

COEFFICIENT ESTIMATES FOR STARLIKE MAPPINGS

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Suppose that $f(z) = z + a_2 z^2 + a_3 z^3 + \dots$ is regular and univalent for $|z| < 1$ and the image domain is starlike with respect to the origin. Then the Bieberbach conjecture $|a_n| \leq n$ holds, and equality occurs only for the functions $f(z) = z/(1 + \varepsilon z)^2$, where $|\varepsilon| = 1$ [4; p. 222].

The following generalization of this result was proved by Golusin [2]: *If*

$$(1) \quad f(z) = z + \sum_{m=1}^{\infty} a_{mk+1} z^{mk+1}$$

is regular, univalent, and starlike for $|z| < 1$, then

$$(2) \quad |a_{mk+1}| \leq \frac{1}{m!} \prod_{\mu=0}^{m-1} \left(\mu + \frac{2}{k} \right).$$

Equality in (2) occurs only for the functions $f(z) = z/(1 + \varepsilon z^k)^{2/k}$, where $|\varepsilon| = 1$. For $k = 1$ the estimate in (2) is the same as $|a_n| \leq n$. For $k = 2$ this theorem asserts that the coefficients of odd starlike functions satisfy the inequality $|a_n| \leq 1$. In [3] Golusin showed that the estimate $|a_n| \leq 1$ holds for $n = 3, 4, 5, \dots$ if only $a_2 = 0$.

Theorem 1 in this paper implies that (2) holds if the hypothesis that f has the form (1) is replaced by the assumption that the power series for f begins with $z + a_{k+1} z^{k+1} + a_{k+2} z^{k+2} + \dots$. This theorem also contains estimates for the coefficients which are not of the form a_{mk+1} . In particular, for $k = 2$, it gives upper bounds for $|a_n|$ which are less than 1 for each even value of n .

The proof of Theorem 1 depends essentially on a method introduced by Clunie [1]. In that paper the exact upper bounds are found for the coefficients of the functions $f(z) = 1/z + a_1 z + \dots$ which are regular and univalent in $0 < |z| < 1$ and map $|z| < 1$ onto the complement of a point set starlike with respect to the origin.

THEOREM 1. *Suppose that $f(z) = z + \sum_{n=k+1}^{\infty} a_n z^n$ is regular, univalent, and starlike for $|z| < 1$. Then*

$$|a_n| \leq \frac{k}{(n-1)(m-1)!} \prod_{\mu=0}^{m-1} \left(\mu + \frac{2}{k} \right),$$

where $mk + 1 \leq n \leq (m + 1)k$, $m = 1, 2, \dots$.

Proof. f is univalent and starlike for $|z| < 1$ provided $\Re \{ z f'(z)/f(z) \} > 0$. Let $g(z) = z f'(z)/f(z)$ and let

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$$h(z) = (g(z) - 1)/(g(z) + 1).$$

Then h is regular for $|z| < 1$, satisfies the inequality $|h(z)| < 1$, and has a power series which begins with $b_k z^k + b_{k+1} z^{k+1} + \dots$. By equating coefficients of the power series on both sides of the equation

$$(3) \quad zf'(z) - f(z) = h(z)(zf'(z) + f(z)),$$

we obtain the relations

$$(4) \quad (n - 1)a_n = 2b_{n-1} \quad \text{for } n = k + 1, k + 2, \dots, 2k.$$

Since $|h(z)| < 1$, it follows that $\sum_{n=k}^{\infty} |b_n|^2 \leq 1$, and therefore

$$(5) \quad \sum_{n=k}^{2k-1} |b_n|^2 \leq 1.$$

From (4) and (5) we find that

$$(6) \quad \sum_{n=k+1}^{2k} (n - 1)^2 |a_n|^2 \leq 4.$$

We rewrite (3) as follows:

$$\begin{aligned} \sum_{n=k+1}^{\infty} (n - 1)a_n z^n &= h(z) \left\{ 2z + \sum_{n=k+1}^{\infty} (n + 1)a_n z^n \right\} \\ &= h(z) \left\{ 2z + \sum_{n=k+1}^{p-k} (n + 1)a_n z^n \right\} + \sum_{n=p+1}^{\infty} c_n z^n. \end{aligned}$$

