

## RELATIVE STRENGTH OF MALITZ QUANTIFIERS

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In this paper I will solve a problem concerning Malitz quantifiers which was posed in [1]. Before stating this problem I will introduce some notation which will be used in the proof. If  $X$  is a set then  $c(X)$  is the cardinality of  $X$  and  $[X]^n$  is the set of  $n$ -element subsets of  $X$ .  $S_n$  is the set of permutations of  $\{1, 2, \dots, n\}$ . If  $\mathfrak{A}$  is a structure  $|\mathfrak{A}|$  denotes the domain of  $\mathfrak{A}$ . If  $\mathcal{L}$  is a first-order language,  $\mathcal{L}(|\mathfrak{A}|)$  is the result of adjoining to  $\mathcal{L}$  one constant symbol for each element of  $|\mathfrak{A}|$ . No distinction will be made between elements of  $|\mathfrak{A}|$  and the constant symbols denoting them. Variables will be denoted  $x_1, x_2, \dots, y_1, y_2, \dots$ .

Now let  $\mathcal{L}$  be any first-order language. For each  $n$  and each infinite cardinal  $\alpha$  a language  $\mathcal{L}_\alpha^n$  is obtained from  $\mathcal{L}$  by adjoining the quantifier  $Q_\alpha^n$  with the following interpretation:  $\mathfrak{A} \models Q_\alpha^n x_1 \dots x_n \varphi(x_1, \dots, x_n)$  if and only if there is a set  $X \subset |\mathfrak{A}|$  such that  $c(X) \geq \alpha$  and for all distinct  $a_1, \dots, a_n$  in  $X$ ,  $\mathfrak{A} \models \varphi(a_1, \dots, a_n)$ . Malitz and Magidor [2] and Badger [1] have established many deep and interesting results concerning these languages. In [1], page 91, Badger gave a list of unsolved problems about the languages  $\mathcal{L}_\alpha^n$ . There he raised the question whether  $\mathcal{L}_\alpha^{n+1}$  is a proper extension of  $\mathcal{L}_\alpha^n$ . In this paper I answer this question affirmatively for all  $n \geq 1$  and all  $\alpha > \omega$  by exhibiting two structures  $\mathfrak{A}$  and  $\mathfrak{B}$  of the same similarity type such that  $\mathfrak{A}$  and  $\mathfrak{B}$  satisfy the same sentences in  $\mathcal{L}_\alpha^n$  but do not satisfy the same sentences in  $\mathcal{L}_\alpha^{n+1}$ .<sup>1</sup>

Let  $n$  be any fixed positive integer and let  $\alpha$  be any fixed uncountable cardinal.  $\mathcal{L}$  will be a first-order language with equality whose only nonlogical symbol is an  $(n + 1)$ -ary predicate symbol  $R$ .

**Definition 1:** If  $\mathfrak{A}$  is an  $\mathcal{L}$ -structure,  $\gamma$  is a finite subset of  $|\mathfrak{A}|$ ,  $\sigma \in S_{n+1}$ , and  $t_1, \dots, t_{n+1} \in \gamma \cup \{x_1, \dots, x_k, y_1, \dots, y_k\}$  then  $\sigma(t_1, \dots, t_{n+1})$  is the  $(n + 1)$ -tuple  $(t_{\sigma(1)}, \dots, t_{\sigma(n+1)})$  and  $\sigma R(t_1, \dots, t_{n+1})$  is the  $\mathcal{L}(|\mathfrak{A}|)$ -formula  $R(t_{\sigma(1)}, \dots, t_{\sigma(n+1)})$ .

1. For  $\alpha = \omega_1$ , this result was obtained independently by Andreas Baudisch under the assumption  $\diamond_{\omega_1}$ .

**Definition 2:** Suppose  $\mathfrak{A}$  is an  $\mathcal{L}$ -structure,  $\gamma$  is a finite subset of  $|\mathfrak{A}|$ , and  $1 \leq m \leq n$ . An  $m$ -type  $p$  over  $\gamma$  is a set of  $\mathcal{L}(|\mathfrak{A}|)$ -formulas such that

- (1) all elements of  $p$  are of the form  $\tau R(x_{\sigma(1)}, \dots, x_{\sigma(j)}, a_1, \dots, a_{n-j+1})$  where  $1 \leq j \leq m$ ,  $\sigma \in S_m$ ,  $\tau \in S_{n+1}$ , and  $a_1, \dots, a_{n-j+1}$  are distinct elements of  $\gamma$ ,
- (2) if  $1 \leq j \leq m$ ,  $\tau \in S_{n+1}$ ,  $\sigma \in S_m$ ,  $\tau' \in S_{n+1}$ ,  $\sigma' \in S_m$ , and  $\tau R(x_{\sigma(1)}, \dots, x_{\sigma(j)}, a_1, \dots, a_{n-j+1}) \in p$  then  $\tau' R(x_{\sigma'(1)}, \dots, x_{\sigma'(j)}, a_1, \dots, a_{n-j+1}) \in p$ .

**Definition 3:** Suppose  $\mathfrak{A}$  is an  $\mathcal{L}$ -structure,  $\gamma$  is a finite subset of  $|\mathfrak{A}|$ , and  $1 \leq m \leq n$ . A proper  $m$ -type  $p$  over  $\gamma$  is a set of  $\mathcal{L}(|\mathfrak{A}|)$ -formulas such that

- (1) all elements of  $p$  are of the form  $\tau R(x_1, \dots, x_m, a_1, \dots, a_{n-m+1})$  where  $\tau \in S_{n+1}$  and  $a_1, \dots, a_{n-m+1}$  are distinct elements of  $\gamma$ ,
- (2) if  $\tau \in S_{n+1}$ ,  $\tau' \in S_{n+1}$ , and  $\tau R(x_1, \dots, x_m, a_1, \dots, a_{n-m+1}) \in p$  then  $\tau' R(x_1, \dots, x_m, a_1, \dots, a_{n-m+1}) \in p$ .

Clearly, a proper  $m$ -type  $p$  is just an  $m$ -type in which all of the variables  $x_1, \dots, x_m$  occur in every formula in  $p$ .

**Definition 4:** If  $\mathfrak{A}$  is an  $\mathcal{L}$ -structure,  $\gamma$  is a finite subset of  $|\mathfrak{A}|$ ,  $p$  is an  $m$ -type over  $\gamma$ , and  $b_1, \dots, b_m \in |\mathfrak{A}|$  then  $(b_1, \dots, b_m)$  realizes  $p$  if and only if

$$\mathfrak{A} \models \tau R(b_{\sigma(1)}, \dots, b_{\sigma(j)}, a_1, \dots, a_{n-j+1}) \leftrightarrow \tau R(x_{\sigma(1)}, \dots, x_{\sigma(j)}, a_1, \dots, a_{n-j+1}) \in p$$

for all  $1 \leq j \leq m$ ,  $\tau \in S_{n+1}$ ,  $\sigma \in S_m$ , and  $a_1, \dots, a_{n-j+1} \in \gamma$ .

