

# A NON-NOETHERIAN TWO-DIMENSIONAL HILBERT DOMAIN WITH PRINCIPAL MAXIMAL IDEALS

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All rings considered in this paper are assumed to be commutative and to contain an identity element.

A. V. Geramita (personal communication) has raised the question of whether a Hilbert domain  $R$  is Noetherian if each maximal ideal of  $R$  is finitely generated. This question arises naturally in at least two contexts. First, the question arises in connection with the well-known theorem of I. S. Cohen to the effect that a ring  $S$  is Noetherian if each prime ideal of  $S$  is finitely generated [3, Theorem 2]; to wit, O. Goldman introduced the term *Hilbert ring* in [13, p. 136], and his definition of the term was a ring in which each prime ideal is an intersection of maximal ideals. (W. Krull independently considered the class of Hilbert rings in [18]; the terminology of [18, p. 354] for such rings is *Jacobson'sche Ringe*. In different terminology, a Hilbert ring is a ring in which each prime ideal is a *J-radical ideal*, or a *J-prime ideal* [22, p. 631]; for yet another perspective of Hilbert rings, see Section 1-3 of [17].) Second, the property that each of its maximal ideals is finitely generated is inherited by each polynomial ring  $R[X_1, \dots, X_n]$  in finitely many indeterminates over a Hilbert ring  $R$  [17, Exercise 8, p. 20]; a straightforward proof of this result can be obtained from the fact that a ring  $S$  is a Hilbert ring if and only if  $M \cap S$  is a maximal ideal of  $S$  for each maximal ideal  $M$  of  $S[X_1, \dots, X_n]$  (see [13, Theorem 5] or [18, Section 2]), but an alternate proof would follow at once from the Hilbert Basis Theorem if the answer to Geramita's question were affirmative. In Example 1, we construct a Hilbert domain that shows that the answer to Geramita's question is negative. (We use the term *Hilbert domain* to refer to a Hilbert ring that is also an integral domain.) Since a one-dimensional Hilbert domain (or a zero-dimensional Hilbert ring) with finitely generated maximal ideals is Noetherian by Cohen's theorem, such a domain  $D$  must have (Krull) dimension at least 2. We show, in fact, that there is a two-dimensional example  $D_0$  that is a Bezout domain (and hence maximal ideals of  $D_0$  are principal) and a subring of  $\mathbb{Q}(X)$ , the rational function field in one variable over the rational field  $\mathbb{Q}$ . (Examples of one-dimensional, non-Noetherian, Bezout, Hilbert rings with principal maximal ideals are fairly easy to obtain from the well-known  $D + M$  construction of [5, Appendix 2]; such rings must contain zero divisors, and a specific example of such a ring is mentioned in the paragraph following Example 1.)

Throughout the remainder of the paper, we use the following notation. Let  $D$  be a Dedekind domain with quotient field  $K$ , and for each element  $\alpha$  in  $A$ , an infinite set, let  $E_\alpha$  be an infinite family of maximal ideals of  $D$ , where  $E_\alpha \cap E_\beta = \emptyset$  if  $\alpha$  and  $\beta$  are distinct elements of  $A$ . Let  $\{d_\alpha\}_{\alpha \in A}$  be a subset of  $D$  such that  $d_\alpha \neq d_\beta$  for  $\alpha \neq \beta$ , and for each  $\alpha$  in  $A$ , let  $V_\alpha = K[X]_{(X-d_\alpha)}$ ; thus,  $V_\alpha$  is a rank-one discrete valuation ring of the form  $K + M_\alpha$ , where  $M_\alpha = (X - d_\alpha)K[X]_{(X-d_\alpha)}$

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is the maximal ideal of  $V_\alpha$ . Finally, let  $D_\alpha = \bigcap \{D_M : M \in E_\alpha\}$ , let  $J_\alpha = D_\alpha + M_\alpha$ , and let  $J = \bigcap_{\alpha \in A} J_\alpha$ . The structure of the domains  $J_\alpha$  is well-known (see Theorem A, Appendix 2, of [5]); we use this structure theorem to prove, in results numbered 1 through 10, that  $J$  is a two-dimensional Prüfer domain (hence,  $J$  is not Noetherian) that is also a Hilbert ring. Then by making some additional assumptions concerning the domain  $D$  and the sets  $E_\alpha$ , we obtain in Example 1 domains with the properties named in the title of the paper.

**RESULT 1.** *The domain  $D[X]$  is a subring of  $J$ , the quotient field of  $J$  is  $K(X)$ , and the valuative dimension of  $J$  is 2.*

*Proof.* Clearly  $D$  is contained in  $J$ , and since  $X = d_\alpha + [X - d_\alpha]$  is in  $J_\alpha$  for each  $\alpha$ , it follows that  $D[X]$  is a subring of  $J$ ; whence  $K(X)$  is the quotient field of  $J$ . Since  $\dim_v D[X] = 2 = \dim_v J_\alpha$  for each  $\alpha$ , and since  $D[X] \subseteq J \subseteq J_\alpha$ , it follows that the valuative dimension of  $J$  is 2.

