

Frobenius Splitting of Certain Rings of Invariants

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*Dedicated to Professor Melvin Hochster
on the occasion of his sixty-fifth birthday*

1. Introduction

The concept of F -purity was introduced by Hochster and Roberts [6]; the F -purity for a Noetherian ring of prime characteristic is equivalent to the splitting of the Frobenius map when the ring is finitely generated over its subring of p th powers. It is closely related to the Frobenius splitting property à la Mehta and Ramanathan [11] for algebraic varieties; more precisely, the F -split property for an irreducible projective variety X over an algebraically closed field of positive characteristic is equivalent to the F -purity of the ring $\bigoplus_{n \geq 0} H^0(X; L^n)$ for any ample line bundle L over X (cf. [3; 13; 14]). We feel that it is only appropriate to dedicate this paper to Professor Hochster on the occasion of his sixty-fifth birthday and thus make a modest contribution to this birthday volume.

Let k be an algebraically closed field of characteristic $p > 0$ and let X be a k -scheme. One has the Frobenius morphism (which is only an \mathbb{F}_p -morphism) $F: X \rightarrow X$ defined as the identity map of the underlying topological space of X , where the morphism of structure sheaves $F^\#: \mathcal{O}_X \rightarrow \mathcal{O}_X$ is the p th power map. The morphism F induces a morphism of \mathcal{O}_X -modules $\mathcal{O}_X \rightarrow F_*\mathcal{O}_X$. The variety X is called *Frobenius split* (or *F -split*, or simply *split*) if there exists a splitting $\varphi: F_*\mathcal{O}_X \rightarrow \mathcal{O}_X$ of the morphism $\mathcal{O}_X \rightarrow F_*\mathcal{O}_X$. Equivalently, X is Frobenius split if there exists a morphism of sheaves of abelian groups $\varphi: \mathcal{O}_X \rightarrow \mathcal{O}_X$ such that (i) $\varphi(f^p g) = f\varphi(g)$ with $f, g \in \mathcal{O}_X$ and (ii) $\varphi(1) = 1$. Basic examples of varieties that are Frobenius split are smooth affine varieties, toric varieties (cf. [1]), generalized flag varieties, and Schubert varieties [11]. Smooth projective curves of genus > 1 are examples of varieties that are *not* Frobenius split.

Frobenius splitting is an interesting property to study. If X is Frobenius split, then it is weakly normal [1, Prop. 1.2.5] and reduced [1, Prop. 1.2.1]. Indeed, projective varieties that are Frobenius split are very special. We refer the reader to [1] for further details.

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If $X = \text{Spec}(R)$, then X is Frobenius split if and only if the Frobenius homomorphism $R \rightarrow R$ defined as $a \mapsto a^p$ admits a splitting $\varphi: R \rightarrow R$ such that $\varphi(a^p b) = a\varphi(b)$ and $\varphi(1) = 1$.

If a linearly reductive group G acts on a k -algebra R that is Frobenius split, then the invariant ring R^G is Frobenius split (see [1, Exer. 1.1.E(5)]). To quote Smith [13, p. 571], “The story of F -splitting and global F -regularity for quotients by reductive groups in characteristics p that are not linearly reductive is much more subtle and complicated.” We intend to show that, although the groups $\text{SO}(n)$, $n \geq 3$, and $\text{SL}(n)$, $n \geq 2$, are not linearly reductive, certain rings of invariants for these groups are Frobenius split.

We next state the main results of this paper.

Let k be an algebraically closed field of characteristic $p > 2$, and let V be an n -dimensional vector space over k with a symmetric nondegenerate bilinear form. Denote by A_m the the coordinate ring of $V_m := V^{\oplus m}$, $m \geq 1$, and consider the action of $\text{SO}(V)$ on A_m induced by the diagonal action of $\text{SO}(V)$ on $V^{\oplus m}$.

THEOREM 1.1. *The invariant ring $A_m^{\text{SO}(V)}$ is Frobenius split for all $m \geq 1$.*

The group $\text{SL}(V)$ acts on V as well as on the dual vector space $V^* = \text{Hom}_k(V, k)$. Now consider the diagonal action of $\text{SL}(V)$ on the vector space

$$V_{m,q} := V^{\oplus m} \oplus V^{*\oplus q} \quad \text{for } m, q \geq 1.$$

This leads to an action of $\text{SL}(V)$ on the coordinate ring $A_{m,q}$ of $V_{m,q}$.

THEOREM 1.2. *The invariant ring $A_{m,q}^{\text{SL}(V)}$ is Frobenius split for any $m, q \geq n$.*

We shall now sketch the proofs of main results (assuming $m, q > n$). Let S be the invariant ring in question, and let R be the ring of invariants under the larger group $\tilde{G} = \text{GL}(V)$ (resp. $\tilde{G} = \text{O}(V)$). Then (cf. [2; 8]) R is the coordinate ring of a certain determinantal variety in $M_{m,q}$, the space of $m \times q$ matrices (resp. $\text{Sym } M_m$, the space of symmetric $m \times m$ matrices) with entries in k . Now a determinantal variety in $M_{m,q}$ (resp. $\text{Sym } M_m$) can be canonically identified (cf. [8]) with an open subset in a certain Schubert variety in $G_{q,m+q}$, the Grassmannian variety of q -dimensional subspaces of k^{m+q} (resp. the symplectic Grassmannian variety, the variety of all maximal isotropic subspaces of a $2m$ -dimensional vector space over k endowed with a nondegenerate skew-symmetric bilinear form). Hence we obtain that R is Frobenius split (since Schubert varieties are Frobenius split).