These notions are all borrowed from the customary model-theoretic definitions of type, realization, etc. It can be shown that types as they have been defined here correspond exactly to quantifier-free types in the usual sense with respect to a certain first-order theory. But it does not seem to simplify the exposition to use this fact so I will just ignore it.

Now I proceed to construct two  $\mathcal{L}$ -structures  $\mathfrak{A} = \langle A, R^{\mathfrak{A}} \rangle$  and  $\mathfrak{B} = \langle B, R^{\mathfrak{B}} \rangle$ . First, for each  $\beta < \alpha$  a structure  $\mathfrak{A}_\beta = \langle A_\beta, R^{\mathfrak{A}_\beta} \rangle$  will be constructed. Let  $A_0 = \{1, 2, \dots, n+1\}$ ,  $R^{\mathfrak{A}_0} = \{\sigma(1, 2, \dots, n+1) \mid \sigma \in S_{n+1}\}$ . If  $\beta$  is a limit ordinal let  $A_\beta = \bigcup_{\delta < \beta} A_\delta$ ,  $R^{\mathfrak{A}_\beta} = \bigcup_{\delta < \beta} R^{\mathfrak{A}_\delta}$ . If  $\beta = \delta + 1$  where  $\delta$  is even, then let  $a_\beta$  be any element such that  $a_\beta \notin A_\delta$  and let  $A_\beta = A_\delta \cup \{a_\beta\}$  and

$$R^{\mathfrak{A}_\beta} = R^{\mathfrak{A}_\delta} \cup \{\sigma(a_1, \dots, a_n, a_\beta) \mid \sigma \in S_{n+1}, a_1, \dots, a_n \in A_\delta, \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} a_s \neq a_t\}.$$

Now suppose that  $\beta = \delta + 1$  where  $\delta$  is odd. For each finite subset  $\gamma$  of  $A_\delta$  and each  $n$ -type  $p$  over  $\gamma$  let  $X_{\gamma, p}^\beta$  be a set of cardinality  $\alpha$  such that  $X_{\gamma, p}^\beta \cap A_\delta = \emptyset$  and if  $\gamma \neq \gamma'$  or  $p \neq p'$  then  $X_{\gamma, p}^\beta \cap X_{\gamma', p'}^\beta = \emptyset$ . Let  $A_\beta = A_\delta \cup \bigcup_{\gamma, p} X_{\gamma, p}^\beta$ . For each  $n$ -tuple  $(b_1, \dots, b_n)$  of distinct elements of  $X_{\gamma, p}^\beta$  let

$$p(b_1, \dots, b_n) = \{\tau(b_{\sigma(1)}, \dots, b_{\sigma(j)}, a_1, \dots, a_{n-j+1}) \mid \tau R(x_{\sigma(1)}, \dots, x_{\sigma(j)}, a_1, \dots, a_{n-j+1}) \in p\}.$$

Let

$$R^{\mathfrak{A}\beta} = R^{\mathfrak{A}\delta} \cup \bigcup_{\gamma, p} \bigcup \{p(b_1, \dots, b_n) \mid b_1, \dots, b_n \in X_{\gamma, p}^\beta \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} b_s \neq b_t\}.$$

Finally, let  $\mathfrak{A} = \langle A, R^{\mathfrak{A}} \rangle$  where  $A = \bigcup_{\beta < \alpha} A_\beta$ ,  $R^{\mathfrak{A}} = \bigcup_{\beta < \alpha} R^{\mathfrak{A}\beta}$ .

$\mathfrak{B}$  is constructed in a similar manner. For each  $m < \omega$  a structure  $\mathfrak{B}_m = \langle B_m, R^{\mathfrak{B}m} \rangle$  will be constructed as follows. Set  $B_0 = \{1, 2, \dots, n + 1\}$ ,  $R^{\mathfrak{B}0} = \{\sigma(1, 2, \dots, n + 1) \mid \sigma \in S_{n+1}\}$ . If  $\mathfrak{B}_m$  has been constructed, then for each finite  $\gamma \subset B_m$  and each  $n$ -type  $p$  over  $\gamma$  pick a set  $\bar{X}_{\gamma, p}^{m+1}$  of cardinality  $\alpha$  such that  $B_m \cap \bar{X}_{\gamma, p}^{m+1} = \emptyset$  and if  $\gamma \neq \gamma'$  or  $p \neq p'$  then  $\bar{X}_{\gamma, p}^{m+1} \cap \bar{X}_{\gamma', p'}^{m+1} = \emptyset$ . Let  $B_{m+1} = B_m \cup \bigcup_{\gamma, p} \bar{X}_{\gamma, p}^{m+1}$ . Define  $p(b_1, \dots, b_n)$  as before and let

$$R^{\mathfrak{B}m+1} = R^{\mathfrak{B}m} \cup \bigcup_{\gamma, p} \bigcup \{p(b_1, \dots, b_n) \mid b_1, \dots, b_n \in \bar{X}_{\gamma, p}^{m+1} \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} b_s \neq b_t\}.$$

Finally, define  $\mathfrak{B} = \langle B, R^{\mathfrak{B}} \rangle$  where  $B = \bigcup_{m < \omega} B_m$ ,  $R^{\mathfrak{B}} = \bigcup_{m < \omega} R^{\mathfrak{B}m}$ .

It is important to make four simple observations about these structures:

- (1\*) if  $\delta < \beta < \alpha$  then  $\mathfrak{A}_\delta \subset \mathfrak{A}_\beta$  and if  $m < k < \omega$  then  $\mathfrak{B}_m \subset \mathfrak{B}_k$ ;
- (2\*) if  $\mathfrak{A} \models R(a_1, \dots, a_{n+1})$  then  $\mathfrak{A} \models \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n+1}} a_s \neq a_t$  and if  $\mathfrak{B} \models R(b_1, \dots, b_{n+1})$

then  $\mathfrak{B} \models \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n+1}} b_s \neq b_t$ ;

- (3\*) if  $\beta < \alpha$ ,  $\beta = \delta + 1$  where  $\delta$  is odd,  $\gamma$  is a finite subset of  $A_\delta$  and  $p$  is an  $n$ -type over  $\gamma$  then each  $n$ -tuple of distinct elements of  $X_{\gamma, p}^\beta$  realizes  $p$ , and an analogous statement holds for  $\mathfrak{B}$ ;

- (4\*) both  $R^{\mathfrak{A}}$  and  $R^{\mathfrak{B}}$  are symmetric, i.e., if  $\sigma \in S_{n+1}$  and  $\mathfrak{A} \models R(a_1, \dots, a_{n+1})$  then  $\mathfrak{A} \models \sigma R(a_1, \dots, a_{n+1})$  and if  $\mathfrak{B} \models R(b_1, \dots, b_{n+1})$  then  $\mathfrak{B} \models \sigma R(b_1, \dots, b_{n+1})$ .

