

# The Minimal Norm Property for Quadratic Differentials in the Disk

NIKOLA LAKIC

## 1. Introduction

Let  $\Delta$  be the unit disk. We let  $M(\Delta)$  be the open unit ball of  $L^\infty(\Delta)$ . For any  $\mu$  in  $M(\Delta)$ , there exists a solution  $f: \Delta \rightarrow \Delta$  of the Beltrami equation

$$f_{\bar{z}} = \mu f_z, \quad (1)$$

unique up to a postcomposition by a Möbius transformation. We call  $f$  a *quasi-conformal* homeomorphism of a disk with the Beltrami coefficient  $\mu$ , and we denote by  $f^\mu$  the solution  $f$  of (1) normalized by  $f(i) = i$ ,  $f(1) = 1$ , and  $f(-1) = -1$ . The solution  $f$  can be extended to a homeomorphism of the closure of  $\Delta$ , and the restriction  $h$  of that extension to the boundary of  $\Delta$  is called a *quasi-symmetric* homeomorphism of a circle. The dilatation  $K(h)$  of a quasisymmetric homeomorphism  $h$  is the infimum of all maximal dilatations of quasiconformal extensions of  $h$  to  $\Delta$ . The boundary dilatation  $H(h)$  of a quasisymmetric homeomorphism  $h$  is obtained by looking at the infimum of all maximal dilatations of quasiconformal extensions of  $h$  to a neighborhood  $U$  of the boundary and taking the limit of these dilatations as  $U$  shrinks to the boundary. We call a quasisymmetric homeomorphism  $h$  *symmetric* if  $H(h) = 1$ .

Let  $QC(\Delta)$  be the space of all quasiconformal homeomorphisms of  $\Delta$ . Two elements  $f_1, f_2$  in  $QC(\Delta)$  are *equivalent* if there exists a conformal homeomorphism  $\alpha$  of  $\Delta$  such that  $f_1(t) = \alpha \circ f_2(t)$  for every  $t \in \partial\Delta$ . The Teichmüller space  $T(\Delta)$  is  $QC(\Delta)$  factored by this equivalence relation. The equivalence class of the identity mapping is called the *basepoint* of  $T(\Delta)$ .

We let  $A(\Delta)$  be the Banach space of all holomorphic quadratic differentials  $\varphi$  on  $\Delta$  satisfying  $\|\varphi\| = \iint_{\Delta} |\varphi| < \infty$ . One useful property of the Banach space  $A(\Delta)$  is the following lemma, due to Strebel (see [S2]).

**LEMMA 1.** *Let  $\varphi$  be an arbitrary holomorphic quadratic differential of norm  $\|\varphi\| \leq M < \infty$  in the unit disk  $\Delta$ . Let  $w$  be a boundary point of  $\Delta$ . Then, for any  $\varepsilon > 0$  and  $\rho_2 > 0$ , there exists a number  $\rho_1$ ,  $0 < \rho_1 < \rho_2$ , such that*

$$\int_{\sigma_\rho} |\varphi(z)|^{1/2} |dz| < \varepsilon$$

for some  $\rho \in [\rho_1, \rho_2]$ , with  $\sigma_\rho = \{z \in \Delta : |z - w| = \rho\}$ . Whereas  $\rho$  depends on  $\varphi$ ,  $\rho_1$  does not.

Every differential  $\varphi$  in  $A(\Delta)$  defines two invariants, the area element  $dA = |\varphi(z)| dx dy$  and the line element  $ds = \sqrt{|\varphi(z)|} |dz|$ . The  $\varphi$ -length of an arc  $\gamma$  in  $\Delta$  is  $\int_\gamma ds$ , and the height of  $\gamma$  with respect to  $\varphi$  is  $h_\varphi(\gamma) = \int_\gamma |\operatorname{Im} \sqrt{\varphi(z)} dz|$ . The vertical distance between two points  $w_1, w_2$  in the closure of  $\Delta$  is the infimum of the heights of all curves  $\gamma$  in  $\Delta$  with endpoints at  $w_1$  and  $w_2$ . A vertical (horizontal) arc of  $\varphi$  is a smooth arc  $\gamma$  in  $\Delta$  along which  $\varphi(z) dz^2$  is less (greater) than 0. A vertical (horizontal) trajectory of  $\varphi$  is a maximal vertical (horizontal) arc. It is called *regular* if it does not tend to a zero of  $\varphi$  in either direction. A regular horizontal trajectory  $\alpha$  is called *totally regular*, if for any sequence of points  $z_n$  converging to a point  $z$  on  $\alpha$  and such that the horizontal trajectories of  $\varphi$  passing through points  $z_n$  are regular,  $\alpha_n \rightarrow \alpha$  in the Euclidean metric. If  $\gamma$  is an open horizontal arc, then the subset of  $\Delta$  covered by the vertical trajectories through the points of  $\gamma$  is called the *vertical strip*  $S$  determined by  $\gamma$ . There is a countable sequence of vertical strips determined by open horizontal arcs, which cover  $\Delta$  up to a countable set of vertical trajectories and points (see [S3]).

If  $f$  is a quasiconformal homeomorphism of the unit disk  $\Delta$  and  $\varphi$  is in  $A(\Delta)$ , then there exists the unique integrable holomorphic quadratic differential  $\psi$  such that the vertical  $\varphi$ -distance between any two boundary points  $r$  and  $s$  is equal to the vertical  $\psi$ -distance between  $f(r)$  and  $f(s)$  (see [S1]). We say that  $\psi$  is the *image* of  $\varphi$  under the mapping by heights induced by  $f$ , and we denote  $\psi$  by  $H(f, \varphi)$ . Notice that if  $[f_1]$  and  $[f_2]$  are the same points in  $T(\Delta)$  then there exists a conformal homeomorphism  $\alpha$  of  $\Delta$  such that  $f_1(t) = \alpha \circ f_2(t)$  for every  $t \in \partial\Delta$ . Therefore,  $\psi_2 = H(f_2, \varphi)$  is a pullback of  $\psi_1 = H(f_1, \varphi)$  by  $\alpha$ :

$$\psi_2 = \psi_1(\alpha)\alpha'^2.$$

This yields  $\|\psi_1\| = \|\psi_2\|$ . We define a function from  $T(\Delta) \times A(\Delta)$  onto  $A(\Delta)$  by  $(\tau, \varphi) \rightarrow H(f, \varphi)$ , where  $f$  is normalized to fix 1,  $-1$ , and  $i$ , and where  $[f] = \tau$ . This function describes the mapping by heights up to a pullback by Möbius transformations, so we will also call it the mapping by heights and denote it by  $H$ .

In this article we show that the mapping by heights  $H$  is continuous. We do this by studying the minimal norm property for the measured foliations in the disk and developing the variation in the Dirichlet norm.

A *measured foliation* with measure  $|dv|$  on  $\Delta$  is given by an open cover  $U_i$  of a complement of a set of Lebesgue measure zero in  $\Delta$  and  $C^1$  functions  $v_i$  on each  $U_i$  such that

$$dv_i = \pm dv_j \quad \text{on } U_i \cap U_j. \quad (2)$$

The *leaves* of the foliation are curves along which  $v$  is constant. The height of an arc  $\gamma$  is defined by  $h_v(\gamma) = \int_\gamma |dv|$ . We will denote a measured foliation by the symbol  $|dv|$ . The norm  $\|dv\|^2$  of a measured foliation  $|dv|$  is an invariant defined by

$$\|dv\|^2 = \iint_{\Delta} \left( \left( \frac{\partial v}{\partial x} \right)^2 + \left( \frac{\partial v}{\partial y} \right)^2 \right) dx dy.$$

An example of a measured foliation is  $|dv| = |\operatorname{Im} \sqrt{\varphi} dz|$ , where  $\varphi$  is a holomorphic quadratic differential on  $\Delta$  and the corresponding set of measure zero is the set of zeros of  $\varphi$ .

## 2. A Minimal Norm Property

**THEOREM 1.** *Let  $\varphi$  be in  $A(\Delta)$  and let  $\psi$  be a measurable quadratic differential in  $\Delta$ . Suppose that  $h_\varphi(\gamma) \leq h_\psi(\gamma)$  for almost every regular vertical trajectory  $\gamma$  of  $\varphi$ . Then*

$$\|\varphi\| \leq \iint_{\Delta} \sqrt{|\psi\varphi|} \leq \|\psi\| \quad (3)$$

and  $\|\varphi\| = \|\psi\|$  only if  $\varphi = \psi$  a.e.

