

ON SOME SUBSTITUTION INSTANCES OF R1 AND L1

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A study of the epistemic correlates of the modal systems between S4 and S5, [4], has drawn my interest to certain modifications of the "factoring" axioms (*cf.* [11])¹

R1 $p \supset (MLp \supset Lp)$

L1 $p \supset (LMLp \supset Lp)$

which characterize S4.4 and S4.04, respectively. The following substitution instances turned out to be particularly interesting:

R1.1 $Mp \supset (MLMp \supset LMp)$

R1.2 $(Lp \supset Lq) \supset (ML(Lp \supset Lq) \supset L(Lp \supset Lq))$

R1.3 $(p \supset Lp) \supset (ML(p \supset Lp) \supset L(p \supset Lp))$

L1.1 $Mp \supset (LMLMp \supset LMp)$

L1.2 $(Lp \supset Lq) \supset (LML(Lp \supset Lq) \supset L(Lp \supset Lq))$

L1.3 $(p \supset Lp) \supset (LML(p \supset Lp) \supset L(p \supset Lp))$

In this note I want to investigate the results of adding these formulae as new axioms to the base of S4 (with a primitive rule of Necessitation). It will be shown that

- (i) S4 + **R1.1** is deductively equivalent to S4.2;
 - (ii) S4 + **R1.2** is deductively equivalent to S4.3.2;
 - (iii) S4 + **R1.3** is a new system properly between S4.4 and S4.1.2, or else **R1.3** is a new proper axiom of S4.1.2.
 - (iv) S4 + **L1.2** is a new system properly between S4.04 and S4 and properly between S4.3.2 and S4;
 - (v) S4 + **L1.3** is a new system properly between S4.04 and S4.02, or else **L1.3** is a new proper axiom of S4.04.
- (a) It is well known (*cf.* [1], p. 252) that in the field of S4 the proper axiom of S4.2,

1. I assume the reader is familiar with the literature cited in this note, especially with [5] and [6].

G1 $MLp \supset LMp$

entails and is entailed by

G2 $MLp \supset LMLp$.

Substitution $p/\neg p$ in **G2** yields

(1) $ML\neg p \supset LML\neg p$

from which

(2) $\neg LML\neg p \supset \neg ML\neg p$

i.e.

(3) $MLMp \supset LMp$

and thus

R1.1 $Mp \supset (MLMp \supset LMp)$

follows truth-functionally. Hence $S4 + \mathbf{R1.1}$ is contained in $S4.2$. Conversely, **G1** is easily seen to follow from **R1.1** in conjunction with the following two $S2$ -theorems:

(4) $MLp \supset Mp$

and

(5) $MLp \supset MLMp$.

Hence (i), i.e., **R1.1** is another new proper axiom of $S4.2$.

(b) That, in the field of $S4$, **R1.2** entails the proper axiom of $S4.3.2$,

F1 $L(Lp \supset q) \vee (MLq \supset p)$,

can be seen as follows:

(6) $\neg p \supset (Lp \supset Lq)$ S1

(7) $MLq \supset ML(Lp \supset Lq)$ S4°

(8) $\neg(MLq \supset p) \supset ((Lp \supset Lq) \wedge ML(Lp \supset Lq))$ (6), (7)

(9) $(Lp \supset Lq) \supset (ML(Lp \supset Lq) \supset L(Lp \supset Lq))$ **R1.2**

(10) $\neg(MLq \supset p) \supset L(Lp \supset Lq)$ (8), (9)

(11) $L(Lp \supset Lq) \supset L(Lp \supset q)$ S1

F1 $L(Lp \supset q) \vee (MLq \supset p)$ (10), (11)

Hence $S4 + \mathbf{R1.2}$ contains $S4.3.2$. For the converse, note that **F1** is known to be inferentially equivalent to

F2 $L(Lp \supset Lq) \vee L(LMLq \supset Lp)$

(cf. [10], p. 296), and that in $S4.3.2$ (which contains $S4.2$) **G2** is derivable. Moreover, as Zeman has pointed out in [11], in $S4.2$ (and hence in $S4.3.2$) ML distributes over implications. Thus in particular we have

(12) $ML(Lp \supset Lq) \supset (MLLp \supset MLLq)$. S4.2

Now:

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|------|--|------------------|
| (13) | $(MLLp \supset MLLq) \supset (\neg MLLq \supset \neg MLLp)$ | PC |
| (14) | $\neg MLq \supset \neg MLLq$ | S2 |
| (15) | $\neg LMLq \supset \neg MLq$ | G2 |
| (16) | $\neg MLLp \supset \neg MLP$ | S4° |
| (17) | $\neg MLP \supset L(Lp \supset Lq)$ | S2° |
| (18) | $ML(Lp \supset Lq) \supset (\neg LMLq \supset L(Lp \supset Lq))$ | (12)-(17) |

Furthermore we have:

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|------|---|------------|
| (19) | $Lp \supset ((Lp \supset Lq) \supset L(Lp \supset Lq))$ | S4° |
|------|---|------------|

and

- | | | |
|------|---|-----------|
| (20) | $\neg Lp \supset (LMLq \supset L(Lp \supset Lq))$ | F2 |
|------|---|-----------|

(18), (19) + (20) truth-functionally entail

$$\mathbf{R1.2} \quad (Lp \supset Lq) \supset (ML(Lp \supset Lq) \supset L(Lp \supset Lq)).$$

Hence (ii), i.e., **R1.2** is another new proper axiom of S4.3.2.

(c) The subsequent deduction shows that

$$\mathbf{S4.1.4} = \mathbf{S4} + \mathbf{R1.3}$$

is an extension of Zeman's S4.04:

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|-----------|---|------------------|
| (21) | $p \supset (\neg p \supset L\neg p)$ | PC |
| (22) | $MLp \supset ML(\neg p \supset L\neg p)$ | S4° |
| (23) | $LMLp \supset MLP$ | S1 |
| (24) | $p \supset (LMLp \supset (\neg p \supset L\neg p) \wedge ML(\neg p \supset L\neg p))$ | (21)-(23) |
| (25) | $(\neg p \supset L\neg p) \supset (ML(\neg p \supset L\neg p) \supset L(\neg p \supset L\neg p))$ | R1.3 |
| (26) | $L(\neg p \supset L\neg p) \supset (LMP \supset Lp)$ | S2° |
| (27) | $LMLp \supset LMP$ | S2 |
| L1 | $p \supset (LMLp \supset Lp)$ | (24)-(27) |

Moreover, S4.1.4 also is an extension of S4.1 = S4 +

$$\mathbf{N1} \quad L(L(p \supset Lp) \supset p) \supset (MLp \supset p),$$

as is proven by the following deduction:

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|-----------|--|-------------------|
| (28) | $MLp \supset ML(p \supset Lp)$ | S4° |
| (29) | $\neg p \supset (p \supset Lp)$ | PC |
| (30) | $\neg (MLp \supset p) \supset ((p \supset Lp) \wedge ML(p \supset Lp))$ | (28), (29) |
| (31) | $(p \supset Lp) \wedge ML(p \supset Lp) \supset L(p \supset Lp)$ | R1.3 |
| (32) | $\neg (MLp \supset p) \supset \neg (L(p \supset Lp) \supset p)$ | (30), (31) |
| (33) | $\neg (L(p \supset Lp) \supset p) \supset \neg L(L(p \supset Lp) \supset p)$ | S1 |
| N1 | $L(L(p \supset Lp) \supset p) \supset (MLp \supset p)$ | (32), (33) |

Hence we may conclude that S4.1.4 is also an extension of S4.1.2 = S4.1 + **L1** (cf. [7], p. 383). It is easily checked that matrix $\mathfrak{M5}$ (in [6], p. 350) varifies **R1.3**. Since $\mathfrak{M5}$ is known to reject S4.2 (cf. [7] and [6]), S4.1.4 must be properly included in S4.4. Hence (iii).

(d) Since

$$(34) \quad LMLMp \supset LMp$$

is a well-known S4-theorem (*cf.* [3], p. 47), **L1.1** is of no further interest.