These statements are all proved by quite simple inductive arguments. Using these facts, I can now prove the following lemma which contains the easy half of the main result of this paper.

**Lemma 1**  $\mathfrak{A} \models \text{Q}_\alpha^{n+1} x_1 \dots x_{n+1} R(x_1, \dots, x_{n+1})$  and  $\mathfrak{B} \models \neg \text{Q}_\alpha^{n+1} x_1 \dots x_{n+1} R(x_1, \dots, x_{n+1})$ .

*Proof:* By construction of  $\mathfrak{A}$ , if  $\beta = \delta + 1$  where  $\delta < \alpha$  is even then  $A_\beta = A_\delta \cup \{a_\beta\}$ . Let  $X = \{a_\beta \mid \beta < \alpha, \beta = \delta + 1, \delta \text{ even}\}$ . Then  $c(X) = \alpha$ . Any  $(n + 1)$ -tuple of distinct elements of  $X$  has the form  $\sigma(a_{\beta_1}, \dots, a_{\beta_{n+1}})$  where  $\beta_1 < \dots < \beta_{n+1}$ ,  $\beta_i = \delta_i + 1$ ,  $\delta_i$  even for  $i = 1, \dots, n + 1$ , and  $\sigma \in S_{n+1}$ . The set  $\{a_{\beta_1}, \dots, a_{\beta_n}\}$  is contained in  $A_{\delta_{n+1}}$  since  $\beta_1 < \dots < \beta_n < \delta_{n+1}$ , so by construction of  $\mathfrak{A}$   $\sigma(a_{\beta_1}, \dots, a_{\beta_{n+1}}) \in R^{\mathfrak{A}}$ . This proves that  $\mathfrak{A} \models \text{Q}_\alpha^{n+1} x_1 \dots x_{n+1} R(x_1, \dots, x_{n+1})$ .

Now suppose that  $X \subset B$ ,  $c(X) = \alpha$ , and for all distinct  $b_1, \dots, b_{n+1} \in X$   $\mathfrak{B} \models R(b_1, \dots, b_{n+1})$ . Since  $\omega < \alpha$  there must be some  $m$  such that  $X \cap (B_{m+1} - B_m)$  is infinite. Let  $b_1, \dots, b_{n+1}$  be distinct elements of  $X \cap (B_{m+1} - B_m)$ . By our assumption  $\mathfrak{B} \models R(b_1, \dots, b_{n+1})$ . Suppose that  $(b_1, \dots, b_{n+1}) \in$

$R^{\mathfrak{B}^{m+1}}$ . Then there must be some  $\gamma \subset B_m$ , an  $n$ -type  $p$  over  $\gamma$ , and distinct elements  $c_1, \dots, c_n$  in  $\overline{X}_{\gamma, p}^{m+1}$  such that  $R(b_1, \dots, b_{n+1}) \in p(c_1, \dots, c_n)$ . But if  $R(t_1, \dots, t_{n+1}) \in p(c_1, \dots, c_n)$  then at least one of the  $t_i$  must be an element of  $\gamma$ . This is an immediate consequence of the definition of an  $n$ -type. Since  $\gamma \cap \{b_1, \dots, b_{n+1}\} = \emptyset$ , it must be that  $(b_1, \dots, b_{n+1}) \notin R^{\mathfrak{B}^{m+1}}$ . But by observation (1\*),  $\mathfrak{B}_{m+1} \subset \mathfrak{B}$  so  $(b_1, \dots, b_{n+1}) \notin R^{\mathfrak{B}}$ , i.e.,  $\mathfrak{B} \models \neg R(b_1, \dots, b_{n+1})$ . This is a contradiction. Therefore no such  $X$  can exist, i.e.,  $\mathfrak{B} \models \neg Q_{\alpha}^{n+1} x_1 \dots x_{n+1} R(x_1, \dots, x_{n+1})$ . Q.E.D.

Now we move on to the more difficult part of the proof: showing that  $\mathfrak{A}$  and  $\mathfrak{B}$  satisfy the same sentences in  $\mathcal{L}_{\alpha}^n$ . I adjoin two 0-ary predicates **T** ("true") and **F** ("false") to  $\mathcal{L}$  and give them the obvious interpretation in any structure. They can be regarded as defined terms with the definitions  $\mathbf{T} \equiv \forall x_1(x_1 = x_1)$  and  $\mathbf{F} \equiv \exists x_1(x_1 \neq x_1)$ . This expanded language is called  $\mathcal{L}(\mathbf{T}, \mathbf{F})$ .

**Lemma 2** *To each formula  $\varphi(y_1, \dots, y_k)$  of  $\mathcal{L}(\mathbf{T}, \mathbf{F})_{\alpha}^n$  with free variables among  $y_1, \dots, y_k$  one can effectively associate a quantifier-free formula  $\psi(y_1, \dots, y_k)$  of  $\mathcal{L}(\mathbf{T}, \mathbf{F})$  with free variables among  $y_1, \dots, y_k$  such that*

$$\mathfrak{A} \models \forall y_1 \dots \forall y_k [\varphi(y_1, \dots, y_k) \leftrightarrow \psi(y_1, \dots, y_k)]$$

and

$$\mathfrak{B} \models \forall y_1 \dots \forall y_k [\varphi(y_1, \dots, y_k) \leftrightarrow \psi(y_1, \dots, y_k)].$$

*Proof:* By using induction on the length of the formula, the proof can be reduced to the consideration of two special cases.

*Case 1:* Suppose  $\varphi(y_1, \dots, y_k) \equiv \exists x_1 \eta(x_1, y_1, \dots, y_k)$  where  $\eta$  is a conjunction of atomic formulas and negations of atomic formulas in  $\mathcal{L}(\mathbf{T}, \mathbf{F})$ .

(a) If  $x_1 = y_j$  or  $y_j = x_1$  is a conjunct in  $\eta$  for any  $j$  then it is easy to see that

$$\mathfrak{A} \models \forall y_1 \dots \forall y_k [\exists x_1 \eta(x_1, y_1, \dots, y_k) \leftrightarrow \eta(x_1/y_j, y_1, \dots, y_k)]$$

and

$$\mathfrak{B} \models \forall y_1 \dots \forall y_k [\exists x_1 \eta(x_1, y_1, \dots, y_k) \leftrightarrow \eta(x_1/y_j, y_1, \dots, y_k)]$$

where  $\eta(x_1/y_j, y_1, \dots, y_k)$  is the result of substituting  $y_j$  for every occurrence of  $x_1$  in  $\eta(x_1, y_1, \dots, y_k)$ .

