## ON THE EXTENSION OF DERIVATIONS TO SOME CLOSURES

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Introduction. Let A be a noetherian ring with integral closure  $\overline{A}$  in its total quotient ring k(A). When A is an integral domain, it is well known that any differentiation  $\underline{D}=(1,D_1,\ldots,D_i,\ldots)$  of A extends to  $\overline{A}$  [3, Section 2], but, generally,  $\underline{D}$  doesn't extend to a ring lying between A and  $\overline{A}$  (see [4 ex. 1.1 or ex. 2.6]). Now let  $A \subset B$  be noetherian integral domains. In Section 1, we consider some closures of A in B with respect to a given property. We prove that a differentiation  $\underline{D}$  of A which extends to B also extends to the u-closure and to the  $\overline{F}$ -closure of A in B; moreover,  $\underline{D}$  extends to the t-closure of A in B whenever B is finite as an A-module. As regards (n-root)-closure, we show  $\underline{D}$  can be extended under particular assumptions but not as a general rule (Section 1, Remark 1.9); we note that the (2,3)-closure has been already studied in [5].

The above problem can be considered from another point of view. If A is any noetherian ring, each ring between A and  $\overline{A}$  can be seen as a suitable closure of A in k(A) called  $\Delta$ -closure and denoted with  $A^{\Delta}$  (where  $\Delta$  is a set of ideals of A, according to [7]). Since generally neither a differentiation of A nor an integrable derivation extends to  $A^{\Delta}$ , we wonder when a derivation of A can be extended to  $A^{\Delta}$  (Section 2). For any  $A^{\Delta}$ , we give a sufficient (but not necessary) condition in order that a derivation D of A can be extended to  $A^{\Delta}$  (Proposition 2.5), whereas, under suitable assumptions, we show that the extension of D to  $A^{\Delta}$  can be characterized by certain properties of the conductor  $\beta$  of A in  $A^{\Delta}$ . In particular, we prove the following. If A has  $(S_1)$ -property, D extends to  $\overline{A}$  if and only if  $\beta$  is D-differential (Corollary 2.8).

Finally, in Section 3 we consider some classes of  $\Delta$ -closures  $A^{\Delta}$  and of derivations D of A satisfying the sufficient condition of Proposition 2.5, so that  $D(A^{\Delta}) \subset A^{\Delta}$ .

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In this paper, all rings are assumed to be commutative with a unit element.

**Section 1.** Let A, B be noetherian rings such that  $A \subset B$ . The ring A is called \*-closed in B if each  $b \in B$  satisfying a property (\*; A) belongs to A. The smallest subring of B containing A that is \*-closed is called the \*-closure of A in B; we shall denote it generically with  ${}^*_B A$ .

In this section we are concerned with the following question: if  $\underline{D}$  (respectively, D) is a differentiation (respectively, an integrable derivation) of A which extends to B, then does  $\underline{D}$  (respectively, D) extend to  ${}^*BA$ ? In particular, \*-closure will mean, respectively, t-closure, u-closure, F-closure and (n-root)-closure (as regards (2,3)-closure, see [5]).

First, we recall the basic definitions; generally, they concern integral domains, so that in this section we shall consider noetherian integral domains.

**Definition 1.1.** Let A, B be noetherian integral domains such that  $A \subset B$ . If  $b \in B$ , then:

- 1) b satisfies (u; A) if:  $b^2 b \in A$ ,  $b^3 b^2 \in A$ ;
- 2) b satisfies (t; A) if:  $b^2 rb \in A$ ,  $b^3 rb^2 \in A$  for some  $r \in A$ ;
- 3) b satisfies (F; A) if:  $b^2$ ,  $b^3$ ,  $nb \in A$  for some positive integer n;
- 4) b satisfies (n-root;A) if:  $b^n \in A$  for some positive integer n.

(See, e.g., [9, 10]). If \* means one of the properties 1) through 4), we denote  ${}^*{}_BA$  respectively with:  ${}^u{}_BA$ ,  ${}^t{}_BA$ ,  ${}^F{}_BA$ ,  ${}^{n-\operatorname{root}}{}_BA$ .

Remark 1.2. a) Each property (\*; A) in Definition 1.1 is expressed by conditions like  $P_i^*(b) \in A$ , where, for each i,  $P_i^*(b)$  belongs to A[b].

b) If b satisfies one of the properties 1) through 4) of Definition 1.1, then b is integral over A.

c) If \* is one of the properties 1) through 4) of Definition 1.1 and b satisfies (\*; A), then b satisfies also (\*; C) for each ring C such that  $A \subset C \subset B$ .