**RESULT 2.** *If  $M \in E_\alpha$ , then  $MJ$  is a maximal ideal of  $J$  of height 2. The unique height-one prime of  $J$  contained in  $MJ$  is  $M_\alpha \cap J$ . For each positive integer  $n$ , the residue class rings  $J/M^n J$  and  $D/M^n$  are isomorphic.*

*Proof.* We establish first the last statement of Result 2. Since  $D$  is a Dedekind domain, the ideal  $M^n$  is invertible. Hence,  $M^n J$  is also invertible, so that  $M^n J = M^n J \left( \bigcap_{\beta} J_\beta \right) = \bigcap_{\beta} (M^n J) J_\beta = \bigcap_{\beta} M^n J_\beta$  [9, Exercise 17, p. 80]. Since  $M^n$  is a subset of  $D_\beta$  for each  $\beta$  in  $A$ , it follows that

$$M^n J_\beta = M^n (D_\beta + M_\beta) = M^n D_\beta + M_\beta.$$

Because  $D$  is a Dedekind domain and the sets  $E_\beta$  are pairwise disjoint, we have  $M^n D_\beta = D_\beta$  for  $\beta \neq \alpha$ , while  $M^n D_\alpha = (MD_\alpha)^n$  [4, Theorem 4]. Returning to the equality  $M^n J = \bigcap_{\beta} M^n J_\beta$ , we conclude that

$$M^n J = M^n J_\alpha \cap \left( \bigcap_{\beta \neq \alpha} J_\beta \right) = M^n J_\alpha \cap J.$$

Therefore  $M^n J \cap D = (M^n J_\alpha \cap J) \cap D = (M^n D_\alpha + M_\alpha) \cap D = M^n D_\alpha \cap D$ ; because  $D$  is a Dedekind domain and since  $D_M = (D_\alpha)_{MD_\alpha}$  is a rank-one discrete valuation ring with maximal ideal  $MD_M = (MD_\alpha)_{MD_\alpha}$ , it follows that

$$M^n J \cap D = M^n D_\alpha \cap D = M^n D_M \cap D = M^n.$$

Thus, to within isomorphism,

$$\begin{aligned} D/M^n &\subseteq J/M^n J \subseteq J_\alpha/M^n J_\alpha = D_\alpha/M^n D_\alpha = (D_\alpha)_{M_\alpha}/M^n (D_\alpha)_{M_\alpha} \\ &= D_M/M^n D_M = D/M^n, \end{aligned}$$

and  $D/M^n$  and  $J/M^n J$  are isomorphic, as asserted. In particular,  $J/MJ \simeq D/M$  so that  $MJ$  is an invertible maximal ideal of  $J$ . Therefore,  $\bigcap_{n=1}^{\infty} M^n J$  is the unique maximal prime ideal properly contained in  $MJ$  [9, Theorem (7.6)]. As noted above,  $\bigcap_1^{\infty} M^n J = \bigcap_1^{\infty} (M^n J_\alpha \cap J) = \left( \bigcap_1^{\infty} M^n J_\alpha \right) \cap J = M_\alpha \cap J$ ; moreover,

$M_\alpha \cap J \neq (0)$  — for example,  $X - d_\alpha$  is in  $M_\alpha \cap J$ . Hence,  $MJ$  has height at least 2. Since  $\dim J \leq \dim_v J$ , which is 2 by Result 1, we conclude that  $\dim J = 2$  and that  $MJ$  has height 2, as asserted.

Henceforth we use  $P_\alpha$  to denote the height-one prime ideal  $M_\alpha \cap J$  of  $J$ , and we use the letter  $E$  to denote  $\bigcup_{\alpha \in A} E_\alpha$ .

**RESULT 3.** *No rank-one valuation overring of  $J$  is centered on an ideal of the form  $MJ$ , for  $M$  in  $E$ .*

*Proof.* If some rank-one valuation overring  $V$  of  $J$  were centered on  $MJ$ , where  $M$  is in  $E_\alpha$ , then the equality  $\bigcap_{n=1}^\infty M^n V = (0)$  would imply that  $P_\alpha = \bigcap_{n=1}^\infty M^n J = (0)$ , contrary to Result 2.

**RESULT 4.** *The equality  $J_{P_\alpha} = V_\alpha$  holds for each  $\alpha$  in  $A$ .*

*Proof.* We clearly have  $J_{P_\alpha} \subseteq (J_\alpha)_{M_\alpha} = V_\alpha$ . Moreover, since  $M_\alpha \cap K = (0)$ , it follows that  $P_\alpha \cap D = (0)$  so that  $K[X] \subseteq J_{P_\alpha}$ , a one-dimensional quasilocal overring of  $K[X]$ . Thus,  $J_{P_\alpha}$  is a rank-one discrete valuation ring contained in  $V_\alpha$ , and  $J_{P_\alpha} = V_\alpha$ , as we wished to prove.