Let $X = \text{Spec}(S)$ and $Y = \text{Spec}(R)$, and let $\pi: X \rightarrow Y$ be the morphism induced by the inclusion $R \subset S$. When $G = \text{SO}(V)$, we show that π is a double cover that is étale over a “large” open subvariety—that is, a subvariety whose complement has codimension ≥ 2 . For $G = \text{SL}(n)$ we show that, when restricted to a large open subvariety, π is a \mathbb{G}_m bundle. The main theorems are then deduced using normality of S .

Hashimoto [4] has shown that, if a connected reductive group G acts on a polynomial ring A over k (of positive characteristic) with good filtration, then the ring

A^G of invariants is strongly F -regular, a property that is closely related to Frobenius splitting. Granting the results of [9] and [7]—we don't need all the results of these papers, only some of the relatively easier ones—the arguments used in our proofs are straightforward and quite elementary; the techniques used in [4] are representation theoretic.

Theorem 1.1 will be proved in Section 2 and Theorem 1.2 in Section 3.

2. Splitting $SO(n)$ -Invariants

Let k be an algebraically closed field of characteristic $p > 0$. Suppose S is an affine k -algebra that is Frobenius split, and suppose a finite group Γ acts on S as k -algebra automorphisms. Then the invariant ring $R = S^\Gamma$ is Frobenius split provided the order of Γ is not divisible by p [1, Exer. 1.1.E(5)]. We first obtain a partial converse to this statement when Γ is of order 2.

Assume that $\text{char}(k) > 2$. Let S be an affine k -domain and let $\Gamma = \{1, \gamma\} \cong \mathbb{Z}/2\mathbb{Z}$ act effectively on S . Denote by R the invariant subalgebra S^Γ . Then R is an affine k -algebra and S is quadratic and integral over R . Indeed, any $b \in S$ can be expressed as $b = b_0 + b_1$, where $b_0 = (1/2)(b + \gamma(b)) \in R$ and $b_1 = (1/2)(b - \gamma(b))$ satisfies $\gamma(b_1) = -b_1$. Thus, we can choose generators u_1, \dots, u_s for the R -algebra S to be in the -1 eigenspace of γ . Clearly $u_i^2 = -u_i\gamma(u_i) =: p_i \in R$ for all $i \leq s$. Furthermore,

$$\gamma(u_i u_j) = u_i u_j =: p_{i,j} \in R \quad \text{for all } i, j \leq s \quad (\text{with } p_{i,i} = p_i).$$

Observe that $p_{i,j}^2 = p_i p_j$.

We shall assume that S is reduced so that $p_i \neq 0$ for all i . Now let $R_i = R[1/p_i]$ for $1 \leq i \leq n$ and let $S_i = S[1/u_i]$. We claim that $S_i = R_i[u_i]$. To see this, first observe that $R_i[u_i] \subset S[1/u_i]$, since $1/p_i = (1/u_i)^2 \in S[1/u_i]$. To show that $S[1/u_i] \subset R_i[u_i]$, it suffices to show that $u_j \in R_i[u_i]$ for all j and that $(1/u_i) \in R_i[u_i]$. Indeed, $1/u_i = u_i/u_i^2 = u_i/p_i \in R_i[u_i]$ and so $u_j = p_{i,j}/u_i \in R_i[u_i]$.

Write $X = \text{Spec}(S)$ and $Y = \text{Spec}(R)$, and let $\pi : X \rightarrow Y$ be the morphism (induced by the inclusion $R \subset S$). As before, let $S_i = S[1/u_i]$ and let $U_i = \text{Spec}(S_i) \subset X$. Let $U := \bigcup_{1 \leq i \leq s} U_i$, which is the full inverse image under π of $\bigcup_{1 \leq i \leq s} \text{Spec}(R_i)$. It is readily verified that $\pi|_U : U \rightarrow \pi(U)$ is étale. Indeed, S_i is a free R_i module with basis $\{1, u_i\}$ and with $\det(u_i) = -p_i \neq 0$, so $\pi|_{U_i}$ is étale and hence $\pi|_U$ is étale.

On the other hand, if $y \in Y$ is a closed point such that $p_i(y) = 0$ for all $i \leq s$, then the fibre $f^{-1}(y) = \text{Spec}(S_y \otimes_{R_y} k)$ is the scheme whose coordinate ring is $S_y \otimes_{R_y} k = k[u_1, \dots, u_s]/(u_i^2, 1 \leq i \leq s)$. Here R_y is the local ring at y . Thus $f^{-1}(y)$ is nonreduced. It follows that the ramification locus of π is equal to $Y \setminus \pi(U)$ (see [12, Sec. III.10, Thm. 3]).