**Proposition 1.3.** Let A, B be as in Definition 1.1. For each  $b \in {}^*BA$ , one has  $b \in A[x_1, \ldots, x_k] \subset B$ , where  $x_j$  satisfies  $(*; A[x_1, \ldots, x_{j-1}])$  for  $j = 1, \ldots, k$ .

*Proof.* We call \*-finite extension of A in B a ring like  $A_k = A[x_1,\ldots,x_k] \subset B$  where  $x_j$  satisfies  $(*;A[x_1,\ldots,x_{j-1}])$  for  $j=1,\ldots,k$ . Then put  $X=\{A_k|A_k \text{ is *-finite extension of } A \text{ in } B\}$  and

(1) 
$$C = \bigcup_{k} A_k$$
, where  $A_k \in X$ .

First we note the union (1) is filtered, according to Remark 1.2c). Then we prove  ${}^*{}_BA = C$ . It is obvious that  $C \subset {}^*{}_BA$ ; so, let us prove the opposite inclusion. If  ${}^*{}_BA \not\subset C$ , then  $C \neq {}^*{}_BC$  (otherwise,  $C = {}^*{}_BC \supset {}^*{}_BA$ , since  $A \subset C \subset B$ ), so that we can find  $b \in B \setminus C$  such that b satisfies (\*;C). Then, according to Remark 1.2a), there are some polynomials  $P_i^*(b)$  in C[b] such that  $P_i^*(b) \in C$ . Therefore, since the union (1) is filtered, and according to the definition of C, one can find a suitable  $A_k \in X$  such that, for each i,  $P_i^*(b)$  and its coefficients belong to  $A_k$ . The above statement implies b satisfies  $(*;A_k)$ , then  $A_k[b]$  is a \*-finite extension of A in B, i.e.,  $A_k[b] = A_k$  for some  $A_h \in X$ . So,  $b \in C$ , a contradiction. Then  ${}^*{}_BA = C$ .

In many cases, the \*-closures with respect to the properties \* considered in Definition 1.1 are stable under passage to formal series, as we recall.

**Proposition 1.4.** Let A, B be noetherian integral domains such that  $A \subset B$ . Then:

- 1) If A is u-closed in B, so is A[[X]] in B[[X]].
- 2) If A is t-closed in B and B is finite as an A-module, then A[[X]] is t-closed in B[[X]].
  - 3) If A is F-closed in B, so is A[[X]] in B[[X]].

4) If  $\operatorname{char}(A) = p$  and A is  $(p\operatorname{-root})\operatorname{-closed}$  in B, then A[[X]] is  $(p\operatorname{-root})\operatorname{-closed}$  in B[[X]].

Proof. 1) See [6, n. 4, Proposition 7].

- 2) See [6, n. 4., Proposition 8, (8.2)].
- 3) Let us suppose  $A = {}^F{}_B A$ , and let  $f(X) = \sum a_i X^i \in B[[X]]$  be such that f(X) satisfies (F; A[[X]]). One immediately has  $a_0 \in A$ ; so, in order to prove  $f(X) \in A[[X]]$ , it is enough to show that  $(f(X) a_0)^2$ ,  $(f(X) a_0)^3$ ,  $n(f(X) a_0)$  belong to A[[X]] for some positive integer n (for, then we replace f(X) by  $f(X) a_0 = X$   $(a_1 + a_2 X + \dots)$  and iterate the procedure with respect to  $a_1 + a_2 X + \dots$ ). Now, expanding out, and according to the assumptions over A, it is enough to show that  $a_0 f(X) \in A[[X]]$ , i.e.,  $a_0 a_i \in A$  for each  $i \geq 0$ . It can be shown as in [1, Theorem (1), page 283].
- 4) Let us suppose that  $A = {}^{p-\mathbf{root}}{}_B A$ , and let  $f(X) = \sum a_i X^i \in B[[X]]$  be such that  $[f(X)]^p \in A[[X]]$ . Since char (A) = p, one has  $[f(X)]^p = \sum a_i^p X^{ip}$ , so that  $a_i^p \in A$  for each i; then  $a_i \in A$ , according to the assumptions on A.  $\square$