The proof of the next result uses the following lemma.

**LEMMA 1.** *Assume that  $R$  is a quasilocal domain with principal maximal ideal  $mR \neq (0)$  and that  $Q = \bigcap_{n=1}^\infty m^n R$ . Then  $QR_Q \subseteq R$ , and if  $R_Q$  is a valuation ring, then so is  $R$ .*

*Proof.* Consider  $q \in Q$ ,  $s \in R - Q$ . If  $s \notin mR$ , then  $s$  is a unit of  $R$  and  $q/s \in R$ . If  $s \in mR$ , then  $s \notin Q = \bigcap_{n=1}^\infty m^n R$  implies that there exists a positive integer  $k$  such that  $s \in (m^k R - m^{k+1} R)$ . Thus  $s = m^k t$ , where  $t$  is a unit of  $R$ , and  $q/s = (q/m^k)t^{-1}$ , where  $q/m^k \in R$  since  $q \in Q \subseteq m^k R$ ; consequently,  $q/s \in R$  and  $QR_Q \subseteq R$ , as asserted. Note, in fact, that  $QR_Q = Q$  since  $Q$  is prime in  $R$ . Thus if  $R_Q$  is a valuation ring, then  $R$  is a valuation ring, for  $R$  is the inverse image, under the canonical homomorphism, of the rank-one discrete valuation ring  $R/Q$  on the field  $R_Q/QR_Q = R_Q/Q$  [21, (11.4)].

**RESULT 5.** *For each  $M$  in the set  $E$ , the ring  $J_{MJ}$  is a valuation ring.*

*Proof.* Result 5 follows at once from Results 2 and 4 and from Lemma 1.

**RESULT 6.** *If  $V$  is a nontrivial valuation overring of  $J$  that is not in the set  $\{V_\alpha\}_{\alpha \in A} \cup \{J_{MJ} : M \in E\}$ , then  $V$  is of the form  $K[X]_{(f(X))}$ , where  $f(X)$  is an irreducible monic polynomial in  $K[X]$  distinct from each  $X - d_\alpha$ . Such a valuation ring is a quotient ring of  $J$ , and hence  $J$  is a Prüfer domain.*

*Proof.* Let  $P$  be the maximal ideal of  $V$  and let  $D^* = \bigcap_{\alpha \in A} D_\alpha$ . Then  $P \cap D^*$  is prime in  $D^*$  so that  $P \cap D^* = (0)$  or  $P \cap D^* = MD^*$  for some  $M \in E$ . In the second case  $P \cap J$  contains  $MJ$ , so that  $V \supseteq J_{MJ}$ , a rank-two valuation ring. Therefore,  $V = J_{MJ}$  or  $V = V_\alpha$ , where  $M \in E_\alpha$ . It follows that the first case occurs; that is,  $P \cap D^* = (0)$ . Thus  $K[X] \subseteq V$ , so that  $V = K[X]_{(f(X))}$  for some irreducible monic polynomial  $f(X) \in K[X]$ . Since  $V \neq V_\alpha = K[X]_{(X-d_\alpha)}$  for each  $\alpha \in A$  by assumption, it follows that  $f(X)$  is not one of the polynomials  $X - d_\alpha$ .

We have  $D^* - \{0\} \subseteq J - P$ , and hence  $K[X] \subseteq J_{P \cap J} \subseteq V$ . Since  $V$  is a quotient ring of  $K[X]$ , it is also a quotient ring of  $J_{P \cap J}$ , and hence of  $J$ . From Results 4 and 5, it then follows that each valuation overring of  $J$  is a quotient ring of  $J$ ; whence  $J$  is a Prüfer domain [5, p. 334].

According to the terminology of [10], a ring  $R$  has the  $n$ -generator property if each finitely generated ideal of  $R$  can be generated by  $n$  elements. An outstanding question in the theory of Prüfer domains is whether a Prüfer domain has the 2-generator property (see, for example, [6], [12], [7], [8], [19], [1], [24], [16], [15], [2], and [25]). Thus, each time a new construction of Prüfer domains appears in the literature, it is natural to ask if the construction yields Prüfer domains without the 2-generator property. We therefore interrupt our main line of development to prove that the Prüfer domains  $J$  of this paper have the 2-generator property.

