

# THE BROUWER PROPERTY AND INVERT SETS

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## 1. INTRODUCTION

A topological space  $X$  is said to have the *Brouwer property* if homeomorphic images of open subsets of  $X$  are also open subsets of  $X$  (see G. T. Whyburn [9], [10], and [11]). Thus, euclidean spaces and manifolds have the Brouwer property, whereas manifolds with nonempty boundary do not. For  $n < 3$ , E. Duda [3] showed that an  $n$ -complex has the Brouwer property if and only if it is an  $n$ -manifold.

*Invertible spaces* were introduced by P. H. Doyle and J. G. Hocking [2]; a point  $p$  of a topological space  $X$  is an *invert point* if for each open neighborhood  $U$  of  $p$  there exists a homeomorphism  $h$  of  $X$  onto itself such that  $h(X - U) \subseteq U$ . If  $h$  is isotopic to  $\text{id}_X$ , then  $p$  is a *continuous invert point*. The collection of all invert points is the *invert set*, denoted by  $I(X)$ . The *continuous invert set*  $CI(X)$  is defined similarly. Doyle [1] investigated invert sets in complexes, and he showed that for each complex  $K$ , the set  $I(K)$  is the empty set, a point, or a simplicial sphere. Hocking proved that if  $I(K) = S^k$  ( $0 \leq k \leq n$ ), then the  $n$ -complex  $K$  is a multiple suspension. An  $n$ -complex  $K$  with a single-point invert set was characterized by Doyle [1] and by V. M. Klassen [7] for  $n = 1$  and  $2$ . In this paper, we discuss  $n$ -complexes having the Brouwer property, and we focus our attention on the case where  $n = 3$  and  $I(K)$  is a single point.

## 2. A CHARACTERIZATION OF THE 3-SPHERE

It is easily seen that if  $K$  is an  $n$ -complex with the Brouwer property and  $I(K) = \{p\}$ , then  $Lk(p)$  has the Brouwer property. Also, a complex  $L$  has the Brouwer property if its suspension  $\mathcal{P}(L)$  has the Brouwer property.

**THEOREM 1.** *Let  $K$  be a 3-complex with the Brouwer property. Then  $\dim \{I(K)\} \geq 1$  if and only if  $K = S^3$ .*

*Proof.* If  $K = S^3$ , then  $I(K) = S^3$ . On the other hand, if  $\dim \{I(K)\} \geq 1$ , we can write  $K = \mathcal{P}(L)$ , where  $L$  is a 2-complex with the Brouwer property. By Duda's result,  $L$  is a 2-manifold. Moreover, there exist  $x$  and  $y$  in  $L$  such that  $\{x, y\} \subseteq L \cap I(K)$ . But since  $L$  is a manifold,  $L \subseteq I(K)$ . Thus  $K = \mathcal{P}(L) \subseteq I(K)$ . Consequently,  $K = I(K)$ , and by [2],  $K = S^3$ .

## 3. ORBITS

Let  $K$  be a 3-complex, with  $I(K) = S^0$ , and possessing the Brouwer property. Then  $K = \mathcal{P}(L)$ , where  $L$  is a 2-manifold  $M^2$ . It is possible that  $M^2$  is a disjoint union of  $m$  2-manifolds ( $m \geq 1$ ). From such a complex we can obtain another with a single-point invert set, by identifying the two suspension points of  $\mathcal{P}(L)$  (see Theorem 3).

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First we note that if  $n^*(K)$  denotes the number of isotopy orbits of an  $n$ -complex  $K$  and  $p \in I(K)$ , then (i)  $I(K) = S^0$  implies that  $n^*(K) = 0$ , (ii)  $n^*(K) = 1$  if and only if  $K = S^n$  for  $n \geq 1$  or  $K = \{p\}$ , and (iii)  $n^*(K) = 2$  and  $I(K) = \{p\}$  imply that  $K - \{p\}$  is locally euclidean of dimension  $n$ . We remark that  $n^*(K) = 2$  does not imply  $n^*(\mathcal{I}(K)) = 2$ ; however the inequality  $1 \leq n^*(\mathcal{I}(K)) \leq 4$  always holds.

