begin{aligned} T_k(G_{n+1}) &= (p-1)^{2k} p^{-(n+1)} + O(H_{n+1}^{2k-2\ell_n} T_{\ell_n}(G_n)) \\ &= p^{2k-(n+1)} (1 + O(p^{-1}) + O(p^{1-\varepsilon_{n+1}(2k-2\ell_n)})). \end{aligned}$$

Consequently, for $k > \ell_n + \frac{1}{2\varepsilon_{n+1}} = (\frac{8-\beta}{5-\beta})\ell_n$, we have $T_k(G_{n+1}) \sim p^{2k-(n+1)}$ with

$$T_k(G_{n+1}) \ll p^{2k-(n+1)} \quad \text{for } k \geq \ell_{n+1}$$

and

$$T_k(G_{n+1}) \ll p^{2k-n-\frac{(5-\beta)}{6n}(2k-2\ell_n)} \quad \text{for } \ell_n \leq k < \ell_{n+1},$$

and we recover the claim of the theorem for $(n+1)$. □

In the following corollary we make the growth with n explicit.

COROLLARY 8.1. *For $n \geq 4$, we have*

$$H_n \ll_n p^{1-\frac{(5-\beta)^{n-3}}{6(\ell_3+(5-\beta)/3)(8-\beta)^{n-4}}}.$$

Proof. This follows at once from the bound

$$\begin{aligned} \ell_n &= \left\lceil \left(\frac{8-\beta}{5-\beta} \right) \ell_{n-1} \right\rceil \leq \left(\frac{8-\beta}{5-\beta} \right) \ell_{n-1} + 1 \\ &\leq \left(\frac{8-\beta}{5-\beta} \right)^{n-3} \ell_3 + \left(\frac{8-\beta}{5-\beta} \right)^{n-4} + \dots + 1 \\ &= \ell_3 \left(\frac{8-\beta}{5-\beta} \right)^{n-3} + \left(\frac{5-\beta}{3} \right) \left(\left(\frac{8-\beta}{5-\beta} \right)^{n-3} - 1 \right) \\ &< \left(\ell_3 + \frac{5-\beta}{3} \right) \left(\frac{8-\beta}{5-\beta} \right)^{n-3}. \end{aligned} \quad \square$$

Thus, when $\beta = 161/39 + o(1)$, the optimal value currently available, we have $k_2 = 3, k_3 = 16, k_4 = 75, k_5 = 337, \dots$, and $H_3 \ll p^{0.95868\dots}, H_4 \ll p^{0.99145\dots}, H_5 \ll p^{0.99808\dots}, \dots$, with $k_n \leq \ell_n \leq 0.1974 \left(\frac{151}{34} \right)^n$, and $H_n \ll p^{1-3.269 \left(\frac{34}{151} \right)^n}$.

Appendix: Proof of Lemma 7.2

We shall use the following version of Hölder’s inequality.

LEMMA A.1. *For any nonnegative real numbers $a_i, b_i, 1 \leq i \leq n$, and any positive real number ℓ , we have*

$$\sum_{i=1}^n a_i b_i \leq \left(\sum_{i=1}^n a_i \right)^{1-\frac{1}{\ell}} \left(\sum_{i=1}^n a_i^2 \right)^{\frac{1}{2\ell}} \left(\sum_{i=1}^n b_i^{2\ell} \right)^{\frac{1}{2\ell}}.$$

First, we note that for any integer λ and positive integer k, we have

$$\begin{aligned}
(p - 1) \left(\sum_{x \in G_n} e_{p^n}(\lambda x) \right)^k &= \sum_{y \in G_n} \left(\sum_{x \in G_n} e_{p^n}(\lambda y x) \right)^k \\
&= \sum_{x_1 \in G_n} \cdots \sum_{x_k \in G_n} \sum_{y \in G_n} e_{p^n}(\lambda y(x_1 + \cdots + x_k)) \\
&= \sum_{b=0}^{p^n-1} n(b) \sum_{y \in G_n} e_{p^n}(\lambda y b),
\end{aligned}$$

where

$$n(b) = \#\{(x_1, \dots, x_k) : x_i \in G_n, 1 \leq i \leq k, x_1 + \cdots + x_k = b\}.$$

By Lemma A.1 and the elementary identities

$$\sum_{b=0}^{p^n-1} n(b) = (p - 1)^k \quad \text{and} \quad \sum_{b=0}^{p^n-1} n(b)^2 = T_k(G_n),$$

we obtain, for any positive integer l and integer λ with p ∤ λ,

$$\begin{aligned}
&(p - 1) \left| \sum_{x \in G_n} e_{p^n}(\lambda x) \right|^k \\
&\leq \left(\sum_{b=0}^{p^n-1} n(b) \right)^{1-\frac{1}{l}} \left(\sum_{b=0}^{p^n-1} n(b)^2 \right)^{\frac{1}{2l}} \left(\sum_{b=0}^{p^n-1} \left| \sum_{y \in G_n} e_{p^n}(\lambda y b) \right|^{2l} \right)^{\frac{1}{2l}} \\
&= (p - 1)^{k(1-\frac{1}{l})} T_k(G_n)^{\frac{1}{2l}} (T_l(G_n) p^n)^{\frac{1}{2l}}.
\end{aligned}$$

Dividing by (p - 1) and taking the kth root yield the lemma.

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