(b) Suppose that there is no  $j$  such that  $y_j = x_1$  or  $x_1 = y_j$  is a conjunct in  $\eta$ . Let  $\Delta$  be the smallest set of quantifier-free formulas of  $\mathcal{L}(\mathbf{T}, \mathbf{F})$  satisfying the following rules:

- (1)  $\mathbf{T} \in \Delta$
- (2)  $\rho(y_1, \dots, y_k) \in \Delta$  where  $\rho(y_1, \dots, y_k)$  is the conjunction of all the conjuncts in  $\eta$  which do not contain  $x_1$
- (3) if  $\sigma \in S_{n+1}$ ,  $j \geq 1$ , and  $\sigma R(x_1, \dots, x_1, y_{i_1}, \dots, y_{i_{n-j}})$  is a conjunct in  $\eta$ , or if  $x_1 \neq x_1$  is a conjunct in  $\eta$ , then  $\mathbf{F} \in \Delta$
- (4) if  $\sigma \in S_{n+1}$  and  $\sigma R(x_1, y_{i_1}, \dots, y_{i_n})$  is a conjunct in  $\eta$ , then  $\bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} y_{i_s} \neq y_{i_t} \in \Delta$

(5) if  $\tau_1 \in S_{n+1}, \tau_2 \in S_{n+1}$  and both  $\tau_1 R(x_1, y_{i_1}, \dots, y_{i_n})$  and  $\neg \tau_2 R(x_1, y_{j_1}, \dots, y_{j_n})$  are conjuncts in  $\eta$ , then  $\bigvee_{s=1}^n \left( \bigwedge_{t=1}^n y_{i_s} \neq y_{j_t} \right) \in \Delta$ .

Let  $\psi(y_1, \dots, y_k) \equiv \bigwedge_{\mu \in \Delta} \mu(y_1, \dots, y_k)$ . Then I claim that  $\mathfrak{A} \models \forall y_1 \dots \forall y_k [\exists x_1 \eta(x_1, y_1, \dots, y_k) \leftrightarrow \psi(y_1, \dots, y_k)]$  and  $\mathfrak{B} \models \forall y_1 \dots \forall y_k [\exists x_1 \eta(x_1, y_1, \dots, y_k) \leftrightarrow \psi(y_1, \dots, y_k)]$ . The proofs for  $\mathfrak{A}$  and  $\mathfrak{B}$  are essentially identical, so I will confine my attention to  $\mathfrak{A}$ . So suppose that  $(a_1, \dots, a_k)$  is any  $k$ -tuple of elements of  $A$ , and suppose that  $\mathfrak{A} \models \exists x_1 \eta(x_1, a_1, \dots, a_k)$ . Then for some  $a \in A$ ,  $\mathfrak{A} \models \eta(a, a_1, \dots, a_k)$ . For each  $\mu \in \Delta$  I will show that  $\mathfrak{A} \models \mu(a_1, \dots, a_k)$ . Any  $\mu$  in  $\Delta$  must be placed there according to one of the rules (1)-(5). So I consider each rule in turn. If  $\mu \equiv \mathbf{T}$  then there is nothing to prove since  $\mathfrak{A} \models \mathbf{T}$  is always valid. If  $\mu(y_1, \dots, y_k) \equiv \rho(y_1, \dots, y_k)$  then since  $\rho$  is a conjunction of conjuncts in  $\eta$  and  $\mathfrak{A} \models \eta(a, a_1, \dots, a_k)$  we have  $\mathfrak{A} \models \rho(a_1, \dots, a_k)$ .  $\mu$  cannot be put into  $\Delta$  according to rule (3) since in that case either  $x_1 \neq x_1$  or something of the form  $\sigma R(x_1, \dots, x_1, y_{i_1}, \dots, y_{i_{n-j}})$  ( $\sigma \in S_{n+1}, j \geq 1$ ) would be a conjunct in  $\eta$ . It would follow that either  $\mathfrak{A} \models a \neq a$ , which is impossible or  $\mathfrak{A} \models \sigma R(a, \dots, a, a_{i_1}, \dots, a_{i_{n-j}})$  which is impossible by observation (2\*). Next suppose  $\mu$  arises via rule (4). Then  $\mu \equiv \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} y_{i_s} \neq y_{i_t}$  and for some  $\sigma \in S_{n+1}$ ,  $\sigma R(x_1, y_{i_1}, \dots, y_{i_n})$  is a conjunct in

$\eta$ . Therefore  $\mathfrak{A} \models \sigma R(a, a_{i_1}, \dots, a_{i_n})$  and by observation (2\*), this implies that  $\mathfrak{A} \models \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} a_{i_s} \neq a_{i_t}$ . Finally suppose  $\mu$  arises from rule (5). Then  $\mu$  is

of the form  $\bigvee_{s=1}^n \left( \bigwedge_{t=1}^n y_{i_s} \neq y_{j_t} \right)$  and for some  $\tau_1 \in S_{n+1}, \tau_2 \in S_{n+1}$  both  $\tau_1 R(x_1, y_{i_1}, \dots, y_{i_n})$  and  $\neg \tau_2 R(x_1, y_{j_1}, \dots, y_{j_n})$  are conjuncts in  $\eta$ . Hence  $\mathfrak{A} \models \tau_1 R(a, a_{i_1}, \dots, a_{i_n})$  and  $\mathfrak{A} \models \neg \tau_2 R(a, a_{j_1}, \dots, a_{j_n})$ . By observation (4\*),  $R^{\mathfrak{A}}$  is symmetric, so  $\{a_{i_1}, \dots, a_{i_n}\} \neq \{a_{j_1}, \dots, a_{j_n}\}$ . By observation (2\*)  $a_{i_1}, \dots, a_{i_n}$  are distinct, so in fact  $\{a_{i_1}, \dots, a_{i_n}\} \not\subseteq \{a_{j_1}, \dots, a_{j_n}\}$ . Therefore there is some  $s$  such that  $a_{i_s} \notin \{a_{j_1}, \dots, a_{j_n}\}$ . This implies that  $\mathfrak{A} \models \bigvee_{s=1}^n \left( \bigwedge_{t=1}^n a_{i_s} \neq a_{j_t} \right)$ .

