

# ON CONJUGACY CLASSES IN THE TEICHMÜLLER MODULAR GROUP

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Let  $S$  be a compact Riemann surface of genus  $g$  with  $n$  punctures, and let  $M(g, n)$  be the Teichmüller modular group of  $S$ . Let  $\lambda(p, g, n)$  denote the number of conjugacy classes of elements of prime order  $p$  in  $M(g, n)$ . The purpose of this paper is to obtain an explicit formula for  $\lambda(p, g, n)$  when  $g \geq 2$ . (W.J. Harvey has considered this problem [1]. He obtained a generating function for  $\lambda(p, g, 0)$  in [1], but he has recently pointed out that his function actually gives the number of conjugacy classes of subgroups of  $M(g, 0)$  of order  $p$  and not  $\lambda(p, g, 0)$ .)

Let  $|M_{T,p}^x|$  be the number of distinct  $(p-1)$ -tuples of nonnegative integers  $(n_1, \dots, n_{p-1})$  with

$$\sum_{i=1}^{p-1} n_i = T \quad \text{and} \quad \sum_{i=1}^{p-1} i n_i \equiv x \pmod{p},$$

where  $T$  is a fixed integer. In Section 1 we obtain a set of invariants for each conjugacy class; these invariants make it clear that to compute  $\lambda(p, g, n)$  we need to know  $|M_{T,p}^x|$ . In Section 2 we compute  $|M_{T,p}^x|$ , and in Section 3 we compute  $\lambda(p, g, n)$ .

## 1. INVARIANTS FOR A CONJUGACY CLASS

Let  $S$  and  $S'$  be compact surfaces of genus  $g$  with  $n$  punctures, so that  $S = \bar{S} - Q$  and  $S' = \bar{S}' - Q'$ , where  $Q$  and  $Q'$  are sets of  $n$  points on the compact surfaces  $\bar{S}$  and  $\bar{S}'$ . Let  $h$  and  $h'$  be homeomorphisms of  $S$  and  $S'$ , respectively, whose  $p$ th powers are homotopic to the identity.

*Definition.* The pairs  $(S, h)$  and  $(S', h')$  are *topologically equivalent* if there is a homeomorphism  $f$  of  $S$  onto  $S'$  with  $fhf^{-1} \simeq h'$ , where  $\simeq$  denotes homotopy (see [3]). This is clearly an equivalence relation.

**LEMMA 1.** (i) *The number of conjugacy classes of elements of order  $p$  in  $M(g, n)$  is equal to the number of topological equivalence classes of pairs  $(S, h)$ , where  $S$  is of genus  $g$  with  $n$  punctures and  $h^p \simeq$  identity.*

(ii) *For each pair  $(S, h)$  there is an equivalent pair  $(S', h')$  in which  $h'$  is conformal.*

*Proof.* Fix a surface  $S$ . Given any pair  $(S', h')$ , let  $f$  be a homeomorphism of  $S'$  onto  $S$ . Then  $(S', h')$  is topologically equivalent to  $(S, fh'f^{-1})$ . Thus, to count topological equivalence classes we need only consider pairs where the surface is fixed. But  $(S, h)$  is equivalent to  $(S, h')$  if and only if  $h$  and  $h'$  are conjugate in  $M(g, n)$ .

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The second statement (ii) is an immediate consequence of the fact that every element of finite order in  $M(g, n)$  has a fixed point in the Teichmüller Space of  $S$ .

To count the number of conjugacy classes, we therefore need only look at topological equivalence classes in which the homeomorphism is conformal. We want to describe a set of invariants for these classes:

Let  $h$  be a conformal automorphism of  $S$  of prime order  $p$ . With  $S, \bar{S}$ , and  $Q$  as before, we see that  $h$  extends in a natural way to  $\bar{S}$ . We call the extension  $h$ , also, and we let  $\text{tr } h$  be the trace of the action of  $h$  on the first homology group of  $\bar{S}$ . Let  $T = 2 - \text{tr } h$ . C.-H. Sah has shown [4] that  $h$  has precisely  $T$  fixed points on  $\bar{S}$ . Let  $Q = \{q_1, \dots, q_n\}$ ; let  $P = \{p_1, \dots, p_T\}$  be the fixed points of  $h$ ; let  $P \cap Q = \{p_1, \dots, p_{n_0}\}$ ; let  $Q - (P \cap Q) = \{q_1, \dots, q_{n-n_0}\}$ ; and finally, let  $S_0 = (\bar{S} - (P \cup Q)) / \langle h \rangle$  be of genus  $g_0$ . The homeomorphism  $h$  permutes in orbits of length  $p$  the points of  $Q$  that it does not fix. Thus  $p$  divides  $n - n_0$ . Let  $s_0 = (n - n_0)/p$ .

Let  $F_0$  be the fundamental group of  $S_0$ , and let  $F$  be the defining subgroup of the covering  $\pi: \bar{S} - (P \cup Q) \rightarrow S_0$ . The group  $F_0$  has the presentation

$$(*) \quad \left\langle x_1, \dots, x_{s_0+n_0}, y_1, \dots, y_{T-n_0}, a_1, \dots, a_{g_0}, b_1, \dots, b_{g_0}: \right. \\ \left. x_1 \cdots x_{s_0+n_0} y_1 \cdots y_{T-n_0} \prod_{i=1}^{g_0} [a_i, b_i] = 1 \right\rangle .$$

We let  $X = \{x_1, \dots, x_{s_0+n_0}\}$  and  $Y = \{y_1, \dots, y_{T-n_0}\}$ . Since  $F$  is a normal subgroup of  $F_0$ ,  $F$  is the kernel of a homomorphism  $\phi$  of  $F_0$  onto  $Z_p$ , the integers modulo  $p$ . The homomorphism must satisfy

- (1)  $\phi(x_i) = 0$  for  $i = 1, \dots, s_0$ ,
- (2)  $\phi(x_i) \neq 0$  for each  $i$  greater than  $s_0$ ,
- (3)  $\phi(y_i) \neq 0$  for each  $i$ .

