

# The Inclusion of Classical Families in the Closure of the Universal Teichmüller Space

STEPHEN D. CASEY

## Introduction

A major problem of Teichmüller theory is to characterize the closure of Bers's model of the universal Teichmüller space  $\mathfrak{U}$ . In this paper, we give new geometric conditions that if satisfied by the range of a conformal mapping from a disk guarantee that the Schwarzian derivative of that mapping is in the closure of  $\mathfrak{U}$ . The condition is described by defining a subclass of close-to-convex functions. This result extends the work of Gehring and Astala [3].

Let  $\mathbf{B}$  denote the Banach space of functions  $\phi$  holomorphic on the unit disk  $\mathbb{D}$  with finite norm

$$\|\phi\| = \sup_{z \in \mathbb{D}} |\phi(z)| [1 - |z|^2].$$

If  $f$  is conformal on  $\mathbb{D}$  and

$$\phi = \frac{d}{dz} \log f'(z) = \frac{f''(z)}{f'(z)},$$

then  $\|\phi\| \leq 8$  (see [13]). The development of injectivity criteria for functions on  $\mathbb{D}$  led Becker and Pommerenke (see [4; 5]) to study the space

$$\mathbf{T} = \{\phi = (f''/f') : f \text{ conformal on the unit disk } \mathbb{D} \text{ with quasiconformal extension to the Riemann sphere } \hat{\mathbb{C}}\}.$$

This subset of  $\mathbf{B}$  is an alternative model for the universal Teichmüller space (see [3]).

In [3], Astala and Gehring develop a characterization of the closure of  $\mathbf{T}$  in the  $\|\cdot\|$  norm. They apply this characterization to prove that  $f''/f' \in \text{cl}(\mathbf{T})$  if  $f$  is convex in the direction  $\theta$ . We introduce a new method which shows that  $f''/f' \in \text{cl}(\mathbf{T})$  provided that  $\mathbb{C} \setminus f(\mathbb{D})$  is the union of disjoint half-lines  $l$ , where the angle of  $l$  is continuous in the prime end topology.

Let  $\mathbf{L}$  denote the class of linearly accessible functions; that is,  $\mathbf{L} = \{f \text{ conformal on } \mathbb{D} \text{ with } f(0) = 0, f'(0) = 1 : \mathbb{C} \setminus f(\mathbb{D}) \text{ is the union of closed half-lines such that the corresponding open half-lines are disjoint}\}$ . Lewandowski [10; 11] (also see [6]) shows that  $\mathbf{L}$  is equivalent to the class of close-to-convex functions introduced by Kaplan [9]. Thus, given  $f \in \mathbf{L}$ , there exists

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a conformal map  $h$  such that  $h(\mathbb{D})$  is a convex region and  $\operatorname{Re}\{f'/h'\} > 0$ . We refer to  $h$  as the *associated convex function* of  $f$ .

The derivatives of  $\log(f'(z))$  for general functions  $f$  in  $\mathbf{L}$  are not necessarily in  $\operatorname{cl}(\mathbf{T})$ . Astala and Gehring [3] provide a counterexample, the starlike function

$$f(z) = \frac{z}{(1-z^2)}.$$

Writing  $f = g \circ h$  for  $h$  convex, we have that

$$h(z) = \int_0^z \frac{f(\zeta)}{\zeta} d\zeta = \frac{1}{2} \log\left(\frac{1+z}{1-z}\right) = \operatorname{arctanh} z$$

and that  $h(\mathbb{D})$  is a strip. In particular,  $\partial h(\mathbb{D})$  is not smooth. This example leads us to examine the following class of functions.

**DEFINITION.** Let  $f \in \mathbf{L}$ . We say that  $f$  is *linearly accessible smoothly* (denoted  $f \in \mathbf{L}_0$ ) if the associated convex domain  $h(\mathbb{D})$  has a boundary with a continuously turning tangent (see Pommerenke [15, p. 295]).

We prove the following theorem.

**THEOREM 1.** *Suppose that  $f$  is linearly accessible smoothly. Then*

$$\frac{f''}{f'} \in \operatorname{cl}(\mathbf{T}).$$

By observing that  $\mathbf{L}_0$  contains some important subclasses, we also obtain the following.

**COROLLARY 1.** *Let  $f$  satisfy  $\operatorname{Re} f' > 0$  or be a bounded starlike function. Then*

$$\frac{f''}{f'} \in \operatorname{cl}(\mathbf{T}).$$

The map  $\psi(\phi) = \phi' - \frac{1}{2}(\phi)^2$  is a continuous imbedding of  $\mathbf{T}$  into Bers's model of the universal Teichmüller space  $\mathfrak{J}$ , mapping  $(f''(z)/f'(z))$  of  $f$  conformal onto their Schwarzian derivatives

$$\{f, z\} = \left(\frac{f''(z)}{f'(z)}\right)' - \frac{1}{2} \left(\frac{f''(z)}{f'(z)}\right)^2$$

(see [3; 7]). We therefore obtain the next corollary.