Conversely, suppose  $\mathfrak{A} \models \bigwedge_{\mu \in \Delta} \mu(a_1, \dots, a_k)$ . I will show that  $\mathfrak{A} \models \exists x_1 \eta(x_1, a_1, \dots, a_k)$ . Let  $\gamma = \{a_1, \dots, a_k\}$ . Pick some  $\delta < \alpha$  such that  $\delta$  is odd and  $\gamma \subset A_\delta$ . Let  $p = \{ \tau R(x_{\sigma(1)}, a_{i_1}, \dots, a_{i_n}) \mid \sigma \in S_n, \tau \in S_{n+1}, \text{ and for some } \tau' \in S_{n+1} \tau' R(x_1, y_{i_1}, \dots, y_{i_n}) \text{ is a conjunct in } \eta \}$ . I claim that  $p$  is an  $n$ -type over  $\gamma$ . First, if  $\tau R(x_{\sigma(1)}, a_{i_1}, \dots, a_{i_n}) \in p$  for some  $\tau \in S_{n+1}, \sigma \in S_n$  then by definition of  $p$   $\tau' R(x_1, y_{i_1}, \dots, y_{i_n})$  is a conjunct in  $\eta$  for some  $\tau' \in S_{n+1}$  and hence, again by definition of  $p$ ,  $\tau'' R(x_{\sigma''(1)}, a_{i_1}, \dots, a_{i_n}) \in p$  for any  $\tau'' \in S_{n+1}$  and  $\sigma'' \in S_n$ . This shows that  $p$  satisfies the second condition in the definition of an  $n$ -type. Now suppose again that  $\tau R(x_{\sigma(1)}, a_{i_1}, \dots, a_{i_n}) \in p$ . Then for some  $\tau' \in S_{n+1}$ ,  $\tau' R(x_1, y_{i_1}, \dots, y_{i_n})$  is a conjunct in  $\eta$ . Hence  $\bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} y_{i_s} \neq y_{i_t} \in \Delta$ . Then since  $\mathfrak{A} \models \bigwedge_{\mu \in \Delta} \mu(a_1, \dots, a_k)$  we have  $\mathfrak{A} \models \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n}} a_{i_s} \neq a_{i_t}$ . This

proves that  $p$  satisfies the first condition in the definition of an  $n$ -type.

Take any  $n$ -tuple  $(c_1, \dots, c_n)$  of distinct elements of  $X_{\gamma, p}^{\delta+1}$ . By observation (3\*),  $(c_1, \dots, c_n)$  realizes  $p$ . I claim that  $\mathfrak{A} \models \eta(c_1, a_1, \dots, a_k)$ .

I consider each conjunct in  $\eta$  separately. For conjuncts in  $\eta$  which do not contain  $x_1$  it is sufficient to note that they are also conjuncts in  $\rho$ , and since  $\rho \in \Delta$  we have by assumption,  $\mathfrak{A} \models \rho(a_1, \dots, a_k)$ . Also, by hypothesis,  $\eta$  has no conjunct of the form  $x_1 = y_j$  or  $y_j = x_1$ . If  $x_1 \neq y_j$  or  $y_j \neq x_1$  is a conjunct in  $\eta$ , then  $\mathfrak{A} \models c_1 \neq a_j$  ( $\mathfrak{A} \models a_j \neq c_1$ ) since  $c_1 \in A_{\delta+1} - A_\delta$  but  $a_j \in A_\delta$ . Nothing of the form  $\sigma R(x_1, \dots, x_1, y_{i_1}, \dots, y_{i_{n-j}})$  where  $\sigma \in S_{n+1}$  and  $j \geq 1$  can be a conjunct in  $\eta$  since if it were then  $F \in \Delta$  and so we could not have  $\mathfrak{A} \models \bigwedge_{\mu \in \Delta} \mu(a_1, \dots, a_k)$ . For the same reason  $x_1 \neq x_1$  is not a conjunct in  $\eta$ . A conjunct of the form  $x_1 = x_1$  is trivially satisfied. If  $\tau R(x_1, y_{i_1}, \dots, y_{i_n})$  is a conjunct in  $\eta$  where  $\tau \in S_{n+1}$ , then  $\tau R(x_1, a_{i_1}, \dots, a_{i_n}) \in p$  and since  $(c_1, \dots, c_n)$  realizes  $p$ , we have  $\mathfrak{A} \models \tau R(c_1, a_{i_1}, \dots, a_{i_n})$ . If  $\tau \in S_{n+1}$ ,  $j \geq 1$  and  $\neg \tau R(x_1, \dots, x_1, y_{i_1}, \dots, y_{i_{n-j}})$  is a conjunct in  $\eta$ , then  $\mathfrak{A} \models \neg \tau R(c_1, \dots, c_1, a_{i_1}, \dots, a_{i_{n-j}})$  by observation (2\*). Finally suppose  $\neg \tau R(x_1, y_{i_1}, \dots, y_{i_n})$  is a conjunct in  $\eta$ . I claim that  $\tau R(x_1, a_{i_1}, \dots, a_{i_n}) \notin p$ . If it were in  $p$ , then there would have to be some  $\sigma \in S_{n+1}$ ,  $\tau' \in S_{n+1}$ , and  $j_1, \dots, j_n$  such that  $\sigma R(x_1, y_{j_1}, \dots, y_{j_n})$  is a conjunct in  $\eta$  and  $\tau R(x_1, a_{j_1}, \dots, a_{j_n})$  is identical with  $\tau R(x_1, a_{i_1}, \dots, a_{i_n})$ . That would imply  $\{a_{j_1}, \dots, a_{j_n}\} = \{a_{i_1}, \dots, a_{i_n}\}$ . But since both  $\sigma R(x_1, y_{j_1}, \dots, y_{j_n})$  and  $\neg \tau R(x_1, y_{i_1}, \dots, y_{i_n})$  are conjuncts in  $\eta$ , we would have  $\bigvee_{s=1}^n \left( \bigwedge_{t=1}^n y_{j_s} \neq y_{i_t} \right) \in \Delta$  and hence  $\mathfrak{A} \models \bigvee_{s=1}^n \left( \bigwedge_{t=1}^n a_{j_s} \neq a_{i_t} \right)$ . That means that  $\{a_{j_1}, \dots, a_{j_n}\} \neq \{a_{i_1}, \dots, a_{i_n}\}$ . This contradiction proves that  $\tau R(x_1, a_{i_1}, \dots, a_{i_n}) \notin p$ . Then since  $(c_1, \dots, c_n)$  realizes  $p$ , we have  $\mathfrak{A} \models \neg \tau R(c_1, a_{i_1}, \dots, a_{i_n})$ . This covers all possibilities, so we have proved  $\mathfrak{A} \models \eta(c_1, a_1, \dots, a_k)$  and therefore  $\mathfrak{A} \models \exists x_1 \eta(x_1, a_1, \dots, a_k)$ .