**RESULT 7.** *If  $B$  is a nonzero ideal of  $D$ , then  $J/BJ$  is a homomorphic image of  $D/B$  so that  $J/BJ$  is a principal ideal ring. Each finitely generated fractional ideal of  $J$  can be generated by two elements. If  $J$  is a Bezout domain, then each  $D_\alpha$  is a principal ideal domain; if  $D^* = \bigcap \{D_M: M \in E\}$  is a principal ideal domain, then  $J$  is a Bezout domain.*

*Proof.* The ideal  $B$  is uniquely expressible in the form  $B_1 B_2$ , where each maximal ideal of  $D$  containing  $B_1$  is in  $E$ , while no maximal ideal of  $D$  containing  $B_2$  is in  $E$ . An argument similar to that used in the proof of Result 2 shows that  $B_2 J = J$ , and hence  $B_1 J = B_1 J$ . Since  $D/B_1 \simeq (D/B)/(B_1/B)$  is a homomorphic image of  $D/B$ , the first statement of Result 7 will follow from the relation  $D/B_1 \simeq J/B_1 J$ , which we proceed to establish. Thus  $B_1$  is a finite product  $M_1^{e_1} \cdots M_t^{e_t}$  of distinct maximal ideals  $M_i$  in  $E$ ; moreover, the ideals  $M_i^{e_i}$ ,  $1 \leq i \leq t$ , are pairwise comaximal so that  $D/B_1 \simeq (D/M_1^{e_1}) \oplus \cdots \oplus (D/M_t^{e_t})$ . Similarly,

$$J/B_1 J \simeq (J/M_1^{e_1} J) \oplus \cdots \oplus (J/M_t^{e_t} J),$$

and since  $D/M_i^{e_i} \simeq J/M_i^{e_i} J$  by Result 2, the relation  $D/B_1 \simeq J/B_1 J$  then follows.

Since  $K(X)$  is the quotient field of  $J$ , to prove that each finitely generated fractional ideal of  $J$  is generated by two elements, it suffices to prove that if  $\{f_1, \dots, f_t\}$  is a finite set of nonzero elements of  $K[X]$ , where  $t \geq 2$ , then  $F = \{f_1, \dots, f_t\}J$  can be generated by two elements. Moreover, there is no loss of generality in assuming that the greatest common divisor of  $f_1, \dots, f_t$  in  $K[X]$  is 1. Thus,  $1 = \sum_{i=1}^t f_i g_i$  for some  $g_1, \dots, g_t$  in  $K[X]$ . Choose nonzero elements  $d_1, d_2$  of  $D$  such that  $d_1 f_i$  and  $d_2 g_i$  are in  $D[X]$  for each  $i$ . Then  $d_1 d_2 = \sum_{i=1}^t (d_1 f_i)(d_2 g_i)$  is in  $d_1 F$ , an integral ideal of  $J$ . Since  $J/d_1 d_2 J$  is a principal ideal ring, it follows that  $d_1 F$  can be generated by two elements (one of which can be chosen to be  $d_1 d_2$ ), and consequently,  $F$  also can be generated by two elements.

If  $J$  is a Bezout domain, then each overring of  $J$  is also a Bezout domain; in particular, each  $D_\alpha + M_\alpha$  is a Bezout domain, and this implies that each  $D_\alpha$  is a (Noetherian) Bezout domain [12, p. 148]; that is, a principal ideal domain (PID).

In proving that  $D^*$  a PID implies that  $J$  is a Bezout domain, note that there is no loss of generality in assuming that  $D = D^*$ . This is true since  $\{MD^*: M \in E\}$  is the set of maximal ideals of  $D^*$  and since

$$D_\alpha = \bigcap \{D_M : M \in E_\alpha\} = \bigcap \{(D^*)_{MD^*} : M \in E_\alpha\} \quad \text{for each } \alpha.$$

Thus, we assume that  $D$  is a PID and that  $E$  is the set of maximal ideals of  $D$ . To prove that  $J$  is a Bezout domain, it suffices to prove that if  $f$  and  $g$  are nonzero elements in  $D[X]$  with greatest common divisor 1, then  $B = \{f, g\}J$  is principal. As shown in the second paragraph of this proof, the ideal  $B$  contains a nonzero element  $b$  of  $D$ . Then  $bD = M_1^{e_1} \cdots M_t^{e_t}$  is a finite product of maximal ideals of  $D$  and  $bJ = (M_1 J)^{e_1} \cdots (M_t J)^{e_t} \subseteq B$ . It then follows easily from the fact that  $J/bJ$  is a principal ideal ring with maximal ideals  $M_1 J/bJ, \dots, M_t J/bJ$  that there exist integers  $f_1, \dots, f_t$ , with  $0 \leq f_i \leq e_i$  for each  $i$ , such that

$$B = (M_1 J)^{f_1} \cdots (M_t J)^{f_t} = M_1^{f_1} \cdots M_t^{f_t} J.$$

Therefore,  $B$  is principal since each  $M_i$  is principal. This completes the proof of Result 7.

The reduction in Result 7 to the case where  $D$  is equal to  $D^*$  could have been made initially; thus we could have assumed, without loss of generality, that  $\{E_\alpha\}_{\alpha \in A}$  is a partition of the set of *all* maximal ideals of  $D$ , but the (apparently) more general approach seemed advantageous to us. We remark that  $J$  may be a Bezout domain although  $D^*$  is not a PID; for example, if  $D^*$  has finite class group, then  $D^*$  can be expressed as the intersection of two overrings  $D_1$  and  $D_2$  that are principal ideal domains [9, Exercise 5, p. 505], and Theorem 3.6 of [8] implies that  $J$  is a Bezout domain for this choice of  $D^*$ ,  $D_1$ , and  $D_2$ .

