

## DISTRIBUTION OF ARITHMETIC FUNCTIONS ON CERTAIN SUBSETS OF INTEGERS

J.M. DE KONINCK AND I. KÁTAI

**ABSTRACT.** Let  $d(n)$ , respectively  $\sigma(n)$ , stand for the number, respectively the sum, of the positive divisors of  $n$ , and let  $\varphi$  be Euler's totient function. Also let  $d_3(n)$  be the number of solutions of  $a_1 a_2 a_3 = n$  in positive integers  $a_1, a_2, a_3$ . We determine the order of the set of positive integers  $n \leq x$  for which  $(nd(n), \varphi(n))$  is a power of 2. We do the same for the set of positive integers  $n \leq x$  for which  $(nd_3(n), \varphi(n)) = 1$  and for the set of positive integers  $n \leq x$  for which  $U(n) := (nd_3(n), \sigma(n)) = 1$ . We also show that  $\sum_{p \leq x, U(p+a)=1} d(p+a)$  is of order  $\text{li}(x)/\log \log \log x$ . Moreover, generalizing an approach used by Erdős to prove that  $\#\{n \leq x : (n, \varphi(n)) = 1\} \sim \#\{n \leq x : p(n) > \log \log x\}$  (where  $p(n)$  stands for the smallest prime factor of  $n$ ), we show that the same result holds when we add the condition  $\omega(n) = r$  in each of these two sets, where  $\omega(n)$  is the number of distinct prime divisors of  $n$ . Finally, we estimate the size of  $\#\{n \leq x : (n, \varphi(n)) = 1, \omega(n) = r\}$  uniformly for  $r = r(x) = (1 + o(1)) \log \log x$ .

**1. Introduction.** Let  $\varphi$  stand for Euler's totient function,  $\sigma(n)$  for the sum of the positive divisors of  $n$  and  $d(n)$  for the number of positive divisors of  $n$ . Moreover, for each integer  $n \geq 2$ , let  $p(n)$  stand for the smallest prime factor of  $n$  with  $p(1) = 1$  and  $\omega(n)$  for the number of distinct prime factors of  $n$ .

In 1958, Kanold [4] showed that, if  $E(x) := \#\{n \leq x : (nd(n), \sigma(n)) = 1\}$ , then there exist positive constants  $C_1 < C_2$  and a positive number  $x_0$  such that

$$(1) \quad C_1 < E(x)/\sqrt{x/\log x} < C_2, \quad x \geq x_0.$$

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Recently, we showed [2] that there exists a positive constant  $C$  such that

$$E(x) = C(1 + o(1))\sqrt{\frac{x}{\log x}}.$$

Also recently, Kátaı and Subbarao [5] obtained various results regarding the function  $\varphi_k$ , that is, the  $k$ -fold iterate of  $\varphi$ ; they showed in particular that, for most integers  $n \leq x$ ,

$$(n, \varphi_k(n)) = \prod_{\substack{p^\alpha \parallel n \\ p < x_2^k}} p^\alpha$$

and that, for any given integer  $a \neq 0$ , for most primes  $q \leq x$ ,

$$(q + a, \varphi_k(q + a)) = \prod_{\substack{p^\alpha \parallel q+a \\ p < x_2^k}} p^\alpha.$$

Here and hereafter,  $x_1 = \max(1, \log x)$  and  $x_i = \max(1, \log x_{i-1})$  for each integer  $i \geq 2$ .

Consider the sets  $A_x := \{n \leq x : (n, \varphi(n)) = 1\}$  and  $B_x := \{n \leq x : p(n) > x_2\}$ . In 1948, Erdős [3] proved that

$$(2) \quad \#A_x = (1 + o(1))e^{-\gamma} \frac{x}{x_3}, \quad x \rightarrow \infty,$$

where  $\gamma$  stands for Euler's constant, and somewhat surprisingly, that

$$(3) \quad \#A_x = (1 + o(1))\#B_x, \quad x \rightarrow \infty.$$

In 2001, Begunts [1] improved (2) by showing that

$$\#A_x = e^{-\gamma} \frac{x}{x_3} \left( 1 + O\left(\frac{x_4}{x_3}\right) \right).$$

In this paper, we establish various results analogous to those mentioned above.

In particular, after observing that  $(nd(n), \varphi(n)) = 1$  if and only if  $n = 1$  or  $2$ , we estimate the size of the set  $\{n \leq x : (nd(n), \varphi(n)) = \text{a power of } 2\}$ .

Letting  $d_3(n)$  stand for the number of solutions of  $a_1 a_2 a_3 = n$  in positive integers  $a_1, a_2, a_3$  and setting  $F(n) := (nd_3(n), \varphi(n))$  and  $U(n) := (nd_3(n), \sigma(n))$ , we estimate the size of the sets  $\{n \leq x : F(n) = 1\}$  and  $\{n \leq x : U(n) = 1\}$  and of the sum  $\sum_{p \leq x, U(p+a)=1} d(p+a)$ .

Then, by using the method of Erdős, we prove a result similar to (3) with the additional restriction that the integers  $n$  in  $A_x$  and  $B_x$  satisfy  $\omega(n) = r$  with  $r = r(x) = (1 + o(1))x_2$ .

Finally, we show that  $\#\{n \leq x : (n, \varphi(n)) = 1, \omega(n) = r\} \sim \prod_{p < x_2} (1 - (1/p)) \cdot \#\{n \leq x : \omega(n) = r\}$  uniformly for  $r = r(x) = (1 + o(1))x_2$ .

**2. Main results.** Observe that  $E(n) := (nd(n), \varphi(n)) = 1$  if and only if  $n = 1$  or  $2$ . Indeed, assuming that  $n \geq 3$  with  $E(n) = 1$ , it is clear that  $n$  must be squarefree; therefore,  $n$  must be divisible by an odd prime  $p$ , in which case  $\varphi(p)$  is even and  $d(p) = 2$ , implying that  $2|E(n)$ , thus establishing our claim. On the other hand, it turns out that  $E(n)$  is quite often a power of 2, as is shown by the following result.

**Theorem 1.** *As  $x \rightarrow \infty$ ,*

$$\#\{n \leq x : (nd(n), \varphi(n)) = \text{a power of } 2\} = (1 + o(1))c_1 \frac{x}{x_3}, \quad x \rightarrow \infty,$$

where  $c_1 = e^{-\gamma} \left(1 + 2 \sum_{\ell=1}^{\infty} (1/2^{2^\ell})\right)$ .