Now let  $\underline{D} = (1, D_1, \dots, D_i, \dots)$  be a differentiation (of Hasse-Schmidt) of A, i.e., a sequence of additive endomorphisms  $D_i : A \to A$  such that  $D_n(ab) = \sum_{i,j} (D_i(a).D_j(b))$  (where i+j=n) for all  $a, b \in A$  (so that  $D_1$  is a derivation of A). A derivation D of A is called *integrable* if there exists a differentiation  $\underline{D} = (1, D_1, \dots, D_i, \dots)$  of A such that  $D_1 = D$ . We denote with HS(A) the group of the differentiations of A, and with Der(A) (respectively with IDer(A)) the A-module of all the derivations of A (respectively of the integrable derivations of A).

It is known that, if A is a ring, to each  $\underline{D}=(1,D_1,\ldots,D_i,\ldots)\in HS(A)$  one can associate a ring homomorphism  $E:A\to A[[X]]$  defined by  $E(a)=\sum D_i(a)X^i$  for each  $a\in A$  (see [3, Section 1]. Then, according to Proposition 1.4, we wonder when a  $\underline{D}\in HS(A)$ , such that  $\underline{D}$  extends to B, can extend to  $^*BA$ . We can prove:

**Theorem 1.5.** Let A, B be as in Proposition 1.4, and let  $\underline{D} = (1, D_1, \dots, D_i, \dots)$  in HS(A) be such that  $\underline{D}$  extends to B. Then:

- 1)  $\underline{D}$  extends to  ${}^{u}_{B}A$ .
- 2) If B is finite as an A-module, then  $\underline{D}$  extends to  ${}^{t}_{B}A$ .
- 3)  $\underline{D}$  extends to  ${}^{F}{}_{B}A$ .
- 4) If char (A) = p,  $\underline{D}$  extends to  $p-root_B A$ .

*Proof.* Let  $E: A \to A[[X]]$  be the ring homomorphism associated to  $\underline{D}$ ; let us denote with E also the ring homomorphism of B in B[[X]] associated to the extension of D to B. So, for each closure  $*_B A$  considered in 1) through 4), we have to prove:  $E(*_B A) \subset$  $(*_B A)[[X]]$ . Now, according to Proposition 1.3, it is enough to show that  $E(A[x_1,\ldots,x_k]) \subset ({}^*{}_BA)[[X]]$  for each  $A[x_1,\ldots,x_k]$  contained in B such that  $x_j$  satisfies  $(*, A[x_1, \ldots, x_{j-1}])$  for  $j = 1, \ldots, k$ ; then we have to prove that  $E(x_j) \in \binom{*}{B}A[[X]]$  for each  $x_j$  as above,  $1 \leq j \leq k$ . We show it by induction on k. Since  $x_1$  satisfies (\*; A), there is a finite set of polynomials  $\{P_i^*(x_1)\}$  such that, for each  $i, P_i^*(x_1) \in A[x_1]$ , say  $P_i^*(x_1) = \sum_h (a_{ih}x_1^h)$  (see Definition 1.1 and Remark 1.2a)). Then, for each *i*, in B[[X]], one has  $E(P_i^*(x_1)) = \sum_h E(a_{ih})[E(x_1)]^h$ , so that  $E(x_1)$  satisfies (\*; A[[X]]), i.e.,  $E(x_1) \in *_{B[[X]]}A[[X]]$ . Then  $E(x_1) \in ({}^*_B A)[[X]]$ , according to Proposition 1.4. Now let us suppose that  $E(x_1), \ldots, E(x_{i-1}) \in ({}^*_B A)[[X]]$ . The same argument as before shows that  $E(x_j)$  satisfies  $(*; (*_B A)[[X]])$ , so  $E(x_j) \in (*_B A)[[X]]$ , according to Proposition 1.4.

**Corollary 1.6.** Let A be a noetherian integral domain,  $\overline{A}$  its integral closure, \*A be the \*-closure of A in  $\overline{A}$ ,  $\underline{D} \in HS(A)$ . Then:

- a) D extends to  ${}^{u}A$ ,  ${}^{F}A$ ,
- b) if  $\overline{A}$  is finite as an A-module,  $\underline{D}$  extends to  ${}^{t}A$ ,
- c) if char (A) = p,  $\underline{D}$  extends to p-root A.

