

## A DIRECT WEAKENING OF NORMALITY FOR FILTERS

ROBERT MIGNONE

**ABSTRACT.** Weakly normal filters have been defined and studied for filters on cardinals  $\kappa$  by Kanamori and for filters on  $P_\kappa\lambda$  by Abe. Both versions of weak normality require functions regressive on some set of measure one to be bounded on a set of measure one; which is not a direct weakening of Solovay's notion of normality, where functions regressive on some set of positive measure are constant on a set of positive measure. Consequently, Abe's definition of weak normality is not a property possessed by the closed unbounded filter over  $P_\kappa\lambda$ .

Here, a weak version of normality, called quasi-normal, is presented which is a direct weakening of normality for filters. Functions regressive on some set of positive measure must be bounded on a set of positive measure. The final section filter and the strongly closed unbounded filter on  $P_\kappa\lambda$  are studied for quasi-normality. Whether or not these filters are quasi-normal depends on the cofinality of  $\lambda$  with respect to  $\kappa$ .

**Introduction.** Fodor's theorem states that if  $f : \kappa \rightarrow \kappa$  is regressive on a stationary set  $B$ , with  $B \subseteq \kappa$ , then there exists a stationary set  $B'$  with  $B' \subseteq B$ , such that  $f$  is constant on  $B'$ , see [3]. The stationary sets are the sets of positive measure with respect to the closed unbounded filter over  $\kappa$ . In [9], Solovay introduced the notion of a normal filter by generalizing the property described in Fodor's theorem. A filter  $F$  on  $\kappa$  is normal if whenever  $f : \kappa \rightarrow \kappa$  is regressive on a set  $B$  of positive measure with respect to  $F$ , then there is a set of positive measure  $B'$ , with  $B' \subseteq B$  such that  $f$  is constant on  $B'$ .

A generalization of this property was provided by Jech in [4], where the notions of filters, closed unbounded sets, stationary sets, and regressive functions were extended to  $P_\kappa\lambda$ .

The property of normality was weakened by Kanamori, with a twist, see [6]. Instead of requiring regressive functions to be constant somewhere, now they are required only to be bounded somewhere. The

---

Received by the editors on September 4, 1989, and in revised form on April 2, 1990.

1980 *Mathematics Subject Classification* (1985 Revision). 03E55.

Copyright ©1992 Rocky Mountain Mathematics Consortium

twist is that the somewhere is a measure one set. The property of weak normality was generalized by Abe in [1], to filters over  $P_\kappa\lambda$ .

This paper studies the direct weakening of normality to filters on  $P_\kappa\lambda$ . It is organized into three sections. Section one introduces the property of quasi-normality for filters over  $P_\kappa\lambda$  and compares results with some in [1]. At this point weakly normal filters and quasi-normal filters on  $P_\kappa\lambda$  begin to diverge. Whether or not the final section filter and the strongly closed unbounded filter can be quasi-normal will depend on the cofinality of  $\lambda$  with respect to  $\kappa$ . Section two investigates the existence of filters and ultrafilters which are not quasi-normal. (Note: quasi-normality and weak normality are equivalent for ultrafilters.) Finally, Section three shows that the *minimal cover*  $q^*(\mu)$  of [8] is quasi-normal and discusses the *large cardinal* strength of quasi-normality.

For basic notation and background, please see [5]. For any filter  $F$  on  $S$ , denote

$$F^+ = \{A : A \subseteq S \text{ and } A \cap B \neq \emptyset \text{ for all } B \in F\},$$

called the sets of positive measure with respect to  $F$ , or just the sets of positive measure when no confusion will result. For every  $x \in P_\kappa\lambda$ , let  $\hat{x} = \{y \in P_\kappa\lambda : x \subseteq y\}$ . The final segment filter, denoted  $FSF_{\kappa\lambda}$ , is defined

$$FSF_{\kappa\lambda} = \{A : A \subseteq P_\kappa\lambda \text{ and } \exists x \in P_\kappa\lambda \text{ such that } \hat{x} \subseteq A\}.$$

For the rest of this paper, any filter  $F$  on  $P_\kappa\lambda$  will be an extension of  $FSF_{\kappa\lambda}$ .