We have considered variations on the construction of  $J$  in attempting to provide an example of a Prüfer domain that does not have the 2-generator property. One such variation is to replace the Dedekind domain  $D$  by a Prüfer domain  $D'$  of dimension greater than 1 such that maximal ideals of  $D'$  are finitely generated; a difficulty in this approach is in showing that the resulting domain (call it  $J'$ ) is a Prüfer domain. The question of whether each Prüfer domain that is a subring of  $Q(X)$ , say, has the 2-generator property may be regarded as a test case for certain modifications in the construction; that is, any modification in the construction of  $J$  that yielded an answer to the preceding question would be a significant step.

We return to the main theme of the paper, Geramita's question. The initial assumptions that the set  $A$  and each of the sets  $E_\alpha$  is infinite are used for the first time in the proof of the next result. (For  $A$  finite, however, our construction is a special case of Section 3 of [8].)

**RESULT 8.** *The ring  $J$  is a Hilbert domain.*

*Proof.* It suffices to prove: (a) each  $P_\alpha$  is an intersection of maximal ideals of  $J$ , and (b)  $\bigcap_{\alpha \in A} P_\alpha = (0)$ . Statement (a) follows since  $M_\alpha = \bigcap \{MJ_\alpha : M \in E_\alpha\}$ , so that  $P_\alpha = M_\alpha \cap J = \bigcap_{M \in E_\alpha} (MJ_\alpha \cap J) = \bigcap_{M \in E_\alpha} MJ$ , where each  $MJ$  is maximal in  $J$ . (The equality  $M_\alpha = \bigcap_{M \in E_\alpha} MJ_\alpha$  depends upon the assumption that  $E_\alpha$  is infinite.) Since  $\{V_\alpha\}_{\alpha \in A}$  is an infinite subset of the family of nontrivial valuation overrings of the domain  $K[X]$ , it follows easily that

$$(0) = \bigcap_{\alpha \in A} M_\alpha = \bigcap_{\alpha} (M_\alpha \cap J) = \bigcap_{\alpha} P_\alpha,$$

and this is the content of (b).

Results 1 through 8 show that the domain  $J$  is a two-dimensional non-Noetherian Hilbert ring that is also a Prüfer domain with the 2-generator property. Moreover,  $\{MJ: M \in E\}$  is a family of finitely generated maximal ideals of  $J$ , and Result 6 shows that the other maximal ideals (if any) arise as the centers on  $J$  of the essential valuation rings  $K[X]_{(f(X))}$  of  $K[X]$  (where  $f(X)$  is monic irreducible and distinct from each  $X - d_\alpha$ ) that contain  $J$ ; we point out later that (infinitely many) such maximal ideals may exist. The next two results will be used in Example 1 to show that suitable restrictions placed on the sets  $E_\alpha$  imply that no such valuation ring contains  $J$ , and hence  $\{MJ: M \in E\}$  is the set of maximal ideals of  $J$ .

**RESULT 9.** *Assume that  $f(X) \in K[X]$ . Then  $f(X) \in J$  if and only if  $f(d_\alpha) \in D_\alpha$  for each  $\alpha$ . If  $f(X) \in J$ , then  $f(X)$  is a unit of  $J$  if and only if  $f(d_\alpha)$  is a unit of  $D_\alpha$  for each  $\alpha$ .*

*Proof.* It is clear that  $f(X) \in J \iff f(X) \in J_\alpha$  for each  $\alpha$ , and that  $f(X)$  is a unit of  $J \iff f(X)$  is a unit of each  $J_\alpha$ .

Now  $f(X) = f(d_\alpha) + (X - d_\alpha)[(f(X) - f(d_\alpha))/(X - d_\alpha)]$ , where

$$[(f(X) - f(d_\alpha))/(X - d_\alpha)]$$

is in  $K[X]$ . Therefore,

$$f(X) \in J_\alpha \iff f(d_\alpha) \in J_\alpha \iff f(d_\alpha) \in J_\alpha \cap K = D_\alpha.$$

If  $f(X) \in J_\alpha$ , then  $f(X)$  is a unit of  $J_\alpha \iff f(d_\alpha)$  is a unit of  $D_\alpha$ .

