

COMPACTNESS IN ABSTRACTIONS OF POST ALGEBRAS

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Introduction An algebra $\langle A; F \rangle$ is said to be *equationally compact* if the existence of a simultaneous solution of any finite subset of any set Σ of polynomial equations (with constants) in A implies the existence of a simultaneous solution of Σ . An algebra $\langle A; F \rangle$ is said to be *topologically compact* if A is endowed with a compact, Hausdorff topology under which all the operations in F are continuous. The problem of determining the equationally compact algebras and the answer to Mycielski's question (see [15]), "Is every equationally compact algebra a retract of a topologically compact algebra?" for a particular class of algebras, is usually a difficult one. The problem has been solved for semilattices in [11] and [3], for Boolean algebras in [17], and for Post and Post-like algebras in [2]. In recent years, several abstractions of Post algebras have been studied. The purpose of this note is the characterization of the equationally compact algebras in some of these classes.

Preliminaries A *Brouwerian algebra* is an algebra $\langle A; \vee, \wedge, \rightarrow \rangle$, where $\langle A; \vee, \wedge \rangle$ is a lattice and \rightarrow is a binary operation such that $x \wedge y \leq z$ if and only if $x \leq y \rightarrow z$. Every Brouwerian algebra is distributive and has a greatest element 1. A *Heyting algebra* is a Brouwerian algebra with a least element 0. In a Heyting algebra A , the element $x \rightarrow 0$ will be denoted by x^* and is the *pseudocomplement* of x in A . The set $S(A) = \{x \in A; x = x^{**}\}$ forms a Boolean algebra; *the algebra of closed elements* of A . The set $D(A) = \{x \in A; x^* = 0\}$ forms a filter; *the filter of dense elements* in A . A bounded, distributive, pseudocomplemented lattice satisfying the identity $x^* \vee x^{**} = 1$ is called a *Stone algebra*. In any Stone algebra A , $S(A)$ coincides with the centre $C(A)$ of A . An *L-algebra* is a Heyting algebra satisfying the identity $(x \rightarrow y) \vee (y \rightarrow x) = 1$. Any L-algebra is a Stone algebra and satisfies the identity $x \vee y = ((x \rightarrow y) \rightarrow y) \wedge ((y \rightarrow x) \rightarrow x)$. Consequently, the operation \vee can be omitted from the set of fundamental operations. For the connection between L-algebras and logic, the reader is referred to [13].

A K_n -algebra is a Brouwerian algebra satisfying the identity $\bigvee_{i=1}^n (x_i \rightarrow x_{i+1}) = 1$, $n \geq 2$. The class of all K_n -algebras is denoted by K_n . An L_n -algebra is a K_n -algebra with least element 0. The class of all L_n -algebras is denoted by \mathcal{L}_n . The classes K_n and \mathcal{L}_n were introduced and studied in [12].

A P -algebra is an L -algebra whose dual is an L -algebra. Equivalently, a P -algebra is an L -algebra whose dual is a Stone algebra. For other characterizations and applications of P -algebras to logic, the reader is referred to [7] and the references therein. The class of all P -algebras is denoted by \mathcal{P} . A $P^{[n]}$ -algebra is an L_n -algebra whose dual is an L -algebra. The class of all $P^{[n]}$ -algebras will be denoted by $\mathcal{P}^{[n]}$. Such algebras arise quite naturally. Indeed, it can be shown that the lattice of equational classes of P -algebras is the chain $\mathcal{P}^{[1]} \subset \mathcal{P}^{[2]} \subset \dots \subset \mathcal{P}^{[n]} \subset \dots \subset \mathcal{P}$. Furthermore, $\mathcal{P}^{[n]}$ is the class of all P -subalgebras of Post algebras of order n (see [7]).