**COROLLARY 2.** *If  $f \in \mathbf{L}_0$  then  $\{f, z\} \in \operatorname{cl}(\mathfrak{J})$ .*

## 2. Sequences of Linearly Accessible Functions

Let  $\mathbf{H}(\mathbb{D})$  be the set of holomorphic functions on  $\mathbb{D}$ . Let  $\mathbf{P}$  be the set of  $p \in \mathbf{H}(\mathbb{D})$  such that

$$\operatorname{Re}\{p\} > 0, \quad p(0) = 1.$$

Choose a linearly accessible function  $f$ . Then  $f = g \circ h$ , where  $\operatorname{Re}\{g'\} > 0$  on  $h(\mathbb{D})$ . Therefore,

$$\frac{f'}{h'} = p.$$

We define *the approximating family*  $\{f_\lambda\}$  ( $0 < \lambda < 1$ ) of an  $f \in \mathbf{L}$  by

$$f'_\lambda = p^\lambda \cdot h'.$$

We normalize each  $f_\lambda$  by an appropriate transformation so that  $f_\lambda(0) = 0$  and  $f'_\lambda(0) = 1$ . Clearly, as  $\operatorname{Re} p > 0$ , we may choose a branch of the logarithm so that  $\operatorname{Re} p^\lambda > 0$ . Thus  $f_\lambda \in \mathbf{L}$ , and by a result of Kaplan [9] we have the following lemma.

LEMMA 1. *For  $0 < \lambda < 1$ ,  $f_\lambda$  is univalent.*

REMARK. Lemmas 1–5 hold for the approximating family  $\{f_\lambda\}$  of any  $f$  in the full class  $\mathbf{L}$ . The additional smoothness conditions imposed on the subclass  $\mathbf{L}_0$  come to play in Lemma 6 and in Section 3.

Next, we show that

$$\phi_\lambda = \frac{f''_\lambda}{f'_\lambda}, \quad 0 \leq \lambda \leq 1,$$

is a continuous path in  $\mathbf{B}$ .

LEMMA 2.  $\|\phi_{\lambda_1} - \phi_{\lambda_2}\| \rightarrow 0$  as  $\lambda_1 \rightarrow \lambda_2$ .

*Proof.* As  $f'_\lambda = h' \cdot p^\lambda$ ,

$$\phi_\lambda = \frac{f''_\lambda}{f'_\lambda} = \frac{h''}{h'} + \lambda \frac{p'}{p}.$$

By the classical distortion bound,

$$\left| \frac{p'}{p} \right| \leq \frac{2}{(1 - |z|^2)}.$$

Thus,

$$\begin{aligned} \|\phi_{\lambda_1} - \phi_{\lambda_2}\| &= |\lambda_1 - \lambda_2| \sup_{z \in \mathbb{D}} \left| \frac{p'}{p} \right| (1 - |z|^2) \\ &\leq 2|\lambda_1 - \lambda_2|. \end{aligned}$$

In particular,

$$\|\phi_\lambda - \phi\| \rightarrow 0 \quad \text{as } \lambda \rightarrow 1. \quad \square$$

Some of the results on the geometry of  $f_\lambda(\mathbb{D})$  needed for our result are now demonstrated.

LEMMA 3. *Let  $f$  be a linearly accessible function. Then the following are equivalent:*

(i) *There exists a  $\delta = \delta(\lambda) > 0$  such that*

$$\operatorname{Re} \left\{ \frac{f'}{e^{i\theta} h'} \right\} > 0 \quad \text{for } |\theta| < \delta.$$

(ii) *There exists a  $\lambda = \lambda(\delta)$ ,  $0 < \lambda < 1$ , and a  $p \in P$  such that*

$$\frac{f'}{h'} = p^\lambda.$$

*Proof.* Let (ii) hold. For the appropriate choice of the logarithm,

$$\left[ \frac{f'(z)}{h'(z)} \right]^{1/\lambda} = \exp \left[ \frac{1}{\lambda} \log \left[ \frac{f'(z)}{h'(z)} \right] \right].$$