**THEOREM 2.** *Let  $K$  be a connected  $n$ -complex with  $p \notin I(K)$ . If  $\dim \{I(K)\} = k$  and  $d$  denotes the dimension of the isotopy orbit of  $p$ , then  $d > k$ .*

*Proof.* The proof is by induction on  $k$ . When  $k = -1$ , then  $I(K) = \emptyset$  and  $d \geq 0$ . For  $k = 0$ ,  $I(K)$  is a point or a 0-sphere. But  $p \notin I(K)$  implies that  $p$  is not a singularity of  $K$  and that  $d \geq 1$ . Assume that the result is true for all  $k < m$ . Let  $K$  be a connected  $n$ -complex with  $\dim \{I(K)\} = m \geq 1$ . Let  $p \notin I(K)$  and  $d \leq m$ . Under some triangulation  $T$  of  $K$ , let the isotopy orbit of  $p$  be written as a union of open simplices, and let  $L$  be the closure of this orbit. Then  $L$  is a subcomplex of  $K$  under  $T$ , and  $\dim L \leq m$ . Now  $I(K) = S^m$ , and each simplex of  $I(K)$  is principal. Also,  $S^m \cap L \neq \emptyset$ . Let  $M = S^m \cup L$  be a subcomplex of  $K$  under  $T$ . Then  $\dim M = m$  and  $S^m \subseteq I(M)$ . This implies that  $M = S^m$  and  $L = \emptyset$ . This is a contradiction. Hence  $d > m$ .

**THEOREM 3.** *Let  $K$  be a 3-complex with the Brouwer property and with  $I(K) = \{p\}$ . If  $n^*(K) = 2$ , then  $K$  is a suspension of a closed 2-manifold with the suspension points identified at  $p$ .*

*Proof.* Recall that  $Lk(p)$  has the Brouwer property. Since  $\dim \{Lk(p)\} = 2$ ,  $Lk(p)$  is a closed 2-manifold  $M^2$ . Out of the two orbits under isotopy, one orbit is required for  $p$ . This shows that  $K$  contains no simplex of dimension less than or equal to  $(i-1)$  that is not a face of an  $i$ -simplex in  $K$  for  $0 \leq i \leq 3$ . Moreover,  $Lk(p)$  must have precisely two components, for if it has one, then  $I(K) \supset \{p\}$  ( $\supset$  and  $\subset$  denote strict inclusion). The result now follows.

**THEOREM 4.** *Let  $K$  be a 3-complex with the Brouwer property and with  $I(K) = \{p\}$ . Suppose that  $n^*(K) = 3$ . Then either*

(i)  $K = K_1 \cup K_2$ , where  $K_1 \cap K_2 = \{p\}$ , and for  $i = 1, 2$ , the complex  $K_i$  is a suspension of a 2-manifold with the suspension points identified at  $p$  or a cone over a 2-manifold from  $p$ , or

(ii)  $K$  is a suspension over a 2-manifold with the suspension points identified at  $p$ .

*Proof.* The proof proceeds as in the last theorem. However, since  $n^*(K) = 3$ , it may happen that  $K$  is the union of two 3-complexes  $K_1$  and  $K_2$ , with  $K_1 \cap K_2 = \{p\}$  and each  $K_i$  behaving as in Theorem 3. This gives the first part of (i). It may also happen that  $Lk(p) \cap K_i$  is connected, in which case we get a cone over a 2-manifold from  $p$ . This completes the proof of (i), and (ii) follows by arguments similar to those used earlier.