*Proof.* Decompose  $\Delta$  into a disjoint union of a set of measure zero and vertical strips  $S_1, S_2, S_3, \dots$  determined by the horizontal arcs of  $\varphi$ . For every  $i$  there exists a conformal mapping  $h_i$  of  $S_i$  onto a plane vertical strip  $V_i = \{ (x, y) \mid 0 < x < b_i, c_i(x) < y < d_i(x) \}$  such that  $c_i(x)$  is an upper and  $d_i(x)$  is a lower semicontinuous function from  $(0, b_i)$  into  $[-\infty, \infty]$ ,  $\varphi = 1$  on  $V_i$ , and for almost every  $x \in (0, b_i)$ , the vertical segment  $\{ (x, y) \mid c_i(x) < y < d_i(x) \}$  is mapped by  $h_i^{-1}$  onto a regular vertical trajectory  $\gamma_x$  in  $S_i$ . Therefore, for almost every  $x \in (0, b_i)$ ,

$$h_\varphi(\gamma_x) \leq h_\psi(\gamma_x) = \int_{c_i(x)}^{d_i(x)} |\operatorname{Im} \sqrt{\psi} dz| \leq \int_{c_i(x)}^{d_i(x)} |\sqrt{\psi}| dy. \quad (4)$$

Integrating (4), we obtain

$$\iint_{S_i} |\varphi| \leq \int_0^{b_i} \int_{c_i(x)}^{d_i(x)} |\sqrt{\psi}| dy dx = \iint_{S_i} |\sqrt{\psi\varphi}|.$$

Summing over all  $i$  yields

$$\|\varphi\| \leq \iint_{\Delta} |\sqrt{\psi\varphi}|.$$

By Schwarz's inequality,  $(\iint_{\Delta} |\sqrt{\psi\varphi}|)^2 \leq \|\varphi\| \|\psi\|$ . Therefore,  $\|\varphi\| \leq \|\psi\|$ , and this yields (3).

If  $\|\varphi\| = \|\psi\|$  then  $|\operatorname{Im} \sqrt{\psi(z)} dz| = |\sqrt{\psi(z)}| dy$  for almost all  $z \in V_i$ ; thus,  $|\operatorname{Re} \sqrt{\psi(z)}| = |\sqrt{\psi(z)}|$  and  $\operatorname{Im} \sqrt{\psi(z)} = 0$  for almost all  $z \in V_i$ . The equality in Schwarz's inequality implies that  $|\sqrt{\psi(z)}| = C|\sqrt{\varphi(z)}|$  a.e. for some constant  $C > 0$ . Since  $\|\varphi\| = \|\psi\|$  it follows that  $C = 1$ ; thus  $\psi(z) = 1 = \varphi(z)$  for a.e.  $z \in V_i$ . Therefore,  $\psi = \varphi$  a.e.  $\square$

Theorem 1 also holds when  $|\operatorname{Im} \sqrt{\psi} dz|$  is replaced by a measured foliation in  $\Delta$ .

**THEOREM 2.** *Let  $\varphi \in A(\Delta)$  and let  $|dv|$  be a measured foliation on  $\Delta$  satisfying the height condition  $h_v(\gamma) \geq h_\varphi(\gamma)$  for almost every regular vertical trajectory  $\gamma$  induced by  $\varphi$ . Then the norm inequality  $\|dv\|^2 \geq \|\varphi\|$  holds, with equality only for  $|dv| = |\operatorname{Im} \sqrt{\varphi} dz|$ .*

*Proof.* Consider a vertical strip  $S_i$  from the proof of Theorem 1. For almost every  $x \in (0, b_i)$ ,

$$\int_{c_i(x)}^{d_i(x)} dy = h_\varphi(\gamma_x) \leq h_v(\gamma_x) = \int_{c_i(x)}^{d_i(x)} \left| \frac{\partial v}{\partial y} \right| dy.$$

Integrating over  $x \in (0, b_i)$  yields

$$\iint_{S_i} |\varphi| = \int_0^{b_i} \int_{c_i(x)}^{d_i(x)} dy dx \leq \int_0^{b_i} \int_{c_i(x)}^{d_i(x)} \left| \frac{\partial v}{\partial y} \right| dy dx.$$

Therefore, by Schwarz's inequality,

$$\left( \iint_{S_i} |\varphi| \right)^2 \leq \left( \int_0^{b_i} \int_{c_i(x)}^{d_i(x)} dy dx \right) \left( \int_0^{b_i} \int_{c_i(x)}^{d_i(x)} \left( \frac{\partial v}{\partial y} \right)^2 dy dx \right).$$

This yields

$$\iint_{S_i} |\varphi| \leq \iint_{S_i} \left( \left( \frac{\partial v}{\partial y} \right)^2 + \left( \frac{\partial v}{\partial x} \right)^2 \right) dx dy. \quad (5)$$

Summing (5) over all vertical strips  $S_i$ , we obtain  $\|\varphi\| \leq \|dv\|^2$ .

Let equality hold. Then  $|\frac{\partial v}{\partial y}(z)| = C \geq 0$  and  $\frac{\partial v}{\partial x}(z) = 0$  for  $z \in V_i$ . Since

$$\iint_{S_i} dx dy = \iint_{S_i} |\varphi| = \iint_{S_i} \left( \left( \frac{\partial v}{\partial y} \right)^2 + \left( \frac{\partial v}{\partial x} \right)^2 \right) dx dy,$$

$C = 1$ . Therefore, for all  $z$  in  $V_i$ ,  $v(z) = \pm y + \text{constant}$ ; hence  $dv = \pm dy = \pm \text{Im}(\sqrt{\varphi(z)} dz)$ .  $\square$

### 3. Weak Continuity of the Mapping by Heights

**DEFINITION 1.** A sequence  $\varphi_n$  in  $A(\Delta)$  weakly converges to  $\varphi \in A(\Delta)$  if  $\varphi_n$  converges to  $\varphi$  locally uniformly on compact sets and the norms  $\|\varphi_n\|$  are uniformly bounded above. A sequence  $\varphi_n$  is *degenerating* if it weakly converges to zero. A degenerating sequence  $\varphi_n$  is called *strictly degenerating* if the norms  $\|\varphi_n\|$  are uniformly bounded below away from zero.

**DEFINITION 2.** A sequence  $[f_n]$  of elements in  $T(\Delta)$  induced by normalized quasisymmetric homeomorphisms  $f_n$  of the circle  $\partial\Delta$  converges weakly to  $[f]$  if  $f_n(t)$  converges to  $f(t)$  for every  $t \in \partial\Delta$  and if the Teichmüller distances from  $[f_n]$  to the basepoint are uniformly bounded.

In [S1], Strebel proved that if  $\varphi_n$  converges weakly to  $\varphi$  then  $H(f, \varphi_n)$  converges weakly to  $H(f, \varphi)$ . Here, using the same method of proof, we slightly generalize that result.

**LEMMA 2.** If  $\varphi_n$  is a sequence in  $A(\Delta)$  that converges weakly to  $\varphi \in A(\Delta)$ , and if  $f_n$  is a sequence of normalized quasisymmetric homeomorphisms of  $\partial\Delta$  such that  $[f_n]$  weakly converges to  $[f]$  for some quasisymmetric homeomorphism  $f$  of  $\partial\Delta$ , then  $H(f_n, \varphi_n)$  converges weakly to  $H(f, \varphi)$ .

*Proof.* The proof of this lemma follows the same steps of the proof of [S1, Thm. 5.2]. Let  $\psi_n = H(f_n, \varphi_n)$ . Since the Teichmüller distances  $d_n$  from  $[f_n]$  to the basepoint are uniformly bounded and  $f_n$  are normalized,  $\|\psi_n\| \leq \|\varphi_n\|e^{2d_n} \leq$  constant and functions  $f_n$  are uniformly Hölder continuous. Therefore,  $f_n$  tends to  $f$  uniformly on  $\partial\Delta$  and  $(\psi_n)$  is a normal family. By passing to a subsequence, we can assume that  $\psi_n$  converges locally uniformly to some integrable holomorphic quadratic differential  $\psi$ .

Suppose first that  $\varphi \neq 0$ . Take a totally regular horizontal trajectory  $\alpha$  of  $\varphi$ . Then there exist totally regular trajectories  $\alpha_n$  of  $\varphi_n$  such that  $\alpha_n$  converges to  $\alpha$  in the Euclidean metric. Let  $p$  and  $q$  be the endpoints of  $\alpha$ , and let  $p_n$  and  $q_n$  be the endpoints of  $\alpha_n$  on  $\partial\Delta$ . By [S1, Thm. 5.2],  $f_n(p_n)$  and  $f_n(q_n)$  are connected by a totally regular horizontal trajectory  $\beta_n$  of  $\psi_n$ . Furthermore,  $f_n(p_n) \rightarrow f(p)$  and  $f_n(q_n) \rightarrow f(q)$ .

*Step 1:* Every  $t$  on  $\partial\Delta - \{f(p), f(q)\}$  has an  $\varepsilon$  neighborhood  $U_\varepsilon$  that is free from  $\beta_n$  for all sufficiently large  $n$ .