A Łukasiewicz algebra of order n ($n \geq 2$) is an algebra $\langle A; \vee, \wedge, \sim, \mathbf{s}_1, \dots, \mathbf{s}_{n-1}; 1 \rangle$, where $\langle A; \vee, \wedge; 1 \rangle$ is a distributive lattice with 1 and $\sim, \mathbf{s}_1, \dots, \mathbf{s}_{n-1}$ are unary operations of A satisfying the following conditions:

- M1. $\sim \sim x = x$,
- M2. $\sim (x \vee y) = \sim x \wedge \sim y$,
- M3. $\mathbf{s}_i(x \vee y) = \mathbf{s}_i(x) \vee \mathbf{s}_i(y)$,
- M4. $\mathbf{s}_i(x) \vee \sim \mathbf{s}_i(x) = 1$,
- M5. $\mathbf{s}_i(\mathbf{s}_j(x)) = \mathbf{s}_j(x)$,
- M6. $\mathbf{s}_i(\sim x) = \sim \mathbf{s}_{n-i}(x)$,
- M7. $\mathbf{s}_i(x) \vee \mathbf{s}_{i+1}(x) = \mathbf{s}_{i+1}(x)$, ($1 \leq i \leq n - 2$),
- M8. $x \vee \mathbf{s}_{n-1}(x) = \mathbf{s}_{n-1}(x)$,
- M9. $(x \wedge \sim \mathbf{s}_i(x) \wedge \mathbf{s}_{i+1}(y)) \vee y = y$, ($1 \leq i \leq n - 2$).

The class of all Łukasiewicz algebras of order n is denoted by \mathbf{Luk}_n . A systematic study and references to previous work on Łukasiewicz algebras can be found in [4] and [5]. In any Łukasiewicz algebra $\sim 1 = 0$ (the least element) and we have:

$$x \in C(A) \text{ if and only if } \mathbf{s}_i(x) = x \text{ (} 1 \leq i \leq n - 1 \text{)}.$$

Furthermore, if $x \in C(A)$ then the complement of x is $\sim x$.

Some abstractions of Post algebras involving a chain of distinguished elements are defined below.

A P_0 -lattice is a bounded, distributive lattice A which is generated by its centre $C(A)$ and a finite subchain $0 = \mathbf{e}_0 \leq \mathbf{e}_1 \leq \dots \leq \mathbf{e}_{n-1} = 1$, called a *chain-base* for A . The *order* of a P_0 -lattice A is the smallest integer n such that A has a chain-base with n distinct terms. A P_1 -lattice is a P_0 -lattice with a chain base such that $\mathbf{e}_{i+1} \rightarrow \mathbf{e}_i = \mathbf{e}_i$. A P_2 -lattice is a P_1 -lattice $\langle A; \mathbf{e}_0, \dots, \mathbf{e}_{n-1} \rangle$ such that $! \mathbf{e}_i$ exists, where $!x$ is the largest central element which is $\leq x$ and called the *pseudo-supplement* of x in A .

If A is a lattice whose dual is a Stone algebra then $!x$ exists and equals x^{++} , where x^+ is the dual pseudocomplement of x in A .

Post algebras can be defined in various ways. From [9], a Post algebra is a \mathbf{P}_2 -lattice $\langle A; \mathbf{e}_0, \dots, \mathbf{e}_{n-1} \rangle$ such that $!\mathbf{e}_{n-2} = 0$. From [4], a Post algebra of order n is a Łukasiewicz algebra of order n having $n - 2$ elements $\mathbf{e}_1, \dots, \mathbf{e}_{n-2}$ satisfying

$$s_i(\mathbf{e}_j) = \begin{cases} 0, & i + j < n \\ 1, & i + j \geq n \end{cases} .$$

Further definitions may be found in [2] and the references therein.

A Stone-lattice of order n ($n \geq 2$) is an \mathbf{L} -algebra A in which there exists a chain $0 = \mathbf{e}_0 < \mathbf{e}_1 < \dots < \mathbf{e}_{n-1} = 1$ such that \mathbf{e}_{i+1} is the smallest dense element in the interval $[\mathbf{e}_i, 1]$. A systematic study of Stone-lattices of order n was made in [14].

1 *Compactness in $\mathbf{P}^{[n]}$ -algebras* The following results, the proofs of which are in [12] and [9] respectively are crucial in characterizing the equationally compact $\mathbf{P}^{[n]}$ -algebras.