Let  $K$  be an  $n$ -complex with  $I(K) = \{p\}$ . Suppose  $x \in K - \{p\}$  and  $\dim(u) = k$  is minimal, where  $u$  is the isotopy orbit of  $x$ . Then  $\bar{u} = u \cup \{p\}$  and  $p \in CI(\bar{u})$ . Also,  $\bar{u} - \{p\}$  is a  $k$ -manifold  $M^k$  with  $\partial M^k = \emptyset$ . By an earlier remark,  $M^k$  has the Brouwer property, and consequently the same is true of  $Lk(p, \bar{u})$ . If  $k = 1$ , then  $\bar{u} = S^1$ . If  $k = 2$ , then  $Lk(p, \bar{u})$  has dimension 1 and possesses the Brouwer property, and therefore it is a collection of disjoint 1-spheres. If  $Lk(p, \bar{u})$  is a 1-sphere, then  $\bar{u} = S^2$ . If  $Lk(p, \bar{u})$  is a collection of two disjoint 1-spheres, then  $\bar{u}$  is a pinched torus. If  $k = 3$ , then  $Lk(p, \bar{u})$  has dimension 2 and possesses the Brouwer property, and it must be a 2-manifold without boundary. This proves the next result.

**THEOREM 5.** *Let  $K$  be an  $n$ -complex with  $I(K) = \{p\}$ . Suppose  $x \in K - \{p\}$  and  $\dim(u) = k$  is minimal, where  $u$  is the isotopy orbit of  $x$ . Then*

- (i)  $k = 1$  implies that  $\overline{u} = S^1$ ,
- (ii)  $k = 2$  implies that  $Lk(p, \overline{u})$  is a collection of disjoint 1-spheres, and
- (iii)  $k = 3$  implies that  $Lk(p, \overline{u})$  is a 2-manifold without boundary.

In particular, the preceding result is useful for a 3-complex, where the possible values of  $k$  are precisely 1, 2, and 3.

#### 4. SINGLE-POINT INVERT SETS

It was conjectured in [5] that if  $K$  is any complex with a single-point invert set, then  $I(\mathcal{P}(K))$  must be a 0-sphere. In this section we shall discuss partial results in this direction, using the Brouwer property and isotopy orbits. It is known (see [6]) that if  $I(K) = \{p\}$  and  $I(\mathcal{P}(K)) \supset S^0$ , then  $I(\mathcal{P}(K)) \supseteq S^2$ . Also, if  $I(K) = \{p\}$ , then  $p \in I(\mathcal{P}(K))$  if and only if  $\dim\{I(\mathcal{P}(K))\} \geq 1$ .

**THEOREM 6.** *Let  $K$  be a 1-complex with  $I(K) = \{p\}$ . Then  $I(\mathcal{P}(K)) = S^0$ .*

*Proof.* Let  $q \in K - \{p\}$ , and let  $U$  be an open neighborhood of  $q$  in  $\mathcal{P}(K)$ . We can take  $U$  to be an open 2-cell. Clearly, there exists no homeomorphism  $h$  of  $\mathcal{P}(K)$  onto itself such that  $h(\mathcal{P}(K) - U) \subseteq U$ . In particular,  $h(p)$  cannot lie in  $U$ . Hence  $q \in K - \{p\}$  implies that  $q \notin I(\mathcal{P}(K))$  and therefore  $|K \cap I(\mathcal{P}(K))| \leq 1$ . If  $I(\mathcal{P}(K)) \supset S^0$ , then  $\dim\{I(\mathcal{P}(K))\} \geq 2$  and  $|K \cap I(\mathcal{P}(K))| \geq 2$ . Thus  $K \cap I(\mathcal{P}(K)) = \emptyset$ , and the result follows.

