

# CATEGORIES OF $m$ -BOUNDED HAUSDORFF SPACES

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In this paper we show that the  $m$ -bounded Hausdorff spaces and the  $m$ -bounded  $T_3$ -spaces form epireflective subcategories of the category  $\mathcal{H}$  of Hausdorff spaces with continuous functions. We introduce the concepts of  $m$ -normality and strong  $m$ -normality, and we show that these are sufficient (but not necessary) conditions to insure that the universal maps associated with the above epireflections be embeddings. Moreover, for a strongly  $m$ -normal space we realize the universal object in the category of  $m$ -bounded  $T_3$ -spaces as a subspace of the Wallman compactification.

Throughout the paper, all spaces will be assumed to be  $T_1$ -spaces,  $m$  will denote an infinite cardinal, and  $W(X)$  will denote the Wallman compactification of  $X$ . If  $F$  is a closed subset of  $X$ , then  $F^*$  will denote the set

$$\{u: u \text{ is a closed ultrafilter on } X \text{ and } F \in u\}$$

(the notation is that of [5, p. 167]). The collection

$$\{F^*: F \text{ is a closed subset of } X\}$$

is a base for the closed sets in  $W(X)$ . The cardinality of a set  $A$  will be denoted by  $|A|$ , and the closure of  $A$  in  $X$  by  $cl_X A$ . By  $S_m(X)$  we shall denote the subspace

$$\{y \in W(X): y \in cl_{W(X)} A \text{ for some } A \subseteq X \text{ with } |A| \leq m\}$$

of  $W(X)$ . As in [3], a topological space  $X$  will be called  *$m$ -bounded* if the closure in  $X$  of every subset  $A$  of  $X$  with  $|A| \leq m$  is compact. All  $m$ -bounded spaces are clearly countably compact. An example of a countably compact space that is not  $m$ -bounded for any infinite cardinal  $m$  is given in [2, Section 9.15].

LEMMA 1.  $S_m(X)$  is  $m$ -bounded.

*Proof.* Let  $A$  be a subset of  $S_m(X)$  with cardinality less than or equal to  $m$ . Since  $A \subseteq S_m(X)$ , each  $y \in A$  is such that  $y \in cl_{W(X)} A_y$  for some  $A_y \subseteq X$  with  $|A_y| \leq m$ . Therefore

$$A \subseteq \bigcup_{y \in A} (cl_{W(X)} A_y) \subseteq cl_{W(X)} \left( \bigcup_{y \in A} A_y \right),$$

and this last set is a subset of  $S_m(X)$ , since  $\left| \bigcup_{y \in A} A_y \right| \leq m$ . Hence  $cl_{S_m(X)} A$  is compact (being a closed subset of  $W(X)$ ).

Similarly, it is easy to show that  $X = S_m(X)$  if and only if  $X$  is  $m$ -bounded, and hence that  $S_m(S_m(X)) = S_m(X)$ .

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A space  $X$  will be called *m-normal* if disjoint closed subsets of an  $m$ -separable closed subset of  $X$  have disjoint open neighborhoods in  $X$ . (A set is said to be *m-separable* if it has a dense subset of cardinality less than or equal to  $m$ .) Clearly, an  $m$ -separable,  $m$ -normal space is normal. The Tychonoff plank (see [2, Section 8.20]) is an example of an  $\aleph_0$ -normal space that is not normal.

The proof of the following lemma is quite easy, and we omit it.

LEMMA 2. *An  $m$ -bounded Hausdorff space is  $m$ -normal.*

THEOREM 1.  *$S_m(X)$  is a Hausdorff space if and only if  $X$  is  $m$ -normal.*

*Proof.* Suppose  $X$  is  $m$ -normal. Let  $u$  and  $v$  be distinct elements of  $S_m(X)$ . Then there exist subsets  $A_u$  and  $A_v$  of  $X$  with  $|A_u| \leq m$  and  $|A_v| \leq m$  such that

$$u \in \text{cl}_{W(X)} A_u \quad \text{and} \quad v \in \text{cl}_{W(X)} A_v.$$

Since  $u$  and  $v$  are distinct closed ultrafilters on  $X$ , there exist disjoint closed subsets  $B_u$  and  $B_v$  of  $X$  such that  $B_u \in u$  and  $B_v \in v$ . Clearly,

$$B_u \cap \text{cl}_X A_u \in u \quad \text{and} \quad B_v \cap \text{cl}_X A_v \in v.$$

Furthermore,  $B_u \cap \text{cl}_X A_u$  and  $B_v \cap \text{cl}_X A_v$  are disjoint closed subsets of the  $m$ -separable set  $\text{cl}_X(A_u \cup A_v)$ ; since  $X$  is  $m$ -normal, they have disjoint open neighborhoods  $U$  and  $V$  in  $X$ . Consequently,  $(X - U)^*$  and  $(X - V)^*$  are closed subsets of  $W(X)$  whose union is  $W(X)$  and such that  $u \notin (X - U)^*$  and  $v \notin (X - V)^*$ . Hence  $S_m(X) - (X - U)^*$  and  $S_m(X) - (X - V)^*$  are disjoint open neighborhoods of  $u$  and  $v$ , respectively.