*Proof.* Assume the contrary. Then there is a point  $t$  in  $\partial\Delta - \{f(p), f(q)\}$  and a sequence of trajectories  $\beta_n$  (we avoid double indices) with  $z_n \in \beta_n$  and  $z_n \rightarrow t$ . Since  $\alpha$  is totally regular, we can choose a totally regular horizontal trajectory  $\delta$  of  $\varphi$  separating  $f^{-1}(t)$  from  $\alpha$  such that  $h_\varphi(\alpha, \delta) > 0$ . Take a sequence of totally regular horizontal trajectories  $\delta_n$  of  $\varphi_n$  such that  $\delta_n$  converges to  $\delta$  in the Euclidean metric. Then there are totally regular horizontal trajectories  $\gamma_n$  of  $\psi_n$  that separate  $\beta_n$  from  $t$  and such that  $h_{\psi_n}(\beta_n, \gamma_n) = h_{\varphi_n}(\alpha_n, \delta_n)$ . (See Figure 1.)

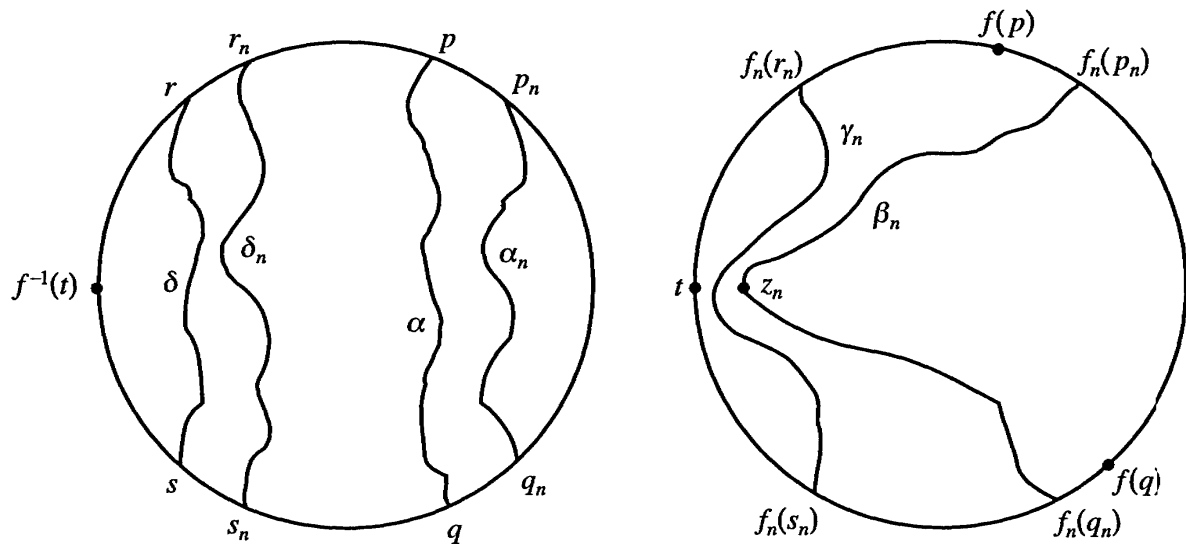


Figure 1

Now  $h_{\psi_n}(\beta_n, \gamma_n) = h_{\varphi_n}(\alpha_n, \delta_n) \rightarrow h_\varphi(\alpha, \delta) > 0$  and  $\|\psi_n\| \leq \|\varphi_n\|e^{2d_n} \leq$  constant. However, since  $z_n \rightarrow t$ , a semicircular arc with center at  $t$  must cross  $\gamma_n$  and  $\beta_n$ , which contradicts Lemma 1.

*Step 2:* Sequence  $\psi_n$  is not degenerate.

*Proof.* Take two totally regular horizontal trajectories  $\alpha$  and  $\delta$  of  $\varphi$  with  $h_\varphi(\alpha, \delta) > 0$ . Let  $p$  and  $q$  be the endpoints of  $\alpha$  and let  $r$  and  $s$  be the endpoints of  $\delta$ . Draw a diameter  $d$  that separates  $f(p)$  and  $f(r)$  from  $f(q)$  and  $f(s)$ . There exist totally regular horizontal trajectories  $\alpha_n$  and  $\delta_n$  of  $\varphi_n$  with endpoints  $p_n, q_n$  and  $r_n, s_n$  (respectively) such that  $\alpha_n$  converges to  $\alpha$  and  $\delta_n$  converges to  $\delta$  in the Euclidean metric. (See Figure 2.)

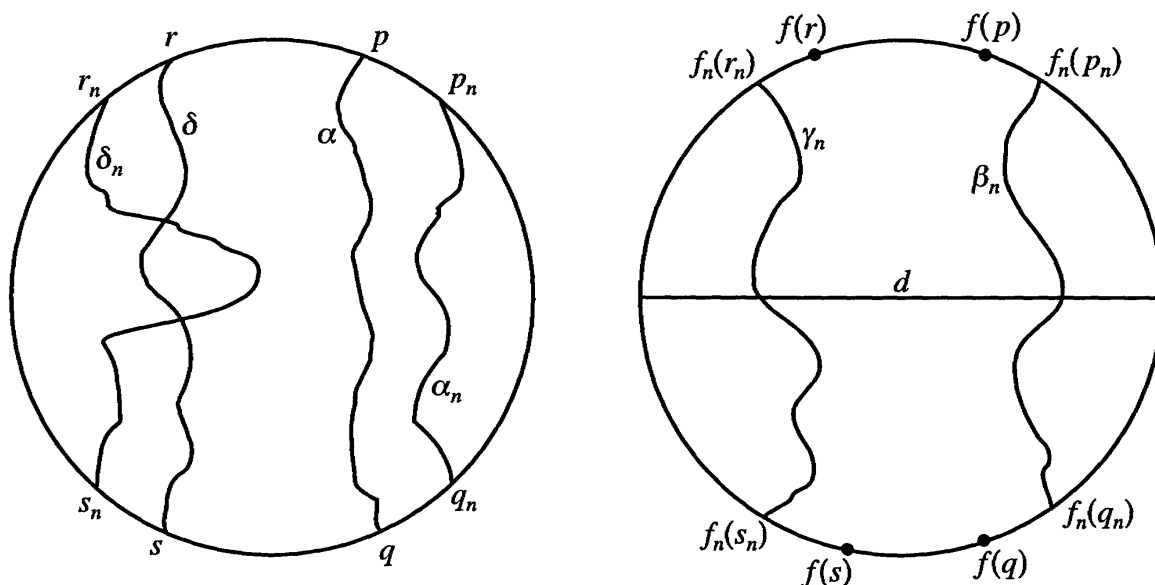


Figure 2

As a result, there exist totally regular horizontal trajectories  $\beta_n$  and  $\gamma_n$  of  $\psi_n$  that connect  $f_n(p_n)$  and  $f_n(q_n)$  and (respectively)  $f_n(r_n)$  and  $f_n(s_n)$ . By Step 1,  $\gamma_n$  and  $\beta_n$  intersect  $d$  inside a compact subinterval. If  $\|\psi\| = 0$ , then  $h_\varphi(\alpha, \delta) = \lim_{n \rightarrow \infty} h_{\varphi_n}(\alpha_n, \delta_n) = \lim_{n \rightarrow \infty} h_{\psi_n}(\beta_n, \gamma_n) = 0$ , a contradiction.

*Step 3:*  $f(p)$  and  $f(q)$  are connected by a horizontal trajectory  $\beta$  of  $\psi$ .

*Proof.* Fix a double sequence of circles  $\sigma_m$  around  $f(p)$  and  $f(q)$  and restrict  $\beta_n$  to a part  $\beta'_n$  in the strip bounded by  $\sigma_n$  and  $\sigma_{-n}$ . (See Figure 3.)

By passing to a subsequence we may assume that every  $\beta'_n$  converges to a horizontal arc of  $\psi$  uniformly in the Euclidean metric. Taking a diagonal subsequence, we end up with a horizontal arc  $\beta$  of  $\psi$ . Since the endpoints  $f_n(p_n)$  and  $f_n(q_n)$  of  $\beta_n$  converge to  $f(p)$  and  $f(q)$ ,  $\beta$  is a horizontal trajectory that connects  $f(p)$  and  $f(q)$ .

*Step 4:*  $\beta$  is totally regular.

*Proof.* If  $\beta$  goes through a zero  $z$  of  $\psi$ , then there is at least one more horizontal trajectory ray  $\gamma$  of  $\psi$  that starts at  $z$ . (See Figure 4).

