

A NOTE ON  $\mathcal{P}$ -ADMISSIBLE SETS WITH URELEMENTS

JUDY GREEN

In [2] Barwise states that although the introduction of urelements into Zermelo-Fraenkel set theory is redundant, their introduction into the weaker Kripke-Platek theory for admissible sets is not. In this note\* we will show that their introduction into the intermediate theory of power set admissible sets is once again redundant since all  $\mathcal{P}$ -admissible sets with urelements are of the same form as  $\mathcal{P}$ -admissible sets, i.e.,  $\forall_M(\kappa) = H_M(\kappa)$  where  $\kappa$  is a strong limit cardinal and  $\kappa = \beth_\kappa$ .

We assume familiarity with the formulation of the theory **KPU** (Kripke-Platek with urelements) and the language in which it is formulated (see [2]). We also assume familiarity with the hierarchy of set theoretic predicates due to Lévy [5], and the primitive recursive set functions of Jensen and Karp [4]. We expand the notation of [2] as follows:

Definition: A structure  $\mathfrak{M}_{\mathfrak{M}} = (\mathfrak{M}; A, E, P, \dots)$  for the language  $L(\epsilon, \mathcal{P}, \dots)$  consists of

- (1) a structure  $\mathfrak{M} = \langle M, \dots \rangle$  for the language  $L$ ,
- (2) a nonempty set  $A$  disjoint from  $M$ ,
- (3) a relation  $E \subseteq (M \cup A) \times A$  to interpret  $\epsilon$ ,
- (4) a function  $P$  from  $A$  into  $A$  to interpret  $\mathcal{P}$ , and
- (5) other functions, relations, and constants on  $M \cup A$  which interpret the other symbols in  $L(\epsilon, \mathcal{P}, \dots)$ .

In the language  $L(\epsilon, \mathcal{P}, \dots)$  variables are distinguished to allow quantification over  $M$  (urelements),  $A$  (sets), and  $A \cup M$ . The variables used are, respectively:  $p, q, r, \dots$ ;  $a, b, c, d, \dots$ ; and  $x, y, z, \dots$ .

Definition: The theory  $\mathcal{P}$ -**KPU** consists of the universal closures of the axioms of

extensionality:  $\forall x(x \in a \leftrightarrow x \in b) \rightarrow a = b$ ,

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- foundation:  $\exists a\phi(a) \rightarrow \exists a(\phi(a) \wedge \forall b \epsilon a \sim \phi(b))$  for all formulas  $\phi(a)$  in which  $b$  is not free,
- pair:  $\exists a(x \epsilon a \wedge y \epsilon a)$ ,
- union:  $\exists b \forall y \epsilon a \forall x \epsilon y (x \epsilon b)$ ,
- $\Delta_0$  in  $\mathcal{P}$ -collection:  $\forall x \epsilon a \exists y \phi(x, y) \rightarrow \exists b \forall x \epsilon a \exists y \epsilon b \phi(x, y)$  for all  $\Delta_0$  in  $\mathcal{P}$  formulas  $\phi(x, y)$  in which  $b$  is not free, and
- power set:  $\forall a \exists b (b = \mathcal{P}(a))$   
 $b = \mathcal{P}(a) \leftrightarrow \forall c (c \epsilon b \leftrightarrow \forall d (d \epsilon c \rightarrow d \epsilon a))$ .

We let  $P_M(a)$  denote the power set of  $a \cup M$  and define the universe of sets,  $V_M$ , using  $P_M$  instead of the usual power set operation. I.e.,

$$\begin{aligned}
 V_M(0) &= 0 \\
 V_M(\alpha + 1) &= P_M(V_M(\alpha)) \\
 V_M(\lambda) &= \bigcup_{\alpha < \lambda} V_M(\alpha) \text{ if } \lambda \text{ is a limit ordinal.}
 \end{aligned}$$

We call a structure  $\mathfrak{A}_{\mathfrak{M}}$  for  $L(\epsilon, \mathcal{P}, \dots)$   $\mathcal{P}$ -admissible if  $\mathfrak{A}_{\mathfrak{M}}$  is a model of  $\mathcal{P}$ -KPU,  $E$  is the restriction to  $A \cup M$  of the membership relation  $\epsilon_M$  of  $V_M \cup M$ ,  $A$  is a transitive<sub>M</sub> subset of  $V_M$ , i.e.,  $x \epsilon_M y \epsilon_M A$  implies  $x \epsilon_M A$ , and  $P$  is the restriction to  $A$  of  $P_M$ . As in the case of  $\mathcal{P}$ -admissible sets without urelements, this definition is equivalent to the following:  $E$  is the restriction to  $A \cup M$  of  $\epsilon_M$ ,  $P$  is the restriction to  $A$  of  $P_M$ ,  $A$  is a transitive<sub>M</sub> subset of  $V_M$  which is Prim  $\mathcal{P}$  closed (i.e., is closed under the primitive recursive in  $\mathcal{P}$  set functions) and which satisfies the  $\Delta_0$  in  $\mathcal{P}$  collection scheme.