*Proof.* Since  $\underline{D}$  extends to  $\overline{A}$  (see [3, Theorem 3]), it follows immediately from Theorem 1.5.  $\square$ 

**Corollary 1.7.** The results of Theorem 1.5 and Corollary 1.6 hold by considering  $D \in IDer(A)$  instead of  $\underline{D} \in HS(A)$ .

**Corollary 1.8.** Let R be an integral domain such that char (R) = 0, k(R) be the quotient field of R, and A = R[[X]], B = (k(R))[[X]]. If  $\underline{D}$  is the differentiation of HS(B) defined by  $\underline{D} = (1, D = \partial/\partial X, \ldots, D_i = D^{(i)}/i!, \ldots)$ , then:

- a)  $\underline{D} \in HS(A)$
- b)  $\underline{D}$  extends to  ${}^{u}{}_{B}A$ ,  ${}^{F}{}_{B}A$ .

*Proof.* a) We have  $D_j(x^i)=0$  if i< j, and, if  $i\geq j$ ,  $D_j(X^i)=\binom{i}{j}X^{i-j}\in A$ . Then  $D_j(A)\subset A$ .

b) It follows immediately from a) and Theorem 1.5, 1, 3).

Remark 1.9. If A, B, D are as in Theorem 1.5, generally  $\underline{D}$  doesn't extend to  $n^{-\operatorname{root}}{}_BA$ , as the following example shows. Let  $A = \mathbf{Z}[[X]]$ ,  $B = \mathbf{Q}[[X]]$ ,  $\underline{D} = (1, D = \partial/\partial X, \dots, D_i = D^{(i)}/i!, \dots) \in HS(A) \cap HS(B)$  (Corollary 1.8 a)). Let  $f(X) = 1 + (1/2)X - (1/8)X^2 + (1/16)X^3 - (5/128)X^4 + \dots \in B$ , considered in  $[\mathbf{10}$ , Example 1]. As proved in  $[\mathbf{10}]$ , one has  $[f(X)]^2 \in \mathbf{Z}[[X]] = A$ , so that  $f(X) \in {}^{2-\operatorname{root}}{}_BA$ . On the other hand,  $D(f(X)) = (1/2) - (1/4)X + (3/16)X^2 + \dots$ , so  $[D(f(X))]^2 = (1/4) - (1/4)X + \dots$ . Then,  $D(f(X)) \notin {}^{2-\operatorname{root}}{}_BA$ . In fact, according to Proposition 1.3, a series  $g(X) = \sum g_i X^i \in B$  belonging to  ${}^{2-\operatorname{root}}{}_BA$  is such that  $g(X) \in A[x_1, \dots, x_k]$ , where, for  $j = 1, \dots, k$ ,  $x_j = \sum_i (h_{ij}X^i) \in B = \mathbf{Q}[[X]]$  and  $x_j^2 \in A[x_1, \dots, x_{j-1}]$ ; then,  $h_{0j}^2 \in \mathbf{Z}$ , i.e.,  $h_{0j} \in \mathbf{Z}$  (for  $j = 1, \dots, k$ , as one can easily see), which implies that  $g_0 \in \mathbf{Z}$ . So, D (then, even more so, D), doesn't extend to  ${}^{2-\operatorname{root}}{}_BA$ .

**Section 2.** Let A be a noetherian ring, k(A) be the total quotient ring of A and  $\overline{A}$  be the integral closure of A in k(A). Each ring B such that  $A \subset B \subset \overline{A}$  can be seen as a suitable closure of A in k(A), as we now recall. We refer to [7]. If  $\Delta$  is a multiplicatively closed set of nonzero ideals of A, for each ideal I of A we denote with  $I_{\Delta}$  the set  $\bigcup \{IK : K | K \in \Delta\}$ . Now, a  $\Delta$ -extension of A

in k(A) is a subring B of k(A) containing A such that  $aB \cap A \subset (aA)_{\Delta}$  for all regular nonunits a in A. We call  $\Delta$ -closure of A in k(A) the largest  $\Delta$ -extension of A that is contained in k(A), and we denote it with  $A^{\Delta}$  (see [7, 2.1, 6.1] and following remark). We recall some basic properties of  $A^{\Delta}$ .

**Proposition 2.1.** Let A be a noetherian ring, k(A) the total quotient ring of A, and  $\Delta$  a multiplicatively closed set of nonzero ideals of A. Then  $A^{\Delta} = A[S] = S$ , where:

 $S = \{b/a \in k(A) | a \text{ is a regular nonunit in } A \text{ and } b \in (aA)_{\Delta}\}.$ 

(See [7, Theorem (6.2)].)