**RESULT 10.** *Assume that  $f(X) \in K[X] - K$ , that  $f(d_\alpha) \neq 0$  for each  $\alpha$  in  $A$ , and that there are only finitely many elements  $\alpha$  in  $A$  such that  $f(d_\alpha)$  is a nonunit of  $D_\alpha$ ; if this finite set is  $\{\alpha_i\}_{i=1}^t$ , then  $\xi = (X - d_{\alpha_1}) \cdots (X - d_{\alpha_t})/f(X)$  is in  $J$ .*

*Proof.* For each  $\alpha$  in  $A - \{\alpha_i\}_{i=1}^t$ , the element  $f(d_\alpha)$  is a unit of  $D_\alpha$ , so by Result 9, the element  $\xi$  is in  $J_\alpha$  for each such  $\alpha$ . And since  $f(d_{\alpha_i}) \neq 0$ ,  $f(X)$  is a unit of  $V_{\alpha_i}$  so that  $\xi \in M_{\alpha_i} \subset J_{\alpha_i}$ . Consequently,  $\xi \in \bigcap_{\alpha \in A} J_\alpha = J$ , as asserted.

While it is possible to continue a structure theory of  $J$  in the general setting of  $D$ ,  $\{E_\alpha\}_{\alpha \in A}$ , and  $\{d_\alpha\}_{\alpha \in A}$ , we choose to pass now to a more concrete setting; while  $D$ ,  $A$ , and the elements  $d_\alpha$  are specified in Example 1, much flexibility remains in the choice of the sets  $E_\alpha$ .

*Example 1.* In the notation used up to this point, take  $D$  to be  $Z$ , the ring of integers, let  $A$  be  $Z^+$ , the set of positive integers, and let  $d_i = i$  for each  $i$  in  $Z^+$ . We shall specify the elements of  $E_1, E_2, \dots$  by means of their positive generators; that is, each  $E_i$  will be described as an infinite set of positive prime integers, and for  $i \neq j$ , the sets  $E_i$  and  $E_j$  are to be disjoint. We use the symbol  $Z_t$  instead of  $D_t$ , but otherwise our notation— $J_t, V_t, M_t, P_t, K$ , etc.—is consistent with the notation already established. Our guiding principle in the choice of the sets  $E_1, E_2, \dots$  is to insure that for each polynomial  $f(X)$  in  $Q[X]$  that has no positive integer as a root,  $f(t)$  is a nonunit of  $Z_t$  for only finitely many integers  $t$ . We prove at once that if the sets  $E_i$  are so chosen, then no essential valuation ring  $Q[X]_{(g(X))}$  of  $Q[X]$ , where  $g(X)$  is monic, irreducible, and  $g(i) \neq 0$  for each  $i$  in  $Z^+$ , contains  $J$ , and hence the (Bezout) domain  $J$  provides an example showing that the answer to Geramita's question is negative. Thus, if  $g(t)$  is a unit of  $Z_t$  for each positive

integer  $t$ , then Result 9 implies that  $1/g(X)$  is in  $J$ , but not in  $\mathbb{Q}[X]_{(g(X))}$ . Otherwise, let  $\{t_i\}_{i=1}^k$  be the finite set of positive integers  $t$  such that  $g(t)$  is a nonunit of  $Z_t$ ; Result 10 implies that the element  $\xi = (X - t_1) \cdots (X - t_k)/g(X)$  is in  $J$ , but  $\xi$  is not in  $\mathbb{Q}[X]_{(g(X))}$ . We therefore proceed to establish the existence of sets  $E_1, \dots, E_n, \dots$  satisfying the required conditions.

Let  $\{f_i(X)\}_{i=1}^\infty$  be the set of monic irreducible polynomials in  $\mathbb{Q}[X]$  that are distinct from  $X - 1, X - 2, \dots$ , let  $\Pi$  denote the set of prime integers, and for  $p \in \Pi$ , denote by  $v_p$  the  $p$ -adic valuation on  $\mathbb{Q}$ .

Consider  $f_1(1)$ . The set  $S_1$  of prime integers  $p$  such that  $v_p(f_1(1)) \neq 0$  is finite. Partition the set  $\Pi - S_1$  into two infinite sets  $E_1$  and  $T_1$ . Consider  $f_1(2)$  and  $f_2(2)$ . The set  $S_2$  of primes  $p$  in  $T_1$  such that  $v_p$  has nonzero value on one of these two rational numbers is finite. Partition  $T_1 - S_2$  into two infinite sets  $E_2$  and  $T_2$ . Then consider  $f_1(3), f_2(3),$  and  $f_3(3)$ . The set  $S_3$  of primes  $p$  in  $T_2$  such that  $v_p$  has nonzero value on one of these rational numbers is finite. Partition  $T_2 - S_3$  into infinite subsets  $E_3$  and  $T_3$ , etc. We claim that for  $n, t \in \mathbb{Z}^+$ , with  $n \leq t$ ,  $f_n(t)$  is a unit of  $Z_t$ . If  $p \in E_t$ , then  $p \in T_{t-1} - S_t$ , and hence  $v_p(f_n(t)) = 0$ . Therefore,  $f_n(t)$  is a unit of  $Z_t$  since  $f_n(t)$  is a unit of  $Z_{pZ}$  and  $Z_t = \bigcap_{p \in E_t} Z_{pZ}$ . Since each  $f_i(X)$  has the property that  $f_i(t)$  is a nonunit of  $Z_t$  for only finitely many integers  $t$ , it follows that each nonconstant polynomial  $f(X) \in \mathbb{Q}[X]$  with no root in  $\mathbb{Z}^+$  has the same property. Thus endeth the construction of the desired example.