*Case 2:* Suppose  $\varphi(y_1, \dots, y_k)$  is of the form  $\bigvee_{i=1}^n \eta_i(x_1, \dots, x_n, y_1, \dots, y_k)$  where each  $\eta_i$  is a conjunction of atomic formulas and negations of atomic formulas in  $\mathcal{L}(\mathbf{T}, \mathbf{F})$ . For each  $1 \leq i \leq m$  I define  $\Delta_i$  to be the smallest set of quantifier-free formulas in  $\mathcal{L}(\mathbf{T}, \mathbf{F})$  satisfying the following rules:

- (1)  $\mathbf{T} \in \Delta_i$
- (2)  $\rho_i \in \Delta_i$  where  $\rho_i$  is the conjunction of all the conjuncts in  $\eta_i$  which do not contain any of the variables  $x_1, \dots, x_n$
- (3) if  $\sigma R(x_j, \dots, x_j, x_{i_1}, \dots, x_{i_s}, y_{i_{s+1}}, \dots, y_{i_{n-h}})$  is a conjunct in  $\eta_i$  for any  $j$ ,  $\sigma \in S_{n+1}$  and  $h \geq 1$ , or if anything of the following forms:  $x_j = x_h$  ( $j \neq h$ ),  $y_j = x_h$ ,  $x_h = y_j$ ,  $x_j \neq x_j$  is a conjunct in  $\eta_i$ , then  $\mathbf{F} \in \Delta_i$
- (4) if  $\tau \in S_{n+1}$ ,  $\sigma \in S_n$ ,  $1 \leq h \leq n$  and  $\tau R(x_{\sigma(1)}, \dots, x_{\sigma(h)}, y_{i_1}, \dots, y_{i_{n-h+1}})$  is a conjunct in  $\eta_i$  then

$$\bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n-h+1}} y_{i_s} \neq y_{i_t} \in \Delta_i$$

- (5) if  $\tau \in S_{n+1}$ ,  $\sigma \in S_n$ ,  $\tau' \in S_{n+1}$ ,  $\sigma' \in S_n$   $1 \leq h \leq n$  and both  $\tau R(x_{\sigma(1)}, \dots, x_{\sigma(h)}, y_{i_1}, \dots, y_{i_{n-h+1}})$  and  $\neg \tau' R(x_{\sigma'(1)}, \dots, x_{\sigma'(h)}, y_{j_1}, \dots, y_{j_{n-h+1}})$  are conjuncts in  $\eta_i$  then

$$\bigvee_{s=1}^{n-h+1} \left( \bigwedge_{t=1}^{n-h+1} y_{i_s} \neq y_{j_t} \right) \in \Delta_i.$$

Now I claim that

$$\mathfrak{A} \models \forall y_1 \dots \forall y_k \left[ \mathcal{Q}_\alpha^n x_1 \dots x_n \left( \bigvee_{i=1}^m \eta_i(x_1, \dots, x_n, y_1, \dots, y_k) \right) \right. \\ \left. \leftrightarrow \bigvee_{i=1}^m \left( \bigwedge_{\mu \in \Delta_i} \mu(y_1, \dots, y_k) \right) \right]$$

and

$$\mathfrak{B} \models \forall y_1 \dots \forall y_k \left[ \mathcal{Q}_\alpha^n x_1 \dots x_n \left( \bigvee_{i=1}^m \eta_i(x_1, \dots, x_n, y_1, \dots, y_k) \right) \right. \\ \left. \leftrightarrow \bigvee_{i=1}^m \left( \bigwedge_{\mu \in \Delta_i} \mu(y_1, \dots, y_k) \right) \right].$$

Again I give the proof only for  $\mathfrak{A}$  since the proof for  $\mathfrak{B}$  is virtually identical. Take any  $k$ -tuple  $(a_1, \dots, a_k)$  of elements of  $A$  and suppose first that  $\mathfrak{A} \models \mathcal{Q}_\alpha^n x_1 \dots x_n \left( \bigvee_{i=1}^m \eta_i(x_1, \dots, x_n, a_1, \dots, a_k) \right)$ . Then there is a set  $X \subset A$  such that  $c(X) = \alpha$  and for all distinct  $c_1, \dots, c_n$  in  $X$ ,  $\mathfrak{A} \models \bigvee_{i=1}^m (\eta_i(c_1, \dots, c_n, a_1, \dots, a_k))$ . Let  $\gamma = \{a_1, \dots, a_k\}$ . For each proper  $n$ -type  $p$  over  $\gamma$  let

$$X_p = \{ \{c_1, \dots, c_n\} \in [X]^n \mid \text{for all } \tau \in S_{n+1} \text{ and all } a \in \gamma \mathfrak{A} \models \tau R(c_1, \dots, c_n, a) \leftrightarrow \tau R(x_1, \dots, x_n, a) \in p \}.$$

$X_p$  is well-defined since  $R^{\mathfrak{A}}$  is symmetric, and  $p$  is closed under permutations. Furthermore, for any  $\{c_1, \dots, c_n\} \in [X]^n$  if  $p = \{ \tau R(x_1, \dots, x_n, a) \mid \tau \in S_{n+1}, a \in \gamma, \text{ and } \mathfrak{A} \models \tau R(c_1, \dots, c_n, a) \}$  then  $p$  is a proper  $n$ -type over  $\gamma$  and  $\{c_1, \dots, c_n\} \in X_p$ . Therefore,  $\{X_p \mid p \text{ is a proper } n\text{-type over } \gamma\}$  is a finite partition of  $[X]^n$  and so by Ramsey's theorem there is an infinite set  $X_1 \subset X$  and a proper  $n$ -type  $p$  over  $\gamma$  such that for all  $\{c_1, \dots, c_n\} \in [X_1]^n$   $\{c_1, \dots, c_n\} \in X_p$ . Now for any proper  $(n - 1)$ -type  $p$  over  $\gamma$  let

$$(X_1)_p = \{ \{c_1, \dots, c_{n-1}\} \in [X_1]^{n-1} \mid \text{for all } \tau \in S_{n+1} \text{ and all } a_{i_1}, a_{i_2} \in \gamma \mathfrak{A} \models \tau R(c_1, \dots, c_{n-1}, a_{i_1}, a_{i_2}) \leftrightarrow \tau R(x_1, \dots, x_{n-1}, a_{i_1}, a_{i_2}) \in p \}$$

Then as above we obtain an infinite set  $X_2 \subset X_1$  and a proper  $(n - 1)$ -type  $p$  over  $\gamma$  such that  $[X_2]^{n-1} \subset (X_1)_p$ . Continuing in this fashion we finally obtain an infinite set  $Y \subset X$  and a sequence  $p_1, \dots, p_n$  such that each  $p_j$  is a proper  $j$ -type over  $\gamma$  and for all distinct  $c_1, \dots, c_j$  in  $Y$  and all  $a_{i_1}, \dots, a_{i_{n-j+1}}$  in  $\gamma$  and all  $\tau \in S_{n+1}$

$$\mathfrak{A} \models \tau R(c_1, \dots, c_j, a_{i_1}, \dots, a_{i_{n-j+1}}) \leftrightarrow \tau R(x_1, \dots, x_j, a_{i_1}, \dots, a_{i_{n-j+1}}) \in p_j.$$