Let  $\ker \phi$  be the kernel of  $\phi$ .

Our aim is to identify  $h$  with a unique homomorphism of  $F_0$  onto  $Z_p$ . Clearly,  $h$  determines  $F$ .

**LEMMA 2.** *Let  $\phi$  and  $\psi$  be homomorphisms of  $F_0$  onto  $Z_p$ . Then  $\ker \phi = \ker \psi$  if and only if there is an integer  $r$  with  $0 < r < p$  and  $\phi = r\psi$ .*

*Proof.* Clearly,  $\ker \phi = \ker r\psi$  for each nonzero integer  $r$ . Conversely, assume  $\ker \psi = \ker \phi$ . Let  $k = \psi(x)$  for some fixed  $x$  in  $F$  with  $\phi(x) = 1$ . For every  $y$  in  $F_0$ ,  $\phi(yx^{-\phi(y)}) = 0$ . Thus  $\psi(yx^{-\phi(y)}) = 0$ . Therefore  $\psi(y) = \phi(y)\psi(x)$  or  $\psi(y) = k\phi(y)$ .

We can identify a given homomorphism  $\phi$  of  $F_0$  onto  $Z_p$  with a unique homeomorphism  $h_\phi$  and surface  $S_\phi$  as follows:

Let  $\tilde{S}$  be the covering of  $S_0$  with defining subgroup  $F = \ker \phi$ , where  $\phi$  satisfies (1), (2), and (3) above. Recall that  $\tilde{S}$  is the set of equivalence classes of pairs  $(p, \alpha)$ , where  $p$  is a point on  $S_0$  and  $\alpha$  is a curve on  $S_0$  from a fixed base point  $q_0$  to  $p$ . The pair  $(p, \alpha)$  is equivalent to the pair  $(q, \beta)$  if  $p = q$  and  $\alpha\beta^{-1}$  is in  $F$ .

Let  $[(p, \alpha)]$  denote the equivalence class of  $(p, \alpha)$ . The homomorphism  $\phi$  induces a homeomorphism  $\tilde{h}_\phi$  of  $\tilde{S}$  defined by  $\tilde{h}_\phi[(p, \alpha)] = [(p, \alpha + x)]$ , where  $x$  is any element of  $F_0$  for which  $\phi(x) = 1$ . The group of cover transformations is generated by  $\tilde{h}_\phi$  and is isomorphic to  $Z_p$ .

Since  $\phi$  satisfies (1), (2), and (3), there exist a compact surface  $\bar{S}$  and sets  $Q$  and  $P$  of (respectively)  $n$  and  $T$  points on  $\bar{S}$  such that  $P \cap Q$  contains  $n_0$  points and  $\tilde{S} = \bar{S} - (P \cup Q)$ . The homeomorphism  $\tilde{h}_\phi$  of  $\tilde{S}$  is actually conformal, and it extends to a conformal homeomorphism  $\bar{h}_\phi$  of  $\bar{S}$  with  $\bar{h}_\phi(Q) = Q$ . By construction,  $\bar{h}_\phi$  has  $T$  fixed points. We denote the restriction of  $\bar{h}_\phi$  to  $\bar{S} - Q$  by  $h_\phi$ , and we let  $S_\phi = \bar{S} - Q$ .

**LEMMA 3.** *Let  $(S, h)$  be a pair consisting of a compact surface  $S$  of genus  $g$  with  $n$  punctures and a conformal homeomorphism  $h$  of  $S$  of order  $p$ . Then there is a unique homomorphism  $\phi$  of  $F_0$  onto  $Z_p$  such that  $h = h_\phi$  and  $S = S_\phi$ .*

*Proof.* Using the same notation as before, we have a covering

$$\pi: \bar{S} - (P \cup Q) \rightarrow S_0.$$

We let  $F$  be the defining subgroup of this covering, and we assume that  $F = \ker \phi$ , where  $\phi$  is a homomorphism of  $F_0$  onto  $Z_p$ . By Lemma 2,  $F = \ker r\phi$  for all integers  $r$  between 0 and  $p$ , but  $F$  is not the kernel of any other homeomorphism.  $\bar{S} - (P \cup Q) = \tilde{S}$  and  $S = S_\phi = S_{r\phi}$  for all relevant integers  $r$ . The  $(p - 1)$  covering transformations  $h_\phi, h_{2\phi}, \dots, h_{(p-1)\phi}$  are all distinct. Exactly one of them,  $h_\phi$  say, must be the restriction of  $h$  to  $\bar{S} - (P \cup Q)$ , since this restriction is a covering transformation and there are only  $(p - 1)$  covering transformations that are not the identity. Since  $h$  and  $h_\phi$  agree on  $\tilde{S}$ , they must also agree on  $S_\phi = S$ .

*Remark.* Let  $r$  and  $t$  be integers between 0 and  $p$ . Assume  $rt \equiv 1 \pmod{p}$ . Then  $h_{r\phi} = (h_\phi)^t$ .

In what follows,  $\phi$  will always be a homomorphism of  $F_0$  onto  $Z_p$ , and  $F_0$  will have presentation (\*).