Further, we have

**Proposition 2.2.** Let A, k(A), and  $\Delta$  be as in Proposition 2.1, and let B be a ring such that  $A \subset B \subset \overline{A}$ . Then  $B = A^{\Delta}$ , where:

 $\Delta = \{ \text{finite products of the ideals } aB \cap A | a \text{ is a regular nonunit in } A \}.$ 

In particular,  $\overline{A} = A^{\Delta}$  where  $\Delta$  is the set of nonzero ideals of A that are not contained in any minimal prime ideal.

(See [7, (8.1)] and the following remarks, and (6.3)].)

So, according to Proposition 2.2, any subring of  $\overline{A}$  containing A can be seen as a suitable  $\Delta$ -closure of A in k(A).

If  $D \in I$ Der (A), generally D doesn't extend to  $A^{\Delta}$  (see [4, ex. 1.1 or ex. 2.6]). So, in this section we wonder when a derivation  $D \in D$ er (A) can be extended to  $A^{\Delta}$ . We recall that an ideal I of A is called D-differential if  $D(I) \subset I$ . According to Proposition 2.1, each element of  $A^{\Delta}$  looks like s = b/a, where:

- (2) a is a regular nonunit in A
- (3)  $b \in (aA)_{\Delta}$ , i.e.,  $bK \subset aK$  for some ideal  $K \in \Delta$ .

We have

**Proposition 2.3.** Let  $A, \Delta$  be as in Proposition 2.1,  $s = b/a \in A^{\Delta}$ , K be an ideal of  $\Delta$  satisfying (3), and  $D \in \text{Der}(A)$ . If K is D-differential, then  $D(s) \in A^{\Delta}$ .

Proof. Since  $D \in \text{Der }(A)$ , one has  $D(s) = [D(b)a - bD(a)]/a^2 \text{ in } k(A)$ , where  $a^2$  is a regular nonunit in A, since a satisfies (2). So, according to Proposition 2.1, it is enough to show that  $[D(b)a - bD(a)] \in (a^2A)_{\Delta}$ , i.e., there is an ideal  $K' \in \Delta$  such that:

$$[D(b)a - bD(a)]K' \subset a^2K'.$$

Since K satisfies (3), for each  $k \in K$  there exists  $k' \in K$  such that bk = ak', so in k(A) one has k' = (bk/a), and by applying D one obtains bD(k) + kD(b) = D(a)k' + aD(k') = D(a)(bk/a) + aD(k') in k(A). So, in A one has  $abD(k) + akD(b) = D(a)bk + a^2D(k')$ , i.e.,  $k[aD(b)-bD(a)] = -abD(k)+a^2D(k')$ . Now  $D(k') \in K$  and  $D(k) \in K$ , since K is D-differential; so  $a^2D(k') \in a^2K$ , and  $abD(k) \in abK$ , so that  $abD(k) \in a^2K$  since b and b satisfy (3). Then  $b[aD(b)-bD(a)] \in a^2K$  for each  $b \in K$ , so that

$$[aD(b) - bD(a)]K \subset a^2K.$$

If we put K' = K, we obtain (4).  $\square$ 

Remark 2.4. The converse of Proposition 2.3 is not true, generally. Indeed, we exhibit  $A, A^{\Delta}, s \in A^{\Delta}$  such that  $D(s) \in A^{\Delta}$ , but there is no  $K \in \Delta$  such that K is D-differential and satisfies (3).

Let  $A = \mathbf{Z}_p[x,y]$  where p is prime,  $p \neq 2$  and  $y^p = x^p(x+1)$ . According to  $[\mathbf{8}, \text{ n. 5}]$ , we have: A is an integral domain, y/x is integral over A, and  $\overline{A} = A[y/x]$ . Let  $A^{\Delta} = \overline{A}$ ,  $D \in \text{Der}(A)$  be defined by D(x) = 0, D(y) = 1 (see  $[\mathbf{8}, \text{ n. 5}]$ ). Take  $s = (y^2/2x) \in \overline{A}$ . Then

(6) 
$$y^2K \subset (2x)K$$
 for an ideal  $K \in \Delta$ ,

according to (3).