Thus

$$\operatorname{Re} \left\{ \left[ \frac{f'(z)}{h'(z)} \right]^{1/\lambda} \right\} = \left| \frac{f'(z)}{h'(z)} \right|^{1/\lambda} \cos \left( \operatorname{Arg} \left\{ \left[ \frac{f'(z)}{h'(z)} \right]^{1/\lambda} \right\} \right),$$

and so

$$\operatorname{Re} \left\{ \left[ \frac{f'(z)}{h'(z)} \right]^{1/\lambda} \right\} > 0 \quad \text{if and only if} \quad \left| \operatorname{Arg} \left\{ \left[ \frac{f'(z)}{h'(z)} \right]^{1/\lambda} \right\} \right| < \frac{\pi}{2}.$$

We want to show that there exists a  $\delta > 0$  such that for all  $|\theta| < \delta$ ,

$$-\frac{\pi}{2} < \operatorname{Arg} \left\{ \left[ \frac{f'(z)}{h'(z)} \right]^{1/\lambda} \right\} - \theta < \frac{\pi}{2}.$$

But as (ii) holds, this is true for  $\delta < (1 - \lambda)(\pi/2)$ .

A symmetric argument shows that (i) implies (ii).  $\square$

Half-lines in  $\mathbb{C} \setminus f(\mathbb{D})$  are “opened up” into sectors in the complement of  $f_\lambda(\mathbb{D})$ . This concept is made precise in Lemma 4, which shows that  $\mathbb{C} \setminus f_\lambda(\mathbb{D})$  is the union of sectors of certain angular width. We note that later we will need only part of this result, namely that  $\mathbb{C} \setminus f_\lambda(\mathbb{D})$  contains a suitable union of sectors. (Also see Pommerenke [14].)

**LEMMA 4.** *Let  $\{f_\lambda\}$ ,  $0 < \lambda < 1$ , be the family of functions approximating an  $f \in \mathbf{L}$ . Then there exists a  $\psi = \psi(\lambda) > 0$  such that  $\mathbb{C} \setminus f_\lambda(\mathbb{D})$  is the union of sectors of angle at least  $\psi$ .*

*Proof.* Choose an  $f_\lambda$ . By the previous lemma there exists a  $\delta = \delta(\lambda)$  such that

$$(i) \quad \operatorname{Re} \left\{ \frac{f'_\lambda}{e^{i\theta} h'} \right\} > 0 \quad \text{for all } |\theta| < \delta.$$

Let  $t \in [0, \infty)$ ,  $|\theta| < \delta$ , and define the auxiliary function

$$F(z, t, \theta) = f_\lambda(z) + te^{i\theta} z h'(z).$$

Since

$$F_z(z, t, \theta) = f'_\lambda(z) + te^{i\theta} [h'(z) + z h''(z)]$$

and

$$F_t(z, t, \theta) = e^{i\theta} z h'(z),$$

we obtain

$$(ii) \quad \frac{F_z}{e^{i\theta} h'} = \frac{z F_z}{F_t} = \frac{f'_\lambda}{e^{i\theta} h'} + t \left[ 1 + \frac{z h''}{h'} \right].$$

As  $h$  is convex and (i) holds,

$$(iii) \quad \operatorname{Re} \left\{ \frac{F_z}{e^{i\theta} h'} \right\} > 0$$

for all  $z \in \mathbb{D}$ . In particular,  $F \in \mathbf{L}$  and thus is univalent for all  $t \in [0, \infty)$  and  $|\theta| < \delta$ . Also,

$$(iv) \quad \operatorname{Re} \left\{ \frac{z F_z}{F_t} \right\} > 0.$$

Therefore, since  $F$  is univalent and satisfies (iv), we claim that

$$(v) \quad F(\mathbb{D}, t_1, \theta) \subset F(\mathbb{D}, t_2, \theta)$$

for  $t_1 < t_2$ ; that is,  $F$  is an *unnormalized* Löwner chain.

To prove the claim, we first assume that  $F$  is smooth on  $\operatorname{cl}(\mathbb{D}) \times \mathbb{R}^+$ . Therefore, inequality (iv) holds for all  $(z, t) \in \operatorname{cl}(\mathbb{D}) \times \mathbb{R}^+$ , and this implies that the flow vector  $F_t$  always makes angle  $\nu$ ,  $0 < \nu < \pi$ , with the tangent vector  $\tau = ie^{i\omega} F_z(e^{i\omega})$ . Because each  $F$  is univalent, the flow is always directed outward, and we get (v) in the smooth case. In the general case we consider a sequence

$$\{\rho_n : 0 < \rho_n < \rho_{n+1} < 1, \rho_n \rightarrow 1 \text{ as } n \rightarrow \infty\},$$

and define

$$F_n(z, t, \theta) = F(\rho_n z, t, \theta).$$

Since

$$F_n(\mathbb{D}, t_1, \theta) \subset F_n(\mathbb{D}, t_2, \theta)$$

for  $t_1 < t_2$  and all  $n$ , we obtain the general case by letting  $n \rightarrow \infty$  and applying the Carathéodory kernel theorem.