Lemma 1 *If A is a Heyting algebra then $A \in \mathcal{L}_n$ ($n \geq 2$) if and only if $C(A)$ is a subalgebra of A and $D(A) \in \mathcal{K}_{n-1}$.*

Lemma 2 *A is a \mathbf{P}_2 -algebra of order n if and only if it is a Stone lattice of order n whose dual is a Stone algebra.*

Theorem 1 *If $A \in \mathcal{P}^{[n]}$ then the following are equivalent:*

- (i) *A is equationally compact.*
- (ii) *A is a direct product of finitely many complete Post algebras of order at most n .*
- (iii) *A is a retract of a topologically compact algebra in $\mathcal{P}^{[n]}$.*

Proof: (i) \Rightarrow (ii). Let $A \in \mathcal{P}^{[n]}$ be equationally compact and let $\mathbf{e} \in A - \{1\}$. Then, since A is a Heyting algebra, the interval $[\mathbf{e}, 1]$ is a pseudocomplemented lattice; $x \rightarrow \mathbf{e}$ being the pseudocomplement of x in $[\mathbf{e}, 1]$. Let $D_{\mathbf{e}}$ denote the dense filter in $[\mathbf{e}, 1]$. The set Σ of equations

$$\left. \begin{aligned} x \wedge \mathbf{e} &= \mathbf{e} \\ x \rightarrow \mathbf{e} &= \mathbf{e} \\ \{x \wedge d = x; d \in D_{\mathbf{e}}\} \end{aligned} \right\}$$

is easily seen to be finitely solvable and, therefore, by compactness, is solvable. Clearly, a solution of Σ is the smallest dense element in $[\mathbf{e}, 1]$. Thus, we can produce an ascending chain $E: 0 = \mathbf{e}_0 \leq \mathbf{e}_1 \leq \dots$, where \mathbf{e}_{i+1} is the smallest dense element in $[\mathbf{e}_i, 1]$. Since $A \in \mathcal{L}_n$, we conclude from Lemma 1 that E has cardinality at most n . Therefore, A is a Stone lattice of order at most n whose dual is a Stone algebra. Equivalently, by Lemma 2, A is a \mathbf{P}_2 -algebra. According to [8], A must be a direct product of finitely many Post algebras of order at most n . Finally, A , being

equationally compact as a lattice, is complete and therefore each factor in the direct decomposition is complete.

(ii) \Rightarrow (iii). Let $A = \prod_{i=1}^r A_i$, where each A_i is a complete Post algebra of order $n_i \leq n$. Then from [2], there exist cardinals m_i and retractions $\rho_i: \mathcal{U}_i^{m_i} \rightarrow A_i$ preserving $\vee, \wedge, \rightarrow$ and its dual. Clearly, the family $\{\rho_i: 1 \leq i \leq r\}$ induces a retraction $\prod \rho_i: \prod_{i=1}^r \mathcal{U}_i^{m_i} \rightarrow \prod_{i=1}^r A_i$ and $\prod_{i=1}^r \mathcal{U}_i^{m_i}$ is a topologically compact algebra in $\mathcal{P}^{[n]}$ when each chain algebra \mathcal{U}_i is endowed with the discrete topology.

(iii) \Rightarrow (iv). This is well-known (see [17]).

Prior to characterizing the topologically compact algebras in $\mathcal{P}^{[n]}$, we recall some definitions.

A subset F of a \mathbf{P} -algebra A is said to be a \mathbf{P} -filter if it is a lattice filter closed under !.

If \mathcal{K} is a class of similar algebras then $A \in \mathcal{K}$ is said to be *profinite* if it is an inverse limit of finite algebras in \mathcal{K} .

Theorem 2 *If $A \in \mathcal{P}^{[n]}$ then the following are equivalent:*

- (i) A is topologically compact.
- (ii) $C(A)$ is a topologically compact Boolean algebra.
- (iii) $C(A)$ is complete and completely distributive.
- (iv) A is profinite.
- (v) A is complete and completely distributive.
- (vi) A is a direct product of finitely many complete and completely distributive Post algebras of order at most n .

Proof: (i) \Rightarrow (ii). Let A be a topologically compact algebra in $\mathcal{P}^{[n]}$. Then $C(A)$ is a topologically compact Boolean algebra; since $C(A)$ is a Boolean subalgebra of A and the continuous image of A under the mapping $x \rightarrow x^{**}$.

(ii) \Rightarrow (iii). This is proved in [16], Proposition 3.