Now we show that each K satisfying (6) cannot be D-differential. In fact, if there is  $K \in \Delta$ , K D-differential and satisfying (6), then, according to the proof of Proposition 2.3, one obtains (5) for a = 2x,

 $b=y^2$ , i.e.,  $[2xD(y^2)-y^2D(2x)]K\subset (4x^2)K$ , so  $yK\subset xK$  (since A is a domain). This means that  $y/x\in A^{\Delta}$  (Proposition 2.1 and (3)), and K satisfies the assumptions of Proposition 2.3 for s=y/x. Then, according to the result of Proposition 2.3, one has  $D(y/x)\in A^{\Delta}$ , a contradiction, since  $D(y/x)=(1/x)\notin \overline{A}=A^{\Delta}$  (see [8, n.5]).

If  $A^{\Delta}$  is finitely generated over A, it is possible to find a particular  $K \in \Delta$  such that K is an ideal of  $A^{\Delta}$ . In fact, if  $A^{\Delta} = A[x_1, \ldots, x_n]$ , the above K can be obtained as follows (see [7, proof of Theorem (6.4)]): for  $i = 1, \ldots, n$ , let  $x_i = b_i/a_i$  and  $K_i \in \Delta$  be such that  $b_i K_i \subset a_i K_i$  (see (3)); then,  $K = \Pi K_i$  has the requested property. This ideal K satisfies the assumptions of the following

**Proposition 2.5.** Let  $A, \Delta, A^{\Delta}, D$  be as usual; moreover, let  $K \in \Delta$  be an ideal of  $A^{\Delta}$ . Then:

if K is D-differential, then 
$$D(A^{\Delta}) \subset A^{\Delta}$$
.

*Proof.* Let  $s=(b/a)\in A^{\Delta}$ . Since K is an ideal of  $A^{\Delta}$ , one has  $sK\subset K$ , i.e.,  $bK\subset aK$ . Then K satisfies the assumptions of Proposition 2.3; since K is D-differential, Proposition 2.3 shows  $D(s)\in A^{\Delta}$ .  $\square$ 

Remark 2.6. Generally the converse of Proposition 2.5 is not true, as the following examples show.

- 1) Let  $A=k[t^5,t^6]$  where k is a field of characteristic p=5,  $A^\Delta=A[t^8]\subset\overline{A}$ . If  $K=(t^{16},t^{24})A$ , then  $K=(t^{16})A^\Delta$ ; so  $K\in\Delta$  according to Proposition 2.2. Further, K satisfies the assumption of Proposition 2.5. Let  $D=t^{10}(\partial/\partial t)\in \operatorname{Der}(A)$ . One has  $D(A^\Delta)\subset A^\Delta$ , since  $D(t^8)=8\cdot t^{17}\in A$ . Nevertheless,  $D(K)\not\subset K$ ; in fact,  $D(t^{16})=16\cdot t^{25}\notin K$ .
- 2) Let  $A=k[t^3,t^7,t^8]$ , where k is a field of characteristic zero, and  $A^{\Delta}=A[t^4]\subset\overline{A}$ . According to Proposition 2.2, it can be seen that  $K=(t^8,t^{12})A$  belongs to  $\Delta$  and is an ideal of  $A^{\Delta}$ . If  $D=t^6(\partial/\partial t)\in {\rm Der}\,(A)$ , one has

$$\begin{split} -D(A^{\Delta}) \subset A^{\Delta}, & \text{since } D(t^4) = 4 \cdot t^9 \in A, \\ -D(K) \not\subset K, & \text{since} D(t^8) = 8 \cdot t^{13} \notin K. \end{split}$$

We note that Examples 1), 2) of Remark 2.6 can be seen as particular cases of a larger class of examples we shall consider in Section 3.

**Corollary 2.7.** Let  $\beta$  be the conductor of A in  $A^{\Delta}$ ,  $D \in \text{Der }(A)$ . If  $\beta \in \Delta$ , the following conditions are equivalent:

- 1)  $D(A^{\Delta}) \subset A^{\Delta}$ ;
- 2)  $\beta$  is D-differential.

*Proof.* 1)  $\Rightarrow$  2). For each  $s \in A^{\Delta}$ ,  $x \in \beta$ , one has  $sx \in A$  so that  $D(sx) \in A$ , i.e.,  $xD(s) + sD(x) \in A$ . Now  $xD(s) \in A$  since  $D(s) \in A^{\Delta}$  and  $x \in \beta$ ; then,  $sD(x) \in A$  (for each  $s \in A^{\Delta}$ ), so  $D(x) \in \beta$ .