We must now demonstrate the sector property. Again, we first assume that  $F$  extends smoothly to the boundary. For  $\theta$  fixed and  $\eta \in [0, 2\pi)$ , (v) gives us that  $f_\lambda(\mathbb{D}) = F(\mathbb{D}, 0, \theta)$  does not contain the ray

$$\rho_\theta(\eta) = \{f_\lambda(e^{i\eta}) + te^{i\theta} e^{i\eta} h'(e^{i\eta}) : t \geq 0\}.$$

Therefore, for all  $\theta \in (-\delta, \delta)$ ,

$$\bigcup_{\eta \in [0, 2\pi)} \sigma(\eta) \subseteq \mathbb{C} \setminus f_\lambda(\mathbb{D}),$$

where

$$\sigma(\eta) = \{f(e^{i\eta}) + te^{i\theta} e^{i\eta} h'(e^{i\eta}) : t \geq 0, |\theta| < \delta\}.$$

Now, choose  $z \in \mathbb{C} \setminus f_\lambda(\mathbb{D})$ . Since  $f_\lambda(\mathbb{D}) = F(\mathbb{D}, 0, \theta)$ , for some  $\theta \in (-\delta, \delta)$  we have  $z \in \mathbb{C} \setminus F(\mathbb{D}, 0, \theta)$ .  $F \in \mathbf{L}$  for that  $\theta$ , and so by a result of Bielecki and Lewandowski [6]  $z$  is contained in some ray  $\rho_\theta(\eta)$ . The ray  $\rho_\theta(\eta)$  is contained in the sector  $\sigma(\eta)$  for  $|\theta| < \delta$ . Therefore we get the reverse inclusion, and so

$$\bigcup_{\eta \in [0, 2\pi)} \sigma(\eta) = \mathbb{C} \setminus f_\lambda(\mathbb{D}).$$

Thus, we obtain the sector property for  $\psi(\lambda) = \delta(\lambda)$  when  $F$  is smooth.

In the general case consider  $\omega \in \partial F(\mathbb{D})$ . There is a sequence of points  $z_k \in \mathbb{D}$  with  $f(z_k) \rightarrow \omega$ . Consider the corresponding sequence of sectors  $\sigma_k$ , where

$$\sigma_k \subset \mathbb{C} \setminus f_\lambda(|z| < |z_k|).$$

Each  $\sigma_k$  has vertex  $f_\lambda(z_k)$  and angle  $\psi_k$ , with  $\psi_k \geq \delta$  for all  $k$ . Therefore, there is a limit sector  $\sigma$  with vertex  $\omega$  and angle  $\alpha \geq \psi$ . Thus a subsequence of the  $\sigma_k$  converges to  $\sigma$  in the sense of Carathéodory. Because  $\sigma \subset \mathbb{C} \setminus f_\lambda(\mathbb{D})$ , and  $\sigma$  has angle  $\alpha \geq \psi(\lambda) > 0$ , this completes the proof of Lemma 4.  $\square$

Let  $\rho$  be the spherical metric on the Riemann sphere  $\hat{\mathbb{C}}$ , and let  $\omega_1, \omega_2 \in f(\mathbb{D}) \setminus f(0)$ , where  $f$  is a normalized conformal map on  $\mathbb{D}$ . Define

$$p(\omega_1, \omega_2) = \inf_C \sup_{\alpha_j \in C} \rho(\alpha_1, \alpha_2), \quad j = 1, 2,$$

where  $C$  is any Carathéodory crosscut of  $\Omega$  which separates  $\omega_1, \omega_2$  from  $f(0)$ . This defines the prime end metric of Mazurkiewicz [12] (also see Pommerenke [15, p. 351]). Let  $z_j = f^{-1}(\omega_j)$ ,  $j = 1, 2$ . We have the following.

LEMMA 5 (Mazurkiewicz [12]). *There exist constants  $K_1, K_2 > 0$  such that*

$$K_1 |z_1 - z_2|^2 \leq p(\omega_1, \omega_2) \leq \frac{K_2}{(\log(3/|z_1 - z_2|))^{1/2}}.$$

This allows us to get a uniform bound on the modulus of continuity of the functions  $f_\lambda$ .

For  $f \in \mathbf{L}_0$ , we have the following lemma.