**THEOREM 7.** *Let  $K$  be an  $n$ -complex with  $I(K) = \{p\}$  and  $n^*(K) = 2$ . If  $\dim\{I(\mathcal{P}(K))\} \geq 1$ , then  $\mathcal{P}(K) = S^{n+1}$ .*

*Proof.* Since  $n^*(K) = 2$ , the set  $K - \{p\}$  is locally euclidean of dimension  $n$ . Also,  $\dim\{I(\mathcal{P}(K))\} \geq 1$  implies that some  $x \in K - \{p\}$  lies in  $I(\mathcal{P}(K))$ . By homogeneity,  $K - \{p\} \subseteq I(\mathcal{P}(K))$ ; therefore  $K \subseteq I(\mathcal{P}(K))$ , since  $p \in I(\mathcal{P}(K))$ . Now  $\dim K = n$  implies that  $\dim\{I(\mathcal{P}(K))\} = \dim\{\mathcal{P}(K)\} = n + 1$ . This completes the proof.

**COROLLARY 8.** *Let  $K$  be an  $n$ -complex with  $I(K) = \{p\}$  and  $n^*(K) = 2$ . If  $p \in I(\mathcal{P}(K))$ , then  $K$  and  $Lk(p, K)$  have the Brouwer property.*

*Proof.* By an earlier remark,  $p \in I(\mathcal{P}(K))$  implies that  $\dim\{I(\mathcal{P}(K))\} \geq 1$ . The preceding theorem implies that  $\mathcal{P}(K) = S^{n+1}$ , and  $S^{n+1}$  has the Brouwer property. By another remark, both  $K$  and  $Lk(p, K)$  have the Brouwer property.

For an  $n$ -complex  $K$  with  $I(K) \neq \emptyset$ , assume that  $p \in I(K)$  and  $St(p)$  embeds in  $E^n$ . Now suppose that  $\mathcal{P}(K)$  has the Brouwer property and  $\dim\{I(\mathcal{P}(K))\} \geq 1$ . Then  $K$  has the Brouwer property,  $K = S^n$ , and  $\mathcal{P}(K) = S^{n+1}$ . Consider the case where  $I(K) = \{p\}$ . If  $\mathcal{P}(K)$  has the Brouwer property, then  $\dim\{I(\mathcal{P}(K))\} < 1$ , or  $I(\mathcal{P}(K)) = S^0$ . This leads to the following.

**THEOREM 9.** *Let  $K$  be an  $n$ -complex ( $n \geq 1$ ) such that  $p \in I(K)$  and  $St(p)$  embeds in  $E^n$ . Moreover, let  $\mathcal{P}(K)$  have the Brouwer property. Then*

- (i)  $\dim\{I(\mathcal{P}(K))\} \geq 1$  implies that  $K = S^n$ ,
- (ii)  $I(K) = \emptyset$  or  $I(K) = \{p\}$  implies that  $I(\mathcal{P}(K)) = S^0$ , and
- (iii)  $I(K) \neq S^0$ .

*Proof.* We need only show (iii). Assume the contrary. Then  $K = \mathcal{S}(L)$  and  $\mathcal{S}(K) = \mathcal{S}^2(L)$ . By Theorem 7 of [1],  $\dim \{I(\mathcal{S}(K))\} \geq 1$ . Using (i), we see that  $K = S^n$ , and since  $n \geq 1$ , this contradicts the assumption that  $I(K) = S^0$ .

**THEOREM 10.** *Let  $K$  be a 2-complex with  $I(K) = \{p\}$  and  $n^*(K) = 2$ . Then  $I(\mathcal{S}(K)) = S^0$ .*

*Proof.* Assume that  $\dim \{I(\mathcal{S}(K))\} \geq 1$ . By Theorem 7,  $\mathcal{S}(K) = S^3$ . This contradicts Klassen's characterization (see [7]) of a 2-complex with a single-point invert set.

Suppose  $n > 1$ , and identify at  $p$  two antipodal points of  $S^n$  in a nice way to obtain an  $n$ -complex  $K$ . This may be called a generalized pinched torus. It is evident that  $I(K) = \{p\}$  and  $n^*(K) = 2$ . Moreover,  $I(\mathcal{S}(K)) = S^0$ , since  $\mathcal{S}(K) \neq S^{n+1}$ . This suggests that if  $K$  is an  $n$ -complex with  $I(K) = \{p\}$  and  $n^*(K) = 2$ , and if  $K$  is not a homotopy  $n$ -sphere, then  $I(\mathcal{S}(K)) = S^0$ .