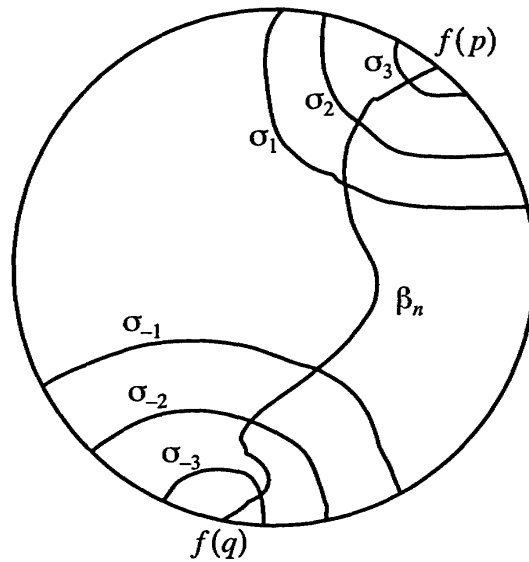


Figure 3

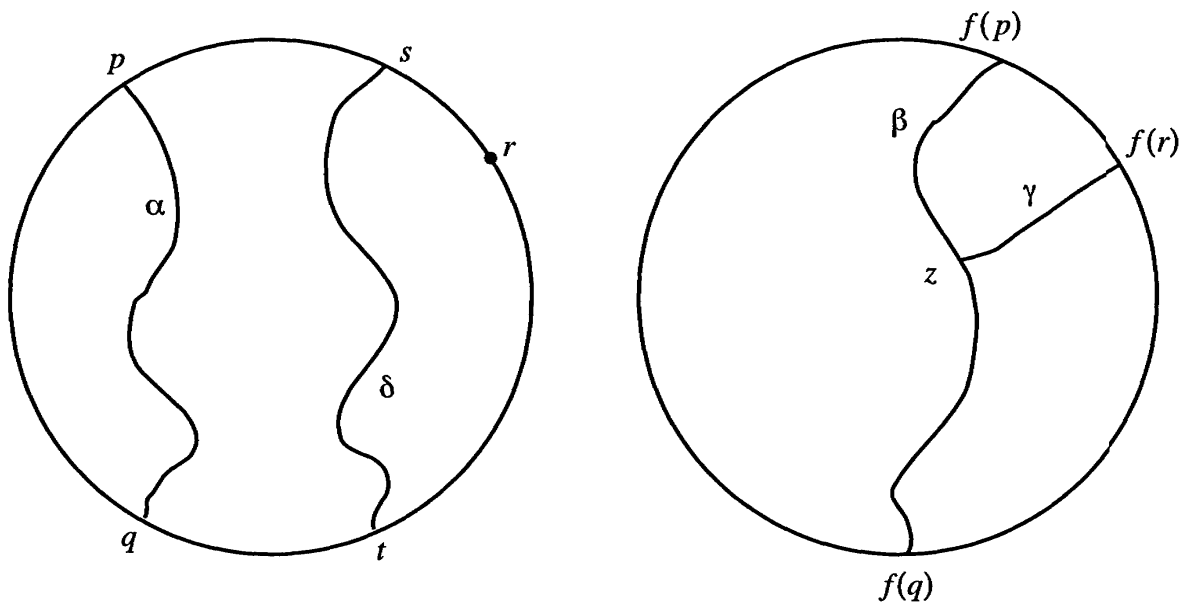


Figure 4

Let  $f(r)$  be an endpoint of  $\gamma$ . Since there are only countably many endpoints of critical horizontal trajectories of  $\psi$ , we can choose a totally regular trajectory  $\delta$  of  $\varphi$  with endpoints  $s$  and  $t$  such that (a)  $\delta$  separates  $\alpha$  from  $r$  and (b)  $f(s)$  and  $f(t)$  are not the endpoints of a critical horizontal trajectory of  $\psi$ . (Note that, by Lemma 1, if two horizontal trajectory rays end at the same point then the vertical distance between them is 0.) The points  $f(s)$  and  $f(t)$  are connected by a regular horizontal trajectory of  $\psi$  that must intersect  $\beta \cup \gamma$ . Therefore,  $\beta$  is not critical.

In order to show that  $\beta$  is totally regular, take totally regular trajectories  $\alpha_n$  of  $\varphi$  that converge to  $\alpha$  in the Euclidean norm. Let  $p_n$  and  $q_n$  be the endpoints of  $\alpha_n$ . Then there exists a regular horizontal trajectory  $\beta_n$  of  $\psi$  that connects  $f(p_n)$  and

$f(q_n)$ . If  $\beta_n$  does not converge to  $\beta$  in the Euclidean metric, then there exists a point  $a \in \bar{\Delta} - \bar{\beta}$  such that  $a$  is a limit point of some sequence  $a_n \in \beta_n$ . Since the endpoints of  $\beta_n$  converge to the endpoints of  $\beta$ , Lemma 1 implies that  $h_\psi(\beta, \beta_n) \rightarrow 0$ ; therefore,  $h_\psi(a, \beta) = 0$ . Choose a point  $p$  on  $\beta$ , and let  $I$  be a vertical segment pointing to  $a$  with  $p$  as its initial point. Then there exists a regular horizontal trajectory of  $\psi$  that separates  $\beta$  from  $a$  and intersects  $I - \{p\}$ ; this trajectory thus has a positive vertical distance from  $\beta$ , a contradiction. Since the approximation of  $\alpha$  can be performed on both sides,  $\beta$  is totally regular.

*Step 5:*  $h_\psi(f(p), f(q)) = h_\varphi(p, q)$  for every  $p, q \in \partial\Delta$ .

*Proof.* Let  $p$  and  $q$  be two distinct points on the boundary of the unit disk  $\Delta$ . If  $\alpha$  and  $\delta$  are two totally regular horizontal trajectories of  $\varphi$  separating  $p$  and  $q$ , then there exist totally regular horizontal trajectories  $\alpha_n$  and  $\delta_n$  of  $\varphi_n$  such that  $\alpha_n$  converges to  $\alpha$  and  $\delta_n$  converges to  $\delta$  in the Euclidean metric. Therefore, there are totally regular horizontal trajectories  $\beta_n$  and  $\gamma_n$  of  $\psi_n$  such that  $h_{\varphi_n}(\alpha_n, \delta_n) = h_{\psi_n}(\beta_n, \gamma_n)$ . By Step 3, we can take points  $z_n$  from  $\beta_n$  and  $w_n$  from  $\gamma_n$  such that (a)  $z_n$  converges to a point  $z$  on the totally regular horizontal trajectory  $\beta$  of  $\psi$  and (b)  $w_n$  converges to a point  $w$  on the totally regular horizontal trajectory  $\gamma$  of  $\psi$ . Trajectories  $\beta$  and  $\gamma$  separate  $f(p)$  from  $f(q)$ . By [S1], Thm. 4.3],

$$\begin{aligned} h_\varphi(\alpha, \delta) &= \lim_{n \rightarrow \infty} h_{\varphi_n}(\alpha_n, \delta_n) = \lim_{n \rightarrow \infty} h_{\psi_n}(\beta_n, \gamma_n) \\ &= \lim_{n \rightarrow \infty} h_{\psi_n}(z_n, w_n) = h_\psi(z, w) = h_\psi(\beta, \gamma). \end{aligned}$$

Because  $h_\varphi(p, q) = \sup_{\alpha, \delta} h_\varphi(\alpha, \delta)$ , where the supremum is over all pairs of totally regular horizontal trajectories  $\alpha$  and  $\delta$  that separate  $p$  from  $q$ , we have

$$h_\psi(f(p), f(q)) \geq h_\varphi(p, q) \quad \text{for every } p, q \in \partial\Delta.$$

Since  $\varphi_n = H(f_n^{-1}, \psi_n)$  and  $f_n^{-1}(t) \rightarrow f^{-1}(t)$  for every  $t \in \partial\Delta$ , and since the Teichmüller distance from  $[f_n^{-1}]$  to the basepoint is the same as the Teichmüller distance from  $[f_n]$  to the basepoint, we obtain

$$h_\varphi(f^{-1}(r), f^{-1}(s)) \geq h_\psi(r, s) \quad \text{for every } r, s \in \partial\Delta.$$

*Step 6:*  $H(f_n, \varphi_n)$  converges weakly to  $H(f, \varphi)$ .

*Proof.* By the uniqueness theorem [S1, Thm. 5.6],  $\psi = H(f, \varphi)$ . Therefore, the limit  $\psi$  does not depend on which subsequence of  $\psi_n$  we take, which proves Step 6 and so finishes the proof of Lemma 2 when  $\varphi \neq 0$ .