A subset  $C$  of  $P_\kappa\lambda$ , is unbounded, if for every  $x \in P_\kappa\lambda$ , there exists a  $y$  in  $C$ , such that  $x \subseteq y$ . And  $C$  is closed, if whenever  $\eta < \kappa$  and  $\{x_\gamma : \gamma < \eta\} \subset C$  such that  $x_\gamma \subset x_{\gamma'}$  for  $\gamma < \gamma'$ , then  $\cup_{\gamma < \eta} x_\gamma \in C$ . The closed unbounded filter on  $P_\kappa\lambda$ , denoted  $CF_{\kappa\lambda}$ , is defined:

$$CF_{\kappa\lambda} = \{B : B \subseteq P_\kappa\lambda \text{ and } \exists C \text{ which is} \\ \text{closed unbounded and } C \subseteq B\}.$$

A subset  $S$  of  $P_\kappa\lambda$  is stationary, if  $S \in (CF_{\kappa\lambda})^+$ .

Finally, let  $D$  be an unbounded subset of  $P_\kappa\lambda$ .  $D$  is strongly closed, if whenever  $\eta < \kappa$  and  $\{x_\gamma : \gamma < \eta\}$  is a subset of  $D$ , then  $\cup_{\gamma < \eta} x_\gamma \in D$ .

The strongly closed unbounded filter on  $P_\kappa\lambda$ , denoted  $SCF_{\kappa\lambda}$ , is defined

$$SCF_{\kappa\lambda} = \{A : A \subseteq P_\kappa\lambda \text{ and } \exists D \text{ strongly closed} \\ \text{unbounded and } D \subseteq A\}.$$

**Section 1.** It is possible to define a notion of quasi-normality for filters over an uncountable cardinal  $\kappa$  and get comparable results to Proposition 1.2(i), (iii) and (iv) in [6]. Both Kanamori's version of weak normality and quasi-normality coincide with normality for  $\kappa$ -complete filters. For this reason, the choice was made to introduce quasi-normality for  $\kappa$ -complete filters over  $P_\kappa\lambda$ . The interested reader is directed to [6,7], where the regularity of measures on  $\kappa$  is studied in the context of weak normality.

*Definition 1.1.* A filter  $F$  on  $P_\kappa\lambda$  is *quasi-normal*, if whenever  $f : P_\kappa\lambda \rightarrow \lambda$  is regressive on a set  $B \in F^+$ , then there exists a subset  $B'$  of  $B$  such that  $B' \in F^+$  and  $f$  is bounded on  $B'$ .

As in the case of normality, the following characterization in terms of closure under diagonal intersection exists.

**Theorem 1.2.** *Let  $F$  be a filter on  $P_\kappa\lambda$ .  $F$  is quasi-normal if and only if whenever  $\{A_\gamma : \gamma < \lambda\}$  is a subset of  $F$  such that  $A_\beta \subseteq A_\alpha$  when  $\alpha < \beta$ , then*

$$\Delta\{A_\gamma : \gamma < \lambda\} = \{x \in P_\kappa\lambda : \gamma \in x \Rightarrow x \in A_\gamma\} \in F.$$

*Proof.* Similar proofs are standard throughout the literature, see [4].

Assuming  $F$  is quasi-normal, suppose  $\{A_\gamma : \gamma < \lambda\}$  is a subset of  $F$  where  $A_\beta \subseteq A_\alpha$  when  $\alpha < \beta$ . Suppose for all  $C \in F$  there exists an  $x \in C$  such that there exists  $\delta \in x$  and  $x \notin A_\delta$ . Define  $f : P_\kappa\lambda \rightarrow \lambda$  such that  $f(x) = \delta$  for the first such  $\delta$  in  $x$ . Then  $\{x \in P_\kappa\lambda : f(x) \in x\} \in F^+$ . By the quasi-normality of  $F$ , there exists a  $B \subset \{x \in P_\kappa\lambda : f(x) \in x\}$  and a  $\gamma < \lambda$  such that  $B \in F^+$  and  $f(x) \leq \gamma$  for all  $x \in B$ . Let  $x \in B \cap A_{\gamma+1}$ . Then  $x \in A_{\gamma+1}$  and

$x \notin A_{f(x)}$  since  $x \in B$ . But  $A_{\gamma+1} \subseteq A_{f(x)}$ , since  $f(x) < \gamma + 1$ . A contradiction.