(iii) \Rightarrow (iv). In any \mathbf{P} -algebra A there is a one-to-one correspondence between the \mathbf{P} -congruences Φ and the \mathbf{P} -filters F (see [7]). Under this correspondence $F = \{x \in A; \langle x, 1 \rangle \in \Phi\}$ and $\Phi = \{\langle x, y \rangle \in A^2; (x \rightarrow y) \wedge (y \rightarrow x) \in F\}$. It is easy to see that a principal (lattice) filter in A is a maximal \mathbf{P} -filter if and only if it is generated by an atom in $C(A)$. Now, following the proof of Theorem 5.2 in [1], let \mathcal{A} be the set of atoms of $C(A)$ and let $\mathcal{F} = \{f_\alpha; \alpha \in \mathcal{A}\}$ be the set of finite joins of members of \mathcal{A} . Then \mathcal{F} is a sublattice of $C(A)$ with $\vee f_\alpha = 1$. Partially ordering the index set \mathcal{A} by requiring the $\beta \leq \alpha$ in \mathcal{A} if and only if $f_\beta \leq f_\alpha$ in A insures that \mathcal{A} is a down-directed set indexing the \mathbf{P} -congruences $\Phi_\alpha = \{\langle x, y \rangle \in A^2; (x \rightarrow y) \wedge (y \rightarrow x) \geq f_\alpha\}$ in such a way that $\Phi_\alpha \subseteq \Phi_\beta$ whenever $\beta \leq \alpha$. Consequently, the quotient algebras $A_\alpha = A/\Phi_\alpha$ and homomorphisms $\phi_{\alpha\beta}: A_\alpha \rightarrow A_\beta$ defined by $\phi_{\alpha\beta}([x]_\alpha) = [x]_\beta$ whenever $\beta \leq \alpha$ (where $[x]_\alpha$ is the congruence class modulo Φ_α containing x) form an inverse

system. Now, if $f_\alpha = \bigvee_{i=1}^{r(\alpha)} a_{\alpha_i}$, where $a_{\alpha_i} \in \mathcal{A}$, and $\Phi_{\alpha_i} = \{\langle x, y \rangle \in A^2; (x \rightarrow y) \wedge$

$(y \rightarrow x) \geq a_{\alpha_i}$ then, following the proof of Theorem 5.2 in [1], we see that A_α can be embedded in $\prod_{i=1}^{r(\alpha)} A/\Phi_{\alpha_i}$. The maximality of Φ_{α_i} implies that A/Φ_{α_i} is a simple algebra in $\mathcal{P}^{[n]}$. According to [7], a simple \mathbf{P} -algebra must be a chain. However, the identity $\bigvee_{i=1}^n (x_i \rightarrow x_{i+1}) = 1$ holds only in those chains of cardinality at most n . Consequently, each A_α is finite. Clearly, $\bigcap \Phi_\alpha$ is the smallest congruence on A and so the correspondence $\phi: A \rightarrow \varprojlim A_\alpha$ defined by $[\phi(x)](\alpha) = [x]_\alpha$ is an embedding of A into a profinite algebra. The proof that ϕ is surjective can be lifted verbatim from Theorem 5.2 in [1].

(iv) \Rightarrow (i). Trivial.

(iv) \Rightarrow (v). If $A = \varprojlim A_\alpha$ is profinite then A is a complete sublattice of the complete and completely distributive lattice $\prod A_\alpha$. Therefore A is completely distributive.

(v) \Rightarrow (iii). It suffices to show that $C(A)$ is a complete sublattice of A . Let $\{b_i; i \in I\} \subseteq C(A)$ and $b = \wedge_A \{b_i; i \in I\}$, where \wedge_A indicates that the meet is taken in A . Then $b^{**} \leq b_i^{**} = b_i$, for all $i \in I$, so that $b^{**} \leq b$. Since $b^{**} \geq b$, it follows that $b^{**} = b \in C(A)$. A dual argument shows that $\bigvee_A \{b_i; i \in I\} \in C(A)$. Thus, $C(A)$ is a complete sublattice of A .