Suppose now that  $\varphi = 0$ . If  $\psi \neq 0$  then, by the previous discussion,  $H(f_n^{-1}, \psi_n)$  converges weakly to  $H(f^{-1}, \psi)$ . But  $H(f_n^{-1}, \psi_n) = \varphi_n$  converges weakly to  $\varphi = 0$ , a contradiction.  $\square$

**COROLLARY 1.**  $H$  is continuous at (basepoint,  $\varphi$ ) for every  $\varphi \in A(\Delta)$ .

*Proof.* Suppose that a sequence  $\varphi_n$  in  $A(\Delta)$  tends to  $\varphi$  in the  $L^1$  norm, and that  $f_n$  is a sequence of quasiconformal homeomorphisms of  $\Delta$  that fix  $i$ ,  $1$ , and  $-1$  such that the Beltrami coefficients  $\mu_n$  of  $f_n$  converge to 0 in the  $L^\infty$  norm. Let  $\psi_n =$



$H(f_n, \varphi_n)$ . From the theory of quasiconformal mappings we know that  $f_n(z)$  converges to  $z$  uniformly on  $\partial\Delta$  (see [L]) and thus, by Lemma 2,  $\psi_n$  converges weakly to  $\varphi$ . Since

$$\|\varphi_n\| \frac{1 - \|\mu_n\|_\infty}{1 + \|\mu_n\|_\infty} \leq \|\psi_n\| \leq \|\varphi_n\| \frac{1 + \|\mu_n\|_\infty}{1 - \|\mu_n\|_\infty},$$

it follows that

$$\|\psi_n\| \rightarrow \|\varphi\|.$$

Therefore, by the Lebesgue dominated convergence theorem,

$$\|\psi_n - \varphi\| - \|\psi_n\| \rightarrow -\|\varphi\|, \quad \|\psi_n - \varphi\| \rightarrow 0. \quad \square$$

The next corollary is the (strong) continuity of  $[f] \rightarrow H([f], \varphi)$  for any fixed  $\varphi \in A(\Delta)$ .

**COROLLARY 2.** *Let  $f_n$  be a sequence of normalized quasimetric homeomorphisms of  $\partial\Delta$  such that  $[f_n] \rightarrow [f]$  for some quasimetric homeomorphism  $f$  of  $\partial\Delta$ . Then  $H(f_n, \varphi) \rightarrow H(f, \varphi)$  for every  $\varphi \in A(\Delta)$ .*

*Proof.* Since  $[f_n \circ f^{-1}]$  tends to the basepoint in the Teichmüller metric, Corollary 1 yields

$$H(f_n, \varphi) = H(f_n \circ f^{-1}, H(f, \varphi)) \rightarrow H(f, \varphi). \quad \square$$

#### 4. Variation in the Dirichlet Norm

**THEOREM 3.** *Let  $\varphi \neq 0$  be an integrable holomorphic quadratic differential in the unit disk  $\Delta$ , and let  $f$  be a quasiconformal homeomorphism of  $\Delta$  with the Beltrami coefficient  $\mu$ . Let  $\varphi_\mu = H(f^\mu, \varphi)$ . Then*

$$\log \|\varphi_\mu\| = \log \|\varphi\| + 2 \operatorname{Re} \frac{1}{\|\varphi\|} \iint_\Delta \mu \varphi + o(\|\mu\|_\infty). \quad (6)$$

Therefore,  $F([f]) = \log \|H(f, \varphi)\|$  is a  $C^1$  function on the universal Teichmüller space  $T(\Delta)$ .

*Proof.* Let  $\psi(z) = \varphi_\mu(f(z)) f_z^2(z) (1 - \mu(z) \frac{\varphi(z)}{|\varphi(z)|})^2$ . Then  $\psi$  is a measurable quadratic differential on  $\Delta$  and, for almost every regular vertical trajectory  $\beta$  of  $\varphi$ ,

$$\int_{f(\beta)} |\operatorname{Im} \sqrt{\varphi_\mu(f)} df| = \int_\beta |\operatorname{Im} \sqrt{\psi(z)} dz|.$$

Since every ray of a regular vertical trajectory of a differential in  $A(\Delta)$  converges to a well-determined point on the boundary of  $\Delta$ , both ends of  $\beta$  terminate at points of  $\partial\Delta$ . Let  $a$  and  $b$  be the endpoints of  $\beta$  on  $\partial\Delta$ ; then  $h_\varphi(a, b) = h_{\varphi_\mu}(f(a), f(b))$ . Therefore,

$$\begin{aligned} \int_\beta |\operatorname{Im} \sqrt{\varphi(z)} dz| &= h_\varphi(a, b) = h_{\varphi_\mu}(f(a), f(b)) \\ &\leq \int_{f(\beta)} |\operatorname{Im} \sqrt{\varphi_\mu(f)} df| = \int_\beta |\operatorname{Im} \sqrt{\psi(z)} dz|. \end{aligned}$$

Hence Theorem 1 implies that  $\|\varphi\| \leq \iint_{\Delta} |\sqrt{\psi}\varphi| \leq \|\psi\|$ , so

$$\|\varphi\| \leq \iint_{\Delta} \sqrt{|\varphi|} \sqrt{\left| \varphi_{\mu}(f) f_z^2 \left(1 - \mu \frac{\varphi}{|\varphi|}\right)^2 \frac{1 - |\mu|^2}{1 - |\mu|^2} \right|}.$$

Applying Schwarz’s inequality, we obtain

$$\|\varphi\|^2 \leq \iint_{\Delta} |\varphi_{\mu}(f) f_z^2| (1 - |\mu|^2) \iint_{\Delta} |\varphi| \frac{|1 - \mu \frac{\varphi}{|\varphi|}|^2}{1 - |\mu|^2}.$$

Therefore,

$$\begin{aligned} \|\varphi\|^2 &\leq \|\varphi_{\mu}\| \iint_{\Delta} |\varphi| \frac{|1 - \mu \frac{\varphi}{|\varphi|}|^2}{1 - |\mu|^2} \\ &= \|\varphi_{\mu}\| (\|\varphi\| - 2 \operatorname{Re} \iint_{\Delta} \mu\varphi + O(\|\mu\|_{\infty}^2)). \end{aligned} \tag{7}$$

Hence

$$\frac{\|\varphi\|}{\|\varphi_{\mu}\|} \leq 1 - \frac{2}{\|\varphi\|} \operatorname{Re} \iint_{\Delta} \mu\varphi + O(\|\mu\|_{\infty}^2),$$

and so

$$\log\|\varphi_{\mu}\| \geq \log\|\varphi\| + 2 \operatorname{Re} \frac{1}{\|\varphi\|} \iint_{\Delta} \mu\varphi + O(\|\mu\|_{\infty}^2).$$

To get a reverse inequality we apply a similar argument to the inverse mapping  $f^{-1}$  of  $f$ . The Beltrami coefficient of  $f^{-1}$  is  $\mu_1 = -\mu(f_z/\overline{f_z}) \circ (f^{-1})$ . Thus,

$$\|\varphi_{\mu}\| \leq \left\| \varphi \circ f^{-1} (f^{-1})_z^2 \left(1 - \mu_1 \frac{\varphi_{\mu}}{|\varphi_{\mu}|}\right)^2 \right\| \tag{8}$$

and

$$\|\varphi_{\mu}\|^2 \leq \|\varphi\| \iint_{\Delta} |\varphi_{\mu}| \frac{|1 - \mu_1 \frac{\varphi_{\mu}}{|\varphi_{\mu}|}|^2}{1 - |\mu_1|^2}. \tag{9}$$

Inequality (9) yields

$$\log\|\varphi\| \geq \log\|\varphi_{\mu}\| + 2 \operatorname{Re} \frac{1}{\|\varphi_{\mu}\|} \iint_{\Delta} \mu_1\varphi_{\mu} + O(\|\mu\|_{\infty}^2).$$

Now, since

$$\frac{1}{K(\mu)} \leq \frac{\|\varphi_{\mu}\|}{\|\varphi\|} \leq K(\mu)$$

with  $K(\mu) = (1 + \|\mu\|_{\infty})/(1 - \|\mu\|_{\infty}) \rightarrow 1$ , it is enough to prove that

$$\iint_{\Delta} \mu_1\varphi_{\mu} + \iint_{\Delta} \mu\varphi = o(\|\mu\|_{\infty}).$$

We have

$$\iint_{\Delta} \mu_1\varphi_{\mu} = - \iint_{\Delta} \mu\varphi_{\mu}(f) f_z^2 (1 - |\mu|^2) = - \iint_{\Delta} \mu\varphi_{\mu}(f) f_z^2 + O(\|\mu\|_{\infty}^3).$$

It is thus sufficient to prove that

$$\|\varphi_{\mu}(f) f_z^2 - \varphi\| \rightarrow 0. \tag{10}$$

From the theory of quasiconformal mappings we know that  $f(z) \rightarrow z$  and  $f_z^2(z) \rightarrow 1$  for almost every  $z \in \Delta$  (see [A]). Furthermore, Lemma 2 implies that  $\varphi_\mu$  converges weakly to  $\varphi$ . Therefore  $\varphi_\mu(fz) f_z^2(z) \rightarrow \varphi(z)$  for almost all  $z$  in  $\Delta$ . Since

$$\|\varphi_\mu\| \leq \|\varphi_\mu(f) f_z^2\| \leq \|\varphi_\mu\| \frac{1}{1 - \|\mu\|_\infty^2},$$