Next, assuming  $F$  is closed under the diagonal intersection of nested  $\lambda$ -sequences of measure one sets, suppose  $\{x \in P_\kappa \lambda : f(x) \in x\} \in F^+$ . But for all  $\beta < \lambda$ ,  $\{x \in P_\kappa \lambda : f(x) \leq \beta\} \notin F^+$ . Then for all  $\beta < \lambda$ ,  $\{x \in P_\kappa \lambda : f(x) > \beta\} \in F$ . This gives a nested  $\lambda$ -sequence of measure one sets and eventually a contradiction. The details are straightforward.  $\square$

In [2], Carr proves that for all  $\lambda > \kappa$ ,  $FSF_{\kappa\lambda} \subset SCF_{\kappa\lambda} \subset CF_{\kappa\lambda}$  and  $CF_{\kappa\lambda} \neq SCF_{\kappa\lambda} \neq FSF_{\kappa\lambda}$ ; and if  $F$  is a normal filter on  $P_\kappa \lambda$ , then  $CF_{\kappa\lambda} \subseteq F$ . Hence,  $SCF_{\kappa\lambda}$  and  $FSF_{\kappa\lambda}$  are not normal. It is also true that  $FSF_{\kappa\lambda}$ ,  $SCF_{\kappa\lambda}$  and  $CF_{\kappa\lambda}$  are not weakly normal. However, clearly  $CF_{\kappa\lambda}$  is quasi-normal, and as this section will demonstrate, the quasi-normality of  $FSF_{\kappa\lambda}$  and  $SCF_{\kappa\lambda}$  depends on the cofinality of  $\lambda$  with respect to  $\kappa$ .

**Theorem 1.3.** *If  $cf(\lambda) < \kappa$ , then every  $\kappa$ -complete filter over  $P_\kappa \lambda$  is quasi-normal. (In particular,  $FSF_{\kappa\lambda}$  and  $SCF_{\kappa\lambda}$  are quasi-normal.)*

*Proof.* Note: The author is grateful to the referee for pointing out a simple argument which greatly strengthened the original theorem.

Let  $F$  be a  $\kappa$ -complete filter over  $P_\kappa \lambda$  and suppose  $f$  is a function mapping  $P_\kappa \lambda$  into  $\lambda$  such that  $\{x \in P_\kappa \lambda : f(x) \in x\} \in F^+$ . Let  $\delta = cf(\lambda) < \kappa$  and  $\{\gamma_\alpha : \alpha < \delta\}$  be cofinal in  $\lambda$ . Suppose for each  $\alpha < \delta$ ,  $\{x \in P_\kappa \lambda : f(x) \leq \gamma_\alpha\} \notin F^+$ . Then for each  $\alpha < \delta$  there exists  $A_{\gamma_\alpha} \subset \{x \in P_\kappa \lambda : f(x) > \gamma_\alpha\}$  such that  $A_{\gamma_\alpha} \in F$ . By the  $\kappa$ -completeness of  $F$ ,  $\bigcap \{A_{\gamma_\alpha} : \alpha < \delta\} \in F$ . But clearly,

$$\{x \in P_\kappa \lambda : f(x) \in x\} \cap \bigcap \{A_{\gamma_\alpha} : \alpha < \delta\} = \emptyset. \quad \square$$

**Definition 1.4.** Let  $w : \lambda \rightarrow P_\kappa \lambda$ . Then denote

$$C(\{w\}) = \{x : x \subseteq P_\kappa \lambda \text{ and } \alpha \in x \Rightarrow w(\alpha) \subseteq x\},$$

the collection of all sets in  $P_\kappa \lambda$  closed under  $w$ .