This can also be written as

$$(7) \quad \sum_{n=k+1}^p (n - 1)a_n z^n + \sum_{n=p+1}^{\infty} d_n z^n = h(z) \left\{ 2z + \sum_{n=k+1}^{p-k} (n + 1)a_n z^n \right\}.$$

Since (7) has the form $F(z) = h(z)G(z)$, where $|h(z)| < 1$, it follows that

$$(8) \quad \frac{1}{2\pi} \int_0^{2\pi} |F(re^{i\theta})|^2 d\theta \leq \frac{1}{2\pi} \int_0^{2\pi} |G(re^{i\theta})|^2 d\theta$$

for each r ($0 < r < 1$). Expressing (8) in terms of the coefficients in (7), we obtain the inequality

$$(9) \quad \sum_{n=k+1}^p (n - 1)^2 |a_n|^2 r^{2n} + \sum_{n=p+1}^{\infty} |d_n|^2 r^{2n} \leq 4r^2 + \sum_{n=k+1}^{p-k} (n + 1)^2 |a_n|^2 r^{2n}.$$

In particular (9) implies

$$(10) \quad \sum_{n=k+1}^p (n-1)^2 |a_n|^2 r^{2n} \leq 4r^2 + \sum_{n=k+1}^{p-k} (n+1)^2 |a_n|^2 r^{2n}.$$

By letting $r \rightarrow 1$ in (10), we conclude that

$$(11) \quad \sum_{n=k+1}^p (n-1)^2 |a_n|^2 \leq 4 + \sum_{n=k+1}^{p-k} (n+1)^2 |a_n|^2.$$

This inequality is equivalent to

$$(12) \quad \sum_{n=p-k+1}^p (n-1)^2 |a_n|^2 \leq 4 \left\{ 1 + \sum_{n=k+1}^{p-k} n |a_n|^2 \right\}.$$

By an inductive argument we will establish the inequalities

$$(13a) \quad \sum_{n=mk+1}^{(m+1)k} (n-1)^2 |a_n|^2 \leq \left\{ \frac{k}{(m-1)!} \prod_{\mu=0}^{m-1} \left(\mu + \frac{2}{k} \right) \right\}^2$$

$$(13b) \quad \sum_{n=mk+1}^{(m+1)k} n |a_n|^2 \leq (mk+1) \left\{ \frac{1}{m!} \prod_{\mu=0}^{m-1} \left(\mu + \frac{2}{k} \right) \right\}^2$$

for $m = 1, 2, 3, \dots$.

For $m = 1$, (13a) is valid since it is the same as (6). We can prove (13b) for $m = 1$ by using (6) as follows.

$$\begin{aligned} \sum_{n=k+1}^{2k} n |a_n|^2 &= \frac{k+1}{k^2} \sum_{n=k+1}^{2k} \frac{k^2 n}{k+1} |a_n|^2 \\ &\leq \frac{k+1}{k^2} \sum_{n=k+1}^{2k} (n-1)^2 |a_n|^2 \\ &\leq \frac{k+1}{k^2} \cdot 4 = (k+1) \left(\frac{2}{k} \right)^2. \end{aligned}$$

Now suppose that (13a) and (13b) hold for $m = 1, 2, \dots, q-1$. Using (12) with $p = (q+1)k$ and the inductive hypothesis concerning (13a), we obtain the inequalities

$$\begin{aligned} \sum_{n=qk+1}^{(q+1)k} (n-1)^2 |a_n|^2 &\leq 4 \left\{ 1 + \sum_{n=k+1}^{qk} n |a_n|^2 \right\} \\ &= 4 \left\{ 1 + \sum_{m=1}^{q-1} \sum_{n=mk+1}^{(m+1)k} n |a_n|^2 \right\} \end{aligned}$$

$$\begin{aligned} &\leq 4 \left\{ 1 + \sum_{m=1}^{q-1} (mk + 1) \left[\frac{1}{m!} \prod_{\mu=0}^{m-1} \left(\mu + \frac{2}{k} \right) \right]^2 \right\} \\ &= \left\{ \frac{k}{(q-1)!} \prod_{\mu=0}^{q-1} \left(\mu + \frac{2}{k} \right) \right\}^2. \end{aligned}$$

The last equality can be readily proven with an inductive argument on q . This last sequence of inequalities implies (13a), where $m = q$.