We define the rank and transitive closure functions on  $A \cup M$  as usual, i.e.,  $\rho_M(x) = \bigcup \{\rho_M(y) + 1 \mid y \epsilon_M x\}$  and  $TC_M(x) = x \cup \bigcup \{TC_M(y) \mid y \epsilon_M x\}$ , and note that both of these functions are primitive recursive. We also note that  $V_M$  is a primitive recursive in  $\mathcal{P}$  function. As in the case without urelements, at the  $\alpha$ 'th stage of construction of the universe we have all sets of rank less than  $\alpha$ , i.e.,  $V_M(\alpha) = \{a \mid \rho_M(a) < \alpha\}$ . Let  $\text{ord}(A)$  be the set of ordinals in  $A$ .

Lemma If  $\mathfrak{A}_{\mathfrak{M}}$  is  $\mathcal{P}$ -admissible then  $A = V_M(\text{ord}(A))$ .

Proof: This follows directly from the fact that  $A$  is closed under the functions  $\rho_M$ ,  $P_M$ , and  $V_M$ .

Lemma If  $\mathfrak{A}_{\mathfrak{M}}$  is  $\mathcal{P}$ -admissible and  $a \epsilon A$ , then  $|a| \epsilon A$ .

Proof: Suppose  $a \epsilon A$  and  $f$  is an isomorphism from  $a$  onto  $|a|$ . The relation  $r$  defined on  $a \times a$  by  $\langle x, y \rangle \epsilon r$  iff  $f(x) \epsilon f(y)$  is an element of  $A$  since  $A$  is closed under the functions  $\times$  and  $P_M$ . If  $g$  is the function which defines the  $r$  predecessors of elements of  $a$ , i.e., if  $x \epsilon_M a$   $g(r, x) = \{z \mid \langle z, x \rangle \epsilon r\} = \{(b)_0 \mid b \epsilon r \wedge (b)_1 = x\}$ , then  $g$  is primitive recursive and hence  $\Sigma_1$  definable on  $A$ . Since  $r$  is an element of  $A$ ,  $f$  can now be seen to have the  $\Sigma_1$  definition:

$$f(x) = \alpha \leftrightarrow \exists c \exists b (c = g(r, x) \wedge \text{fcn}(b) \wedge \text{dm}(b) = c \wedge \text{rg}(b) = \alpha \wedge \forall y \epsilon c \exists d (d = g(r, y) \wedge b(y) = \text{rg}(b \uparrow d))).$$

Hence by  $\Sigma$  replacement (see [1])  $f \in A$ , i.e., since  $f$  is  $\Sigma$  on  $A$ ,  $\text{dm}(f) \in A$  and  $\text{rg}(f) \subseteq A$  we have  $f \in A$ . But  $|a| = \text{rg}(f)$ , so  $|a| \in A$ .

A similar proof shows:

Lemma: *If  $\mathfrak{A}_{\mathfrak{M}}$  is  $\mathcal{P}$ -admissible then  $\text{ord}(A)$  is a cardinal.*

Theorem: *If  $\mathfrak{A}_{\mathfrak{M}}$  is  $\mathcal{P}$ -admissible, then  $A = V_M(\kappa) = H_M(\kappa)$  where  $\kappa$  is a strong limit cardinal such that  $\kappa = \beth_{\kappa}$ .*

*Proof:* Since  $\text{ord}(A) = \kappa$  is a cardinal and  $A$  is closed under the function  $P_M$ ,  $\kappa$  is a strong limit cardinal. Since  $A = V_M(\kappa)$  is closed under the cardinality function,  $V_M(\kappa) \subseteq H_M(\kappa)$ . Since  $|\rho_M(a)| \leq |\text{TC}_M(a)|$  for all sets  $a \in V_M$  (see [5]) we have  $H_M(\kappa) \subseteq V_M(\kappa)$ . Finally  $A$ 's closure under the cardinality function and the function  $V_M$  gives  $\kappa = \beth_{\kappa}$ .

As a final remark we note that using exactly the same methods as in the case without urelements, i.e., consistency properties [3], we get the Cf  $\omega$  compactness theorem of Barwise and Karp for  $\mathcal{P}$ -admissible sets with urelements: *If  $\mathfrak{A}_{\mathfrak{M}}$  is  $\mathcal{P}$ -admissible and  $A = V_M(\kappa)$  with  $\text{cf}(\kappa) = \omega$ , then  $\mathfrak{A}_{\mathfrak{M}}$  is  $\Sigma_1$  compact.*

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*Rutgers, The State University of New Jersey  
Camden, New Jersey*