LEMMA 6. *If  $\{f_\lambda\}$  ( $0 < \lambda < 1$ ) is the approximating family for  $f \in \mathbf{L}_0$ , then  $f_\lambda(\mathbb{D})$  is bounded.*

*Proof.* The convex domain  $U = h(\mathbb{D})$  has a boundary with a continuously turning tangent, and therefore must be bounded. The function  $f_\lambda: \mathbb{D} \rightarrow \Omega_\lambda$  may be written as

$$f_\lambda = g_\lambda \circ h,$$

where  $g'_\lambda = q^\lambda$ , for  $q$  a function with positive real part on  $U$ . By Harnack's inequality,

$$|g'_\lambda(\zeta)| \leq c \{\text{dist}(\zeta, \partial U)\}^{-\lambda}.$$

Let  $l$  be a half-line in  $U$  starting from  $\zeta_0 = h(0)$  and ending at  $\omega \in l \cap \partial U$ . Since  $U$  is a convex domain and  $\partial U$  is smooth, there exists a constant  $K$  such that

$$\text{dist}(\zeta, \partial U) \geq K |\zeta - \omega|$$

for all  $\zeta \in l$ . Therefore,

$$\begin{aligned} |g_\lambda(\zeta)| &\leq c \int_{\zeta_0}^{\zeta} \frac{|d\xi|}{[\text{dist}(\xi, \partial U)]^\lambda} \\ &\leq [c \cdot K^{-\lambda}] \int_{\zeta_0}^{\zeta} \frac{|d\xi|}{(|\xi - \omega|)^\lambda} \leq \end{aligned}$$

$$\leq \frac{2[c \cdot K^{-\lambda}]}{1-\lambda} |\xi_0 - \omega|^{1-\lambda} < \infty. \quad \square$$

### 3. Quasidisks

A conformal map  $f: \mathbb{D} \rightarrow \Omega$  has a quasiconformal extension to the Riemann sphere  $\hat{\mathbb{C}}$  if and only if  $\Omega$  is a quasidisk (Ahlfors [1]). For  $f \in L_0$ , we wish to show that  $\{f''_\lambda/f'_\lambda\}$  is in  $\mathbf{T}$ . Equivalently, we need to prove the following.

**THEOREM 2.** *If  $\{f_\lambda\}$  ( $0 < \lambda < 1$ ) is the approximating family for  $f \in L_0$ , then  $f_\lambda(\mathbb{D})$  is a quasidisk.*

We use a criterion due to Gehring [8]. A set  $E \subset \hat{\mathbb{C}}$  is *K*-locally connected if for all  $z \in \mathbb{C}$  and all  $r, 0 < r < \infty$ ,

- (i) points in  $E \cap \text{cl}(B(z, r))$  can be joined in  $E \cap \text{cl}(B(z, Kr))$ , and
- (ii) points in  $E \setminus B(z, r)$  can be joined in  $E \setminus B(z, r/K)$ .

Here, “joined” means joined by an arc lying in the specified set. Gehring [8] proves that a domain  $\Omega$  is a quasidisk if and only if  $\Omega$  is *K*-locally connected for a finite *K*.

*Proof of Theorem 2.* Choose an  $f_\lambda$  for  $0 < \lambda < 1$ . We assume that  $\Omega_\lambda = f_\lambda(\mathbb{D})$  does not satisfy either of Gehring’s two conditions, and arrive at a contradiction in both cases.

*Case (i):* Assume that for any constant  $K > 1$  there exists a  $z_0 \in \Omega_\lambda$ , an  $r > 0$ , and points  $z_1, z_2 \in \Omega_\lambda \cap \text{cl}(B(z_0, r))$  which cannot be joined in  $\Omega_\lambda \cap \text{cl}(B(z_0, Kr))$ .

Let  $L$  be the line segment joining  $z_1$  to  $z_2$ . By hypothesis,  $L$  must intersect  $\mathbb{C} \setminus \Omega_\lambda$ . Therefore we may assume that  $z_1, z_2 \in \partial\Omega_\lambda$ . There exists a point  $z_3 \in \partial B(z_0, Kr)$  and a sector  $\sigma$  of angle at least  $\psi(\lambda) > 0$  and vertex  $z_3$  such that  $\sigma$  separates  $z_1, z_2$  in  $B(z_0, r)$ , but that  $\sigma \cap \Omega_\lambda = \emptyset$ . By elementary geometry,

$$2r \geq |z_1 - z_2| \geq \psi(\lambda)(K - 1)r.$$

Thus

$$\psi(\lambda) \leq \frac{2}{K - 1},$$

which may be made arbitrarily small, contradicting Lemma 4. Therefore,  $\Omega_\lambda$  satisfies condition (i) of Gehring’s criterion.