The following proposition is distilled from results in [8].

**Proposition 1.5** (Menas). *Let  $A$  be a subset of  $P_\kappa\lambda$ . Then,*

$$A \in SCF_{\kappa\lambda} \iff \text{there exists } w : \lambda \rightarrow P_\kappa\lambda \text{ and } C(\{w\}) \subseteq A.$$

*Proof.* If  $A \in SCF_{\kappa\lambda}$  and  $C$  is strongly closed unbounded and a subset of  $A$ , define  $w : \lambda \rightarrow P_\kappa\lambda$  by letting  $w(\alpha)$  be any member  $x$  in  $C$  such that  $\alpha \in x$ .  $\square$

Next, given  $w : \lambda \rightarrow P_\kappa\lambda$  define  $w' : \lambda \rightarrow P_\kappa\lambda$  as follows: given

$$\begin{aligned} \eta < \lambda, \text{ let} \\ y_0(\eta) &= w(\eta) \cup \{\eta\}; \\ y_{n+1}(\eta) &= \cup\{w(\delta) : \delta \in y_n(\eta)\}; \text{ then} \\ w'(\eta) &= \cup\{y_n(\eta) : n \in \omega\}. \end{aligned}$$

Set  $\beta_w = \{w'(\eta) : \eta < \lambda\}$ . These definitions yield the following.

**Proposition 1.6.** *Let  $w : \lambda \rightarrow P_\kappa\lambda$  and  $v : \lambda \rightarrow P_\kappa\lambda$ . Then,*

- i)  $C(\{w\}) = C(\{w'\})$ ;
- ii)  $w'(\eta) \in C(\{w'\})$  and  $\eta \in w'(\eta)$  for all  $\eta < \lambda$ ;
- iii)  $w'(\eta) = \cup\{w'(\alpha) : \alpha \in w'(\eta)\}$ ;
- iv)  $\alpha \in w'(\eta) \cap w'(\gamma)$  implies  $w'(\alpha) \subset w'(\eta) \cap w'(\gamma)$ ;
- v)  $C(\{v\}) \subseteq C(\{w\})$  if and only if  $w'(\eta) \subseteq v'(\eta)$ , for all  $\eta < \lambda$ ;
- vi)  $C(\{w\}) = \{x \in P_\kappa\lambda : x = \cup D \text{ where } D \subset \beta_w\}$ ;
- vii)  $\beta_w = \beta_v$  implies  $C(\{w\}) = C(\{v\})$ .

**Theorem 1.7.** *If  $\kappa \leq cf(\lambda)$ , then  $FSF_{\kappa\lambda}$  is not quasi-normal.*

*Proof.* Since  $\kappa \leq cf(\lambda)$ ,  $f : P_\kappa\lambda \rightarrow \lambda$  can be defined by  $f(x) = \sup x$ . For every  $A \in FSF_{\kappa\lambda}$ , there exists a  $\hat{x} \subset A$  for some  $x \in P_\kappa\lambda$ . This gives  $x \cup \{\sup x\} \in \hat{x}$ ,  $x \cup \{\sup x\} \in A$  and  $f(x \cup \{\sup x\}) \in x \cup \{\sup x\}$ ,

which means that

$$\{x \in P_\kappa \lambda : f(x) \in x\} \cap A \neq \emptyset \text{ for all } A \in FSF_{\kappa\lambda}.$$

Hence,  $\{x \in P_\kappa \lambda : f(x) \in x\} \in FSF_{\kappa\lambda}^+$ . Suppose there exists an  $\alpha < \lambda$  such that  $\{x \in P_\kappa \lambda : f(x) \leq \alpha\} \in FSF_{\kappa\lambda}^+$ . Consider  $\widehat{\{\alpha + 1\}} \in FSF_{\kappa\lambda}$ . Let  $y \in \widehat{\{\alpha + 1\}} \cap \{x \in P_\kappa \lambda : f(x) \leq \alpha\}$ . Then  $f(y) = \sup y \leq \alpha$ . But  $\alpha + 1 \in y$ . Hence,  $\sup y > \alpha$ . This contradiction proves the theorem.  $\square$