(i) \Rightarrow (vi). If $A \in \mathcal{P}^{[n]}$ is topologically compact then it is equationally compact and therefore $A = \prod_{i=1}^r A_i$, where each A_i is a complete Post algebra of order at most n . From Proposition 4 in [16], $C\left(\prod_{i=1}^r A_i\right)$ is complete and completely distributive. Since $C\left(\prod_{i=1}^r A_i\right) = \prod_{i=1}^r C(A_i)$, it follows that each $C(A_i)$ is complete and completely distributive. Therefore, by results in [6], each A_i is complete and completely distributive and so is $\prod_{i=1}^r A_i$.

(vi) \Rightarrow (v). Trivial.

2 Compactness in \mathbf{P}_0 -lattices The following was proved in [9]:

Lemma 3 Any \mathbf{P}_1 -lattice of order n is a Stone lattice of order n .

Theorem 3 If $\langle A; \mathbf{e}_0, \dots, \mathbf{e}_{n-1} \rangle$ is a \mathbf{P}_0 -lattice then the following are equivalent:

- (i) A is an equationally compact lattice.
- (ii) A is a direct product of finitely many complete Post algebras of order at most n .
- (iii) A is a (lattice) retract of a topologically compact \mathbf{P}_0 -lattice.

Proof: It suffices to prove that (i) \Rightarrow (ii). Let A be an equationally compact \mathbf{P}_0 -lattice. Let $a, b \in A$, $U = \{u \in A; a \wedge u \leq b\}$ and consider the set Σ of equations

$$\begin{aligned} b \vee (a \wedge x) &= b \\ \{x \wedge u = u; u \in U\}. \end{aligned}$$

Clearly, Σ is finitely solvable and, therefore, by compactness, is solvable. Any solution is the largest $x \in A$ satisfying $a \wedge x \leq b$. Consequently, A is a Heyting algebra. A dual argument shows that the dual of A is also a Heyting algebra. According to [8], a \mathbf{P}_0 -lattice which is also a Heyting algebra must be an \mathbf{L} -algebra in which there exists a chain-base $0 = f_0 \leq \dots \leq f_{n-1} = 1$ such that $\langle A; f_0, \dots, f_{n-1} \rangle$ is a \mathbf{P}_1 -lattice. Now, since the dual of A is a \mathbf{P}_0 -lattice and a Heyting algebra, it follows that the dual of A is an \mathbf{L} -algebra. Consequently, A is a \mathbf{P}_2 -lattice; since it is a Stone lattice of order at most n whose dual is a Stone algebra. Therefore A is a direct product of finitely many Post algebras of order at most n each of which is complete; by virtue of the completeness of A .

Corollary 1 *A \mathbf{P}_0 -lattice $\langle A; e_0, \dots, e_{n-1} \rangle$ is topologically compact if and only if it is a direct product of finitely many complete and completely distributive Post algebras of order at most n .*

3 Compactness in Łukasiewicz algebras The proofs of the following results can be found in [9] and [4] (Theorem 6.1) respectively.

Lemma 4 *If A is a bounded, distributive lattice then the dual of A is a Stone algebra if and only if $!x$ exists and $!(x \vee y) = !x \vee !y$, for all $x, y \in A$.*

Lemma 5 *If $\langle A; \vee, \wedge; \sim, s_1, \dots, s_{n-1}; 1 \rangle \in \mathbf{Luk}_n$ and $b \in C(A)$ then $\langle [b]; \vee, \wedge; -, s_1, \dots, s_{n-1}; b \rangle \in \mathbf{Luk}_n$, where $-x = \sim x \wedge b$ whenever $x \in [b]$, and $h: A \rightarrow [b]$ defined by $h(x) = x \wedge b$ is a surjective (Łukasiewicz) homomorphism.*

Theorem 4 *If $\langle A; \vee, \wedge; \sim, s_1, s_2; 1 \rangle \in \mathbf{Luk}_3$ then the following are equivalent:*

- (i) *A is equationally compact.*
- (ii) *A is complete and has a smallest dense element.*
- (iii) *A is the direct product of a complete Boolean algebra and a complete Post algebra of order 3.*
- (iv) *A is a (Łukasiewicz) retract of a topologically compact algebra in \mathbf{Luk}_3 .*