**Theorem 2.** *There exists a positive constant  $c_2$  such that*

$$\#\{n \leq x : F(n) = 1\} = (1 + o(1))c_2 \frac{x}{\sqrt{x_1 x_3}}, \quad x \rightarrow \infty.$$

**Theorem 3.** *There exists a positive constant  $c_3$  such that*

$$\#\{n \leq x : U(n) = 1\} = (1 + o(1))c_3 \frac{x}{\sqrt{x_1 x_3}}, \quad x \rightarrow \infty.$$

Let  $\chi$  be the Dirichlet character

$$\chi(n) = \begin{cases} 1 & \text{if } n \equiv 1 \pmod{3}, \\ -1 & \text{if } n \equiv -1 \pmod{3}, \\ 0 & \text{if } 3|n. \end{cases}$$

Let

$$r(n) = \sum_{d|n} \chi(d) = \prod_{p^\alpha \parallel n} (1 + \chi(p) + \cdots + \chi(p^\alpha)).$$

It is clear that for squarefree integers  $n$ , we have  $r(n) > 0$  if and only if every prime factor of  $n$  is  $\equiv 1 \pmod{3}$ . Moreover if  $r(n) > 0$  and  $n$  is squarefree, then  $r(n) = d(n)$ . By using the Bombieri-Vinogradov theorem, one can deduce that, given any integer  $a \neq 0$ , there exists a positive constant  $c$  such that

$$\sum_{p \leq x} r(p+a) = c(1 + o(1))x, \quad x \rightarrow \infty.$$

Here, setting as usual  $\text{li}(x) := \int_0^x (dt/\log t)$ , we shall prove the following result.

**Theorem 4.** *Given any nonzero integer  $a$ , there exists a positive constant  $c_4$  such that*

$$\sum_{\substack{p \leq x \\ \nu_{(p+a)}=1}} d(p+a) = (1 + o(1))c_4 \frac{\text{li}(x)}{x_3}, \quad x \rightarrow \infty.$$

For each positive integer  $r$ , let

$$A_x(r) := \{n \leq x : (n, \varphi(n)) = 1, \omega(n) = r\}$$

and

$$B_x(r) := \{n \leq x : p(n) > x_2, \omega(n) = r\}.$$

**Theorem 5.** *Uniformly for  $r = r(x) = (1 + o(1))x_2$ , as  $x \rightarrow \infty$ ,*

(i)  $\#A_x(r) = (1 + o(1))\#B_x(r)$ ,

(ii)  $\#B_x(r) = (1 + o(1)) \prod_{p < x_2} (1 - (1/p)) \cdot (x/x_1)(x_2^{r-1}/(r-1)!)$ .

**3. Further notations and preliminary results.** Letting  $P(n)$  stand for the largest prime factor of  $n$  with  $P(1) = 1$ , we set as is customary

$$\Psi(x, y) := \#\{n \leq x : P(n) \leq y\}.$$

We shall be using the well-known estimate

$$(4) \quad \Psi(x, y) := \#\{n \leq x : P(n) \leq y\} \ll x \exp \left\{ -\frac{\log x}{2 \log y} \right\},$$

see, for instance, Tenenbaum [6].

Throughout this paper, the letters  $p, q$  and  $\pi$  always stand for prime numbers. For each positive integer  $r$ , let

$$\pi_r(x) := \#\{n \leq x : \omega(n) = r\}.$$

**Theorem A.** *If  $x \rightarrow \infty$ ,  $1 \leq y \leq x_1$ ,  $(1 - \varepsilon_x)x_2 \leq r \leq (1 + \varepsilon_x)x_2$ , where  $\varepsilon_x \rightarrow 0$ , then*

$$\#\{n \leq x : \omega(n) = r, p(n) > y\} = (1 + o(1)) \prod_{p < y} \left(1 - \frac{1}{p}\right) \cdot \pi_r(x).$$

*Proof.* This result can be proved by using the now classical Selberg-Delange method applied to the study of the function

$$F_y(s, z) = \prod_{p \geq y} \left(1 + \frac{z}{p^s} + \frac{z}{p^{2s}} + \dots\right).$$

We shall therefore omit the details. □

**Lemma 1.** *Let  $A$  be a positive constant, and let*

$$\mathcal{F}_r(x, y, Q) := \{n \leq x : n = p_1 \cdots p_r, y < p_1 < \cdots < p_r, p_i \not\equiv 1 \pmod{Q}\},$$

where  $Q$  is an odd prime number less than  $x_2^A$ , and assume that  $y < x_2^A$  and that  $r = r(x) = (1 + o(1))x_2$ . Then

$$\#\mathcal{F}_r(x, y, Q) \ll \frac{x \cdot x_2^{r-1}}{x_1 \cdot (r-1)!} \left(1 - \frac{1}{Q-1}\right)^r \left(1 - \frac{\log \log y}{x_2}\right)^{r-1}.$$

*Proof.* We may assume that  $P(n) = p_r \geq x^{1/(2x_2)}$ , since the contribution of those integers  $n \in \mathcal{F}_r(x, y, Q)$  for which  $P(n) < x^{1/(2x_2)}$  is smaller than

$$\Psi(x, x^{1/(2x_2)}) \ll x \exp\{-x_2\} = O(x/x_1),$$

where we used (4). From this observation and taking into account that  $\log x \leq 2 \log n$  for  $\sqrt{x} \leq n \leq x$ , it follows that

$$(5) \quad x_1 \cdot \#\mathcal{F}_r(x, y, Q) \ll x + \sqrt{x} \cdot x_1 + S^*,$$

where

$$S^* := \sum_{\substack{n=p_1 \cdots p_r \leq x \\ p_1 > y, \ p_r \geq x^{1/(2x_2)} \\ p_i \not\equiv 1 \pmod{Q}}} \log n.$$

Now, using the Prime Number theorem for arithmetic progressions in the weak form

$$\sum_{\substack{p \leq x \\ p \not\equiv 1 \pmod{Q}}} \log p \ll \left(1 - \frac{1}{Q-1}\right)x,$$

we have

$$\begin{aligned}
 S^* &\ll \sum_{\substack{\nu p \leq x \\ \omega(\nu) = r-1 \\ y < P(\nu) \leq p, p \geq x^{1/(2x_2)}, p \not\equiv 1 \pmod{Q} \\ p_i | \nu \Rightarrow p_i \not\equiv 1 \pmod{Q}}} \log p \\
 &\ll \sum_{\substack{\nu \leq x/x^{1/(2x_2)} \\ \omega(\nu) = r-1 \\ p_i | \nu \Rightarrow p_i \not\equiv 1 \pmod{Q}}} \sum_{\substack{y < p \leq x/\nu \\ p \not\equiv 1 \pmod{Q}}} \log p \\
 &\ll \left(1 - \frac{1}{Q-1}\right) x \sum_{\substack{\nu \leq x \\ \omega(\nu) = r-1 \\ p_i | \nu \Rightarrow p_i \not\equiv 1 \pmod{Q}}} \frac{1}{\nu} \\
 &\ll \left(1 - \frac{1}{Q-1}\right) \frac{x}{(r-1)!} \left( \sum_{\substack{y < q < x \\ q \not\equiv 1 \pmod{Q}}} \frac{1}{q} \right)^{r-1} \\
 &\ll \frac{x}{(r-1)!} \left(1 - \frac{1}{Q-1}\right)^r (x_2 - \log \log y)^{r-1},
 \end{aligned}$$

which combined with (5) completes the proof of Lemma 1.