**Theorem 1.8.** *If  $cf(\lambda) > \kappa$ , then  $SCF_{\kappa\lambda}$  is not quasi-normal.*

*Proof.* Let  $\{\lambda_\alpha : \alpha < \lambda\}$  be such that  $\lambda_\alpha \subset \lambda$ ,  $|\lambda_\alpha| = \lambda$  and  $\lambda_\alpha \cap \lambda_\beta = \emptyset$  when  $\alpha \neq \beta$ . Consider  $\lambda_\alpha$  as  $\lambda_\alpha : \lambda \rightarrow P_\kappa \lambda$  where  $\lambda_\alpha(\eta)$  is the one element set whose only member is the  $\eta^{\text{th}}$  member of  $\lambda_\alpha$ . Define  $A_\alpha = \cup\{C(\{\lambda_\eta\}) : \alpha \leq \eta\}$ . This gives  $\{A_\alpha : \alpha < \lambda\} \subset SCF_{\kappa\lambda}$  such that  $A_\beta \subset A_\alpha$  for  $\alpha < \beta$ . Assuming  $SCF_{\kappa\lambda}$  is quasi-normal, by Propositions 1.5 and 1.6 (i), there exists  $w : \lambda \rightarrow P_\kappa \lambda$  such that  $C(\{w'\}) \subset \Delta A_\alpha$  (the diagonal intersection of the  $A_\alpha$ ). These definitions result in the following claim.

**Claim.** *For all  $\alpha < \lambda$  there exists a  $\gamma < \lambda$  such that for all  $\beta < \lambda$ , if  $\beta \in \lambda - \lambda_\alpha$  and  $\beta > \gamma$  then there exists an  $\eta$  where  $\beta \subseteq \eta$  and  $\lambda_\eta(\alpha) \in w'(\beta)$ .*

*Proof.* Otherwise: There exists an  $\alpha < \lambda$  such that for all  $\gamma < \lambda$  there exists a  $\beta < \lambda$ , with  $\beta \in \lambda - \lambda_\alpha$  and  $\beta > \gamma$  and for all  $\eta$  if  $\beta \leq \eta$  then  $\lambda_\eta(\alpha) \notin w'(\beta)$ . For such an  $\alpha$ , let  $\beta_1 > \alpha$  and  $\beta_1 \in \lambda - \lambda_\alpha$  such that for all  $\eta$  with  $\beta_1 \leq \eta$ ,  $(\lambda_\eta(\alpha) \notin w'(\beta_1))$ . Now  $\beta_1 \in w'(\alpha) \cup w'(\beta_1) \in C(\{w'\})$ . Hence,  $w'(\alpha) \cup w'(\beta_1) \in A_{\beta_1}$ . For some  $\eta_1$  with  $\beta_1 \leq \eta_1$ ,  $w'(\alpha) \cup w'(\beta_1) \in C(\{\lambda_{\eta_1}\})$ . By assumption,  $\lambda_{\eta_1}(\alpha) \notin w'(\beta_1)$ . But,  $\lambda_{\eta_1}(\alpha) \in w'(\alpha) \cup w'(\beta_1)$  since  $\alpha \in w'(\alpha) \cup w'(\beta_1)$ . Hence,  $\lambda_{\eta_1}(\alpha) \in w'(\alpha)$ . Next, choose  $\beta_2 > \eta_1$  such that  $\beta_2 \in \lambda - \lambda_\alpha$  and for all  $\eta$  with  $\beta_2 \leq \eta$  ( $\lambda_\eta(\alpha) \notin w'(\beta)$ ). Similarly, there exists  $\eta_2$  with  $\beta_2 \leq \eta_2$  such that  $\lambda_{\eta_2}(\alpha) \in w'(\alpha)$ . Next, assume  $\delta < \lambda$  and  $\beta_\xi, \eta_\xi$  have been chosen for  $\xi < \delta$ . By assumption, there exists  $\beta_\delta > \sup\{\eta_\xi : \xi < \delta\}$  with  $\beta_\delta \in \lambda - \lambda_\alpha$  such that for all  $\eta$  with  $\beta_\delta \leq \eta$  ( $\lambda_\eta(\alpha) \notin w'(\beta_\delta)$ ). Again,  $\beta_\delta \in w'(\beta_\delta) \cup w'(\alpha)$  and  $w'(\beta_\delta) \cup w'(\alpha) \in A_{\beta_\delta}$ . Hence, there