**REMARK.** Condition (i) is satisfied for the approximating family  $\{f_\lambda\}$  of any  $f$  in the full class  $L$ . We say in this case that  $f_\lambda(\mathbb{D})$  is an “inner quasidisk.” The smoothness conditions satisfied by  $f \in L_0$  are needed to show that  $\Omega_\lambda$  satisfies condition (ii).

*Case (ii):* Assume that for any constant  $K > 1$  there exist a  $z_0 \in \mathbb{C}$ , an  $r > 0$ , and points  $z_1, z_2 \in \Omega_\lambda \setminus B(z_0, r)$  which cannot be joined in  $\Omega_\lambda \setminus B(z_0, r/K)$ .

The two points  $z_1, z_2$  can be joined to arcs in the set  $\partial B(z_0, r) \cap \Omega_\lambda$ , and so we may assume  $z_1, z_2 \in \partial B(z_0, r)$ . Also, there must exist an arc  $\tau$  of the set  $\partial B(z_0, r/K) \cap \Omega_\lambda$  which separates  $z_1$  and  $z_2$  in  $\Omega_\lambda$ . Let  $c_\rho = \{\rho e^{i\theta} : 0 \leq \theta \leq 2\pi\}$  for  $0 < \rho < 1$ , and let  $\gamma_\rho = f_\lambda(c_\rho)$  with counterclockwise orientation. For  $\rho$  sufficiently close to 1, the arc  $\gamma_\rho$  will intersect  $\tau$  in at least two points  $\xi_1$  and  $\xi_2$ . Let  $\theta_j = \text{Arg } f_\lambda^{-1}(\xi_j)$ ,  $j = 1, 2$ , with  $\theta_2 > \theta_1$  and  $\delta = \theta_2 - \theta_1$ . We denote the maximum change in tangent on  $\gamma_\rho$  between  $\theta_1$  and  $\theta_2$  by

$$|\Delta_\delta \text{Arg}\{f'_\lambda(\rho e^{i\theta})\}|.$$

LEMMA 7. For all  $K > 0$ , there exists an  $\eta > 0$  and a  $\rho_0 < 1$  such that

$$|\Delta_\delta \text{Arg}\{f'_\lambda(\rho e^{i\theta})\}| \geq \pi(1 - \eta)$$

for  $\rho > \rho_0$  and for some pair of points  $\xi_1$  and  $\xi_2 \in \Omega_\lambda$ . Moreover,  $\eta \rightarrow 0$  as  $K \rightarrow \infty$ .

*Proof.* Let  $c_\rho, \gamma_\rho$ , and  $\tau$  be as above. We may choose  $\rho_0$  sufficiently close to 1, so that for  $\rho > \rho_0$  there exist two points  $\xi_1, \xi_2 \in \tau \cap \gamma_\rho$ , with a point  $\xi_3 \in \gamma_\rho$  in between  $\xi_1$  and  $\xi_2$  such that  $\xi_3 \notin B(z_0, r)$ .

Let  $l_1, l_2$  denote the lines passing through  $\xi_3$  and tangent to  $\partial B(z_0, r/K)$ , touching  $\partial B(z_0, r/K)$  at  $\alpha_1, \alpha_2$ . Let  $\pi\eta$  be the interior angle of the intersection of  $l_1$  and  $l_2$  (see Figure 1).