Continuing our inductive argument, we use (13a) with $m = q$ to deduce (13b) for $m = q$ as follows.

$$\begin{aligned} \sum_{n=qk+1}^{(q+1)k} n |a_n|^2 &= \frac{qk+1}{(qk)^2} \sum_{n=qk+1}^{(q+1)k} \frac{(qk)^2 n}{qk+1} |a_n|^2 \\ &\leq \frac{qk+1}{(qk)^2} \sum_{n=qk+1}^{(q+1)k} (n-1)^2 |a_n|^2 \\ &\leq \frac{qk+1}{(qk)^2} \left\{ \frac{k}{(q-1)!} \prod_{\mu=0}^{q-1} \left(\mu + \frac{2}{k} \right) \right\}^2 \\ &= (qk+1) \left\{ \frac{1}{q!} \prod_{\mu=0}^{q-1} \left(\mu + \frac{2}{k} \right) \right\}^2. \end{aligned}$$

This completes the proof of (13a) and (13b). The theorem follows from (13a).

It is not difficult to verify the following remarks. The estimate in Theorem 1 is precise if n is of the form $n = mk + 1$, and equality holds only for the functions

$$f(z) = z / (1 + \varepsilon z^k)^{2/k},$$

where $|\varepsilon| = 1$. If $k + 1 < n < 2k + 1$ the estimate for $|a_n|$ is exact for the same functions where k is replaced by n . For all other values of n , Theorem 1 does not give exact bounds.

COROLLARY. *If $f(z) = z + \sum_{n=k+1}^{\infty} a_n z^n$ is regular, univalent, and convex for $|z| < 1$, then*

$$|a_n| \leq \frac{k}{n(n-1)(m-1)!} \prod_{\mu=0}^{m-1} \left(\mu + \frac{2}{k} \right),$$

where $mk + 1 \leq n \leq (m + 1)k$, $m = 1, 2, \dots$.

Proof. f is convex if and only if zf' is starlike.

Remarks. 1. If n is of the form $mk + 1$, then the estimate in Theorem 1 is the same as (2). For $k = 1$ this becomes $|a_n| \leq n$. For $k = 2$ Theorem 1 yields the bounds $|a_{2n+1}| \leq 1$, $|a_{2n+2}| \leq 2n / (2n + 1)$, $n = 1, 2, 3, \dots$. This improves $|a_n| \leq 1$ for each even n .

2. A generalization of the concept of starlike function is the concept of spiral-like function. Spiral-like functions are characterized by the condition

$$\Re \{ \varepsilon z f'(z) / f(z) \} > 0$$

where $|\varepsilon| = 1$. If $f(z)$ is spiral-like for $|z| < 1$, then $f(z)$ is univalent for $|z| < 1$. Moreover, the coefficients of normalized spiral-like functions satisfy the inequality $|a_n| \leq n$ [5].

Theorem 1 remains valid if one replaces the condition that f be starlike by f is spiral-like. The proof is essentially the same. One considers

$$h(z) = (g(z) - \varepsilon) / (g(z) + \bar{\varepsilon})$$

where $g(z) = \varepsilon z f'(z) / f(z)$. This function $h(z)$ satisfies the same conditions as $h(z)$ in the proof of Theorem 1. (4) is replaced by

$$(14) \quad \varepsilon(n-1)a_n = (\varepsilon + \bar{\varepsilon})b_{n-1} \quad \text{for } n = k+1, k+2, \dots, 2k.$$

Nevertheless (6) remains valid, for it follows from (5) and (14) since $|\varepsilon| = 1$. (7) is replaced by

$$(15) \quad \sum_{n=k+1}^p \varepsilon(n-1)a_n z^n + \sum_{n=p+1}^{\infty} d_n z^n = h(z) \left\{ (\varepsilon + \bar{\varepsilon})z + \sum_{n=k+1}^{p-k} (n\varepsilon + \bar{\varepsilon})a_n z^n \right\}.$$

From (15) we obtain

$$(16) \quad \sum_{n=k+1}^p (n-1)^2 |a_n|^2 \leq |\varepsilon + \bar{\varepsilon}|^2 + \sum_{n=k+1}^{p-k} |(n\varepsilon + \bar{\varepsilon})a_n|^2.$$

Since (16) implies (11) we can establish Theorem 1 for the spiral-like functions by continuing exactly as we did in the proof of Theorem 1 for the starlike functions.

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