$\|\varphi_\mu(f) f_z^2\| \rightarrow \|\varphi\|$ . Hence, by the Lebesgue dominated convergence theorem,

$$\lim \|\varphi_\mu(f) f_z^2 - \varphi\| - \|\varphi\| = \lim (\|\varphi_\mu(f) f_z^2 - \varphi\| - \|\varphi_\mu(f) f_z^2\|) = -\|\varphi\|.$$

Now we prove that  $F$  is  $C^1$ . Let  $G: M(\Delta) \rightarrow (-\infty, \infty)$  be defined by  $G(\mu) = \log \|\varphi_\mu\|$ . Since the geometric mappings  $[f^\nu] \rightarrow [f^\nu \circ (f^\mu)^{-1}]$  are biholomorphic and there is a holomorphic section from a neighborhood of the basepoint in  $T(\Delta)$  into  $M(\Delta)$ , it is enough to prove that the derivative of  $G$  is continuous at  $\mu = 0$ . From (6) we see that

$$G'(0)(\nu) = 2 \operatorname{Re} \frac{1}{\|\varphi\|} \iint_\Delta \nu \varphi.$$

Since

$$H(f^{\mu+\nu}, \varphi) = H(f^{\mu+\nu} \circ (f^\mu)^{-1}, H(f^\mu, \varphi)) = H(f^{\mu+\nu} \circ (f^\mu)^{-1}, \varphi_\mu)$$

and since the Beltrami coefficient of  $f^{\mu+\nu} \circ (f^\mu)^{-1}$  is

$$\frac{\nu}{1 - |\mu|^2} \frac{f_z^\mu}{f_z^\mu} \circ (f^\mu)^{-1} + O(\|\nu\|_\infty^2),$$

we have

$$G'(\mu)(\nu) = 2 \operatorname{Re} \frac{1}{\|\varphi_\mu\|} \iint_\Delta \frac{\nu}{1 - |\mu|^2} \frac{f_z^\mu}{f_z^\mu} \circ (f^\mu)^{-1} \varphi_\mu. \tag{11}$$

After a change of variable we obtain

$$G'(\mu)(\nu) = 2 \operatorname{Re} \frac{1}{\|\varphi_\mu\|} \iint_\Delta \varphi_\mu \circ f^\mu (f_z^\mu)^2 \nu.$$

Since  $\|\varphi_\mu\| \rightarrow \|\varphi\|$ , the continuity of the first derivative of  $G$  at  $\mu = 0$  follows from (10). □

**COROLLARY 3.**  $M(\mu) = \|H(f^\mu, \varphi)\|$  is a  $C^1$  function in the open unit ball  $M(\Delta)$  of  $L^\infty(\Delta)$ , and

$$M'(\mu)(\nu) = 2 \operatorname{Re} \iint_\Delta \frac{\nu}{1 - |\mu|^2} \frac{f_z^\mu}{f_z^\mu} \circ (f^\mu)^{-1} \varphi_\mu,$$

where  $\varphi_\mu = H(f^\mu, \varphi)$ .

*Proof.* Since  $M(\mu) = e^{G(\mu)}$  for every  $\mu \in M(\Delta)$ , Corollary 1 follows from (11). □

## 5. Strong Continuity of the Mapping by Heights

In [S1], Strebel asked whether the mapping by heights  $\varphi \rightarrow H(f, \varphi)$  is continuous. The following lemma shows that the answer is affirmative.

**LEMMA 3.** *If  $f$  is a quasiconformal homeomorphism of the unit disk, then the mapping  $\varphi \rightarrow H(f, \varphi)$  is continuous on  $A(\Delta)$ .*

*Proof.* Notice that it is enough to prove that  $\|H(f, \varphi_n)\| \rightarrow \|H(f, \varphi)\|$  for every sequence  $\varphi_n$  in  $A(\Delta)$  that converges to  $\varphi \in A(\Delta)$ . For, if  $\|H(f, \varphi_n)\| \rightarrow \|H(f, \varphi)\|$  then, by Lemma 2 and the Lebesgue dominated convergence theorem,

$$\|H(f, \varphi_n) - H(f, \varphi)\| - \|H(f, \varphi_n)\| \rightarrow -\|H(f, \varphi)\|$$

and hence  $H(f, \varphi_n)$  tends to  $H(f, \varphi)$  in the  $L^1$  metric. By Lemma 2,  $H(f, \varphi_n)$  converges weakly to  $H(f, \varphi)$ ; thus, by Fatou's lemma,  $\liminf_{n \rightarrow \infty} \|H(f, \varphi_n)\| \geq \|H(f, \varphi)\|$ . It is therefore enough to prove that

$$\limsup_{n \rightarrow \infty} \|H(f, \varphi_n)\| \leq \|H(f, \varphi)\|.$$

Suppose that  $f$  is a quasiconformal homeomorphism of  $\Delta$  with Beltrami coefficient  $\mu$  and that the sequence  $\varphi_n$  in  $A(\Delta)$  tends to  $\varphi$  in the  $L^1$  norm, so that  $\limsup_{n \rightarrow \infty} \|H(f, \varphi_n)\| - \|H(f, \varphi)\| = A \neq 0$ . Let

$$A(t) = \limsup_{n \rightarrow \infty} \|H(f^{t\mu}, \varphi_n)\| - \|H(f^{t\mu}, \varphi)\| \quad \text{for } t \in [0, 1].$$

Then:

(i)  $A$  is a nonnegative and bounded function and

$$S = \sup_{t \in [0, 1]} A(t) \leq \|\varphi\| \frac{1 + \|\mu\|_\infty}{1 - \|\mu\|_\infty};$$

(ii)  $A(1) = A > 0$  and  $A(0) = \limsup_{n \rightarrow \infty} \|\varphi_n\| - \|\varphi\| = 0$ ; and

(iii) by Corollary 1,  $\lim_{t \rightarrow 0} A(t) = 0$ .

By (ii),  $S > 0$ . Hence there exists  $s \in (0, 1]$  so that

$$A(s) > S/2. \tag{12}$$

Define the real-valued functions  $h, h_1, h_2, \dots$  on  $(-1, 1/\|\mu\|_\infty)$  by

$$\begin{aligned} h(t) &= \|H(f^{t\mu}, \varphi)\| \quad \text{and} \\ h_n(t) &= \|H(f^{t\mu}, \varphi_n)\| \quad \text{for } n = 1, 2, \dots \end{aligned}$$

Since the  $L^1$  norms of quadratic differentials  $\varphi_n$  are uniformly bounded, and since

$$\|H(f^\nu, q)\| \leq \|q\| \frac{1 + \|\nu\|_\infty}{1 - \|\nu\|_\infty} \quad \text{for every } q \in A(\Delta) \text{ and } \nu \in M(\Delta),$$

there exists a constant  $C$  such that  $\|H(f^{t\mu}, \varphi)\| \leq C$  and  $\|H(f^{t\mu}, \varphi_n)\| \leq C$  for every  $t \in (-1, 1]$  and every  $n$ . By Corollary 3, functions  $h, h_1, h_2, \dots$  are  $C^1$  on  $(-1, 1/\|\mu\|_\infty)$  and

$$h'(t) = 2 \operatorname{Re} \iint_{\Delta} \frac{\mu}{1 - |t\mu|^2} \frac{f_z^{t\mu}}{f_z^{t\mu}} \circ (f^{t\mu})^{-1} H(f^{t\mu}, \varphi),$$

$$h'_n(t) = 2 \operatorname{Re} \iint_{\Delta} \frac{\mu}{1 - |t\mu|^2} \frac{f_z^{t\mu}}{f_z^{t\mu}} \circ (f^{t\mu})^{-1} H(f^{t\mu}, \varphi_n).$$

Furthermore,

$$\begin{aligned} A(s) &= \limsup_{n \rightarrow \infty} [h_n(s) - h(s)] = \limsup_{n \rightarrow \infty} \left( \int_0^s [h'_n(t) - h'(t)] dt \right) \\ &\leq 2 \limsup_{n \rightarrow \infty} \int_0^s \left| \iint_{\Delta} \frac{\mu}{1 - |t\mu|^2} \frac{f_z^{t\mu}}{f_z^{t\mu}} \circ (f^{t\mu})^{-1} (H(f^{t\mu}, \varphi_n) \right. \\ &\quad \left. - H(f^{t\mu}, \varphi)) dx dy \right| dt \\ &\leq 2 \frac{\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} \limsup_{n \rightarrow \infty} \int_0^s \iint_{\Delta} |H(f^{t\mu}, \varphi_n) - H(f^{t\mu}, \varphi)| dx dy dt. \end{aligned}$$