*Notation.* Denoting by  $|E|$  the cardinality of the set  $E$ , we associate with  $\phi$  the two sets of integers

$$\begin{aligned} N(\phi) &= (n_1, \dots, n_{p-1}), & \text{where } n_i &= |\phi^{-1}(i) \cap X|, \\ S(\phi) &= (s_0, \dots, s_{p-1}), & \text{where } s_i &= |\phi^{-1}(i) \cap Y|. \end{aligned}$$

*Remark.* Since  $\phi$  must preserve the defining relation of  $F_0$ , we see that

$$(4) \quad \sum_{i=1}^{p-1} i(s_i + n_i) \equiv 0 \pmod{p}.$$

*Notation.* Let  $(S, h)$  be any pair satisfying the hypotheses of Lemma 3. We associate with  $h$  the two sets of integers

$$N(h) = N(\phi) \quad \text{and} \quad S(h) = S(\phi),$$

where  $\phi$  is the homomorphism of Lemma 3 with  $h = h_\phi$ .

*Remark.*  $N(h)$  and  $S(h)$  depend upon  $\phi$ . If

$$N(h) = (n_1, \dots, n_{p-1}) \quad \text{and} \quad N(h^r) = (n'_1, \dots, n'_{p-1}),$$

then  $n'_x = n_x$ , where  $x \equiv ri \pmod{p}$ . The similar statement holds for  $S(h)$  and  $S(h^r)$ .

Next we fix one pair  $(S, h)$  with  $T$  and  $s_0$  also fixed. There are a finite number of homomorphisms  $\phi$  of  $F_0$  onto  $Z_p$  with  $|\phi^{-1}(0) \cap X| = s_0$ . This is true because from each finitely generated group there are only a finite number of homomorphisms to a finite group. Let  $\phi_1, \dots, \phi_r$  be a complete list of all such homomorphisms, and let  $\{(S_i, h_i)\}$  ( $i = 1, \dots, r$ ) be the corresponding surfaces and conformal automorphisms. (Here  $h_i = h_{\phi_i}$  and  $S_i = S_{\phi_i}$ ). Taking a subset, and renumbering if necessary, we may assume that all of these pairs are distinct and none are topologically equivalent. Note: If  $\phi_i$  appears in the list, so does  $r\phi_i$ , for each  $r$  ( $0 < r \leq p-1$ ). Let  $F_i = \ker \phi_i$ .

For each pair  $(S', h')$ , the symbols  $\bar{S}'$ ,  $P'$ , and  $Q'$  have the obvious meaning.

**LEMMA 4.** *Let  $S'$  be a compact surface of genus  $g$  with  $n$  punctures. Let  $h'$  be a conformal automorphism of  $S'$  of order  $p$ . Assume  $2 - \text{tr } h' = T$  and  $|P' \cap Q'| = n_0$ . Then  $(S', h')$  is topologically equivalent to  $(S_i, h_i)$  for some  $i$ .*

*Proof.* We let  $F'$  be the defining subgroup of the covering

$$\pi': (\bar{S}' - (P' \cup Q')) / \langle h' \rangle \rightarrow S'_0.$$

Let  $\tau$  be any homeomorphism of  $\bar{S}' / \langle h' \rangle$  onto  $\bar{S} / \langle h \rangle$ . We may assume that  $\tau(\pi'(P')) = \pi(P)$  and that  $\tau(\pi'(Q')) = \pi(Q)$ . Thus  $\tau$  maps  $S'_0$  onto  $S_0$  and induces an isomorphism  $\tau_*$  of  $F'_0$  onto  $F_0$ . Assume  $h' = h_\psi$ . Then  $\psi \circ \tau_*^{-1}$  is a homomorphism of  $F_0$  onto  $Z_p$ , with

$$|(\psi \circ \tau_*^{-1})^{-1}(0) \cap X| = s_0 = \frac{n - n_0}{p}.$$

Thus  $\psi \circ \tau_*^{-1} = \phi_i$  for some  $i$ . We can easily see that  $\tau$  must lift to a homeomorphism  $\tilde{\tau}$  of  $\bar{S}' - (Q' \cup P')$  onto  $S_i = \bar{S}_i - (P_i \cup Q_i)$ , with  $\tilde{\tau} h' \tilde{\tau}^{-1} \simeq h_i$ . The relations  $\tau(\pi'(Q')) = \pi(Q)$  and  $\tau(\pi'(P')) = \pi(P)$  imply that  $\tilde{\tau}$  extends to a map of  $S'$  onto  $S_i$ , with  $\tilde{\tau} h' \tilde{\tau}^{-1} \simeq h_i$ .

**COROLLARY 1.** *The number of conjugacy classes of elements  $h$  in  $M(g, n)$  of order  $p$  with  $\text{tr } h$  and  $s_0$  fixed is equal to the number of distinct pairs  $(S_i, h_i)$  that are not topologically equivalent.*

**LEMMA 5.** (i) *If  $T > 0$ , then  $(S_i, h_i)$  is topologically equivalent to  $(S_\phi, h_\phi)$ , where  $\phi$  is a homomorphism of  $F_0$  onto  $Z_p$  that sends all hyperbolic generators to 0.*

(ii) *If  $T = 0$ , all coverings of  $S_0$  are topologically equivalent.*

*Proof.* Let  $\phi$  and  $\psi$  be homomorphisms of  $F_0$  onto  $Z_p$ . If  $\sigma$  is an automorphism of  $F_0$  with  $\phi = \psi \circ \sigma$ , then  $(S_\phi, h_\phi)$  is topologically equivalent to  $(S_\psi, h_\psi)$ . This follows from basic covering theory, which shows that the homeomorphism of  $S_0$  that induces  $\sigma$  will lift to a homeomorphism of  $S_\phi$  onto  $S_\psi$  conjugating  $h_\phi$  into  $h_\psi$ .