**Corollary.** *Let  $R_x(r|Q)$  be the set of those integers  $n \leq x$  such that  $(n, \varphi(n)) = 1$ ,  $\omega(n) = r$  and  $p(n) = Q$ . Then*

$$\sum_{Q < r / \log^2 r} \#R_x(r|Q) \ll \frac{x}{x_1} \frac{x_2^{r-1}}{(r-1)!} \exp \left\{ -\frac{1}{2} \log^2 r \right\}.$$

*Proof.* If  $n \leq x$ ,  $(n, \varphi(n)) = 1$ ,  $\omega(n) = r$  and  $p(n) = Q$ , then  $n = Qm \leq x$ ,  $m = p_1 \cdots p_{r-1}$ ,  $Q < p_1 < \cdots < p_{r-1}$  and  $p_i \not\equiv 1 \pmod{Q}$ . Therefore, it follows from Lemma 1 that

$$\begin{aligned}
 \#R_x(r|Q) &= \#\mathcal{F}_{r-1} \left( \frac{x}{Q}, Q, Q \right) \\
 &\ll \frac{x}{Qx_1} \frac{x_2^{r-2}}{(r-2)!} \left(1 - \frac{1}{Q-1}\right)^{r-1} \left(1 - \frac{\log \log Q}{x_2}\right)^{r-1}.
 \end{aligned}$$

From this, it follows that

$$\begin{aligned} & \sum_{Q < r/(\log r)^2} \#R_x(r|Q) \\ & \ll \frac{x}{x_1} \frac{x_2^{r-1}}{(r-1)!} \sum_{Q < r/(\log r)^2} \frac{1}{Q} \exp \left\{ \left( -\frac{r}{Q} - \frac{r \log \log Q}{x_2} \right) \right\} \\ & \ll \frac{x}{x_1} \frac{x_2^{r-1}}{(r-1)!} \exp \left\{ -\frac{1}{2} \log^2 r \right\}, \end{aligned}$$

thus completing the proof of the corollary.

**4. The proof of Theorem 1.** Let

$$\mathcal{E} := \{n : E(n) = \text{power of } 2\} \quad \text{and} \quad \mathcal{E}(x) := \{n \leq x : n \in \mathcal{E}\}.$$

In order to estimate the size of  $\mathcal{E}(x)$ , we shall examine the size of its subsets

$$\mathcal{E}_t(x) := \{2^t m \leq x : (m, 2) = 1, 2^t m \in \mathcal{E}\}, \quad t = 0, 1, 2, \dots$$

Let us first consider  $\mathcal{E}_0(x)$ . It is clear that  $n \in \mathcal{E}_0(x)$  if and only if  $(n, \varphi(n)) = 1$ . Hence, by Erdős' estimate (2), it follows that

$$(6) \quad \#\mathcal{E}_0(x) = (1 + o(1))e^{-\gamma} \frac{x}{x_3}, \quad x \rightarrow \infty.$$

Let us now consider the case where  $1 \leq t \leq x_3 - 1$ . If  $n = 2^t m \in \mathcal{E}_t(x)$ , then  $m \in \mathcal{E}_0(x/2^t)$  and  $(d(2^t), \varphi(m)) = (t + 1, \varphi(m))$  is a power of 2. We shall see that  $\#\mathcal{E}_t(x)$  is negligible if  $t + 1$  is not a power of 2. Indeed, consider a fixed integer  $t \leq x_3 - 1$  such that  $t + 1$  is not a power of 2; such a number  $t$  must have an odd prime divisor  $q \leq x_3$ , in which case  $q \nmid \varphi(m)$ . In this case, by a simple sieve argument, we have

$$\begin{aligned} S_q \left( \frac{x}{2^t} \right) & := \# \left\{ m \leq \frac{x}{2^t} : p \mid m \text{ implies that } p \not\equiv 1 \pmod{q} \right\} \\ & \ll \frac{x}{2^t} \prod_{\substack{\pi < x \\ \pi \equiv 1 \pmod{q}}} \left( 1 - \frac{1}{\pi} \right) \ll \frac{x}{2^t} \exp \left\{ -\frac{1}{2} \frac{x_2}{q} \right\} \\ & \ll \frac{x}{2^t} \exp \left\{ -\frac{1}{2} \frac{x_2}{x_3} \right\}. \end{aligned}$$



From this, it follows that

$$(7) \quad \sum_{q < t} S_q \left( \frac{x}{2^t} \right) \ll \frac{xt}{2^t} \exp \left\{ -\frac{1}{2} \frac{x_2}{x_3} \right\} \ll \frac{x}{2^t x_2^B},$$

where  $B$  is an arbitrarily large constant.

Now if  $t + 1$  is a power of 2, then  $m \in \mathcal{E}_0(x/2^t)$ , which implies that  $n = 2^t m \in \mathcal{E}_t(x)$ . From this observation, it follows using (6) that

$$(8) \quad \begin{aligned} \#\mathcal{E}_t(x) &= \#\mathcal{E}_0(x/2^t) = (1 + o(1))e^{-\gamma} \frac{x}{2^t \log \log \log (x/2^t)} \\ &= (1 + o(1))e^{-\gamma} \frac{x}{2^t x_3} \end{aligned}$$

uniformly for  $t + 1 \leq x_3$ .

It remains to consider the situation where  $t \geq x_3$ . But the contribution of these  $t$ 's is small since

$$(9) \quad \sum_{t \geq x_3} \#\mathcal{E}_t(x) \ll \sum_{t \geq x_3} x/2^t \ll \frac{x}{x_2}.$$

In view of (6), (7), (8) and (9), we may conclude that

$$\begin{aligned} \#\mathcal{E}(x) &= \#\mathcal{E}_0(x) + \sum_{\substack{t \leq x_3 - 1 \\ t+1 \neq \text{power of 2}}} \#\mathcal{E}_t(x) \\ &\quad + \sum_{\substack{t \leq x_3 - 1 \\ t+1 = \text{power of 2}}} \#\mathcal{E}_t(x) + \sum_{t \geq x_3} \#\mathcal{E}_t(x) \\ &= (1 + o(1))e^{-\gamma} \frac{x}{x_3} + O \left( \sum_{\substack{t \leq x_3 - 1 \\ t+1 \neq \text{power of 2}}} \frac{x}{2^t x_2^B} \right) \\ &\quad + \sum_{\substack{t \leq x_3 - 1 \\ t+1 = \text{power of 2}}} (1 + o(1))e^{-\gamma} \frac{x}{x_3} \sum_{t+1=2^\ell} \frac{1}{2^t} + O \left( \frac{x}{x_2} \right) \\ &= (1 + o(1))e^{-\gamma} \frac{x}{x_3} + (1 + o(1))2e^{-\gamma} \frac{x}{x_3} \sum_{\ell=1}^{\infty} \frac{1}{2^{2^\ell}} + O \left( \frac{x}{x_2} \right), \end{aligned}$$

which completes the proof of Theorem 1.  $\square$

**5. The proofs of Theorems 2 and 3.** Let  $\mathcal{L}_x := \{n \leq x : F(n) = 1\}$  and

$$\mathcal{F}_x(y) := \{n \leq x : \mu^2(n) = 1, p \mid n \implies p \equiv 1 \pmod{3}, p(n) > y\}.$$

Let  $\varepsilon > 0$  be fixed,  $q$  a prime smaller than  $x_2^{1-\varepsilon}$  and  $\mathcal{L}_x(q) := \{n = q\nu \leq x : F(n) = 1\}$ .