PROPOSITION 2.1. *Let k be an algebraically closed field of characteristic $p > 2$. Let S be an affine normal domain over k acted on by $\Gamma \cong \mathbb{Z}/2\mathbb{Z}$, and let $R := S^\Gamma$ be Frobenius split. Suppose that the ramification locus of the double cover*

$\pi : \text{Spec}(S) \rightarrow \text{Spec}(R)$ has codimension at least 2 in $\text{Spec}(R)$. Then, any splitting $\varphi : R \rightarrow R$ extends uniquely to a splitting $\psi : S \rightarrow S$.

Proof. We use the notation introduced previously.

Since X is normal and since the codimension of the ramification locus of π is at least 2, it suffices to show that $U = \bigcup_{1 \leq i \leq s} U_i$ is Frobenius split (cf. [1, Lemma 1.1.7(iii)]).

Let $\varphi : R \rightarrow R$ be a splitting of $Y = \text{Spec}(R)$. First, we shall extend φ to a splitting $\psi_i : S_i \rightarrow S_i$ of $U_i = \text{Spec}(S_i)$ ($= \text{Spec}(R_i[u_i])$) for each i and verify that these splittings agree on the overlaps $U_i \cap U_j$ for $1 \leq i, j \leq s$. Thus we obtain a splitting of $U = \bigcup_{1 \leq i \leq s} U_i$. By normality of X and the hypothesis on the codimension of the ramification locus, we will conclude that this splitting extends to a splitting of X . Next, we shall establish the uniqueness of the extension.

Recall that $\{1, u_i\}$ is an R_i -basis for S_i . Since $u_i = u_i^{-p} p_i^{(1+p)/2}$ on U_i it follows that, if $\psi_i : S_i \rightarrow S_i$ is any splitting of U_i that extends the splitting φ_i of R_i defined by φ , then we must have $\psi_i(au_i) = \psi_i((1/u_i)^p ap_i^{(p+1)/2}) = (1/u_i)\varphi_i(ap_i^{(p+1)/2})$. By additivity, then,

$$\psi_i(au_i + b) = (1/u_i)\varphi_i(ap_i^{(p+1)/2}) + \varphi_i(b) = (u_i/p_i)\varphi_i(ap_i^{(p+1)/2}) + \varphi_i(b),$$

where $a, b \in R_i$. Thus the extension ψ_i , if it exists, is unique.

We now define ψ_i by the preceding equation and claim that ψ_i is indeed a splitting of S_i . First, observe that $\psi_i(1) = 1$ by the very definition of ψ_i . Now, for any $x, y, a \in R_i$,

$$\begin{aligned} \psi_i((xu_i + y)^p au_i) &= \psi_i(x^p p_i^{(p+1)/2} a + y^p au_i) \\ &= x\varphi(p_i^{(p+1)/2} a) + y\varphi(au_i) \\ &= x(p_i/u_i)\psi_i(au_i) + y\varphi(au_i) \\ &= xu_i\psi_i(au_i) + y\psi(au_i) \\ &= (xu_i + y)\varphi(au_i). \end{aligned}$$

An entirely similar (and easier) computation shows that

$$\psi_i((xu_i + y)^p b) = (xu_i + y)\psi_i(b),$$

completing the verification that ψ_i is a splitting.

We verify by another straightforward computation that these ψ_i agree on the overlaps $U_i \cap U_j$. Indeed, writing $u_j = u_i p_{i,j}/p_i$, we have

$$\begin{aligned} \psi_i(u_j) &= \psi_i(u_i p_{i,j}/p_i) = (u_i/p_i)\varphi((p_{i,j}/p_i)p_i^{(p+1)/2}) \\ &= (u_i/p_i)\varphi(p_{i,j}p_i^{(p-1)/2}). \end{aligned}$$

Since $p_i = p_{i,j}^2/p_j$ on $U_i \cap U_j$, it follows that

$$\begin{aligned} \varphi(p_{i,j}p_i^{(p-1)/2}) &= \varphi(p_{i,j}^p (p_i/p_{i,j}^2)^{(p-1)/2}) \\ &= p_{i,j}\varphi(p_j^{(1-p)/2}) = (p_{i,j}/p_j)\varphi(p_j^{(p+1)/2}). \end{aligned}$$

Substituting in the previous expression for $\psi_i(u_j)$ yields

$$\psi_i(u_j) = (u_i p_{i,j} / (p_i p_j)) \varphi(p_j^{(p+1)/2}) = (u_j / p_j) \varphi(p_j^{(p+1)/2}) = \psi_j(u_j).$$

This implies that the extensions $\{\psi_i\}$ patch to yield a well-defined splitting on U as claimed. As observed before, the normality of X and the hypothesis on the codimension of the ramification locus implies that this splitting extends to a *unique* splitting $\psi : S \rightarrow S$.

Finally, if ψ' is another splitting of X that also extends φ , then ψ' and ψ agree on U_i (for any i) as already observed. Because X is irreducible, U_i is dense in X and we conclude that $\psi' = \psi$. □

As a corollary, we obtain the following result.