The proof of Theorem 14 of [1] shows that corresponding to each homomorphism  $\phi$  of  $F_0$  onto  $Z_p$  there is an automorphism  $\sigma$  of  $F_0$  with the property that  $\phi \circ \sigma$  and  $\phi$  agree on the nonhyperbolic generators and  $\phi \circ \sigma$  sends all hyperbolic

generators to 0 except  $b_1$ . If  $T \neq 0$ , using the notation of Theorem 14 of [1], we replace  $\sigma$  by  $\sigma \circ \mathcal{A}_4^t$ , where  $t$  is the integer satisfying  $t\phi(y_{T-n_0}) \equiv -\phi(b_1) \pmod{p}$ .

If  $T = 0$ , let  $\phi \circ \sigma(b_1) = r$ . Let  $x$  satisfy  $rx + 1 \equiv 0 \pmod{p}$ . Let  $\psi(b_1) = 1$ , and let  $\psi$  agree with  $\phi \circ \sigma$  on all other generators of  $F_0$ . Let  $\tau_x, \sigma_r$ , and  $\delta$  be automorphisms of  $F_0$  that fix all generators except  $a_1$  and  $b_1$ . Let  $\tau_x(a_1) = a_1$  and  $\tau_x(b_1) = b_1 a_1^x$ . Let  $\sigma_r(a_1) = a_1 b_1^r$  and  $\sigma_r(b_1) = b_1$ . Let  $\delta(a_1) = a_1 b_1 a_1^{-1}$  and  $\delta(b_1) = a_1^{-1}$ . Then  $\psi \circ \delta \circ \sigma_r \circ \tau_x = \phi \circ \sigma$ .

**THEOREM 1.** *The conjugacy class of an element  $h$  in  $M(g, n)$  of prime order  $p$  is completely determined by  $S(h)$  and  $N(h)$ .*

*Proof.* Let  $\{(S_i, h_i)\}$  ( $i = 1, \dots, r$ ) be as in the paragraphs preceding Lemma 4. It suffices to show that  $(S_i, h_i)$  is topologically equivalent to  $(S_j, h_j)$  if and only if  $N(h_i) = N(h_j)$ ,  $S(h_i) = S(h_j)$ , and  $\text{tr } h_i = \text{tr } h_j$ . But by construction, all of these coverings have  $\text{tr } h_i = 2 - T$ , and two conformal maps can only be conjugate if they have the same number of fixed points.

By Lemma 5, if  $T \neq 0$ , we may assume  $\phi_i$  ( $i = 1, \dots, r$ ) sends all hyperbolic generators to 0. If  $T = 0$ , the theorem is just Lemma 5 (ii).

Assume  $(S_i, h_i)$  and  $(S_j, h_j)$  are topologically equivalent, and let  $\tau: S_i \rightarrow S_j$ , with  $\tau h_i \tau^{-1} \simeq h_j$ . Since  $h_i$  and  $h_j$  are conformal, we may assume, replacing  $\tau$  by the minimal quasiconformal map in its homotopy class, that  $\tau h_i \tau^{-1} = h_j$ , so that  $\tau$  induces an homeomorphism  $\tilde{\tau}$  of  $S_0$  and thus induces an automorphism  $\tau_*$  of  $F_0$  with  $\tau_*(F_i) = F_j$ . If  $\psi = \phi_j \circ \tau_*$ , one can verify that  $F_i = \ker \psi$ . By Lemma 2,  $\phi_j \circ \tau_* = k\phi_i$  for some integer  $k$ . We want to show  $k = 1$ . Let  $x$  and  $y$  be elements of  $F_0$  with  $\phi_i(x) = 1$  and  $\phi_j(y) = 1$ . We compute

$$\tau h_i[(p, \alpha)] = \tau[(p, \alpha + x)] = [(\tilde{\tau}(p), \tau_*(\alpha) + \tau_*(x))],$$

$$h_j \tau[(p, \alpha)] = h_j[(\tilde{\tau}(p), \tau_*(\alpha))] = [(\tilde{\tau}(p), \tau_*(\alpha) + y)],$$

where  $p$  is any point on  $S_0$  and  $\alpha$  any curve from  $q_0$ , the base point, to  $p$ . Since  $h_j \tau[(p, \alpha)] = \tau h_i[(p, \alpha)]$ ,  $\tau_*(x) - y$  is in the kernel of  $\phi_j$ . Since  $\phi_j(y) = 1$ ,  $\phi_j \circ \tau_*(x) = 1$ . But  $\phi_j \circ \tau_*(x) = k\phi_i(x) = 1$ . Thus  $k = 1$  and  $\phi_j \circ \tau_* = \phi_i$ . Since  $\tau(Q_j) = Q_j$ , we see that  $\tau(P_j) = P_j$ . Thus  $\tau_*$  maps the curves in  $X$  onto conjugates of curves in  $X$  and does the same to curves in  $Y$ . Since  $Z_p$  is an abelian group, it follows that

$$|\phi_j^{-1}(t) \cap X| = |(\phi_j \circ \tau_*)^{-1}(t) \cap X| \quad \text{for } t = 0, \dots, p - 1,$$

$$|\phi_j^{-1}(t) \cap Y| = |(\phi_j \circ \tau_*)^{-1}(t) \cap Y| \quad \text{for } t = 1, \dots, p - 1.$$

Thus  $N(h_i) = N(h_j)$  and  $S(h_i) = S(h_j)$ .