Given  $n = q\nu \in \mathcal{L}_x(q)$ , it is clear that  $q\nu$  is squarefree, and since  $d_3(p) = 3$ , all primes  $p \mid \nu$  must satisfy  $p \equiv -1 \pmod{3}$  and  $p \not\equiv 1 \pmod{q}$ . Therefore,

$$\begin{aligned} \#\mathcal{L}_x(q) &\ll \frac{x}{q} \prod_{\substack{\pi < x \\ \pi \equiv -1 \pmod{3}}} \left(1 - \frac{1}{\pi}\right) \cdot \prod_{\substack{p \equiv 1 \pmod{3} \\ p \equiv 1 \pmod{q}}} \left(1 - \frac{1}{p}\right) \\ &\ll \frac{x}{q\sqrt{x_1}} \exp\left\{-\frac{1}{3} \frac{x_2}{q}\right\}, \end{aligned}$$

so that

$$\sum_{q < x_2^{1-\varepsilon}} \#\mathcal{L}_q(x) \ll \frac{x}{\sqrt{x_1}} \frac{1}{x_2^B},$$

where  $B$  is an arbitrarily large constant.

It follows from this that

$$\mathcal{L}_x \subseteq \mathcal{F}_x(x_2^{1-\varepsilon}) \cup \text{a set of size } \frac{x}{\sqrt{x_1}} \frac{1}{x_2^B}.$$

Hence, it is clear that

$$\mathcal{F}_x(x_2) \subseteq \mathcal{L}_x \cup \mathcal{T},$$

where

$$\begin{aligned} \mathcal{T} &:= \{n \leq x : p(n) \geq x_2, p \mid n \implies p \equiv 1 \pmod{3} \\ &\text{and there exists } q \mid n, p \mid n, p \equiv 1 \pmod{q}\}. \end{aligned}$$

Now

$$(10) \quad \#\mathcal{T} \leq \sum_{x_2 \leq q < x} \sum_{p \equiv 1 \pmod{q}} \#K_{p,q} = S_1 + S_2 + S_3,$$

where

$$K_{p,q} := \#\{\nu \leq x/pq : \mu^2(\nu) = 1, p(\nu) > x_2, p \mid \nu \implies p \equiv 1 \pmod{3}\}$$

and

$$\begin{aligned} S_1 &= \sum_{x_2 < q < x_1} \sum_{\substack{p \leq x/x_1 \\ p \equiv 1 \pmod{q}}} K_{p,q}, \\ S_2 &= \sum_{x_2 < q < x_1} \sum_{\substack{x/x_1 < p \leq x \\ p \equiv 1 \pmod{q}}} K_{p,q}, \\ S_3 &= \sum_{q \geq x_1} \sum_{\substack{p \leq x \\ p \equiv 1 \pmod{q}}} K_{p,q}. \end{aligned}$$

For  $S_1$ , using the inequality

$$K_{p,q} \ll \frac{x}{pq(\log(x/pq))^{1/2}\sqrt{x_3}},$$

we easily conclude that

$$\begin{aligned} (11) \quad S_1 &\ll \frac{x}{\sqrt{x_1x_3}} \sum_{x_2 < q < x_1} \frac{1}{q} \sum_{\substack{p < x \\ p \equiv 1 \pmod{q}}} \frac{1}{p} \\ &\ll \frac{xx_2}{\sqrt{x_1x_3}} \sum_{q > x_2} \frac{1}{q^2} \ll \frac{x}{\sqrt{x_1x_3}} \cdot \frac{1}{x_3}. \end{aligned}$$

To estimate  $S_2$ , we use the fact that  $K_{p,q} \leq x/pq$ , so that since

$$\sum_{\substack{x/x_1 \leq p < x_2 \\ p \equiv 1 \pmod{q}}} \frac{1}{p} = (1 + o(1)) \frac{1}{q} \int_{x/x_1}^x \frac{du}{u \log u} = \frac{1}{q} \int_{x_1-x_2}^{x_1} \frac{dv}{v} \ll \frac{x_2}{qx_1},$$

it follows that

$$(12) \quad S_2 \ll \frac{xx_2}{x_1} \sum_{q > x_2} \frac{1}{q^2} \ll \frac{xx_2}{x_1x_2x_3} = \frac{x}{x_1x_3}.$$

Finally, using again the fact that  $K_{p,q} \leq x/pq$ , it follows that

$$(13) \quad S_3 \ll \sum_{q \geq x_1} \frac{x}{q} \sum_{\substack{p \leq x \\ p \equiv 1 \pmod{q}}} \frac{1}{p} \ll xx_2 \sum_{q \geq x_1} \frac{1}{q^2} \ll \frac{x}{x_1}.$$

Gathering (11), (12) and (13), it follows from (10) that

$$(14) \quad \#\mathcal{T} \ll \frac{x}{\sqrt{x_1}} \cdot \frac{1}{x_3^{3/2}}.$$

Let us now estimate the size of the difference

$$\mathcal{D}_x := \mathcal{F}_x(x_2^{1-\varepsilon}) - \mathcal{F}_x(x_2).$$

If  $n \in \mathcal{D}_x$ , then  $n$  is squarefree and can be written as  $n = q\nu$ , where  $p(n) = q \in [x_2^{1-\varepsilon}, x_2]$ , and all prime factors of  $n$  are  $\equiv 1 \pmod{3}$ . The number of these  $\nu \leq x/q$  is less than

$$\frac{x}{q} \cdot \frac{1}{\sqrt{x_1 x_3}}.$$

Since

$$\sum_{x_2^{1-\varepsilon} < q < x_2} \frac{1}{q} \ll \log \frac{1}{1-\varepsilon} \ll \varepsilon,$$

it follows that

$$(15) \quad \#\mathcal{D}_x \ll \frac{\varepsilon x}{\sqrt{x_1 x_3}}.$$

Combining (14) and (15), the proof of Theorem 2 is complete.

The proof of Theorem 3 can be obtained in a similar manner. Therefore we shall only give a sketch of it.

Assume that  $m > 1$  is squarefree and that  $U(m) = 1$ . Then  $d_3(m) = 3^{\omega(m)}$ , so that  $p \mid m$  implies that  $3 \nmid p+1 = \sigma(p)$ , that is,  $p \equiv 1 \pmod{3}$ . Similarly, one can show that  $m$  cannot be a multiple

of 3. Then, as in the proof of Theorem 2, we can deduce that there exists a positive constant  $c_3$  such that

$$\begin{aligned}
 (16) \quad & \#\{m \leq x : \mu^2(m) = 1, U(m) = 1\} \\
 &= (1 + o(1))\#\{n \leq x : p(n) > x_2, p \mid n \implies p \equiv 2 \pmod{3}\} \\
 &= (1 + o(1))c_3 \frac{x}{\sqrt{x_1 x_3}}.
 \end{aligned}$$

Now, let  $n \leq x$  with  $U(n) = 1$ . We can write  $n = km$ , where  $k$  is squarefull,  $m$  is squarefree and  $(k, m) = 1$ . We have  $(kd_3(k) \cdot 3^{\omega(m)}, \sigma_3(k)\sigma(m)) = 1$ . Thus,  $U(m) = 1, U(k) = 1, 3 \nmid \sigma_3(k)$  and  $(kd_3(k), \sigma(m)) = 1$ .