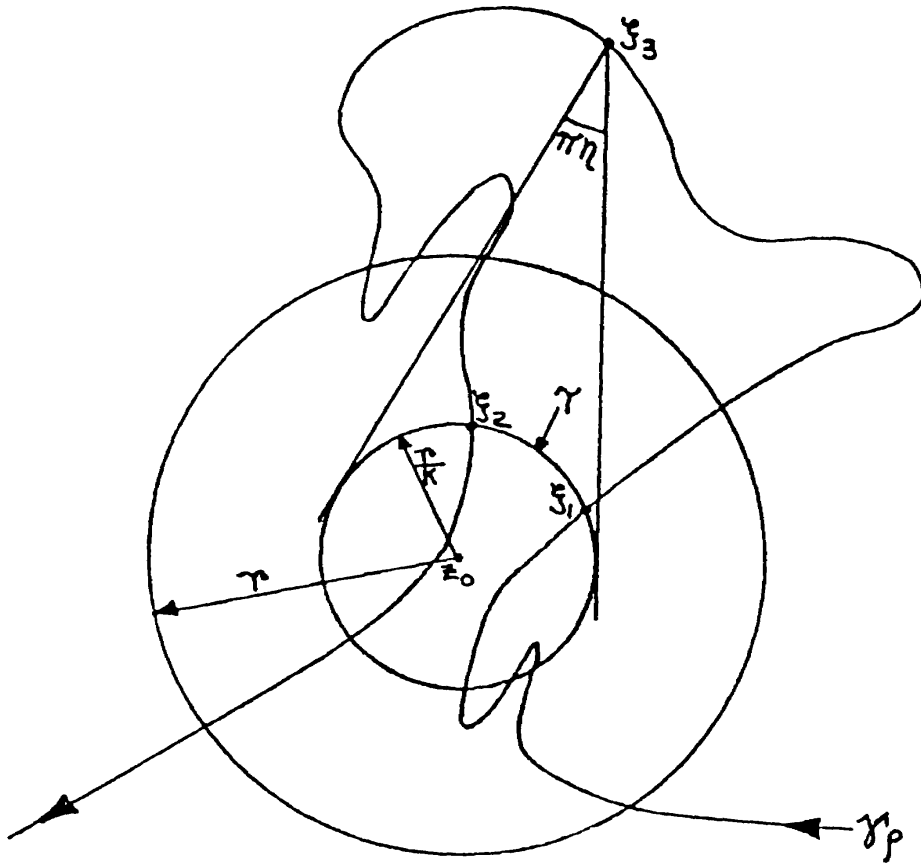


Figure 1 Elementary reductions for the second case



Since  $\xi_3 \notin B(z_0, r)$ ,

$$\pi\eta \leq 2 \sin^{-1}\left(\frac{1}{K}\right).$$

The arc consisting of the line segments connecting  $\alpha_1$ ,  $\xi_3$ , and  $\alpha_2$  has the minimal change in tangent of any continuous arc passing through those points. This arc has total change in tangent of  $\pi(1-\eta)$ .

The curve  $\gamma_\rho$  has a continuously turning tangent. Since this curve must pass through  $\xi_3$  and intersect  $\partial B(z_0, r/K)$  at  $\xi_1, \xi_2$ , there must exist two points on  $\gamma_\rho$  between  $\xi_1$  and  $\xi_2$  such that the change in tangent at those two points is at least  $\pi(1-\eta)$ . Therefore,

$$|\Delta_\delta \text{Arg}\{f'_\lambda(\rho e^{i\theta})\}| \geq \pi(1-\eta).$$

Clearly,  $\eta \rightarrow 0$  as  $K \rightarrow \infty$ . □

LEMMA 8. *Given any  $\epsilon > 0$ , there exists a  $\nu = \nu(\epsilon)$  independent of  $\theta$  such that for any  $\rho \leq 1$ ,*

$$|\Delta_{\theta_2-\theta_1} \text{Arg}\{f'_\lambda(\rho e^{i\theta})\}| < \pi\lambda + \epsilon$$

whenever  $|\theta_2 - \theta_1| < \nu$ . Furthermore,  $\nu \rightarrow 0$  as  $\epsilon \rightarrow 0$ .

*Proof.* Now  $f_\lambda = g_\lambda \circ h$ , with  $g'_\lambda(\omega) = p^\lambda(\omega)$  for  $\omega \in h(\mathbb{D})$  with  $h$  convex. Therefore,

$$(i) \quad |\Delta \text{Arg}\{f'_\lambda(\rho e^{i\theta})\}| \leq \lambda |\Delta \text{Arg}\{p(h(\rho e^{i\theta}))\}| + |\Delta \text{Arg}\{h'(\rho e^{i\theta})\}|.$$

Since  $\text{Re}\{p(\omega)\} > 0$ ,

$$(ii) \quad |\Delta \text{Arg}\{p(h(\rho e^{i\theta}))\}| \leq \pi$$

for all  $\theta$  and  $\rho$ .

Now  $h(\mathbb{D})$  is bounded, and  $\partial h(\mathbb{D})$  has a continuously turning tangent in  $\mathbb{C}$ . The curve  $c_\rho$  is smooth and compact for all  $\rho$ ,  $0 < \rho < 1$ . Therefore  $h'(\rho e^{i\theta})$  is uniformly continuous on  $c_\rho$ , and so given any  $\epsilon > 0$  there exists a  $\nu = \nu(\epsilon)$  independent of  $\theta$  where  $\nu \rightarrow 0$  as  $\epsilon \rightarrow 0$ , such that

$$(iii) \quad |\Delta_{\theta_2-\theta_1} \text{Arg}\{h'(\rho e^{i\theta})\}| < \epsilon$$

whenever  $|\theta_2 - \theta_1| < \nu$ . Moreover, as  $\partial h(\mathbb{D})$  has a continuously turning tangent, this estimate holds as  $\rho \rightarrow 1$ .