THEOREM 2.2. *Let $\pi : X \rightarrow Y$ be a double cover of a Noetherian scheme whose ramification locus has codimension at least 2. Suppose that X is reduced, irreducible, and normal and that Y is Frobenius split. Then X is Frobenius split.*

Proof. Cover X by finitely many affine patches X_α . Let $Y_\alpha := \pi X_\alpha$. Then each $\pi|_{X_\alpha}$ satisfies the hypotheses of Proposition 2.1. Let φ be a splitting of Y , and let ψ_α be the unique splitting of X_α that extends the splitting $\varphi|_{Y_\alpha}$. The ψ_α agree on overlaps and hence define a unique splitting of X that “extends” φ . □

Proof of Theorem 1.1. Denote by S the ring of $\text{SO}(V)$ -invariants of A_m , where A_m is the coordinate ring of V_m . Let R be the ring of $\text{O}(V)$ -invariants.

We shall assume that $m > n$. By [2; 8], $Y := \text{Spec}(R)$ is the determinantal variety $D_n(\text{Sym } M_m)$ consisting of all matrices in $\text{Sym } M_m$ (the space of symmetric $m \times m$ matrices with entries in k) of rank at most n ; we also have (cf. [8]) an identification of $D_n(\text{Sym } M_m)$ with an open subset of a certain Schubert variety in the Lagrangian Grassmannian variety (of all maximal isotropic subspaces of a $2m$ -dimensional vector space over k endowed with a nondegenerate skew-symmetric bilinear form). Hence we obtain that Y is Frobenius split (since, by [11], Schubert varieties are Frobenius split).

Observe that $\Gamma := \text{O}(n)/\text{SO}(n) \cong \mathbb{Z}/2\mathbb{Z}$ acts on S (the subring of $\text{SO}(V)$ -invariants of A_m) and that S^Γ equals R . As before, let $X := \text{Spec}(S)$ and let $\pi : X \rightarrow Y$ be the morphism induced by the inclusion $R \subset S$. We need only verify the hypotheses of Theorem 2.2. It is well known that S is an affine normal domain. It remains to verify that the codimension of the branch locus is at least 2. This was proved in [7]. In fact, the ramification locus of Y equals the singular locus of Y , but this more refined assertion is not relevant here. Since Y is normal it follows that the codimension of the ramification locus is at least 2. Thus, by Theorem 2.2, X is Frobenius split.

The case $m = n$ is treated separately as Lemma 2.3. When $m < n$, it is easy to see that $S = R$. Again, R is a polynomial algebra over k and hence S is Frobenius split. □

Assume that $m = n$. In this case $R = k[y_{i,j} : 1 \leq i \leq j \leq n]$ is a polynomial ring, since R is the ring of polynomial functions on the space of $n \times n$ symmetric

matrices. As an R -algebra, $S = R[u]/\langle u^2 - f \rangle$, where f denotes the determinant function of the symmetric $n \times n$ matrix whose entry in position (i, j) for $1 \leq i \leq j \leq n$ is $y_{i,j}$.

LEMMA 2.3. *Let $m = n$. The ring S of $\text{SO}(V)$ -invariants is Frobenius split in this case, too.*

Proof. There is a natural identification of $\text{Spec}(R)$ with an affine patch of the symplectic Grassmannian, and the vanishing locus of f under this identification becomes an open part of a Schubert variety [7; 8]. Thus, by [11] (see also [1]), there exists a splitting of $\text{Spec}(R)$ that compatibly splits $\text{Spec}(R/(f))$. Let φ be such a splitting. Continue to denote by φ the restriction of φ to the open part $\text{Spec}(R[1/f])$. Arguing as in the proof of Proposition 2.1, we may “lift” the restriction φ to a splitting (also denoted φ) of $\text{Spec}(S[1/f])$. We claim that φ maps S to S and hence extends to a splitting of $\text{Spec}(S)$. Indeed, a general element of S is of the form $au + b$ with a, b in R , so

$$\varphi(au + b) = \varphi\left(\frac{au^{p+1}}{u^p} + b\right) = \frac{\varphi(af^{(p+1)/2})}{u} + \varphi(b).$$

Since φ compatibly splits the vanishing locus of f , it follows that $\varphi(af^{(p+1)/2})$ belongs to the ideal (f) . Writing $\varphi(af^{(p+1)/2}) = cf$, we have

$$\varphi(au + b) = \frac{cf}{u} + \varphi(b) = cu + \varphi(b) \in S. \quad \square$$

We conclude this section with the following remarks.

REMARKS 2.4. (i) The condition on codimension of U in Proposition 2.1 will be satisfied if S is generated over R by two or more elements u_i such that there exist u_i, u_j such that the supports D_i and D_j of the reduced scheme defined by $u_i = 0$ and $u_j = 0$ have no component in common.

(ii) Theorem 2.2 is not valid when the hypothesis on the codimension of the ramification locus is not satisfied. For example, if $\Gamma \cong \mathbb{Z}/2\mathbb{Z}$ is generated by the involution of a hyperelliptic curve X of genus $g \geq 2$, then the quotient is a smooth projective curve that is Frobenius split. However, X is not split because ω_X is ample but $H^1(X; \omega) \cong k$, whereas higher cohomologies for ample line bundles over Frobenius split projective varieties vanish.