In light of (16), the proof of Theorem 3 will be complete if we can show that

$$(17) \quad \#\{n \leq x : U(n) = 1\} = (1 + o(1))\#\{m \leq x : \mu^2(m) = 1, U(m) = 1\}.$$

To do so, we first observe that we can drop all those integers  $n = km \leq x$  such that  $k > x_1^2$ , since their number is clearly  $O(x/x_1)$ . Letting  $T = T_x$  be a function slowly tending to  $+\infty$  with  $x$ , we then have

$$\begin{aligned}
 (18) \quad & \sum_{\substack{T_x < k \leq x_1^2 \\ k \text{ squarefull}}} \#\{n = km \leq x : U(n) = 1\} \\
 &\leq \sum_{\substack{T_x < k \leq x_1^2 \\ k \text{ squarefull}}} \#\{m \leq x/k : \mu^2(m) = 1, U(m) = 1\} \\
 &\ll \frac{x}{\sqrt{x_1 x_3}} \sum_{\substack{T_x < k \leq x_1^2 \\ k \text{ squarefull}}} \frac{1}{k} = o(1) \frac{x}{\sqrt{x_1 x_3}}.
 \end{aligned}$$

So let us assume that  $2 \leq k \leq T_x, U(k) = 1$  and  $T_x \ll x_5$ , say. We shall obtain an upper bound for the number  $D(x)$  of positive integers  $n = km \leq x$  such that  $U(n) = 1$  and  $m \geq 2$ . Then  $3 \nmid \sigma(k)$  and  $2 \nmid kd_3(k)$ . Assume that there exists a prime  $\pi \mid kd_3(k), \pi \neq 3$ . Then, for this fixed number  $k$ , the corresponding integer  $m (\leq x/k)$  has to satisfy the four conditions  $p \mid m, \pi \nmid p + 1, p \not\equiv 1 \pmod{3}$  and

$p(m) > x_2^{1-\varepsilon}$ . But the number of these integers is less than

$$(19) \quad \frac{x}{k\sqrt{x_1x_3}} \prod_{\substack{x_2 < p < x \\ p \equiv -1 \pmod{\pi} \\ p \equiv 1 \pmod{3}}} \left(1 - \frac{1}{p}\right) \ll \frac{x}{k\sqrt{x_1x_3}} \exp\left\{-\frac{1}{2} \frac{x_2}{2(\pi-1)}\right\}.$$

Since  $\pi \leq T_x \ll x_5$ , this far right side of (19) is less than

$$\frac{x}{k\sqrt{x_1}} \cdot \frac{1}{x_2^B},$$

where  $B > 0$  is an arbitrary constant.

Therefore it remains to consider the case when  $kd_3(k) = 3^\beta$  for some positive integer  $\beta$ , in which case  $k = 3^\alpha$  for some integer  $\alpha \geq 2$ . This implies that  $d_3(3^\alpha) = ((\alpha + 1)(\alpha + 2))/2$  and therefore that  $3^{\beta-\alpha} = ((\alpha + 1)(\alpha + 2))/2$ . But no integer  $\alpha \geq 2$  exists with this condition.

We have thus established that

$$\begin{aligned} D(x) &= \sum_{\substack{2 \leq k \leq T_x \\ k \text{ squarefull}}} \#\{n = km \leq x : U(n) = 1, m \geq 2\} \\ &\ll \sum_{\substack{2 \leq k \leq T_x \\ k \text{ squarefull}}} \frac{x}{k\sqrt{x_1}} \frac{1}{x_2^B} = o\left(\frac{x}{\sqrt{x_1x_3}}\right). \end{aligned}$$

Therefore, in view of (18), estimate (17) is proved. Hence, in light of (16) and (17), the proof of Theorem 3 is complete.

**6. The proof of Theorem 4.** Let  $\mathcal{L}$  be the set of squarefree numbers for which all the prime factors are  $\equiv 1 \pmod{3}$ , and let  $\mathcal{L}(y) (\subseteq \mathcal{L})$  be the set of those integers in  $\mathcal{L}$  with the additional condition that all their prime divisors are  $\geq y$ .

Now let  $k$  run over the squarefull numbers (including  $k = 1$ ) and assume that  $U(p + a) = 1$  with  $p + a = km$ , where  $\mu^2(m) = 1$  and  $(k, m) = 1$ . Then  $(kmd_3(k) \cdot 3^{\omega(m)}, \sigma(k)\sigma(m)) = 1$ , so that  $U(m) = 1$  and  $U(k) = 1$ , and consequently  $(3, \sigma(m)) = 1$ , in which case  $m \in \mathcal{L}$ ,  $(kd_3(k), \sigma(m)) = 1$  and  $(m, \sigma(k)) = 1$ .

Let

$$E_k(x) := \sum_{\substack{p \leq x \\ U(p+a)=1 \\ k|p+a, (p+a)/k \in \mathcal{L}}} d(p+a) \quad \text{and} \quad E(x) = \sum_{\substack{k \leq x \\ k \text{ squarefull}}} E_k(x).$$

Since  $\sum_{n \leq y} d(n) < 3y \log y$  for each  $y \geq 2$ , we have

$$E_k(x) \leq d(k) \sum_{\nu \leq x/k} d(\nu) < 3 \frac{d(k)}{k} x x_1,$$

thus implying that

$$\sum_{\substack{k > x_1^A \\ k \text{ squarefull}}} E_k(x) \ll x x_1 \sum_{\substack{k \geq x_1^A \\ k \text{ squarefull}}} \frac{d(k)}{k} \ll \frac{x x_1 x_2}{x_1^{A/2}}.$$

Consequently,

$$(20) \quad E(x) = \sum_{\substack{k \leq x_1^A \\ k \text{ squarefull}}} E_k(x) + O\left(x x_2 x_1^{1-A/2}\right).$$

Let us first consider the case  $k = 1$ .

For this, we set

$$S_1(x, y) := \sum_{p+a \in \mathcal{L}(y)} d(p+a).$$

Fix  $\varepsilon > 0$ . Our plan is to show that

$$(1 + o(1))S_1(x, x_2) \leq E_1(x) \leq (1 + o(1))S_1(x, x_2^{1-\varepsilon}), \quad x \rightarrow \infty,$$

and that

$$S_1(x, x_2^{1-\varepsilon}) = (1 + o(1))S_1(x, x_2), \quad x \rightarrow \infty.$$

and finally that, for some constant  $C_0$ ,

$$S_1(x, x_2) = (1 + o(1))C_0 \frac{\text{li}(x)}{x_3},$$

thereby completing the proof of Theorem 4.  $\square$

We start by estimating the sum of  $d(p + a)$  for those  $p + a \in \mathcal{L}$  for which the smallest prime divisor of  $p + a$  is smaller than  $x_2^{1-\varepsilon}$ , in which case we may write

$$p + a = q\pi_1\pi_2 \cdots \pi_s.$$

If  $U(p + a) = 1$ , then clearly  $q \nmid (\pi_j + 1)$  for  $j = 1, \dots, s$ .

Now let  $q \neq 3$ , and let  $\mathcal{L}_q \subseteq \mathcal{L}$  be the set of those integers  $n$  for which  $\pi \mid n$  implies that  $\pi + 1 \not\equiv 0 \pmod{q}$ . Moreover, let  $\mathcal{L}_q(y) = \mathcal{L}(y) \cap \mathcal{L}_q$ .