Conversely, assume  $\phi_j$  and  $\phi_i$  are two mappings such that

$$|\phi_i^{-1}(t) \cap X| = |\phi_j^{-1}(t) \cap X| \quad \text{for } t = 0, \dots, p - 1$$

and  $|\phi_i^{-1}(t) \cap Y| = |\phi_j^{-1}(t) \cap Y|$  for  $t = 1, \dots, p - 1$ . Corresponding to each permutation  $\sigma$  of the set  $\{1, \dots, s_0 + n_0\}$ , there is an automorphism of  $F_0$  that maps  $x_i$  onto a conjugate of  $x_{\sigma(i)}$  and fixes the elements of  $Y$  and the hyperbolic generators. This is clear when we look at the automorphisms of  $F_0$  generated by the permutations

$\{\sigma_{i,i+1}\}$  ( $i = 1, \dots, s_0 + n_0 - 1$ ), where  $\sigma_{i,i+1}(x_i) = x_i x_{i+1} x_i^{-1}$ ,  $\sigma_{i,i+1}(x_{i+1}) = x_i$ , and  $\sigma_{i,i+1}(a) = a$  for all other generators  $a$  of  $F_0$ .

Similarly, every permutation of the elements of  $Y$  can be obtained, up to conjugation, by an automorphism of  $F_0$ . Since these are type-preserving automorphisms, they are induced by homeomorphisms of  $S_0$  [2]. Since they do not interchange elements of the subgroups generated by  $X$  and  $Y$ , they extend to homeomorphisms of  $\bar{S}/\langle h \rangle$  and fix  $\pi(Q)$  and  $\pi(P - Q)$ .

For each integer  $t$  with  $0 \leq t \leq p - 1$  and for each  $k$  with  $\phi_i(x_k) = t$ , we can find a  $k'$  with  $\phi_j(x_{k'}) = t$ , and thus we can define a permutation  $\sigma$  of  $\{1, \dots, n_0 + s_0\}$  such that  $\phi_i(x_k) = \phi_j(x_{\sigma(k)})$  for  $k = 1, \dots, n_0 + s_0$ . Similarly, we can find a permutation  $\nu$  of  $\{1, \dots, T - n_0\}$  such that  $\phi_i(y_m) = \phi_j(y_{\nu(m)})$ . We let  $\tau_*$  be the automorphism of  $F_0$  that maps  $x_k$  onto a conjugate of  $x_{\sigma(k)}$  for  $k = 1, \dots, n_0 + s_0$  and  $y_m$  onto a conjugate of  $y_{\nu(m)}$  for  $m = 1, \dots, T - n_0$ . Let  $\tau$  denote the homeomorphism of  $S_0$  inducing  $\tau_*$ . Then  $\tau$  gives the desired homeomorphism  $\tilde{\tau}$  of  $S_i$  onto  $S_j$ , with  $\tilde{\tau} h_i \tilde{\tau}^{-1} \simeq h_j$ .

*Remark.* J. Nielsen [3, p. 53] has found a set of invariants that describe a topological equivalence class. They are, of course, equivalent to ours. We can see this by applying the Reidemeister-Schreier rewriting process to the subgroup  $F$  of  $F_0$ . This involves calculations almost as long as the proof of Theorem 1. In this case we prefer our set of invariants, because by giving condition (4) they make it clear how to count  $\lambda(p, g, n)$ .

## 2. THE COMBINATORIAL PROBLEM

*Notation.* Let  $M_{T,p}$  be the set of all  $(p - 1)$ -tuples of nonnegative integers  $(n_1, \dots, n_{p-1})$  for which  $\sum_{i=1}^{p-1} n_i = T$ . Let  $M_{T,p}^x$  be the set of all elements of  $M_{T,p}$  for which  $\sum_{i=1}^{p-1} n_i \equiv x \pmod{p}$  whenever  $x = 0, \dots, p - 1$ .

Let  $N_{T,p}$  be the set of all  $p$ -tuples of nonnegative integers  $(n_0, \dots, n_{p-1})$  with  $\sum_{i=0}^{p-1} n_i = T$ . Let  $N_{T,p}^x$  be the set of all elements of  $N_{T,p}$  for which  $\sum_{i=0}^{p-1} n_i \equiv x \pmod{p}$  whenever  $x = 0, \dots, p - 1$ .

It is clear that to compute  $\lambda(p, g, n)$  we need to be able to compute  $|M_{T,p}^x|$  for all  $T$  and  $x$ .

**THEOREM 2.** *Let  $p$  be a prime number, and let  $T$  and  $x$  be integers. Then*

$$|M_{T,p}^x| = \begin{cases} 0 & \text{if } x \neq 0 \text{ and } T = 0 \text{ or if } T < 0, \\ 1 & \text{if } x = 0 \text{ and } T = 0, \\ \frac{p-1}{pT} \binom{p+T-2}{T-1} + H(p, T, x) & \text{otherwise;} \end{cases}$$

here

$$H(p, T, x) = \begin{cases} 0 & \text{if } T \not\equiv 0 \pmod{p} \text{ and } T \not\equiv 1 \pmod{p}, \\ -\frac{1}{p} & \text{if } T \equiv 0 \pmod{p} \text{ and } x \not\equiv 0 \pmod{p}, \\ -\frac{1}{p} + 1 & \text{if } T \equiv 0 \pmod{p} \text{ and } x \equiv 0 \pmod{p}, \\ \frac{1}{p} & \text{if } T \equiv 1 \pmod{p} \text{ and } x \not\equiv 0 \pmod{p}, \\ \frac{1}{p} - 1 & \text{if } T \equiv 1 \pmod{p} \text{ and } x \equiv 0 \pmod{p}. \end{cases}$$