The proof of the lemma follows by combining (i), (ii), and (iii). □

Choose an  $f \in \mathbf{L}_0$ , and consider the family  $\{f_\lambda\}$  as defined in Section 2. The arc  $\tau$  discussed above is a Carathéodory crosscut. Let  $\omega_1, \omega_2$  be two points in  $\Omega_\lambda$  such that  $\tau$  separates  $\omega_1, \omega_2$  from  $f_\lambda(0)$ , and such that

$$\text{Arg}\{f_\lambda^{-1}(\omega_1)\} = \theta_1 < \theta_2 = \text{Arg}\{f_\lambda^{-1}(\omega_2)\}.$$

Therefore, by the Mazurkiewicz estimate (Lemma 5), for  $z_j = f_\lambda^{-1}(\omega_j)$ ,  $j = 1, 2$ ,

$$K_1 |z_1 - z_2|^2 \leq p(\omega_1 - \omega_2).$$

Since

$$p(\omega_1 - \omega_2) \leq \text{diam } \tau < 2\pi \left( \frac{r}{K} \right),$$

we have

$$K_1 \delta^2 \leq 2\pi \left( \frac{r}{K} \right).$$

Clearly,

$$2\pi \left( \frac{r}{K} \right) \leq 2\pi \left( \frac{\text{diam}(f_\lambda(\mathbb{D}))}{K} \right).$$

By Lemma 6,  $\text{diam}(f_\lambda(\mathbb{D})) < \infty$ , and so  $\delta \rightarrow 0$  as  $K \rightarrow \infty$ . Now Lemma 8 allows us to choose an  $\epsilon$  sufficiently small so that

$$|\Delta_\delta \text{Arg}\{f'_\lambda(\rho e^{i\theta})\}| < \pi\lambda + \epsilon$$

for all  $\rho$ . However, for  $K$  sufficiently large, by Lemma 7 there exists an  $\eta > 0$  such that

$$\pi(1 - \eta) > \pi\lambda + \epsilon,$$

and such that, for all  $\rho > \rho_0$ ,

$$|\Delta_\delta \text{Arg}\{f'_\lambda(\rho e^{i\theta})\}| \geq \pi(1 - \eta).$$

This contradicts Lemma 8. Therefore,  $\Omega_\lambda$  must satisfy condition (ii) of Gehring's criterion, and hence  $f_\lambda(\mathbb{D})$  is a quasidisk.  $\square$

#### 4. Proof of Theorem 1

Let  $f$  be an element of  $\mathbf{L}_0$ , and let  $\{f_\lambda\}$  denote the approximating family for  $0 < \lambda < 1$ . Lemma 2 proves that

$$\left\| \frac{f''_\lambda}{f'_\lambda} - \frac{f''}{f'} \right\| \rightarrow 0$$

as  $\lambda \rightarrow 1$ . By Theorem 2,  $f_\lambda(\mathbb{D})$  is a quasidisk, and therefore  $f''_\lambda/f'_\lambda$  is an element of  $\mathbf{T}$ . Thus,

$$\frac{f''}{f'} \in \text{cl}(\mathbf{T}).$$

#### 5. Subclasses of $\mathbf{L}_0$

In this section we prove Corollary 1. First, let  $f$  satisfy  $\text{Re}\{f'\} > 0$ . Then  $f$  is in the class  $\mathbf{L}_0$  with associated convex function  $h(z) = z$ .

Next, let  $f$  be a bounded starlike function. Since  $f$  is starlike, there exists a convex function  $h$  such that  $f(z) = zh'(z)$ . And, since  $\text{Re}\{zf'/f\} > 0$ ,

$$0 < \text{Re} \left\{ \frac{zf'}{f} \right\} = \text{Re} \left\{ \frac{f'}{h'} \right\}.$$

Thus  $f \in \mathbf{L}$ , with associated convex function

$$h(z) = \int_0^z \frac{f(\zeta)}{\zeta} d\zeta.$$

Because  $f$  is a bounded starlike function,  $f(\mathbb{D})$  does not contain any sectors. Thus, for the appropriate branch of the logarithm,  $\text{Arg}\{f(z)/z\}$  is continuous in  $\text{cl}(\mathbb{D})$ . But as  $\text{Arg}\{f(z)/z\} = \text{Arg}\{h'(z)\}$ ,  $\partial h(\mathbb{D})$  has a continuously turning tangent and therefore  $f \in \mathbf{L}_0$ .  $\square$

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Department of Mathematics and Statistics  
The American University  
Washington, DC 20016-8050