(iii) We do not know if Theorem 2.2 remains valid if Γ is any finite group whose order is prime to the characteristic p of k , even in the case when Γ is cyclic.

(iv) One has a surjection of $\text{SL}(2)$ onto $\text{SO}(3)$ such that the $\text{SO}(3)$ action on $V = k^3$ corresponds to the conjugation action of $\text{SL}(2)$ on trace-0 2×2 matrices. For this case the Frobenius splitting property of A_m was proved by Mehta and Ramadas [10, Thm. 6]. It should be noted that, when $\dim V = 3$, the completion of the stalk at the origin in A_m is isomorphic to the completion of the stalk at the point corresponding to the class of the trivial rank-2 vector bundle in the moduli space of equivalence classes of semistable rank-2 vector bundles with trivial determinant on a smooth projective curve of genus $m > 2$ (see [10]).

3. Splitting $SL(n)$ -Invariants

In this section we shall establish Theorem 1.2. Let V be an n -dimensional vector space over an algebraically closed field k of characteristic $p \geq 2$ and let V^* denote its dual. Let $V_{m,q} := V^{\oplus m} \oplus V^{*\oplus q}$, and let A denote the ring of regular functions on $V_{m,q}$. By fixing dual bases for V and V^* , we shall view elements of V and V^* as row and column vectors, respectively, so that $V^{\oplus m}$ (resp. $V^{*\oplus q}$) is identified with the space $M_{m,n}$ of $m \times n$ matrices (resp. the space $M_{n,q}$ of $n \times q$ matrices) over k ; moreover, we shall identify $GL(V)$ with $GL_n(k)$, the group of invertible $n \times n$ matrices over k . In the sequel, we denote $GL_n(k)$ simply by $GL(n)$. Then the action of $GL(V)$ on $V^{\oplus m}$ is identified with the multiplication on the right of $M_{m,n}$ by $GL(n)$. Similarly, the action of $g \in GL(V)$ on $V^{*\oplus q}$ is identified with the multiplication on the left of $M_{n,q}$ by g^{-1} . The diagonal action of $GL(V)$ on $V^{\oplus m} \oplus V^{*\oplus q}$ is therefore defined as $(u, \xi) \cdot g = (ug, g^{-1}\xi)$, where $g \in GL(n)$ and $(u, \xi) \in \mathcal{V}_{m,q} := M_{m,n} \times M_{n,q}$. (Note that $\mathcal{V}_{m,q}$ is just the same as the vector space $V_{m,q}$ in matrix notation.) We identify A with the coordinate ring of $\mathcal{V}_{m,q}$.

We denote by R and S the rings of invariants $A^{GL(n)}$ and $A^{SL(n)}$, respectively. Let $Y = \text{Spec}(R)$ and $X = \text{Spec}(S)$. Note that Y and X are the GIT quotients $\mathcal{V}_{m,q} // GL(n)$ and $\mathcal{V}_{m,q} // SL(n)$, respectively.

Let $m, q \geq n$. By [2; 8] we have that Y is the determinantal variety $D_n(M_{m,q})$ consisting of all matrices in $M_{m,q}$ (the space of $m \times q$ matrices with entries in k) of rank at most n ; further, we have (cf. [8]) an identification of $D_n(M_{m,q})$ with an open subset of a certain Schubert variety in the Grassmannian variety (of q -dimensional subspaces of k^{m+q}). Hence Y is Frobenius split (since Schubert varieties are Frobenius split). The multiplication map $\mu: \mathcal{V}_{m,q} \rightarrow M_{m,q}$ factors through Y ; furthermore, under $\pi: X \rightarrow Y$ (induced by the inclusion $R \subset S$), we have $\pi([u, \xi]) = u\xi \in M_{m,q}$, where $[u, \xi] \in X$ is the image of $(u, \xi) \in \mathcal{V}_{m,q}$ under the GIT quotient $\mathcal{V}_{m,q} \rightarrow X$.

Let $I(n, m)$ denote the set of all n -element subsets I of $\{1, 2, \dots, m\}$. Any such I determines a regular function $u_I: \mathcal{V}_{m,q} \rightarrow k$ that maps (u, ξ) to the determinant of the $n \times n$ submatrix $u(I)$ of $u \in M_{m,n}$ with column entries given by I . Clearly u_I is invariant under the action of $SL(n)$ on $\mathcal{V}_{m,q}$ and hence yields a regular function u_I on X .