This implies that for any  $1 \leq j \leq n$  and any two  $j$ -tuples  $(c_1, \dots, c_j), (b_1, \dots, b_j)$  of distinct elements of  $Y$ , any  $\sigma \in S_{n+1}$  and any  $a_{i_1}, \dots, a_{i_{n-j+1}} \in \gamma$ ,

$$\mathfrak{A} \models \sigma R(c_1, \dots, c_j, a_{i_1}, \dots, a_{i_{n-j+1}}) \leftrightarrow \mathfrak{A} \models \sigma R(b_1, \dots, b_j, a_{i_1}, \dots, a_{i_{n-j+1}}).$$

Now take any  $n$  distinct elements  $c_1, \dots, c_n$  from  $Y - \gamma$ . Since  $Y \subset X$ ,  $\mathfrak{A} \models \bigvee_{i=1}^m \eta_i(c_1, \dots, c_n, a_1, \dots, a_k)$ . Pick some  $i$  such that  $\mathfrak{A} \models \eta_i(c_1, \dots, c_n, a_1, \dots, a_k)$ . I claim that  $\mathfrak{A} \models \bigwedge_{\mu \in \Delta_i} \mu(a_1, \dots, a_k)$ . I consider in turn each of

the rules according to which  $\mu$  may be put into  $\Delta_i$ . If  $\mu \equiv \top$  or  $\mu \equiv \rho_i$  then just as in Case 1,  $\mathfrak{A} \models \mu(a_1, \dots, a_k)$ .  $\mu$  cannot be put into  $\Delta_i$  according to rule (3) since otherwise  $\eta_i$  would have a conjunct of the form  $x_j = x_h (j \neq h)$ ,  $x_j = y_h$ ,  $y_h = x_j$ ,  $x_j \neq x_j$ , or  $\sigma R(x_j, \dots, x_j, x_{i_1}, \dots, x_{i_s}, y_{i_{s+1}}, \dots, y_{i_{n-h}})$  ( $\sigma \in S_{n+1}$ ,  $h \geq 1$ ). Hence, it would be true that  $\mathfrak{A} \models c_j = c_h (j \neq h)$ ,  $\mathfrak{A} \models c_j = a_h$ ,  $\mathfrak{A} \models a_h = c_j$ ,  $\mathfrak{A} \models c_j \neq c_j$ , or  $\mathfrak{A} \models \sigma R(c_j, \dots, c_j, c_{i_1}, \dots, c_{i_s}, a_{i_{s+1}}, \dots, a_{i_{n-h}})$  ( $h \geq 1$ ). The first is impossible since  $c_1, \dots, c_n$  are chosen to be distinct, the second and third are impossible since  $c_j \in Y - \gamma$ , the fourth is always impossible, and the fifth cannot be true because of observation (2\*). If  $\mu$  gets in  $\Delta_i$  by way of rule (4) then  $\mu$  has the form  $\bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n-h+1}} y_{i_s} \neq y_{i_t}$  where

$1 \leq h \leq n$ , and for some  $\tau \in S_{n+1}$ ,  $\sigma \in S_n$ ,  $\tau R(x_{\sigma(1)}, \dots, x_{\sigma(h)}, y_{i_1}, \dots, y_{i_{n-h+1}})$  is a conjunct in  $\eta_i$ . Therefore  $\mathfrak{A} \models \tau R(c_{\sigma(1)}, \dots, c_{\sigma(h)}, a_{i_1}, \dots, a_{i_{n-h+1}})$  so by observation (2\*)  $\mathfrak{A} \models \bigwedge_{\substack{s \neq t \\ 1 \leq s, t \leq n-h+1}} a_{i_s} \neq a_{i_t}$ . Finally, suppose  $\mu$  is put into  $\Delta_i$

according to rule (5). Then  $\mu$  has the form  $\bigvee_{s=1}^{n-h+1} \left( \bigwedge_{t=1}^{n-h+1} y_{i_s} \neq y_{i_t} \right)$  where

$1 \leq h \leq n$ , and for some  $\tau, \tau' \in S_{n+1}$ ,  $\sigma, \sigma' \in S_n$  both  $\tau R(x_{\sigma(1)}, \dots, x_{\sigma(h)}, y_{i_1}, \dots, y_{i_{n-h+1}})$  and  $\tau' R(x_{\sigma'(1)}, \dots, x_{\sigma'(h)}, y_{j_1}, \dots, y_{j_{n-h+1}})$  are conjuncts in  $\eta_i$ . Hence

$$\mathfrak{A} \models \tau R(c_{\sigma(1)}, \dots, c_{\sigma(h)}, a_{i_1}, \dots, a_{i_{n-h+1}})$$

and

$$\mathfrak{A} \models \tau' R(c_{\sigma'(1)}, \dots, c_{\sigma'(h)}, a_{j_1}, \dots, a_{j_{n-h+1}}).$$

Since  $R^{\mathfrak{A}}$  is symmetric, we also have  $\mathfrak{A} \models \tau' R(c_{\sigma(1)}, \dots, c_{\sigma(h)}, a_{i_1}, \dots, a_{i_{n-h+1}})$ . But  $(c_{\sigma(1)}, \dots, c_{\sigma(h)})$  and  $(c_{\sigma'(1)}, \dots, c_{\sigma'(h)})$  are both  $h$ -tuples of distinct elements of  $Y$ , and consequently  $\mathfrak{A} \models \tau' R(c_{\sigma'(1)}, \dots, c_{\sigma'(h)}, a_{i_1}, \dots, a_{i_{n-h+1}})$ . Therefore  $\{a_{i_1}, \dots, a_{i_{n-h+1}}\} \neq \{a_{j_1}, \dots, a_{j_{n-h+1}}\}$ . But by observation (2\*)  $a_{i_1}, \dots, a_{i_{n-h+1}}$  are distinct, so  $\{a_{i_1}, \dots, a_{i_{n-h+1}}\} \not\subseteq \{a_{j_1}, \dots, a_{j_{n-h+1}}\}$ .

Therefore,  $\mathfrak{A} \models \bigvee_{s=1}^{n-h+1} \left( \bigwedge_{t=1}^{n-h+1} a_{i_s} \neq a_{j_t} \right)$ .