Conversely, suppose  $A$  and  $B$  are disjoint closed subsets of an  $m$ -separable closed subset  $F$  of  $X$ . Since  $\text{cl}_{W(X)} F$  is contained in  $S_m(X)$ , it follows that  $\text{cl}_{W(X)} A$  and  $\text{cl}_{W(X)} B$  are compact subsets of  $S_m(X)$ . Furthermore, since  $A$  and  $B$  are disjoint, their closures in  $W(X)$  are also disjoint (see [5, p. 168]). If  $S_m(X)$  is a Hausdorff space,  $\text{cl}_{W(X)} A$  and  $\text{cl}_{W(X)} B$  have disjoint open neighborhoods in  $S_m(X)$ ; this implies that  $A$  and  $B$  have disjoint open neighborhoods in  $X$ .

A space  $X$  will be called *strongly m-normal* if disjoint closed subsets of  $X$ , one of which is contained in an  $m$ -separable subset of  $X$ , have disjoint open neighborhoods in  $X$ . Clearly, all strongly  $m$ -normal spaces are  $m$ -normal and regular. The Tychonoff plank is an example of a completely regular space that is  $\aleph_0$ -normal, but neither  $\aleph_1$ -normal nor strongly  $\aleph_0$ -normal. An example of a non-normal, strongly  $\aleph_0$ -normal space is given in [2, Problem 8L].

LEMMA 3. *An  $m$ -bounded  $T_3$ -space is strongly  $m$ -normal.*

THEOREM 2.  *$S_m(X)$  is a  $T_3$ -space if and only if  $X$  is strongly  $m$ -normal.*

The proofs of these results are similar to the proofs of Lemma 2 and Theorem 1, and we omit them.

In the following theorem,  $\mathcal{A}_m$  (respectively,  $\mathcal{B}_m$ ) denotes the category of  $m$ -bounded Hausdorff spaces (respectively,  $m$ -bounded  $T_3$ -spaces).

THEOREM 3.  *$\mathcal{A}_m$  and  $\mathcal{B}_m$  are epireflective subcategories of the category  $\mathcal{H}$  of Hausdorff spaces. Furthermore, for  $m$ -normal spaces the universal maps associated with  $\mathcal{A}_m$  are embeddings, and for a strongly  $m$ -normal space  $X$  the embedding of  $X$  in  $S_m(X)$  is the universal map associated with  $\mathcal{B}_m$ .*

*Proof.* It is clear that  $\mathcal{A}_m$  and  $\mathcal{B}_m$  are full subcategories of  $\mathcal{H}$ . In [3] it is shown that a product of  $m$ -bounded spaces is  $m$ -bounded, and it is clear that a closed subspace of an  $m$ -bounded space is  $m$ -bounded. That  $\mathcal{A}_m$  and  $\mathcal{B}_m$  are epi-reflective subcategories of  $\mathcal{H}$  now follows from [4, Theorem 1.2.1].

Suppose now that  $X$  is an  $m$ -normal space. Lemma 1 and Theorem 1 imply that  $S_m(X)$  is in  $\mathcal{A}_m$ . Since  $X$  can be embedded in an object of  $\mathcal{A}_m$ , it is clear that the universal map for  $X$  associated with  $\mathcal{A}_m$  is an embedding.

Suppose that  $f: X \rightarrow Y$  is a continuous function into an  $m$ -bounded  $T_3$ -space  $Y$ . We show that  $f$  has a continuous extension to  $S_m(X)$ . For each  $u \in S_m(X)$ , the collection