Set

$$T_1^{(q)}(x) := \sum_{\substack{p \leq x \\ (p+a/q) \in \mathcal{L}_q(q)}} d(p + a).$$

We may obtain an upper bound for  $T_1^{(q)}(x)$  by counting the number of couples  $(u, v)$  for which

$$p + a = quv, \quad u < v, \quad uv \leq \frac{x + a}{q}, \quad u, v \in \mathcal{L}_q(q).$$

By classical sieve theory, this quantity is less than

$$(21) \quad R_q := \sum_{\substack{u < \sqrt{x} \\ u \in \mathcal{L}_q(q)}} \frac{\text{li}(x)}{q\varphi(u)} \prod_{\pi \in \mathcal{T}_q} \left(1 - \frac{1}{\pi}\right),$$

where

$$\mathcal{T}_q := \{\pi \leq q \text{ or } \pi \equiv -1 \pmod{3} \text{ or } \pi \equiv -1 \pmod{q}\}.$$

Thus,

$$(22) \quad \prod_{\pi \in \mathcal{T}_q} \left(1 - \frac{1}{\pi}\right) \ll \left(\frac{\log q}{x_1}\right)^{(1/2)+(1/2(q-1))} \cdot \frac{1}{\log q}$$



and

$$\begin{aligned}
 (23) \quad \sum_{\substack{u < \sqrt{x} \\ u \in \mathcal{L}_q(q)}} \frac{1}{\varphi(u)} &\leq \prod_{\substack{q < \pi < \sqrt{x} \\ \pi \equiv 1 \pmod{3} \\ \pi + 1 \not\equiv 0 \pmod{q}}} \left(1 + \frac{1}{\pi}\right) \ll \exp \left\{ \sum_{\substack{q < \pi < \sqrt{x} \\ \pi \equiv 1 \pmod{3} \\ \pi + 1 \not\equiv 0 \pmod{q}}} \frac{1}{\pi} \right\} \\
 &= \exp \left\{ \left( \frac{1}{2} - \frac{1}{2(q-1)} \right) (x_2 - \log \log q) \right\} \\
 &= (\log q)^{-1/2+(1/2(q-1))} \cdot x_1^{1/2-(1/2(q-1))}.
 \end{aligned}$$

Using (22) and (23) in (21), we get that

$$T_1^{(q)}(x) \ll R_q \ll \frac{\text{li}(x)}{q \log q} (\log q)^{1/(q-1)} \cdot \frac{1}{x_1^{1/(q-1)}},$$

so that

$$\sum_{q < x_2^{1-\varepsilon}} T_1^{(q)}(x) \ll \sum_{q < x_2^{1-\varepsilon}} \frac{\text{li}(x)}{q \log q} \exp \left\{ -\frac{x_2}{q-1} \right\} \ll \text{li}(x) \cdot \exp(-x_2^{1-\varepsilon}),$$

from which it follows that

$$(24) \quad E_1(x) \leq S_1(x, x_2^{1-\varepsilon}) + O(\text{li}(x) \cdot \exp\{-x_2^{1-\varepsilon}\}).$$

Now we estimate the sum of  $d(p+a)$  over all those primes  $p$  for which  $p+a \in \mathcal{L}(x_2)$  and  $U(p+a) \neq 1$ . If  $p$  is such a prime, then there exist prime divisors  $\pi_1, \pi_2$  of  $p+a$  such that  $\pi_2 \equiv 1 \pmod{\pi_1}$ . For fixed  $\pi_1, \pi_2$ , the contribution is less than

$$(25) \quad \#\{p+a = \pi_1 \pi_2 uv : u \leq v, (u, v) = 1, uv < x/(\pi_1 \pi_2), u, v \in \mathcal{L}(x_2)\}.$$

Since

$$\begin{aligned}
 \sum_{\pi_1 > x_1^B} \sum_{\pi_2 \equiv 1 \pmod{\pi_1}} \sum_{\pi_1 \pi_2 | p+a} d(p+a) &\ll \sum_{\pi_1} \sum_{\pi_2} \sum_{\nu \leq (x/\pi_1 \pi_2)} d(\nu) \\
 &\ll \sum_{\pi_1} \sum_{\pi_2} \frac{x x_1}{\pi_1 \pi_2} \ll x x_1 x_2 \sum_{\pi_1} \frac{1}{\pi_1^2} \ll x x_1^{1-B/2},
 \end{aligned}$$

we may assume that  $\pi_1 \leq x_1^B$ . Using this fact, we shall now estimate from above the expression (25) separating the set into two subsets, namely

C1. those elements for which  $\pi_1 \leq x_1^B$ ,  $\pi_2 \equiv 1 \pmod{\pi_1}$  and  $\pi_2 > x^{2/3}$ ;

C2. those elements for which  $\pi_1 \leq x_1^B$ ,  $\pi_2 \equiv 1 \pmod{\pi_1}$  and  $\pi_2 \leq x^{2/3}$ .

We start with case C1 and set  $\alpha := \pi_1 uv$ . The number of solutions of the equation  $p + a = \alpha \pi_2$  in primes  $p \leq x$  and  $\pi_2 \equiv 1 \pmod{\pi_1}$  is less than

$$\frac{x/\log^2 x}{\pi_1 \varphi(\alpha)} \ll \frac{x}{x_1^2 \pi_1^2 \varphi(uv)}.$$

Summing up over  $u, v$ , the total number of solutions is therefore

$$\begin{aligned} &\ll \frac{x}{x_1^2} \sum_{\pi > x_2} \frac{1}{\pi^2} \sum_{\substack{n \leq x \\ n \in \mathcal{L}(x_2)}} \frac{d(n)}{\varphi(n)} \ll \frac{x}{x_1^2 x_3} \prod_{\substack{x_2 < \pi < x \\ \pi \equiv 1 \pmod{3}}} \left(1 + \frac{2}{\pi}\right) \\ (26) \quad &\ll \frac{x}{x_1^2 x_3} \exp \left\{ 2 \sum_{\substack{x_2 < \pi < x \\ \pi \equiv 1 \pmod{3}}} \frac{1}{\pi} \right\} \ll \frac{x}{x_1^2 x_3} \exp\{x_2 - x_4\} = \frac{x}{x_1 x_3^2}. \end{aligned}$$

To consider case C2, we fix  $\pi_1, \pi_2$  and  $u (\leq \sqrt{x/(\pi_1 \pi_2)})$ . By using standard sieve theory, the number of solutions of  $p + a = \pi_1 \pi_2 uv \leq x$  in  $p$  and  $v \in \mathcal{L}(x_2)$  is less than

$$\frac{x/x_1}{\pi_1 \pi_2 \varphi(u)} \prod_{\substack{x_2 < \pi < x \\ \pi \equiv -1 \pmod{3}}} \left(1 - \frac{1}{\pi}\right) = \frac{x}{x_1 \pi_1 \pi_2} \left(\frac{x_1}{x_3}\right)^{-1/2} \frac{1}{\varphi(u)}.$$