Suppose that, for some  $i$ ,  $\mathfrak{A} \models \bigwedge \mu(a_1, \dots, a_k)$ . Then I will show that  $\mathfrak{A} \models Q_\alpha^n x_1 \dots x_n \eta_i(x_1, \dots, x_n, a_1, \dots, a_k)$  and consequently  $\mathfrak{A} \models Q_\alpha^n x_1 \dots x_n \left( \bigvee_{i=1}^m \eta_i(x_1, \dots, x_n, a_1, \dots, a_k) \right)$ . Let

$p = \{ \tau R(x_{\sigma(1)}, \dots, x_{\sigma(h)}, a_{i_1}, \dots, a_{i_{n-h+1}}) \mid 1 \leq h \leq n, \tau \in S_{n+1}, \sigma \in S_n \text{ and for some } \tau' \in S_{n+1}, \sigma' \in S_n \tau' R(x_{\sigma'(1)}, \dots, x_{\sigma'(h)}, y_{i_1}, \dots, y_{i_{n-h+1}}) \text{ is a conjunct in } \eta_i \}$ .

Let  $\gamma = \{a_1, \dots, a_k\}$ . Then just as in Case 1 it can be proved that  $p$  is an  $n$ -type over  $\gamma$ . Pick  $\delta < \alpha$  such that  $\delta$  is odd and  $\gamma \subset A_\delta$ . Then by construction of  $\mathfrak{A}$  there is a set  $X \subset A$  such that  $X \cap A_\delta = \emptyset$ ,  $c(X) = \alpha$ , and all  $n$ -tuples of distinct elements of  $X$  realize  $p$ . Just set  $X = X_{\gamma, p}^{\delta+1}$  and recall observation (3\*). Now I claim that if  $(c_1, \dots, c_n)$  is any  $n$ -tuple of distinct elements of  $X$  then  $\mathfrak{A} \models \eta_i(c_1, \dots, c_n, a_1, \dots, a_k)$ . I consider each



possible conjunct in  $\eta_i$ . First, any conjunct in  $\eta_i$  which does not contain any of the variables  $x_1, \dots, x_n$  is satisfied by  $(c_1, \dots, c_n, a_1, \dots, a_k)$  since  $\rho_i \in \Delta_i$  and hence  $\mathfrak{A} \models \rho_i(a_1, \dots, a_k)$ . Any conjunct of the form  $x_j = x_j$  is trivially satisfied. Conjuncts of the forms  $x_j \neq x_h$  ( $j \neq h$ ),  $y_h \neq x_j$ ,  $x_j \neq y_h$  are satisfied since  $\mathfrak{A} \models c_j \neq c_h$  ( $j \neq h$ ) and  $\mathfrak{A} \models c_j \neq a_h$ , the first being true because  $c_1, \dots, c_n$  are distinct by hypothesis, and the second because  $c_1, \dots, c_n \in X$  and  $X \cap \gamma = \emptyset$ .  $\eta_i$  cannot have any conjuncts of the forms  $x_j = x_h$  ( $j \neq h$ ),  $x_j = y_h$ ,  $y_h = x_j$ ,  $x_j \neq x_j$ , or

$$\sigma R(x_j, \dots, x_j, x_{i_1}, \dots, x_{i_s}, y_{i_{s+1}}, \dots, y_{i_{n-h}})(\sigma \in S_{n+1}, h \geq 1)$$

because in those cases we would have  $F \in \Delta_i$  and hence  $\mathfrak{A} \not\models \bigwedge_{\mu \in \Delta_i} \mu(a_1, \dots, a_k)$ .

Finally, conjuncts in  $\eta_i$  of the forms

$$\begin{aligned} & \neg \tau R(x_j, \dots, x_j, x_{i_1}, \dots, x_{i_s}, y_{i_{s+1}}, \dots, y_{i_{n-h}})(\tau \in S_{n+1}, h \geq 1) \\ & \tau R(x_{\sigma(1)}, \dots, x_{\sigma(h)}, y_{i_1}, \dots, y_{i_{n-h+1}})(1 \leq h \leq n, \tau \in S_{n+1}, \sigma \in S_n) \end{aligned}$$

and

$$\neg \tau R(x_{\sigma(1)}, \dots, x_{\sigma(h)}, y_{i_1}, \dots, y_{i_{n-h+1}})(1 \leq h \leq n, \tau \in S_{n+1}, \sigma \in S_n)$$

can be proved to be satisfied by  $(c_1, \dots, c_n, a_1, \dots, a_k)$  in almost exactly the same way as in Case 1. Therefore  $\mathfrak{A} \models \eta_i(c_1, \dots, c_n, a_1, \dots, a_k)$  and  $\mathfrak{A} \models Q_\alpha^n x_1 \dots x_n \eta_i(x_1, \dots, x_n, a_1, \dots, a_k)$ . Q.E.D.

Corollary 1  $\mathfrak{A}$  and  $\mathfrak{B}$  satisfy the same sentences in  $\mathcal{L}_\alpha^n$ .

*Proof:* If  $\varphi$  is a sentence in  $\mathcal{L}_\alpha^n$  then  $\varphi \in \mathcal{L}(\mathbf{T}, \mathbf{F})_\alpha^n$  so there is a quantifier-free  $\psi \in \mathcal{L}(\mathbf{T}, \mathbf{F})_\alpha^n$  such that  $\mathfrak{A} \models \varphi \leftrightarrow \psi$  and  $\mathfrak{B} \models \varphi \leftrightarrow \psi$ . Since  $\varphi$  has no free variables, neither does  $\psi$  and therefore  $\psi$  is just a Boolean combination of  $\mathbf{T}$  and  $\mathbf{F}$ . So clearly either both  $\mathfrak{A} \models \psi \leftrightarrow \mathbf{T}$  and  $\mathfrak{B} \models \psi \leftrightarrow \mathbf{T}$  or both  $\mathfrak{A} \models \psi \leftrightarrow \mathbf{F}$  and  $\mathfrak{B} \models \psi \leftrightarrow \mathbf{F}$ . In the first case, both  $\mathfrak{A} \models \varphi$  and  $\mathfrak{B} \models \varphi$ , and in the second case both  $\mathfrak{A} \models \neg \varphi$  and  $\mathfrak{B} \models \neg \varphi$ . Q.E.D.

By putting together Lemma 1 and Corollary 1 we obtain

Theorem 1 For each  $n \geq 1$  and each uncountable cardinal  $\alpha$  there are  $\mathcal{L}$ -structures  $\mathfrak{A}$  and  $\mathfrak{B}$  such that  $\mathfrak{A}$  and  $\mathfrak{B}$  satisfy the same sentences of  $\mathcal{L}_\alpha^n$ , but for some sentence  $\varphi \in \mathcal{L}_\alpha^{n+1}$ ,  $\mathfrak{A} \models \varphi$  and  $\mathfrak{B} \models \neg \varphi$ .

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