Since  $B_n(t) = \iint_{\Delta} |H(f^{t\mu}, \varphi_n) - H(f^{t\mu}, \varphi)| dx dy \leq 2C$ , Fatou's lemma implies that

$$\begin{aligned} \limsup_{n \rightarrow \infty} \int_0^s B_n(t) dt &= 2Cs - \liminf_{n \rightarrow \infty} \int_0^s (2C - B_n(t)) dt \\ &\leq 2Cs - \int_0^s \liminf_{n \rightarrow \infty} (2C - B_n(t)) dt \\ &= \int_0^s \limsup_{n \rightarrow \infty} B_n(t) dt. \end{aligned}$$

Hence,

$$\begin{aligned} A(s) &\leq \frac{2\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} \int_0^s \limsup_{n \rightarrow \infty} \left( \iint_{\Delta} |H(f^{t\mu}, \varphi_n) - H(f^{t\mu}, \varphi)| dx dy \right) dt \\ &\leq \frac{2\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} \int_0^s \left( \limsup_{n \rightarrow \infty} \iint_{\Delta} (|H(f^{t\mu}, \varphi_n) - H(f^{t\mu}, \varphi)| - |H(f^{t\mu}, \varphi_n)|) dt \right. \\ &\quad \left. + \limsup_{n \rightarrow \infty} \iint_{\Delta} |H(f^{t\mu}, \varphi_n)| \right) dt. \end{aligned}$$

Therefore, by Lemma 2 and the Lebesgue dominated convergence theorem,

$$\begin{aligned} A(s) &\leq \frac{2\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} \int_0^s \left( \iint_{\Delta} -|H(f^{t\mu}, \varphi)| + \limsup_{n \rightarrow \infty} \|H(f^{t\mu}, \varphi_n)\| \right) dt \\ &= \frac{2\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} \int_0^s A(t) dt \leq \frac{2\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} Ss \leq \frac{2\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} S. \end{aligned}$$

By (12),

$$\frac{S}{2} < \frac{2\|\mu\|_{\infty}}{1 - \|\mu\|_{\infty}^2} S, \quad 1 - \|\mu\|_{\infty}^2 < 4\|\mu\|_{\infty}.$$

If  $\|\mu\|_\infty \leq \frac{1}{8}$  then  $1 - \|\mu\|_\infty^2 \geq \frac{63}{64} > \frac{1}{2} \geq 4\|\mu\|_\infty$ , a contradiction. Therefore, for every quasiconformal homeomorphism  $f$  of  $\Delta$  with the Beltrami differential of  $L^\infty$  norm  $\leq \frac{1}{8}$ , the mapping by heights  $\varphi \rightarrow H(f, \varphi)$  is continuous in the  $L^1$  norm. Now let  $g$  be any quasiconformal homeomorphism of the unit disk  $\Delta$ , and let  $\psi_n$  be a sequence of integrable holomorphic quadratic differentials converging to  $\psi$  in the  $L^1$  norm. Then, by the theory of quasiconformal mappings, there exist an integer  $k$  and quasiconformal homeomorphisms  $f_1, f_2, \dots, f_k$  of  $\Delta$  so that  $g = f_1 \circ f_2 \circ \dots \circ f_k$ , and the Beltrami differentials of  $f_1, f_2, \dots, f_k$  are less than  $\frac{1}{8}$  in the  $L^\infty$  norm (see [L]). Hence,

$$\begin{aligned} & H(f_k, \psi_n) \rightarrow H(f_k, \psi), \\ & H(f_{k-1} \circ f_k, \psi_n) \\ & \quad = H(f_{k-1}, H(f_k, \psi_n)) \rightarrow H(f_{k-1}, H(f_k, \psi)) = H(f_{k-1} \circ f_k, \psi), \\ & \qquad \qquad \qquad \vdots \\ & H(g, \psi_n) \\ & \quad = H(f_1, H(f_2 \circ f_3 \circ \dots \circ f_k, \psi_n)) \rightarrow H(f_1, H(f_2 \circ f_3 \circ \dots \circ f_k, \psi)) \\ & \quad = H(g, \psi). \qquad \qquad \qquad \square \end{aligned}$$

Now we are ready to prove that the mapping by heights  $H([f], \varphi)$  is continuous on  $T(\Delta) \times A(\Delta)$ .

**THEOREM 4.** *The mapping by heights  $H$  is continuous.*

*Proof.* Let  $f$  be a normalized quasisymmetric homeomorphism of  $\Delta$ , and let  $\varphi \in A(\Delta)$ . Let  $f_n$  be a sequence of normalized quasisymmetric homeomorphisms of  $\partial\Delta$  such that  $[f_n]$  converges to  $[f]$  in the Teichmüller metric, and let  $\varphi_n$  be a sequence in  $A(\Delta)$  that converges to  $\varphi$  in the  $L^1$  norm. Then

$$H([f_n], \varphi_n) = H([f_n \circ f^{-1}], H([f], \varphi_n)).$$

By Lemma 3,  $H([f], \varphi_n)$  tends to  $H([f], \varphi)$  in the  $L^1$  norm. Since  $[f_n \circ f^{-1}]$  tends to the basepoint in the Teichmüller metric, Corollary 1 yields

$$H([f_n \circ f^{-1}], H([f], \varphi_n)) \rightarrow H([f], \varphi). \qquad \qquad \qquad \square$$

### 6. The Extremal Norm Properties of the Mapping by Heights

**THEOREM 5.** *Let  $f$  be a quasisymmetric homeomorphism of a circle with dilatation  $K = (1 + k)/(1 - k)$  and boundary dilatation  $H$ . Then:*

- (a)  $\sup_{\varphi \neq 0} (\|H(f, \varphi)\| / \|\varphi\|) = K$ ;
- (b) (Strebel) *the supremum in (a) is achieved at  $\varphi$  if and only if  $f$  has a representative that is a Teichmüller mapping with the Beltrami differential  $k(|\varphi|/\varphi)$ ;*

- (c) if  $\varphi_n$  is a strictly degenerating sequence in  $A(\Delta)$ , then  $H(f, \varphi_n)$  is strictly degenerating; and
- (d)  $\sup_{(\varphi_n)} \limsup_{n \rightarrow \infty} (\|H(f, \varphi_n)\| / \|\varphi_n\|) = H$ . Here the supremum is over all strictly degenerating sequences  $\varphi_n$ .

REMARK 1. Part (b) is proved by Strebel in [S1]. Here we present a different proof based on the minimal norm property.

REMARK 2. Note that it is necessary to take only *strictly* degenerating sequences  $\varphi_n$  in the supremum in (d). For, if  $f$  is such that  $H < K$ , then by the frame mapping condition and part (b) there exists  $\varphi \in A(\Delta)$  such that  $\|H(f, \varphi)\| = K \|\varphi\|$ . Then  $\varphi/n \rightarrow 0$  and  $H(f, \varphi/n) = (1/n)H(f, \varphi)$ ; therefore,

$$\frac{\|H(f, \frac{\varphi}{n})\|}{\|\frac{\varphi}{n}\|} = \frac{\|H(f, \varphi)\|}{\|\varphi\|} = K.$$

*Proof of Theorem 5.* (a) Since  $\|H(f, \varphi)\| \leq K \|\varphi\|$  for every  $\varphi \in A(\Delta)$ , to prove (a) it is enough to find a sequence  $\varphi_n$  in  $A(\Delta)$  such that  $\|\varphi_n\| = 1$  and  $\|H(f, \varphi_n)\| \rightarrow K$ . Let  $\mu$  be an extremal Beltrami differential in the Teichmüller class of  $f$ . Then  $\|\mu\|_\infty = k$ , and there exists a sequence  $\varphi_n$  in  $A(\Delta)$  such that  $\|\varphi_n\| = 1$  and

$$\iint_{\Delta} \frac{\varphi_n \mu}{1 - |\mu|^2} \rightarrow \frac{k}{1 - k^2}$$

(see [G3, Lemma 2, p. 124]). Let  $\psi_n = H(f, \varphi_n)$ . By inequality (7),

$$\begin{aligned} 1 &\leq \|\psi_n\| \iint_{\Delta} |\varphi_n| \frac{|1 - \mu \frac{\varphi_n}{|\varphi_n|}|^2}{1 - |\mu|^2} \\ &\leq \|\psi_n\| \left( 1 + 2 \iint_{\Delta} |\varphi_n| \frac{|\mu|^2}{1 - |\mu|^2} - 2 \operatorname{Re} \iint_{\Delta} \frac{\varphi_n \mu}{1 - |\mu|^2} \right), \\ 1 &\leq (\liminf \|\psi_n\|) \left( 1 + \frac{2k^2}{1 - k^2} - \frac{2k}{1 - k^2} \right) \\ &\leq (\liminf \|\psi_n\|) \frac{1}{K}. \end{aligned}$$

(b) If  $f$  is a Teichmüller mapping associated with the differentials  $\varphi$  and  $\psi$  in  $A(\Delta)$ , then  $\psi = H(f, \varphi)$  and  $\|\psi\| = K \|\varphi\|$ .