Proof: (i) (ii). Let $A \in \mathbf{Luk}_3$ be equationally compact. Clearly, $s_1(x)$ is the largest central element in A which is $\leq x$ and so $s_1(x) = !x$. It follows from M3 and Lemma 4 that A is a dual Stone algebra; $\sim s_1(x)$ being the dual pseudocomplement x^+ of x in A . Similarly, since $s_2(x)$ is the smallest central element in A which is $\geq x$ and $s_i(x \wedge y) = s_i(x) \wedge s_i(y)$ holds in any Łukasiewicz algebra, A is a Stone algebra; $\sim s_2(x)$ being the pseudocomplement x^* of x in A . Now, the set Σ of equations

$$\begin{aligned} \sim s_2(x) &= 0 \\ \{x \wedge f = x; f \in D(A)\} \end{aligned}$$

is finitely solvable and, therefore, by compactness, is solvable. Any solution of Σ is the smallest dense element in A . A , being equationally compact as a lattice, is complete.

(ii) \implies (iii). Let A be complete, d be the smallest dense element in A and $a = d^{++}$. Then, by Lemma 5, $[a]$ and $(a^*]$ are Łukasiewicz algebras of

order 3 and $h_1: A \rightarrow [a]$, $h_2: A \rightarrow [a^*]$ defined by $h_1(x) = a \wedge x$, $h_2(x) = a^* \wedge x$ respectively, are surjective (Łukasiewicz) homomorphisms. Clearly, since a and a^* are complementary, the mapping $h: A \rightarrow [a] \times [a^*]$ defined by $h(x) = \langle h_1(x), h_2(x) \rangle$ is a (Łukasiewicz) isomorphism. Now, the pseudocomplement of $b \in [a]$ is $b^0 = a \wedge b^*$ and so $b \vee b^0 = (b \vee a) \wedge (b \vee b^*) \geq a$; since $b \vee b^* \in D(A)$ implies that $b \vee b^* \geq d \geq d^{++} = a$. But $b \vee b^0 \leq a$ and so $b \vee b^0 = a$. Hence $[a]$ is a complete Boolean algebra. Next, the dual pseudocomplement of $b \in [a^*]$ is $b^\infty = b^+ \wedge a^*$ and, since A is a dual Stone algebra, $b^{\infty\infty} = b^{++} \wedge a^*$. Defining $e_1 = d \wedge a^* \in [a^*]$, we see that $\mathbf{s}_1(e_1) = (d \wedge d^+)^{\infty\infty} = (d \wedge d^+)^{++} \wedge a^* = (d^{++} \wedge d^+) \wedge a^* = 0 \wedge a^* = 0$. Similarly, $\mathbf{s}_2(e_1) = (d \wedge a^*)^{**} \wedge a^* = (d^{**} \wedge a^*) \wedge a^* = 1 \wedge a^* = a^*$. Thus, $[a^*]$ is a complete Post algebra of order 3.

(iii) \Rightarrow (iv). Trivial.

(iv) \Rightarrow (i). Well-known.

Corollary 2 *If $\langle A; \vee, \wedge; \sim, \mathbf{s}_1, \mathbf{s}_2; 1 \rangle \in \mathbf{Luk}_3$ then it is topologically compact if and only if it is the direct product of a complete and completely distributive Boolean algebra and a complete and completely distributive Post algebra of order 3.*

Cignoli [4], calls a lattice filter in a Łukasiewicz algebra A a *Stone filter* if it is closed under the unary operation \mathbf{s}_1 . The isomorphism of the lattice of (Łukasiewicz) congruences Φ on A with the lattice of Stone filters F under the correspondence $F = \{x \in A; \langle x, 1 \rangle \in \Phi\}$, $\Phi = \{\langle x, y \rangle \in A^2; x \wedge f = y \wedge f \text{ for some } f \in F\}$ was established in [4], Theorem 3.10. However, the coincidence of Stone filters and \mathbf{P} -filters in A , coupled with the known equivalence of $x \wedge f = y \wedge f$ and $(x \rightarrow y) \wedge (y \rightarrow x) \geq f$ in any Heyting algebra, shows that the above correspondence coincides with its counterpart in $\mathcal{P}^{[a]}$ (see Theorem 2). Furthermore, the simple algebras in \mathbf{Luk}_n are finite (Corollary 5.6, [4]). Bearing these facts in mind, a simple modification of the proof of Theorem 2 shows that the equivalence of (i) through (v) in the statement of Theorem 2 holds for any Łukasiewicz algebra.

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