We define $\xi(J)$ and ξ_J for $J \in I(n, q)$ analogously; ξ_J is also an $SL(n)$ -invariant. Then $u_I \xi_J =: p_{I,J} \in R$ for all $I \in I(n, m)$ and $J \in I(n, q)$; indeed, $p_{I,J}([u, \xi])$ is just the determinant of the $n \times n$ submatrix of $u\xi \in M_{m,q}$ with row and column indices given by I and J , respectively. It is shown in [9] that S is generated as an R -algebra by u_I, ξ_J with $I \in I(n, m)$ and $J \in I(n, q)$ and that the ideal of relations is generated by $u_I \xi_J - p_{I,J}$ with $I \in I(n, m)$ and $J \in I(n, q)$ together with certain quadratic relations among the u_I and certain quadratic relations among the ξ_J . Moreover, a standard monomial basis is constructed in [9] for S ; as a particular consequence, we have that each u_I (resp. ξ_J) is algebraically independent over R for $I \in I(n, m)$ (resp. $J \in I(n, q)$).

For $K \in I(n, m)$ and $L \in I(n, q)$, let

$$R_{K,L} = R[1/p_{K,L}], \quad Y_{K,L} = \text{Spec}(R_{K,L}).$$

For a given $I \in I(n, m)$ and $J \in I(n, q)$, let

$$Y_I = \bigcup_{J' \in I(n, q)} Y_{I, J'}, \quad Y_J = \bigcup_{I' \in I(n, m)} Y_{I', J}.$$

Observe that, for $I \in I(n, m)$, any $Y_{I, J'}$ is contained in Y_I ; similarly, for $J \in I(n, q)$, any $Y_{I', J}$ is contained in Y_J .

Set $X_I = \pi^{-1}(Y_I) \subset X$ and $X_J = \pi^{-1}(Y_J) \subset X$. Note that u_I (resp. ξ_J) is nonzero on X_I (resp. X_J). Denote by $f_I: X_I \rightarrow Y_I \times k^*$ the morphism $f_I = (\pi|_{X_I}, u_I|_{X_I})$ and by $f_J: X_J \rightarrow Y_J \times k^*$ the morphism $f_J = (\pi|_{X_J}, \xi_J|_{X_J})$.

LEMMA 3.1. *The morphisms $f_I: X_I \rightarrow Y_I \times k^*$ and $f_J: X_J \rightarrow Y_J \times k^*$ are isomorphisms for any $I \in I(n, m)$ and $J \in I(n, q)$.*

Proof. We shall prove that f_I is an isomorphism; the proof in the case of f_J is the same.

Let $X_{I, J} = \pi^{-1}(Y_{I, J})$; then $X_{I, J}$ equals $\text{Spec}(S_{I, J})$ (where $S_{I, J} = S[1/p_{I, J}]$) and $X_{I, J}$ is contained in X_I . The morphism $f_{I, J}: X_{I, J} \rightarrow Y_{I, J}$ defined by the restriction of f_I is induced by the $R_{I, J}$ -algebra map $f_{I, J}^*: R_{I, J}[t, t^{-1}] \rightarrow S_{I, J}$ that maps t to u_I . Note that $p_{I, J} = u_I \xi_J$ implies that u_I is invertible in $S_{I, J}$ ($= S[1/p_{I, J}]$).

We must show that:

- (1) $f_{I, J}^*$ is an isomorphism of k -algebras; and
- (2) $f_{I, J}$ and $f_{I, J'}$ agree on the overlap $X_{I, J} \cap X_{I, J'}$ for any two $J, J' \in I(n, q)$.

(1) Observe that $u_{I'} = u_I u_{I'} \xi_J / p_{I, J} = u_I p_{I', J} / p_{I, J} = f_{I', J}^*(p_{I', J} / p_{I, J} t)$. Hence $u_{I'}$ is in the image of $f_{I, J}^*$ for any $I' \in I(n, m)$. Similarly, $\xi_{J'}$ is also in the image of $f_{I, J}^*$ for any $J' \in I(n, q)$. Hence $f_{I, J}^*$ is surjective. Now suppose that $P(t) \in R_{I, J}[t, t^{-1}]$ is in the kernel of $f_{I, J}^*$. We may assume that $P(t)$ is a polynomial in t and that the coefficients of $P(t)$ are actually in R . Then $0 = f_{I, J}^*(P(t)) = P(u_I)$. Since $X_{I, J}$ is open in X , which is irreducible, it follows that $P(u_I) = 0$ must hold in S . This contradicts the algebraic independence of u_I over R (cf. [9, Thm. 6.06(3)]). Consequently, $f_{I, J}^*$ is an isomorphism.

(2) It is evident that $f_{I, J}^*(t) = u_I \in S_{I, J}$ and $f_{I, J'}^*(t) = u_I \in S_{I, J'}$ both restrict to the same regular function (namely, $u_I|_{X_{I, J} \cap X_{I, J'}}$) on the overlap $X_{I, J} \cap X_{I, J'} = \text{Spec}(S[1/p_{I, J}, 1/p_{I, J'}])$. It follows that $f_{I, J}$ and $f_{I, J'}$ agree on $X_{I, J} \cap X_{I, J'}$. This completes the proof that f_I is an isomorphism. □

We remark that if $J, J' \in I(n, q)$ then $\xi_J / \xi_{J'} \in S[1/\xi_{J'}]$ defines a regular function on $Y_{J'}$. This is because $\xi_J / \xi_{J'} = (u_I \xi_J) / (u_I \xi_{J'}) = p_{I, J} / p_{I, J'}$ on $Y_{I, J'}$. It is immediately seen that, on $Y_{I, J'} \cap Y_{I', J'}$, the two regular functions $p_{I, J} / p_{I, J'}$ and $p_{I', J} / p_{I', J'}$ agree. Therefore we conclude that $\xi_J / \xi_{J'}$ is a well-defined regular function on $Y_{J'}$. Clearly it is invertible on $Y_J \cap Y_{J'}$. Similar statements concerning $u_I / u_{I'}$ hold for any $I, I' \in I(n, m)$.