To prove the converse, we assume that  $K = \|\psi\| / \|\varphi\|$  with  $\psi = H(f, \varphi)$ . Let  $\mu_1$  be an extremal Beltrami differential in the equivalence class of  $f^{-1}$ . Let  $g$  be a quasiconformal homeomorphism of  $\Delta$  with the Beltrami coefficient  $\mu_1$ . Inequality (8) yields

$$\|\psi\| \leq \left\| \varphi(g) g_z^2 \left( 1 - \mu_1 \frac{\psi}{|\psi|} \right)^2 \right\|. \tag{13}$$

Hence

$$\begin{aligned} \|\psi\| &\leq \left\| \varphi(g) g_z^2 (1 - |\mu_1|^2) \frac{(1 - \mu_1 \frac{\psi}{|\psi|})^2}{1 - |\mu_1|^2} \right\| \\ &\leq \|\varphi\| \frac{(1 + \|\mu_1\|_\infty)^2}{1 - \|\mu_1\|_\infty^2} \\ &\leq K \|\varphi\|. \end{aligned}$$

Therefore, we have an equality in (13). Hence  $\mu_1 = -k(|\psi|/\psi)$  and, by the uniqueness part of Theorem 1,

$$\psi = \varphi(g) g_z^2 \left(1 - \mu_1 \frac{\psi}{|\psi|}\right)^2.$$

Therefore,

$$\psi = \varphi(g) g_z^2 (1 + k)^2.$$

The quasiconformal homeomorphism  $g^{-1}$  is in the equivalence class of  $f$ , and its Beltrami coefficient  $\mu$  satisfies

$$\begin{aligned} \mu(g) &= -\mu_1 \frac{g_z}{g_z} = k \frac{|\psi|}{\psi} \frac{g_z}{g_z} \\ &= k \frac{|\varphi(g)| |g_z|^2}{\varphi(g) g_z^2} \frac{g_z}{g_z} = k \frac{|\varphi(g)|}{\varphi(g)}, \end{aligned}$$

which proves (b).

(c) Let  $(\varphi_n)$  be a strictly degenerating sequence in  $A(\Delta)$ . Then  $1/C \leq \|\varphi_n\| \leq C$  for some positive constant  $C$ . Let  $\psi_n = H(f, \varphi_n)$ . By Lemma 2,  $\psi_n$  is degenerating. Since

$$\|\psi_n\| \geq \frac{1}{K} \|\varphi_n\| \geq \frac{1}{KC},$$

$\psi_n$  is strictly degenerating.

(d) Fix  $\varepsilon > 0$ , and let  $\varphi_n$  be a strictly degenerating sequence in  $A(\Delta)$ . By part (c),  $\psi_n = H(f, \varphi_n)$  is strictly degenerating. There exists a compact set  $F \subset \Delta$  and a Beltrami differential  $\mu$  such that  $f^\mu$  and  $f$  represent the same element in  $\text{Teich}(\Delta)$  and

$$\frac{1 + |\mu(z)|}{1 - |\mu(z)|} \leq H + \varepsilon \quad \text{for every } z \in F^c.$$

Let  $K_1 = (1 + \|\mu\|_\infty)/(1 - \|\mu\|_\infty)$ . Since  $\psi_n$  is degenerating, there exists a positive integer  $n_0$  such that

$$\iint_{f^\mu(F)} |\psi_n| \leq \frac{\varepsilon}{K_1}$$

for every  $n > n_0$ . Inequality (9) in the proof of Theorem 3 implies

$$\|\psi_n\|^2 \leq \|\varphi_n\| \iint_{\Delta} |\psi_n| \frac{1 + |\mu_1|}{1 - |\mu_1|},$$

where  $\mu_1$  is the Beltrami differential of  $(f^\mu)^{-1}$ . Therefore, for all  $n > n_0$ ,



$$\begin{aligned} \|\psi_n\|^2 &\leq \|\varphi_n\| \left( K_1 \frac{\varepsilon}{K_1} + \iint_{f^\mu(F)^c} |\psi_n| \frac{1 + |\mu((f^\mu)^{-1}(z))|}{1 - |\mu((f^\mu)^{-1}(z))|} \right) \\ &\leq \|\varphi_n\| (\varepsilon + (H + \varepsilon) \|\psi_n\|). \end{aligned}$$

Hence

$$\frac{\|\psi_n\|}{\|\varphi_n\|} \leq \frac{\varepsilon}{\|\psi_n\|} + \varepsilon + H.$$

Since  $\psi_n$  is strictly degenerating, letting  $\varepsilon \rightarrow 0$  proves

$$\sup_{(\varphi_n)} \limsup \frac{\|H(f, \varphi_n)\|}{\|\varphi_n\|} \leq H.$$

To obtain a reverse inequality, fix  $\varepsilon > 0$  and let  $\nu$  be a Beltrami differential such that  $f^\nu$  is in the Teichmüller class of  $f$  and  $H^* - H \leq \varepsilon$ , where  $H^* = (h^* + 1)/(h^* - 1)$  is the maximal dilatation of  $f^\nu$  outside some compact subset of  $\Delta$ . By the fundamental inequalities for boundary dilatation (see [G1] or [EGL]), there exists a degenerating sequence  $\varphi_n$  in  $A(\Delta)$  such that  $\|\varphi_n\| = 1$  and

$$\lim_{n \rightarrow \infty} \iint_{\Delta} \frac{\varphi_n \nu}{1 - |\nu|^2} = \alpha \geq \frac{h^*}{1 - h^{*2}} - \varepsilon.$$

Let  $\psi_n = H(f, \varphi_n)$ . Inequality (7) from the proof of Theorem 3 implies

$$\begin{aligned} \|\varphi_n\|^2 &\leq \|\psi_n\| \iint_{\Delta} |\varphi_n| \frac{|1 - \nu \frac{\varphi_n}{|\varphi_n|}|^2}{1 - |\nu|^2}; \\ 1 &\leq \liminf \|\psi_n\| \left( 1 + 2 \frac{h^{*2}}{1 - h^{*2}} - 2\alpha \right) \\ &\leq \liminf \|\psi_n\| \left( 1 + 2 \frac{h^{*2}}{1 - h^{*2}} - 2 \frac{h^*}{1 - h^{*2}} + 2\varepsilon \right) \\ &\leq \liminf \|\psi_n\| \left( \frac{1}{H^*} + 2\varepsilon \right). \end{aligned}$$

Letting  $\varepsilon \rightarrow 0$ , we obtain

$$\liminf \frac{\|\psi_n\|}{\|\varphi_n\|} \geq H,$$

and this proves part (d). □

**COROLLARY 4.** *If  $f$  is a quasiconformal homeomorphism of a circle, then:*

- (i)  *$f$  is Möbius if and only if  $\|H(f, \varphi)\| = \|\varphi\|$  for every  $\varphi$  in  $A(\Delta)$ ; and*
- (ii)  *$f$  is symmetric if and only if  $\|H(f, \varphi_n)\| \rightarrow 1$  for every degenerating sequence of unit vectors in  $A(\Delta)$ .*

*Proof.* (i) follows immediately from part (a) of Theorem 5. Since

$$\varphi_n = H(f^{-1}, H(f, \varphi_n)),$$

and since  $f$  is symmetric if and only if  $f^{-1}$  is symmetric, (ii) is an easy consequence of part (d) of Theorem 5. □

## References

- [A] L. V. Ahlfors, *Lectures on quasiconformal mappings*, Wadsworth, Monterey, CA, 1987.
- [EGL] C. J. Earle, F. P. Gardiner, and N. Lakic, *Teichmüller spaces with asymptotic conformal equivalence*, preprint.
- [G1] F. P. Gardiner, *On Teichmüller contraction*, Proc. Amer. Math. Soc. 118 (1993), 865–875.
- [G2] ———, *Measured foliations and the minimal norm property for quadratic differentials*, Acta Math. 152 (1984), 57–76.
- [G3] ———, *Teichmüller theory and quadratic differentials*, Wiley, New York, 1987.
- [GS] F. P. Gardiner and D. P. Sullivan, *Symmetric structures on a closed curve*, Amer. J. Math. 114 (1992), 683–736.
- [L] O. Lehto, *Univalent functions and Teichmüller spaces*, Springer, New York, 1987.
- [S1] K. Strebel, *The mapping by heights for quadratic differentials in the disk*, Ann. Acad. Sci. Fenn. Ser. A I Math. 18 (1993), 155–190.
- [S2] ———, *On the geometry of quadratic differentials in the disk*, Results Math. 22 (1992), 799–816.
- [S3] ———, *Quadratic differentials*, Springer, New York, 1984.

Department of Mathematics  
Cornell University  
Ithaca, NY 14853

Nikola@math.cornell.edu