$$\mathcal{F}_u = \{cl_Y(f[A]): A \in u\}$$

has the finite-intersection property, and hence it is contained in some closed ultrafilter on  $Y$ . Since  $u \in S_m(X)$ , we know that  $u \in cl_{W(X)} B$  for some  $B \subseteq X$  with  $|B| \leq m$ . Because  $|f[B]| \leq m$  and  $Y$  is  $m$ -bounded,  $cl_Y(f[B])$  is compact. Since  $u \in cl_{W(X)} B$ , it follows that  $cl_X B \in u$ ; therefore, because  $cl_X B \subseteq f^{-1}[cl_Y(f[B])]$ , each closed ultrafilter containing  $\mathcal{F}_u$  contains a compact set, and hence converges to some point of  $Y$ . If  $\mathcal{F}_u$  is contained in two distinct closed ultrafilters  $v$  and  $w$  on  $Y$ , then, since  $v$  and  $w$  must converge to distinct points  $y$  and  $z$  of  $Y$ , and since  $Y$  is a Hausdorff space, there exist disjoint open sets  $U$  and  $V$  of  $Y$  such that  $y \in U$  and  $z \in V$ . If  $f^{-1}[Y - U] \in u$ , then  $cl_Y(f[f^{-1}[Y - U]]) \in \mathcal{F}_u$ . However,  $cl_Y(f[f^{-1}[Y - U]]) \subseteq Y - U$ ; therefore no closed ultrafilter that contains  $\mathcal{F}_u$  converges to the point  $y$ . Conversely, if  $f^{-1}[Y - U] \notin u$ , there exists  $C \in u$  such that  $C \cap f^{-1}[Y - U] = \emptyset$ ; that is to say,  $C \subseteq f^{-1}[U]$ , and therefore  $f^{-1}[Y - V] \in u$ . However, this implies that no ultrafilter containing  $\mathcal{F}_u$  converges to  $z$ . Hence there is a unique closed ultrafilter  $v_u$  in  $Y$  that contains  $\mathcal{F}_u$ . Since  $Y$  is a Hausdorff space, there is a unique element  $y_u \in Y$  to which  $v_u$  converges.

Define the mapping  $\hat{f}: S_m(X) \rightarrow Y$  by  $\hat{f}(u) = y_u$ . Clearly, the restriction of  $\hat{f}$  to  $X$  is  $f$ . Let  $A$  be a closed subset of  $Y$ . We shall show that  $\hat{f}^{-1}[A]$  is closed. Suppose that  $u \in S_m(X) - \hat{f}^{-1}[A]$ . Then, since  $Y$  is regular, there exist disjoint open subsets  $U_u$  and  $V_u$  of  $Y$  such that  $A \subseteq U_u$  and  $\hat{f}(u) \in V_u$ . Furthermore, there exists  $B \in u$  such that  $B \subseteq f^{-1}[V_u]$ ; for otherwise,  $f^{-1}[Y - V_u] \in u$ , and hence  $\hat{f}(u) \in Y - V_u$ . Thus  $u \notin (X - f^{-1}[V_u])^*$ . Conversely, if  $v \notin (X - f^{-1}[V_u])^*$ , then there exists  $D \subseteq f^{-1}[V_u]$  such that  $D \in v$ , and hence  $\hat{f}(v) \notin A$ . Thus

$$\hat{f}^{-1}[A] = S_m(X) \cap \left( \bigcap \{(X - f^{-1}[V_u])^*: \hat{f}(u) \notin A\} \right);$$

clearly, this is a closed subset of  $S_m(X)$ .

It follows from Lemma 1 and Theorem 2 that if  $X$  is strongly  $m$ -normal, then  $S_m(X)$  is in  $\mathcal{B}_m$  and is therefore the universal object for  $X$  associated with  $\mathcal{B}_m$ .

*Remarks.* 1. We note that in our proof, the existence and continuity of the extension  $\hat{f}$  depend only on  $X$  being a  $T_1$ -space. It is easy to show that a continuous Hausdorff image of an  $m$ -bounded space is  $m$ -bounded. It follows immediately that if  $X$  is a Hausdorff space, the universal object for  $X$  in  $\mathcal{B}_m$  is the universal object in the category of  $T_0$ -spaces for the "regularization" (see [6]) of  $S_m(X)$ .

2. Results for completely regular spaces, analogous to those above, appear in [7]. Thus it is worth noting that not all strongly  $m$ -normal  $T_1$ -spaces are completely regular. An example of a strongly  $\aleph_0$ -normal space that is not completely

regular can be constructed along the lines of Example 2.4.4 of [1], the only change being the replacement of the space  $Z$  of the example by the space  $\Omega$  of problem 8L of [2].

3. Since each  $T_{3\frac{1}{2}}$ -space  $X$  can be embedded in the  $m$ -bounded  $T_3$ -space  $\beta X$ , the universal maps for  $X$  associated with  $\mathcal{A}_m$  and  $\mathcal{B}_m$  must be embeddings. It would be interesting to find necessary and sufficient conditions for these universal maps to be embeddings.

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