NOTATION. Let $m, q \geq n$. Denote by \mathcal{I} the disjoint union $I(n, m) \coprod I(n, q)$. We set

$$\lambda_{\beta,\alpha} = \begin{cases} u_\alpha/u_\beta & \text{if } \alpha, \beta \in I(n, m), \\ \xi_\beta/\xi_\alpha & \text{if } \alpha, \beta \in I(n, q), \\ p_{\alpha,\beta} & \text{if } \beta \in I(n, q), \alpha \in I(n, m), \\ 1/p_{\beta,\alpha} & \text{if } \beta \in I(n, m), \alpha \in I(n, q). \end{cases}$$

Consider the covering $\{Y_\alpha\}_{\alpha \in \mathcal{I}}$ of the open subvariety $Y_0 := \bigcup_{\alpha \in \mathcal{I}} Y_\alpha \subset Y$. The cocycle condition $\lambda_{\alpha,\beta}\lambda_{\beta,\gamma} = \lambda_{\alpha,\gamma}$ is readily verified for any $\alpha, \beta, \gamma \in \mathcal{I}$. Thus we obtain a \mathbb{G}_m -bundle over Y_0 ; call it \mathcal{E} . Let $X_0 := \bigcup_{\alpha \in \mathcal{I}} X_\alpha$.

LEMMA 3.2. *Assume that $m, q \geq n$. Then the total space of the \mathbb{G}_m -bundle \mathcal{E} over Y_0 is isomorphic to the open subvariety $X_0 := \bigcup_{\alpha \in \mathcal{I}} X_\alpha \subset X$.*

Proof. The total space of the \mathbb{G}_m -bundle corresponding to \mathcal{D} is $\bigsqcup_{\alpha \in \mathcal{I}} Y_\alpha \times k^*/\sim$, where $(\pi([u, \xi]), t) \in Y_\alpha \times k^*$ is identified with $(\pi([u; \xi]), \lambda_{\beta,\alpha}(\pi([u, \xi]), t)) \in Y_\beta \times k^*$ whenever $\pi([u, \xi]) \in Y_\alpha \cap Y_\beta$. One has the following commuting diagram for any $\alpha, \beta \in \mathcal{I}$:

$$\begin{array}{ccccccc} Y_\alpha \times k^* & \supset & (Y_\alpha \cap Y_\beta) \times k^* & \xrightarrow{\lambda_{\beta,\alpha}} & (Y_\beta \cap Y_\alpha) \times k^* & \subset & Y_\beta \times k^* \\ f_\alpha \uparrow & & f'_\alpha \uparrow & & f'_\beta \uparrow & & f_\beta \uparrow \\ X_\alpha & \supset & X_\alpha \cap X_\beta & \xlongequal{\quad} & X_\beta \cap X_\alpha & \subset & X_\beta, \end{array}$$

where f'_α is the restriction of f_α . By Lemma 3.1, the f_α are isomorphisms of varieties and so it follows that the total space of the \mathbb{G}_m -bundle over Y_0 is isomorphic to the union $X_0 := \bigcup_{\alpha} X_\alpha \subset X$. □

We shall now compute the codimension of $Z := X - X_0$. We give the reduced scheme structure on Z . It is evident that Z is defined by the equations $p_{I,J} = 0$ for all $I \in I(n, m)$ and $J \in I(n, q)$. We claim $Z = Z_u \cup Z_\xi$, where Z_u is the closed subvariety with reduced scheme structure defined by the equations $u_I = 0$ for all $I \in I(n, m)$ and Z_ξ is defined by the equations $\xi_J = 0$ for all $J \in I(n, q)$. It is clear that $Z_u \cup Z_\xi \subset Z$. On the other hand, if $[u, \xi]$ is not in $Z_u \cup Z_\xi$, then $u_I([u, \xi]) \neq 0$ for some I and $\xi_J([u, \xi]) \neq 0$ for some J . This implies that $p_{I,J}([u, \xi]) \neq 0$. Hence $[u, \xi] \in X_0$ and so $Z_u \cup Z_\xi = Z$.