As noted in the introduction, Cohen's theorem implies that a one-dimensional Hilbert domain is Noetherian if each of its maximal ideals is finitely generated. On the other hand, examples of one-dimensional non-Noetherian Hilbert rings  $R$  in which each maximal ideal is principal are fairly easy to come by. For instance, let  $D = \mathbb{Z} + X\mathbb{Q}[[X]]$  and let  $R_0 = D/X^2D$ ; that  $R_0$  is an appropriate example follows from the well-known structure of the domain  $D$ . We remark that other such examples are easily obtained from the domains  $J$  of Example 1; for since  $J$  is a two-dimensional Bezout domain in which each maximal ideal has height 2 (in alternate terminology [23, p. 510], [9, p. 383], the domain  $J$  satisfies the first chain condition for prime ideals), each height-one prime  $P$  of  $J$  is not finitely generated [9, p. 289], so that if  $y$  is a nonzero element of  $P$ , then  $J/yJ$  is an example of the kind of ring under discussion. The rings  $J/yJ$  are, of course, more complex than the ring  $R_0$  because of the theory needed to develop the structure of the domain  $J$ .

Do there exist examples of arbitrary dimension  $k \geq 2$  showing that the answer to Geramita's question is negative? Yes,  $J[X_1, \dots, X_{k-2}] = J^{(k-2)}$ , for  $J$  as in Example 1, is a  $k$ -dimensional non-Noetherian Hilbert domain, and each maximal ideal of  $J^{(k-2)}$  has a basis of  $k - 1$  elements. The domain  $J^{(k-2)}$  is not a Prüfer domain (if  $k > 2$ ); however, as an alternative to the explicit ring theoretic construction we give here, another way to obtain examples answering the Geramita question is to make use of the construction given in [14]. This construction via partially ordered abelian groups can be used to yield  $k$ -dimensional Hilbert, Bezout domains in which all maximal ideals are principal for each positive integer  $k$ . (The construction in [14] uses what Mott in [20] calls the Krull-Kaplansky-Jaffard-Ohm Pull-back Theorem; a key point in obtaining the  $k$ -dimensional Bezout domain in question is to start the construction in [14] with a group that is an infinite direct sum of copies of  $\mathbb{Z}$ , ordered lexicographically.)

Two questions that naturally arise in connection with Result 6 and Example 1 are the following.

(Q1) *In the notation of Result 6, is it, in fact, possible for  $J$  to have valuation overrings of the form  $K[X]_{(f(X))}$ ?*

(Q2) *If the answer to (Q1) is affirmative, is it nevertheless possible that the center on  $J$  of each such valuation overring  $K[X]_{(f(X))}$  of  $J$  is finitely generated, so that  $J$  already provides a negative answer to Geramita's question, with no additional restrictions imposed on  $D$  or on the sets  $E_\alpha$ ?*

In view of our further development of the paper after Result 6, the expected answer to (Q1) is affirmative, and we substantiate this expectation in Example 2 below by proving that even for  $D = \mathbb{Z}$ , the domain  $J$  may have infinitely many valuation overrings of the form  $K[X]_{(f(X))}$ . While one might predict (correctly, as it turns out) on the same basis that (Q2) has a negative answer, the actual situation in regard to (Q2) is perhaps surprising:

RESULT 11. *If a valuation ring  $V = K[X]_{(f(X))}$  contains  $J$ , with  $V$  not in the set  $\{V_\alpha\}_{\alpha \in A}$ , then the center of  $V$  on  $J$  is not finitely generated.*

*Proof.* Let  $P$  be the center of  $V$  on  $J$ . Since  $V = J_P$  contains

$$\begin{aligned} J &= \bigcap_{\alpha \in A} J_\alpha = \bigcap_{\alpha \in A} \left[ \bigcap \{ (J_\alpha)_{MJ_\alpha} : M \in E_\alpha \} \right] \\ &= \bigcap_{\alpha \in A} \left[ \bigcap \{ J_{MJ} : M \in E_\alpha \} \right] = \bigcap \{ J_{MJ} : M \in E \}, \end{aligned}$$

and since  $J$  is a Prüfer domain, it follows from Proposition 1.4 of [11] that each finitely generated ideal contained in  $P$  is contained in  $MJ$  for some  $M$  in  $E$ ; since  $P$  is maximal in  $J$  and is distinct from each  $MJ$ , it follows that  $P$  is not finitely generated.

We conclude the paper with a concrete example that proves the answer to (Q1) is affirmative.