2)  $\Rightarrow$  1). Let  $s = (b/a) \in A^{\Delta}$ . Since  $\beta$  is an ideal of  $A^{\Delta}$ , the result follows from Proposition 2.5.  $\square$ 

When A satisfies the  $(S_1)$ -property and  $A^{\Delta} = \overline{A}$ , under suitable assumptions one has  $\beta \in \Delta$  (Corollary 2.8). We recall a ring A satisfies the  $(S_1)$ -property if and only if A has no embedded prime ideals associated with (0).

**Corollary 2.8.** Let  $\beta$  be the conductor of A in  $\overline{A}$ ,  $D \in \text{Der }(A)$ . If  $\overline{A}$  is a finitely generated A-module and if A satisfies the  $(S_1)$ -property, the following conditions are equivalent:

- 1)  $D(\overline{A}) \subset \overline{A}$ ;
- 2)  $\beta$  is D-differential.

*Proof.* Since A satisfies  $(S_1)$ , each  $P \in \text{Ass}(A/\beta)$  has height  $\geq 1$  since it is associated to an ideal I generated by a regular element (see [2, Proposition 5.21] where only the assumption  $(S_1)$  is needed). Then  $ht(\beta) \geq 1$ , so  $\beta \in \Delta$ , according to Proposition 2.2. Now the result follows from Corollary 2.7.

Remark 2.9. 1) In Corollary 2.7 the assumption  $\beta \in \Delta$  is needed only as regards 2)  $\Rightarrow$  1).

2) Under the assumptions of Corollary 2.8, the condition  $\beta \in \Delta$  of Corollary 2.7 is satisfied. Generally, for a  $\Delta$ -closure  $A^{\Delta}$ , it is

not true that  $\beta \in \Delta$ . Consider, for example,  $A = k[X^2, XY, Y^3]$ ,  $A^{\Delta} = A[X + Y] \subset \overline{A}$ ,  $D = X(\partial/\partial X) + XY^2(\partial/\partial Y)$ . In [4, ex. 2.6], it is proved that  $D(A^{\Delta}) \not\subset A^{\Delta}$  and  $\beta$  is D-differential; according to Corollary 2.7, we have necessarily that  $\beta \notin \Delta$ .

**Section 3.** In this section we show some classes of  $\Delta$ -closures  $A^{\Delta}$  and of ideals  $K \in \Delta$  satisfying the assumptions of Proposition 2.5, such that K is D-differential with respect to some  $D \in \text{Der }(A)$ . In this case, according to Proposition 2.5, one has  $D(A^{\Delta}) \subset A^{\Delta}$ . First, we show the following

**Lemma 3.1.** Let A be a noetherian ring,  $A^{\Delta} = A[x] \subset \overline{A}$ , where  $x^2$  and  $x^3$  belong to A,  $K = (x^2, x^3)A$ . Then  $k \in \Delta$  and K is an ideal of  $A^{\Delta}$  contained in A.

*Proof.* If  $a=x^2$ , then  $aA^{\Delta}=K$ . In fact,  $(x^2,x^3)\subset (x^2)A^{\Delta}$  obviously; on the other hand, for each  $y\in (x^2)A^{\Delta}$  one has  $y=x^2(a_0+a_1x)$ , where  $a_0,a_1\in A$ , so that  $y=a_0x^2+a_1x^3\in K$ . So  $K=aA^{\Delta}\cap A$ , then we have also  $k\in \Delta$ , according to Proposition 2.2.

So, in Lemma 3.1, we construct an ideal  $K \in \Delta$  that satisfies the assumptions of Proposition 2.5 and also generalizes the examples of Remark 2.6. Now we refer to rings of the particular type  $A = k[t^{\alpha_1}, \ldots, t^{\alpha_m}]$ , in order to show ideals K as above and certain  $D \in \mathrm{Der}\,(A)$  such that K is D-differential. So let  $A = k[t^{\alpha_1}, \ldots, t^{\alpha_m}]$ , where k is a field of characteristic zero.