*Proof.* We want to compute  $|M_{T,p}^x|$  for arbitrary  $x$ . We can identify  $N_{T,p}^x$  with  $\bigcup_{y=0}^{T-1} M_{T-y,p}^x$  by identifying  $(n_0, \dots, n_{p-1})$  in  $N_{T,p}^x$  with  $(n_1, \dots, n_{p-1})$  in  $M_{T-n_0,p}^x$ . We identify  $N_{T-1,p}^x$  with

$$\bigcup_{z=0}^{T-1} M_{T-1-z,p}^x = \bigcup_{y=1}^T M_{T-y,p}^x.$$

Thus  $|M_{T,p}^x| = |N_{T,p}^x| - |N_{T-1,p}^x|$ . Therefore, to compute  $|M_{T,p}^x|$ , we need to compute  $|N_{T,p}^x|$  for all  $x$  and  $T$ .

We shall show that

$$|N_{T,p}^x| = \begin{cases} \frac{1}{p} \binom{p+T-1}{T} & \text{if } T \not\equiv 0 \pmod{p}, \\ \frac{1}{p} \binom{p+T-1}{T} - \frac{1}{p} & \text{if } T \equiv 0 \pmod{p} \text{ and } x \not\equiv 0 \pmod{p}, \\ \frac{1}{p} \binom{p+T-1}{T} - \frac{1}{p} + 1 & \text{if } T \equiv 0 \pmod{p} \text{ and } x \equiv 0 \pmod{p}. \end{cases}$$

The theorem follows from this.

Assume first that  $T \not\equiv 0 \pmod{p}$ . We shall show that  $|N_{T,p}^x| = |N_{T,p}^y|$  for all  $x$  and  $y$ . For any integers  $a$  and  $b$ , we let  $f_{a,b}$  be the mapping of  $Z_p$  to  $Z_p$  given by sending  $i$  into the remainder when  $ai + b$  is divided by  $p$ . Assume that  $a \not\equiv 0 \pmod{p}$ , so that  $a^{-1}$  makes sense. Let  $F$  map  $N_{T,p}$  to itself according to the formula

$$F(n_0, \dots, n_{p-1}) = (n_{f(0)}, \dots, n_{f(p-1)}).$$

It is easy to verify that  $F$  maps  $N_{T,p}$  to itself and that  $F$  in fact maps  $N_{T,p}^x$  to  $N_{T,p}^{a^{-1}(x-bT)}$ .

If  $T \not\equiv 0 \pmod{p}$ , then for each  $x$  we can find  $a$  and  $b$  such that  $a^{-1}(x-bT) \equiv 0 \pmod{p}$ . Therefore  $F$  is a one-to-one map of  $N_{T,p}^x$  onto  $N_{T,p}^0$ . Thus  $|N_{T,p}^x| = |N_{T,p}^0|$  for all  $p, T$ , and  $x$  if  $T \not\equiv 0 \pmod{p}$ .

Clearly,  $|N_{T,p}| = \binom{p+T-1}{T}$ , since the latter is the number of ways one can choose  $T$  objects from  $p$  objects, allowing repetitions. Thus

$$|N_{T,p}^x| = \frac{1}{p} \binom{p+T-1}{T}$$

when  $T \not\equiv 0 \pmod{p}$ .

Assume next that  $T \equiv 0 \pmod{p}$ . If  $x \not\equiv 0 \pmod{p}$ , then we can find integers  $a$  and  $b$  such that  $a^{-1}(x - bT) \equiv a^{-1}x \equiv 1 \pmod{p}$ . Thus  $|N_{T,p}^x| = |N_{T,p}^y|$  if  $x \not\equiv 0$  and  $y \not\equiv 0$ . We want to compute  $|N_{T,p}^0|$ . If  $T = 0$ , clearly  $|N_{T,p}^0| = 1$ .

If  $T > 0$ , let  $S_i^x$  be the set of all  $p$ -tuples  $(n_0, \dots, n_{p-1})$  in  $N_{T,p}^x$  with  $n_i \neq 0$ . Then  $N_{T,p}^x = S_0^x \cup \dots \cup S_{p-1}^x$ , but this is not a disjoint union. Note that

$$|S_i^x| = |N_{T-1,p}^{x-i}| \quad \text{and} \quad |S_{i_1}^x \cap \dots \cap S_{i_r}^x| = |N_{T-r,p}^{x-(i_1+\dots+i_r)}| \quad \text{if the } i_k \text{ are distinct. Thus}$$

$$\begin{aligned} |N_{T,p}^x| &= \sum_{j=1}^p \sum (-1)^{(j+1)} |S_{i_1}^x \cap \dots \cap S_{i_j}^x| \\ &= \sum_{j=1}^{p-1} \sum (-1)^{(j+1)} \cdot |N_{T-j,p}^{x-(i_1+\dots+i_j)}| + |S_0^x \cap \dots \cap S_{p-1}^x|, \end{aligned}$$