LEMMA 3.3. *Let $m > n$ (resp. $q > n$). Then the codimension of Z_u (resp. Z_ξ) in X is at least 2.*

Proof. Consider the closed subvariety $M_u := D_{n-1}(M_{m,n}) \times M_{n,q} \subset \mathcal{V}_{m,q}$ (with reduced scheme structure). Then $\dim M_u = (n - 1)(m + 1) + nq$. (Note the dimension of the determinantal variety $D_t(M_{r,s})$, consisting of $r \times s$ matrices of rank at most t , equals $t(r + s - t)$; cf. [8].) Clearly, M_u is stable under the $\text{SL}(n)$ -action and $M_u // \text{SL}(n) = Z_u$. We shall find an open subset $Z_{u,0}$ of Z_u such that (i) $\text{SL}(n)$ acts *freely* on the inverse image of $Z_{u,0}$ under the quotient morphism $\eta: M_u \rightarrow Z_u$ and (ii) $\eta^{-1}(Z_{u,0}) // \text{SL}(n) = Z_{u,0}$. It would then follow that

$$\begin{aligned}
 \dim(Z_u) &= \dim(\eta^{-1}(Z_u)) - \dim(\mathrm{SL}(n)) \\
 &= (n - 1)(m + 1) + nq - (n^2 - 1) \\
 &= (m + n)q - (n^2 - 1) - (m - n + 1) \\
 &= \dim(X) - (m - n + 1) \leq \dim(X) - 2.
 \end{aligned}$$

Here $\dim(X) = (m + n)q - (n^2 - 1)$ (cf. [9]).

Define

$$W_u = D_n(M_{m,n}) \times M_{n,q}^0,$$

where $M_{n,q}^0 := \{\xi \in M_{n,q} \mid \xi_J(\xi) \neq 0 \text{ for some } J \in I(n, q)\}$. Then W_u is the inverse image of

$$Z_{u,0} := \{[u, \xi] \mid \xi_J(\xi) \neq 0\}$$

under the quotient morphism $\eta: M_u \rightarrow Z_u$. The assertion that the $\mathrm{SL}(n)$ -action is free on W_u follows because the $\mathrm{SL}(n)$ -action on $M_{n,q}^0$ is free.

An entirely similar argument shows that Z_ξ has codimension at least 2; consequently, the codimension of Z in X is at least 2. □

We are now ready to prove Theorem 1.2.

Proof of Theorem 1.2. Let $m, q > n$. As already observed, we have that $Y = D_n(M_{m,q})$ can be identified with an open subset of a certain Schubert variety in the Grassmann variety $\mathrm{SL}(m + q)/P_q$ of q -dimensional vector subspaces in k^{m+q} . Since Schubert varieties in the Grassmann variety are Frobenius split, it follows that Y is Frobenius split. Since Y_0 is open in Y , it follows that it is also Frobenius split. The variety X_0 , as the total space of a \mathbb{G}_m -bundle over Y_0 , is Frobenius split by [1, Lemma 1.1.11]. Now, since X is normal and since the codimension of X_0 in X is at least 2, it follows that X is Frobenius split (cf. [1, Lemma 1.1.7(iii)]).

If $m, q < n$ then $X = Y = M_{m,q}$ and hence X is Frobenius split. The case $m = n$ is treated separately in Lemma 3.4. □

Assume that $q = n = m$. In this case $Y = M_{n,n}$. Denote the (i, j) th coordinate function on Y by $y_{i,j}$. The sets $I(n, m)$ and $I(n, q)$ are singletons and so $S = R[u, \xi]/(u\xi - f)$, where f is the determinant function on $Y = M_{m,q}$.

LEMMA 3.4. *Let $q = n = m$. The ring S of $\mathrm{SL}(V)$ -invariants is Frobenius split in this case, too.*

Proof. Let φ be a splitting of $\mathrm{Spec}(R)$. Continue to denote by φ the restriction of φ to the open part $\mathrm{Spec}(R[1/f])$. We can “lift” φ to the \mathbb{G}_m -bundle $\mathrm{Spec}(R[1/f][u, u^{-1}])$ (over $\mathrm{Spec}(R[1/f])$) as follows. Define

$$\varphi\left(a + \sum b_i u^i + \sum c_j u^{-j}\right) := \varphi(a) + \sum \varphi(b_i)u^{i/p} + \sum \varphi(c_j)u^{-j/p},$$

where the summations are over positive integers and where $u^{i/p}$ (resp. $u^{-j/p}$) is interpreted to be 0 unless i (resp. $-j$) is an integral multiple of p . Observe that

$R[1/f][u, u^{-1}] = S[1/f]$ and so we have a splitting of $\text{Spec}(S[1/f])$, which we still denote φ . We claim that φ maps S to S and hence extends to a splitting of $\text{Spec}(S)$. Indeed, a general element s of S is of the form $a + \sum b_i u^i + \sum c_j \xi^j$ with a, b_i , and c_j in R , so that

$$\begin{aligned}\varphi(s) &= \varphi\left(a + \sum b_i u^i + \sum c_j f^j u^{-j}\right) \\ &= \varphi(a) + \sum \varphi(b_i) u^{i/p} + \sum \varphi(c_j f^j) u^{-j/p}.\end{aligned}$$

Rewriting $\varphi(c_j f^j) u^{-j/p}$ as $\varphi(c_j) f^{j/p} u^{-j/p} = \varphi(c_j) \xi^{j/p}$, we see that $\varphi(s)$ belongs to S . \square

REMARK 3.5. If one of $\{m, q\}$ is less than n and the other is not less than n , we expect the ring of invariants to be Frobenius split. At the moment, however, we do not have a proof of this assertion.

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