Summing up this last expression on  $u$ , it becomes

$$\begin{aligned} &\ll Q(\pi_1, \pi_2) := \frac{x}{x_1 \pi_1 \pi_2} \left(\frac{x_1}{x_3}\right)^{-1/2} \sum_{u \leq \sqrt{\frac{x}{\pi_1 \pi_2}}} \frac{1}{\varphi(u)} \\ &\ll \frac{x}{x_1 \pi_1 \pi_2} \left(\frac{x_1}{x_3}\right)^{-1/2} \prod_{\substack{x_2 \pi < x \\ \pi \equiv 1 \pmod{3}}} \left(1 + \frac{1}{p-1}\right) \\ &\ll \frac{x}{x_1 \pi_1 \pi_2} \left(\frac{x_1}{x_3}\right)^{-1/2} \left(\frac{x_1}{x_3}\right)^{1/2} = \frac{x}{x_1 \pi_1 \pi_2}. \end{aligned}$$

Since

$$\sum_{\pi_1 > x_2} \frac{1}{\pi_1} \sum_{\substack{\pi_2 \equiv 1 \\ (\text{mod } \pi_1)}} \frac{1}{\pi_2} \ll x_2 \sum_{\pi_1 > x_2} \frac{1}{\pi_1^2} \ll \frac{1}{x_3},$$

it follows that

$$(27) \quad \sum_{\pi_1 > x_2} \sum_{\substack{\pi_2 \equiv 1 \\ (\text{mod } \pi_1)}} Q(\pi_1, \pi_2) \ll \frac{x}{x_1 x_3}.$$

Gathering the bounds (26) and (27) obtained from cases C1 and C2, we have thus proved that

$$(28) \quad E_1(x) \geq S_1(x, x_2) - O\left(\frac{x}{x_1 x_3}\right).$$

In order to take advantage of estimates (24) and (28), let us now count  $S_1(x, y)$  for  $y = x_2^{1-\varepsilon}$  and  $y = x_2$ .

By using a method of Hooley and the Bombieri-Vinogradov theorem, one can show that, for some positive constant  $C_0$ ,

$$S_1(x, y) = (1 + o(1))C_0 \frac{\text{li}(x)}{\log y}, \quad x \rightarrow \infty.$$

Therefore,

$$S_1(x, x_2^{1-\varepsilon}) - S_1(x, x_2) = C_0 \frac{\text{li}(x)}{x_3} \left(\frac{1}{1-\varepsilon} - 1\right) + o\left(\frac{\text{li}(x)}{x_3}\right).$$

By replacing  $\varepsilon$  by a function  $\varepsilon(x)$  which tends to 0 very slowly as  $x \rightarrow \infty$ , we may conclude from (24) and (28) that

$$(29) \quad E_1(x) = (1 + o(1))C_0 \frac{\text{li}(x)}{x_3}, \quad x \rightarrow \infty.$$

As we shall now see,

$$(30) \quad \sum_{\substack{1 < k \leq x_1^A \\ k \text{ squarefull}}} E_k(x) = o\left(\frac{\text{li}(x)}{x_3}\right),$$

which in light of (20) and (29) will complete the proof of Theorem 4.

We shall first consider the case of squarefull  $k > 1$ .

First observe that, since  $d_3(\pi^\alpha) = ((\alpha + 1)(\alpha + 2))/2$ , it follows that if  $2|d_3(\pi^\alpha)$  for some prime power  $\pi^\alpha || k$ , then  $U(p+a) \neq 1$  unless  $\phi(m)$  is odd, which only occurs for  $m = 1$ . Whence, one can obtain  $U(p+a) = 1$  only when  $p + a = k$ .

Since  $\alpha \geq 2$ , it follows that  $((\alpha + 1)(\alpha + 2))/2$  is odd only if  $\alpha \equiv 0 \pmod{4}$ .

If  $d_3(\pi^\alpha)$  is not divisible by any prime other than 3, then

$$\frac{(\alpha + 1)(\alpha + 2)}{2} = 3^\delta$$

for some integer  $\delta \geq 1$ , an equation whose only solution is  $\alpha = 1, \delta = 1$ . But this is impossible since  $k$  is squarefull.

So let  $q(k)$  be the smallest prime divisor of  $kd_3(k)$ . For each squarefull number  $k > 1$ , we shall consider separately the two cases:

- D1.  $q(k) \leq x_2^{1-\varepsilon}$ ;
- D2.  $q(k) > x_2^{1-\varepsilon}$ .

In case D1, we have

$$E_k(x) \ll \#\{p \leq x : p + a = kuv, u < v, u, v \in \mathcal{L}_{q(k)}\}.$$

Using basic sieve theory, we obtain that

$$\begin{aligned} E_k(x) &\ll \frac{1}{k} \sum_{\substack{u \leq \sqrt{x/k} \\ u \in \mathcal{L}_{q(k)}}} \frac{\text{li}(x)}{\varphi(u)} \prod_{\substack{\pi+1 \not\equiv 0 \pmod{q(k)} \\ \text{or } \pi \equiv -1 \pmod{3}}} \left(1 - \frac{1}{\pi}\right) \\ &\ll \frac{\text{li}(x)}{k} \cdot x_1^{1/2-(1/2(q(k)-1))} \cdot \frac{1}{x_1^{1/2+(1/2(q(k)-1))}} \\ &\ll \frac{\text{li}(x)}{k} \exp\left\{-\frac{x_2}{q(k)-1}\right\} \ll \frac{\text{li}(x)}{k} \exp\{-x_2^\varepsilon\}. \end{aligned}$$

It follows from this that, in case D1, we have

$$(31) \quad \sum_{\substack{1 < k \leq x_1^A \\ k \text{ squarefull} \\ q(k) \leq x_2^{1-\varepsilon}}} E_k(x) = o\left(\frac{\text{li}(x)}{x_3}\right).$$

We now move to case D2. Given a squarefull number  $k > 1$ , let  $\pi^\alpha \parallel k$  (with  $\alpha \geq 2$ ). In this case, we have  $\pi > x_2^{1-\varepsilon}$ ,  $(\alpha + 2)/2 > x_2^{1-\varepsilon}$  and therefore  $k \geq \pi^\alpha > \exp\{x_2^{1-\varepsilon}\}$ . For such squarefull  $k > 1$ , we have that

$$\begin{aligned}
 E_k(x) &\ll \#\left\{p + 1 = kuv, u < v, uv \in \mathcal{L}, uv < \frac{x}{k}\right\} \\
 (32) \quad &\ll \frac{\text{li}(x)}{\varphi(k)} \sum_{u < \sqrt{\frac{x}{k}}} \frac{1}{\varphi(u)} \prod_{\pi \equiv -1 \pmod{3}} \left(1 + \frac{1}{\pi}\right) \\
 &\ll \frac{\text{li}(x)}{\varphi(k)}.
 \end{aligned}$$

Since we clearly have

$$\sum_{\substack{\exp\{x_2^{1-\varepsilon}\} < k \leq x_1^A \\ k \text{ squarefull}}} \frac{1}{\varphi(k)} = o\left(\frac{1}{x_3}\right),$$

it follows from (32) that

$$(33) \quad \sum_{\substack{1 < k \leq x_1^A \\ k \text{ squarefull} \\ q(k) > x_2^{1-\varepsilon}}} E_k(x) = o\left(\frac{\text{li}(x)}{x_3}\right).$$

Gathering (31) and (33), then (30) follows, thus completing the proof of Theorem 4.  $\square$

**7. The proof of Theorem 5.** We start by proving that, for most  $n \in B_x(r)$ , we have  $(n, \varphi(n)) = 1$ .

In order to do so, first observe that the number of non squarefree numbers belonging to  $B_x(r)$  is negligible. Assume now that  $n \in B_x(r)$ ,  $\mu^2(n) = 1$  and  $n \notin A_x(r)$ . Then clearly there exist two prime numbers  $p_1 < p_2$  with  $p_2 \equiv 1 \pmod{p_1}$  such that  $n = p_1 p_2 \nu$ , with  $\nu \in B_{x/(p_1 p_2)}(r - 2)$ .