where the inner sums extend over all  $j$ -tuples  $(i_1, \dots, i_j)$  with

$$0 \leq i_1 < \dots < i_j \leq p-1.$$

If  $j \neq p$ , then  $T-j \not\equiv 0 \pmod{p}$ . Thus in the sum on the right-hand side of the equation all terms except the last are independent of  $x$ . The last term is equal to  $|N_{T-p,p}^{x-p}|$ . Thus we can write  $|N_{T,p}^x| = y + |N_{0,p}^x|$ , by repeated application of this procedure, and  $y$  is independent of  $x$ . Since  $|N_{0,p}^0| = 1$  and  $|N_{0,p}^x| = 0$  for  $x \neq 0$ , it follows that if  $x \neq 0$ , then  $|N_{T,p}^x| = y$  and  $|N_{T,p}^0| = y+1$ . Since

$$|N_{T,p}| = \binom{p+T-1}{T} = (p-1)y + y + 1,$$

we see that

$$|N_{T,p}^x| = \frac{1}{p} \binom{p+T-1}{T} - \frac{1}{p} \quad \text{and} \quad |N_{T,p}^0| = \frac{1}{p} \binom{p+T-1}{T} - \frac{1}{p} + 1.$$

### 3. THE FORMULA FOR $\lambda(p, g, n)$

*Definition.* Let  $\mu(p, g, n, T)$  be the number of conjugacy classes of elements  $h$  in  $M(g, n)$  of order  $p$  with  $2 - \text{tr } h = T$ .

We shall first compute  $\mu(p, g, n, T)$ , and from it,  $\lambda(p, g, n)$ .

**THEOREM 3.** *Let  $p, g,$  and  $n$  be integers ( $p$  a prime and  $g \geq 2$ ). If  $p \neq 2$ , let  $k_0$  be an integer with  $0 \leq k_0 \leq p-1$  and  $k_0 \equiv 2 - 2g \pmod{p}$ , and let*



$$r = \left[ \frac{2g - (k_0 - 2)(p - 1)}{p(p - 1)} \right],$$

where  $[ \ ]$  denotes the greatest-integer function. If  $p = 2$ , we let  $k_0 = 0$  when  $g \equiv 1 \pmod{2}$  and  $k_0 = 2$  when  $g \equiv 0 \pmod{2}$ . Let  $\tilde{n}_0$  be the remainder when  $n$  is divided by  $p$ , and let  $b$  be the minimum of  $[(T - \tilde{n}_0)/p]$  and  $(n - \tilde{n}_0)/p$  when it is positive, and zero otherwise.

$$\mu(p, g, n, T) = \sum_{s=0}^b \sum_{x=0}^{p-1} |M_{\tilde{n}_0+sp,p}^x| \cdot |M_{T-(\tilde{n}_0+sp),p}^{p-x}|$$

when  $p \neq 2$ ,  $T \equiv k_0 \pmod{p}$ , and  $0 \leq T \leq k_0 + rp$ .

$$\mu(2, g, n, T) = b + 1$$

when  $p = 2$ ,  $\frac{T}{2} \equiv \frac{k_0}{2} \pmod{2}$ , and  $0 \leq T \leq 2g + 2$ .

In all other cases,  $\mu(p, g, n, T) = 0$ . The value of  $|M_{Y,p}^x|$  is given in Theorem 2.

*Proof.* Assume first that  $p \neq 2$ . Let  $S, \bar{S}, Q, h, P$  and other notation be defined as in Section 1. Recall that  $g_0$  is the genus of the factor surfaces  $S_0$  and  $\bar{S}/\langle h \rangle$ , and that  $g$  is the genus of  $S$ . The Riemann-Hurwitz formula implies that  $g = pg_0 + (p - 1)(T - 2)/2$ .

If  $S$  has an automorphism  $h$  of order  $p$ , then  $g_0$  must be an integer. Thus  $g - (p - 1)(T - 2)/2$  is divisible by  $p$ , and this implies that  $T \equiv 2 - 2g \pmod{p}$ . An automorphism of order  $p$  on  $S$  can have  $k_0 + tp$  fixed points, where

$$0 \leq t \leq \left[ \frac{2g - (k_0 - 2)(p - 1)}{p(p - 1)} \right].$$

The latter inequality follows from the requirement that  $g_0$  be nonnegative. Thus  $\mu(p, g, n, T) = 0$  if  $T \not\equiv 2 - 2g \pmod{p}$  or  $T \geq k_0 + (r + 1)p$ .

Since  $n - n_0$  must be divisible by  $p$ , the possible values for  $n_0$  are  $\tilde{n}_0, \tilde{n}_0 + p, \dots, \tilde{n}_0 + bp$ , where  $b$  is the minimum of  $[(T - \tilde{n}_0)/p]$  and  $(n - \tilde{n}_0)/p$ . This follows from the fact that  $\tilde{n}_0 + bp \leq n$  and  $\tilde{n}_0 + bp \leq T$ . Thus if  $T < \tilde{n}_0$ ,  $\mu(p, g, n, T) = 0$ .

Fix  $T$  and  $n_0$ , and suppose  $n - n_0$  is divisible by  $p$ . Assume  $T = k_0 + mp$ , where  $0 \leq m \leq r$  and  $n_0 \leq T$  and  $n_0 \leq n$  with  $n_0 \equiv \tilde{n}_0 \pmod{p}$ . We want to show that for these numbers and for each  $(p - 1)$ -tuple  $(n_1, \dots, n_{p-1})$  and each  $p$ -tuple  $(s_0, \dots, s_{p-1})$ , where  $s_0 = (n - n_0)/p$  and

$$\sum_{i=0}^{p-1} s_i = n, \quad \sum_{i=1}^{p-1} (n_i + s_i) = T, \quad \sum_{i=1}^{p-1} i(n_i + s_i) \equiv 0 \pmod{p},$$

we can construct a surface  $S$  of genus  $g$  with  $n$  punctures, and a homeomorphism  $h$  with  $2 - \text{tr } h = T$ ,  $N(h) = (n_1, \dots, n_{p-1})$ , and  $S(h) = (s_0, \dots, s_{p-1})$ .