We can prove this assertion by using Theorem 7. If  $K$  is an  $n$ -complex ( $n \geq 2$ ) such that  $\mathcal{S}(K) = S^{n+1}$ , that is, if  $K$  is a homotopy  $n$ -sphere, let  $v$  be any vertex of  $K$  in a given triangulation. Then  $K$  and  $Lk(v, K)$  have the Brouwer property. Since  $Lk(v, \mathcal{S}(K)) = \mathcal{S}(Lk(v, K))$  and  $\mathcal{S}(K) = S^{n+1}$ ,  $\mathcal{S}(Lk(v, K))$  has the integral homology groups of an  $n$ -sphere. Moreover, for  $2 \leq i \leq n$ ,

$$\Phi: H_{i-1}(Lk(v, K)) \rightarrow H_i(\mathcal{S}(Lk(v, K)))$$

is an onto isomorphism with  $H_0(Lk(v, K)) = \mathbb{Z}$ . Thus

$$H_k(Lk(v, K)) = \begin{cases} 0 & \text{for } 1 \leq k \leq n-2, \\ \mathbb{Z} & \text{for } k = 0, n-1. \end{cases}$$

*Remark.* We can show that the local homology groups are invariant under all triangulations of  $K$ , by using the uniqueness of the open-cone neighborhood (see [8]). Let  $v$  be any vertex of  $K$  under any triangulation. Consider  $\text{Int}(\text{St}(v)) - v$ . There exists a deformation of this onto  $Lk(v)$ . Now  $\text{Int}(\text{St}(v))$  is an open-cone neighborhood of  $v$ . By Kwun's theorem, we deduce that the links of  $v$  are homeomorphic under all triangulations of  $K$ . This proves the assertion.

**THEOREM 11.** *Let  $K$  be an  $n$ -complex with  $n \geq 2$ ,  $I(K) = \{p\}$ , and  $n^*(K) = 2$ . Let  $v$  be any vertex of  $K$  under the given triangulation, and suppose that either*

- (i)  $H_k(Lk(v, K)) \neq 0$  for some  $k$  ( $1 \leq k \leq n-2$ ), or
- (ii)  $H_k(Lk(v, K)) \neq \mathbb{Z}$  for  $k = 0$  or  $k = n-1$ .

*Then  $I(\mathcal{S}(K)) = S^0$ .*

*Proof.* By Theorem 7, the denial of the assertion produces a contradiction to the preceding remarks.

**THEOREM 12.** *Let  $K$  be a 3-complex with  $I(K) = \{p\}$  and  $n^*(K) = 2$ . Then  $I(\mathcal{S}(K)) = S^0$ .*

*Proof.* Assume that  $\dim \{I(\mathcal{S}(K))\} \geq 1$ . By Theorem 7,  $\mathcal{S}(K) = S^4$ . Also, earlier remarks imply that

$$H_0(Lk(p, K)) = H_2(Lk(p, K)) = \mathbb{Z} \quad \text{and} \quad H_1(Lk(p, K)) = 0.$$

Moreover,  $Lk(p, K)$  has the Brouwer property, by Corollary 8. By Duda's result (see [3]),  $Lk(p, K)$  is a 2-manifold without boundary with the prescribed homology groups. Thus  $Lk(p, K) = S^2$  and the set  $K = p \cdot Lk(p, K)$  is a 3-cell with a 2-sphere of invert points. This contradicts the hypothesis that  $I(K) = \{p\}$ .

As we mentioned earlier, these results are special verifications of the conjecture that the 0-sphere is the invert set of the suspension of any complex possessing a single-point invert set. It would be desirable to drop the restriction on the number of isotopy orbits, or even to obtain a characterization of a 3-complex with a single-point invert set.

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