We shall now consider the three possible cases:

- (i)  $p_1 > x_1$ ;
- (ii)  $p_1 \leq x_1$  and  $p_2 > x_1^{2/3}$ ;

(iii)  $p_1 \leq x_1$  and  $p_2 \leq x^{2/3}$ .

Then

$$(34) \quad \sum_{\substack{n \in B_x(r) \setminus A_x(r) \\ \text{Case (i)}}} \mu^2(n) \ll \sum_{x_1 < p_1 < x} \sum_{\substack{p_2 < x \\ p_2 \equiv 1 \pmod{p_1}}} \frac{x}{p_1 p_2} \\ \ll \sum_{p_1 > x_1} \frac{x x_2}{p_1^2} \ll \frac{x x_2}{x_1 x_2} = \frac{x}{x_1}.$$

When considering those integers  $n$  satisfying case (ii), we may assume that  $\nu \leq x^{0.35}$ , say. Then, for fixed  $p_1$ , the number of  $p_2$ 's satisfying  $p_2 \leq x/(p_1 \nu)$ ,  $p_2 \equiv 1 \pmod{p_1}$ , the number of squarefree  $n = p_1 p_2 \nu \leq x$  is no larger than

$$\frac{x}{x_1 p_1^2} \sum_{\substack{\omega(\nu) = r-2 \\ p(\nu) > x_2}} \frac{\mu^2(\nu)}{\varphi(\nu)} \ll \frac{x}{x_1 p_1^2 (r-3)!} \left( \sum_{x_2 < q < x} \frac{1}{q-1} \right)^{r-3}.$$

Summing up this last quantity over  $p_1 \leq x_1$ , we may conclude that

$$(35) \quad \sum_{\substack{n \in B_x(r) \setminus A_x(r) \\ \text{Case (ii)}}} \mu^2(n) \ll \frac{x}{x_1 (r-3)!} (x_2 - x_4)^{r-3} \sum_{x_2 < p_1 \leq x_1} \frac{1}{p_1^2} \\ \ll \frac{x}{x_1 x_2 x_3 (r-3)!} (x_2 - x_4)^{r-3}.$$

In case (iii), we have  $\nu \leq x/(p_1 p_2)$  with  $x/(p_1 p_2) > x^{0.3}$ , say. Then using a result similar to Lemma 1 but where we drop the condition  $p_i \not\equiv 1 \pmod{Q}$ , and choosing  $y = x_2$  and taking  $r-2$  instead of  $r$ , we get that

$$(36) \quad \sum_{\substack{n \in B_x(r) \setminus A_x(r) \\ \text{Case (iii)}}} \mu^2(n) \ll \frac{x}{x_1} \sum_{x_2 < p_1 \leq x_1} \frac{1}{p_1} \sum_{\substack{p_1 < p_2 \leq x^{2/3} \\ p_2 \equiv 1 \pmod{p_1}}} \frac{1}{p_2} \frac{1}{(r-3)!} (x_2 - x_4)^{r-3} \\ \ll \frac{x}{x_1} \frac{x_2^{r-3}}{(r-3)!} \left(1 - \frac{x_4}{x_2}\right)^{r-3} \sum_{x_2 < p_1 \leq x_1} \frac{1}{p_1^2} \ll \frac{x}{x_1 x_2 x_3} \frac{x_2^{r-3}}{(r-3)!} \left(1 - \frac{x_4}{x_2}\right)^{r-3}.$$

Let  $\varepsilon > 0$  be a small constant. We shall prove that

$$(37) \quad \#\{n \leq x : \omega(n) = r, p(n) \leq x_2^{1-\varepsilon}, (n, \varphi(n)) = 1\} = o(\#B_x(r)).$$

First we drop all the integers  $n \leq x$  for which  $P(n) \leq \exp\{x_1/x_3^2\} := Y$ . In light of (4), the quantity of these numbers  $n$  is less than  $\Psi(x, Y) \ll x \exp\{-1/2(\log x/\log Y)\} \ll x \exp\{-x_2^2/2\}$ , a quantity which is so small that we can indeed drop this category of integers  $n \leq x$ . Hence, we only need to consider those integers  $n \leq x$  such that  $\omega(n) = r$ ,  $p(n) = q \leq x_2^{1-\varepsilon}$ ,  $(n, \varphi(n)) = 1$  and  $P(n) > Y$ . Writing these numbers  $n$  as  $n = qp_1 \cdots p_{r-1}$  with  $p_{r-1} > Y$ , then, using a classical Hardy and Ramanujan approach, we get that the number of these integers  $n \leq x$ , for a fixed prime  $q$ , is less than

$$\frac{c_5 x}{qx_1(r-2)!} \left\{ \sum_{\substack{q \leq p \leq x \\ p-1 \not\equiv 0 \pmod{q}}} \frac{1}{p} \right\}^{r-2} \leq \frac{c_6 x}{qx_1(r-2)!} \exp\{(r-2)(x_2 - \log \log q)\}$$

for some positive constants  $c_5$  and  $c_6$ . Summing up over  $q \leq x_2^{1-\varepsilon}$ , (37) follows.

Finally, it follows from Theorem A that

$$\begin{aligned} & \#\{n \leq x : \omega(n) = r, p(n) > x_2^{1-\varepsilon}\} \\ & \quad - \#\{n \leq x : \omega(n) = r, p(n) > x_2\} \\ & = O\left((\varepsilon + o(1)) \frac{x}{x_1} \frac{x_2^{r-1}}{(r-1)!} \prod_{p < x_2} \left(1 - \frac{1}{p}\right)\right). \end{aligned}$$

Hence, in light of (34), (35), (36) and (37), the proof of Theorem 5 is complete.

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DÉP. DE MATHÉMATIQUES ET DE STATISTIQUE, UNIVERSITÉ LAVAL, QUÉBEC,  
QUÉBEC G1K 7P4, CANADA  
**Email address:** [jmdk@mat.ulaval.ca](mailto:jmdk@mat.ulaval.ca)

COMPUTER ALGEBRA DEPARTMENT, EÖTVÖS LORÁND UNIVERSITY, 1117 BU-  
DAPEST, PÁZMÁNY PÉTER SÉTÁNY I/C, HUNGARY  
**Email address:** [katai@compalg.inf.elte.hu](mailto:katai@compalg.inf.elte.hu)