The Riemann-Hurwitz formula shows that  $2g - 2 + T = p(2g_0 - 2 + T)$ . Thus, if  $g \geq 2$ , then  $2g_0 - 2 + T > 0$ , so that  $2g_0 - 2 + T + s_0 > 0$ . This means that we can

construct a Fuchsian group  $F_0$  with the presentation (\*) given in Section 1. Let  $\phi$  be the homomorphism of  $F_0$  onto  $Z_p$ , with

$$\begin{aligned} \phi(x_1) &= \cdots = \phi(x_{s_0}) = 0, \\ \phi(x_{s_0+1}) &= \cdots = \phi(x_{s_0+s_1}) = 1, \\ &\cdots, \\ \phi(x_{s_0+n_0-s_{p-1}}) &= \cdots = \phi(x_{s_0+n_0}) = p-1, \\ \phi(y_1) &= \cdots = \phi(y_{n_1}) = 1, \\ &\cdots, \\ \phi(y_{T-n_0-n_{p-1}}) &= \cdots = \phi(y_{T-n_0}) = p-1. \end{aligned}$$

In Section 1 we saw that corresponding to  $\phi$  we obtain a surface  $S$  of genus  $g$  with  $n$  punctures and a homeomorphism  $h_\phi$  of  $S$  with  $\text{tr } h_\phi$ ,  $N(h_\phi)$ , and  $S(h_\phi)$  as desired.

Thus, given any  $(s_0, \dots, s_{p-1})$  and  $(n_1, \dots, n_{p-1})$  as above, for a fixed  $T$  and  $n_0 = n - ps_0$ , we can construct a covering. Because each set of  $2p-1$  integers determines a distinct conjugacy class, we need only count the ways we can choose these sets of integers where  $s_0 = (n - n_0)/p$ .

We can let  $(n_1, \dots, n_{p-1})$  be any  $(p-1)$ -tuple with  $\sum_{i=1}^{p-1} n_i = T - n_0$ . Assume that  $\sum_{i=1}^{p-1} in_i \equiv y \pmod{p}$ . Then  $(s_1, \dots, s_{p-1})$  can be any  $(p-1)$ -tuple, as long as  $\sum_{i=1}^{p-1} s_i = n_0$  and  $\sum_{i=1}^{p-1} is_i \equiv p - y \pmod{p}$ . For each possible  $y$  there are therefore  $|M_{n_0, p}^y| \cdot |M_{T-n_0, p}^{p-y}|$  possible choices for  $(s_1, \dots, s_{p-1})$  and  $(n_1, \dots, n_{p-1})$ . Thus, for a fixed  $n_0$ , we get  $\sum_{y=0}^{p-1} |M_{n_0, p}^y| \cdot |M_{T-n_0, p}^{p-y}|$  conjugacy classes. But  $n_0$  can be  $\tilde{n}_0 + tp$ , where  $0 \leq t \leq b$ . This gives the formula for  $\mu(p, g, n, T)$ .

The proof for the case  $p = 2$  is the same, except for the analysis of the possible values of  $T$ . In this case the Riemann-Hurwitz formula shows that  $T$  can be  $k_0, k_0 + 4, \dots, 2g + 2$ , where  $k_0 = 0$  if  $g \equiv 1 \pmod{2}$  and  $k_0 = 2$  if  $g \equiv 0 \pmod{2}$ .

**COROLLARY 2.** *Let  $p, g$ , and  $n$  be integers ( $p$  a prime and  $g \geq 2$ ). If  $p \neq 2$ , let  $k_0$  be an integer with  $0 \leq k_0 \leq p-1$  and  $k_0 \equiv 2 - 2g \pmod{p}$ , and let*

$$r = \left[ \frac{2g - (k_0 - 2)(p-1)}{p(p-1)} \right], \text{ where } [ \ ] \text{ denotes the greatest-integer function. If}$$

$p = 2$ , let  $k_0 = 0$  when  $g \equiv 1 \pmod{2}$  and  $k_0 = 2$  when  $g \equiv 0 \pmod{2}$ , and let  $r = (2g + 2 - k_0)/4$ . Let  $\tilde{n}_0$  be the remainder of  $n$  when divided by  $p$ , and let  $b_y$  be the minimum of  $[(k_0 + yp - \tilde{n}_0)/p]$  and  $(n - \tilde{n}_0)/p$ .

If  $p \neq 2$ , then

$$\lambda(p, g, n) = \sum_{y=0}^r \sum_{s=0}^{b_y} \sum_{x=0}^{p-1} |M_{\tilde{n}_0+sp, p}^x| \cdot |M_{k_0+yp-(\tilde{n}_0+sp), p}^{p-x}|.$$

The value of  $|M_{T,p}^x|$  is given in Theorem 2.

If  $p = 2$ , let  $b_y$  be the minimum of  $[(k_0 + 4y - \tilde{n}_0)/2]$  and  $(n - \tilde{n}_0)/2$  when both numbers are positive, and zero otherwise; then

$$\lambda(2, g, n) = \sum_{y=0}^r (b_y + 1).$$

*Proof.*  $\lambda(p, g, n) = \sum \mu(p, g, n, T)$ , the sum being taken over all possible values of  $T$ .

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