*Example 2.* As in Example 1, we take  $D$  to be  $\mathbb{Z}$ ,  $A$  to be  $\mathbb{Z}^+$ , and we let  $d_i = i$  for each  $i$  in  $\mathbb{Z}^+$ ; similar conventions with respect to the symbols  $\{E_1, E_2, \dots\}$ ,  $Z_t$  and  $D_t, J_t, V_t$ , etc. also apply in this example. But for Example 2, we first observe that if  $E_1, E_2, \dots$  are infinite, pairwise disjoint subsets of the set  $\Pi$  of positive prime integers satisfying the following condition ( $\eta$ ), then  $Q[X]_{(X+k)}$  contains the domain  $J$  for each  $k \geq 0$ .

( $\eta$ ) *For each  $k \geq 0$ , there exist infinitely many positive integers  $r$  such that  $r + k$  has a prime factor in  $E_r$ .*

Having made this observation, we then proceed to establish existence of such sets  $E_1, E_2, \dots$ .

Thus, assume that  $E_1, E_2, \dots$  are infinite, pairwise disjoint subsets of  $\Pi$  satisfying condition ( $\eta$ ). We choose a nonzero element  $\alpha$  in

$$J = \bigcap_{i=1}^{\infty} \left[ \bigcap \{ Z_{pZ} + (X - i)Q[X]_{(X-i)} : p \in E_i \} \right],$$

we choose  $k \geq 0$ , and we prove that  $\alpha$  is in  $Q[X]_{(X+k)}$ . Thus, we express  $\alpha$  in the form  $(f_1/g_1)(X+k)^m$ , where  $f_1$  and  $g_1$  are elements of  $\mathbb{Z}[X]$  that do not vanish at



$\neq k$ , and  $m \in \mathbb{Z}$ ; our object is to prove that  $m \geq 0$ . Since the family  $\{E_i\}_{i=1}^{\infty}$  satisfies condition  $(\eta)$ , the set  $B$  of integers  $r$  satisfying the following four conditions is infinite:  $f_1(r) \neq 0$ ,  $g_1(r) \neq 0$ ,  $r + k$  has a prime divisor in  $E_r$ , and  $f_1(-k)$  has no prime divisor in  $E_r$ .

We write  $f_1(X)$  as  $a_0 + a_1(X + k) + \cdots + a_u(X + k)^u$ , where each  $a_i$  is an integer. Assume that  $r \in B$  and that  $p$  is a prime divisor of  $r + k$  in  $E_r$ . Result 9 implies that  $[f_1(r)/g_1(r)](r + k)^m$  has nonnegative value in the  $p$ -adic valuation  $v_p$  of  $\mathbb{Q}$ . If  $m$  were negative, it would then follow that

$$f_1(r) = a_0 + a_1(r + k) + \cdots + a_u(r + k)^u$$

has positive  $v_p$ -value; since  $p$  divides  $r + k$ , this assertion would imply that  $p$  divides  $a_0 = f_1(-k)$ , contrary to the choice of the set  $B$ . Consequently,  $m \geq 0$  and  $\alpha \in \mathbb{Q}[X]_{(X+k)}$ , as we wished to prove.

We establish the existence of subsets  $E_1, E_2, \dots$  of the set  $\Pi$  of prime integers such that  $\{E_i\}_{i=1}^{\infty}$  satisfies condition  $(\eta)$ . We describe each of the sets  $E_i$  as a union of subsets  $\{S_{ji}\}_{j=0}^{\infty}$ , as follows. We partition  $\Pi$  into two infinite subsets  $A_0$  and  $B_0$ , and then we partition  $A_0$  into a countably infinite family  $\{S_{0i}\}_{i=1}^{\infty}$  of infinite subsets. The sets  $\{S_{1i}\}$  are then determined as follows. We partition  $B_0$  into infinite subsets  $A_1$  and  $B_1$ ; then  $S_{1i}$  is  $\{i\}$  or  $\emptyset$ , according as  $i$  is, or is not, in  $A_1$ . Now partition  $B_1$  into infinite subsets  $A_2$  and  $B_2$ , and for  $i \in \mathbb{Z}^+$ , define  $S_{2i}$  to be  $\{i + 1\}$  if  $i + 1$  is in  $A_2$ , and  $\emptyset$  otherwise. We continue this procedure by induction, obtaining subsets  $E_1, E_2, \dots$  of  $\Pi$  defined by  $E_i = \bigcup_{j=0}^{\infty} S_{ji}$  for each  $i$ . The family  $\{E_i\}_{i=1}^{\infty}$  satisfies condition  $(\eta)$ . The proof that  $E_i \cap E_j = \emptyset$  for  $i \neq j$  is straightforward, and  $E_i$  is infinite since  $S_{0i}$  is an infinite subset of  $E_i$ . Finally, if  $k \geq 0$ , then for each integer  $r > k$  in the infinite set  $A_{r+1}$ , the integer  $r - k$  is such that  $k + (r - k) = r$  has a prime divisor  $r$  in  $S_{k+1, r-k} \subseteq E_{r-k}$ . This completes the presentation of Example 2.

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