In this section we denote with S the semigroup  $\langle \alpha_1, \ldots, \alpha_m \rangle$  and suppose that  $(\alpha_1, \ldots, \alpha_m) = 1$ , i.e., that there exists  $s \in S$  such that  $s + n \in S$  for each  $n \in \mathbb{N}$ ; the least of these integers, s, is called the *conductor* of S. Now, according to Lemma 3.1, take  $x = t^a \in \overline{A}$  such that  $t^a \notin A$ , but  $t^{2a}$ ,  $t^{3a} \in A$ , and let  $A^{\Delta} = A[x] = A[t^a]$ ,  $K = (t^{2a}, t^{3a})$ . Further, take  $D = t^{\alpha}(\partial/\partial t)$  with  $\alpha \geq 0$   $(D \in \operatorname{Der}(\overline{A}))$ . Then the conditions we are interested in are the following:

- i)  $D \in \mathrm{Der}(A)$
- ii)  $D(K) \subset K$ ,

that are respectively equivalent to

- I)  $\alpha_i 1 + \alpha \in S$  for  $i = 1, \ldots, m$
- II)  $2a 1 + \alpha \in S$  (since ii holds if and only if  $D(x^2) \in K$ ).

Now, in order to have II, the following condition is sufficient

III)  $a-1+\alpha=s+ka$ , for some  $s\in S$  and  $k\geq 1$ .

In fact, if III holds, one has:  $2a-1+\alpha=s+(k+1)a$ , with  $s\in S$  and  $k+1\geq 2$ , so that  $2a-1+\alpha\in S$ . Nevertheless, II doesn't imply III. Take, for example,  $\alpha_1=3,\ \alpha_2=7,\ \alpha_3=8,\ a=8,\ \alpha=6$ ; conditions I and II are satisfied, but  $a-1+\alpha=13$  and  $13\neq 8k+s$  for each  $s\in S,\ k\geq 1$ .

Now we show that conditions I and III are satisfied for a particular choice of the integers  $\alpha_1, \ldots, \alpha_m$ .

**Proposition 3.2.** Let  $A = k[t^2, t^{2n+1}]$  with k field of characteristic zero,  $n \geq 1$ ,  $t^a \in \overline{A} \setminus A$  be such that  $t^{2a}$ ,  $t^{3a} \in A$ . Moreover, let  $A^{\Delta} = A[t^a]$ ,  $K = (t^{2a}, t^{3a})$ . If  $D = t^{\alpha}(\partial/\partial t) \in \text{Der}(A)$ , then  $D(K) \subset K$ .

*Proof.* Let  $D = t^{\alpha}(\partial/\partial t) \in \text{Der}(A)$ . According to the above notations and remarks, it is enough to show that III holds. In this case, the assumption over D means:

(I) 
$$1 + \alpha \in S$$
,  $2n + \alpha \in S$ .

Moreover,  $S = \{0, 2, \dots, 2n+1, \dots\}$  and, since  $t^a \notin A$ , one has that a is odd and a < 2n+1.

If  $\alpha$  is even, then I is satisfied if and only if  $\alpha \geq 2n$ . Then,  $a-1+\alpha \geq a-1+2n > a-1+a-1$  (since a<2n+1)=2(a-1). So,  $a-1+\alpha$  is even and greater than 2(a-1), so that  $a-1+\alpha=2(a-1)+2h$  for some  $h\geq 1$ , i.e.,  $a-1+\alpha=2a+2(h-1)$  where  $h-1\geq 0$ . Then III holds since  $2(h-1)\in S$ .

If  $\alpha$  is odd, then I is trivially satisfied for each  $\alpha \geq 1$ . Further,  $a-1+\alpha=a+(-1+\alpha)$ , where  $-1+\alpha$  belongs to S since it is even; then III holds.

Remark 3.3. Let  $A=k[t^{\alpha_1},\ldots,t^{\alpha_m}],\ A^{\Delta},\ K$ , be as before. If c is the conductor of  $S=\langle \alpha_1,\ldots,\alpha_m\rangle$ , then each  $D=t^{\alpha}(\partial/\partial t)$  with  $\alpha\geq c+1$  is such that  $D\in {\rm Der}\,(A)$  and  $D(K)\subset K$ . In fact, if  $\alpha\geq c+1$ , one has for  $i=1,\ldots,m,\ \alpha_i-1+\alpha\geq\alpha_i+c\in S;\ a-1+\alpha\geq a+c,$  so that  $a-1+\alpha=a+s,$  for some  $s\in S$ . Then I and III hold so that the result follows.  $\square$ 

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