(e) However,

$$S4.03 = S4 + L1.2$$

is an interesting new system. Until presently, the only system known to be contained both in S4.3.2 and in S4.04 was S4 itself. But $S4.03 \neq S4$! Sobociński's matrix $\mathfrak{M}4$ ([6], p. 350) falsifies **L1.2** for, e.g., $p/5, q/2$: $(L5 \supset L2) \supset (LML(L5 \supset L2) \supset L(L5 \supset L2)) = (5 \supset 6) \supset (LML(5 \supset 6) \supset L(5 \supset 6)) = 2 \supset (LML2 \supset L2) = 2 \supset (LM6 \supset 6) = 2 \supset (L1 \supset 6) = 2 \supset (1 \supset 6) = 2 \supset 6 = 5$. Since $\mathfrak{M}4$ validates both **N1** and the proper axiom of S4.3,

$$D2 \quad L(Lp \supset Lq) \vee L(Lq \supset Lp),$$

(*cf.* [10], p. 297, [5], p. 310), S4.03 properly contains S4 but is not contained in S4.3.1. We know from [9], p. 382, that $S4.02 = S4 +$

$$\mathfrak{L}1 \quad L(L(p \supset Lp) \supset p) \supset (LMLp \supset p)$$

is not contained in S4.3.2; since, furthermore, $S4.01 = S4 +$

$$\Gamma1 \quad MLp \supset (LMP \supset LMLp)$$

is not contained in S4.04 (*cf.* [2], p. 569), it follows that S4.03 does not contain any extension of S4 known so far (including the new system S4.021 to be defined in (f) below). Hence (iv).

(f) Consider now

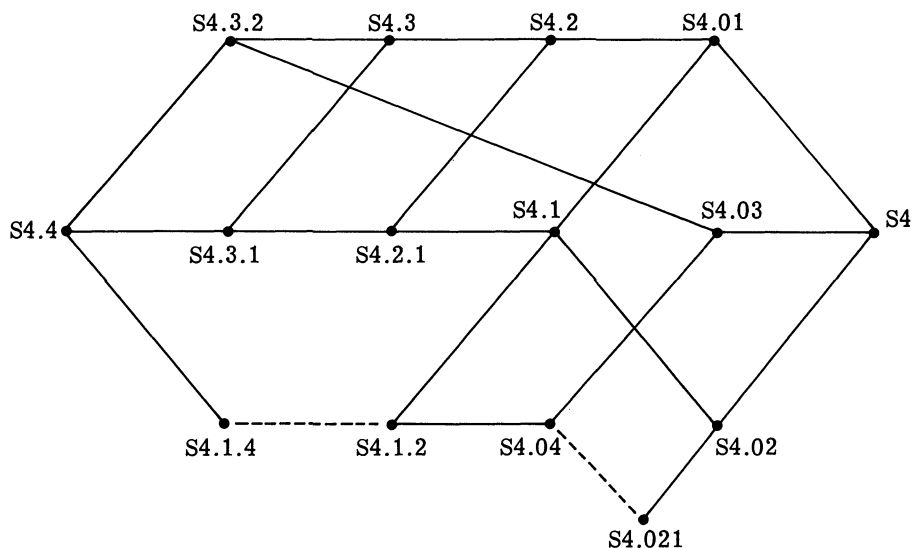
$$S4.021 = S4 + L1.3$$

Since we have

$$(35) \quad LMLp \supset LML(p \supset Lp)$$

as a theorem of $S4^\circ$, and since $\mathfrak{L}1$ "relates" to **N1** as **L1.3** "relates" to **R1.3**, the proof given in (c) showing that **R1.3** entails **N1** immediately transforms itself into a proof showing that analogously **L1.3** entails $\mathfrak{L}1$. Hence S4.021 is an extension of S4.02. It is a proper one, because matrix $\mathfrak{M}9$ ([6], p. 350) verifies $\mathfrak{L}1$ (*cf.* [9], p. 381) but falsifies **L1.3** for $p/13$: $(13 \supset LI3) \supset (LML(13 \supset LI3) \supset L(13 \supset LI3)) = (13 \supset 16) \supset (LML(13 \supset 16) \supset L(13 \supset 16)) = 4 \supset (LML4 \supset L4) = 4 \supset (LM12 \supset 12) = 4 \supset (L1 \supset 12) = 4 \supset (1 \supset 12) = 4 \supset 12 = 9$. Since **R1.3** does not entail **R1**, it is very probable that **L1.3** does not entail **L1** either, but I have no proof for this assumption. However, (v) is without doubt the case.

(g) The following updated diagram (see page 163):



visualizes the relations among the systems between $S4.4$ and $S4^2$; the broken line indicates that the respective containment has not yet been proven to be proper.

REFERENCES

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2. The remaining systems between $S4$ and $S5$, forming the so-called z -family, (cf. [8]), are neglected here.

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