exists an  $\eta_\delta$  with  $\beta_\delta \leq \eta_\delta$  such that  $w'(\beta_\delta) \cup w'(\alpha) \in C(\{\lambda_{\eta_\delta}\})$ . Since  $\alpha \in w'(\beta_\delta) \cup w'(\alpha)$ ,  $\lambda_{\eta_\delta}(\alpha) \in w'(\beta_\delta) \cup w'(\alpha)$ . But  $\lambda_{\eta_\delta}(\alpha) \notin w'(\beta_\delta)$ . So  $\lambda_{\eta_\delta}(\alpha) \in w'(\alpha)$ . Now letting  $\delta = \kappa$ , since  $\lambda_{\eta_\xi}(\alpha) \neq \lambda_{\eta_\zeta}(\alpha)$  for  $\xi \neq \zeta$  and  $\{\lambda_{\eta_\xi}(\alpha) : \xi < \kappa\} \subset w'(\alpha)$ , hence  $\kappa \leq |w'(\alpha)|$ . But this is impossible, since  $w'(\alpha) \in P_\kappa \lambda$ . This proves the claim.  $\square$

Back to the proof of Theorem 1.8. Given  $\alpha_1 < \lambda$ , choose  $\alpha_2 > \gamma_{\alpha_1}$  the  $\gamma$  known to exist by the claim for  $\alpha_1$  such that  $\alpha_2 \in \lambda - \lambda_{\alpha_1}$  and  $\alpha_2 > \alpha_1$ . By the claim, there exists  $\eta_{2,1}$  with  $\alpha_2 \leq \eta_{2,1}$  such that  $\lambda_{\eta_{2,1}} \in w'(\alpha_2)$ . Next, let  $\alpha_3 > \sup\{\alpha_1, \alpha_2, \gamma_{\alpha_1}, \gamma_{\alpha_2}\}$ , where  $\alpha_3 \in \lambda - (\lambda_{\alpha_1} \cup \lambda_{\alpha_2})$ . The claim gives  $\eta_{3,1}$  and  $\eta_{3,2}$  with  $\alpha_3 \leq \eta_{3,1}$  and  $\alpha_3 \leq \eta_{3,2}$  such that  $\lambda_{\eta_{3,1}}(\alpha_1) \in w'(\alpha_3)$  and  $\lambda_{\eta_{3,2}}(\alpha_2) \in w'(\alpha_3)$ . For  $\delta < cf(\lambda)$ , assume  $\alpha_\xi, \gamma_{\alpha_\xi}$  and  $\eta_{\xi,\iota}$  have been defined for  $\xi < \delta$  and  $\iota < \xi$ . Choose  $\alpha_\delta > \sup\{\alpha_\xi, \gamma_{\alpha_\xi} : \xi < \delta\}$  such that  $\alpha_\delta \in \lambda - (\cup_{\xi < \delta} \lambda_{\alpha_\xi})$ . By the claim, there exists, for each  $\xi < \delta$ , an  $\eta_{\delta,\xi}$  with  $\alpha_\delta \leq \eta_{\delta,\xi}$  such that  $\lambda_{\eta_{\delta,\xi}}(\alpha_\xi) \in w'(\alpha_\delta)$ , where  $\alpha_\xi \neq \alpha_\zeta$  if  $\xi \neq \zeta$ . Since  $cf(\lambda) > \kappa$ , letting  $\delta = \kappa$  gives  $\{\lambda_{\eta_{\kappa,\xi}}(\alpha_\xi) : \xi < \kappa\} \subset w'(\alpha_\kappa)$ . But this implies  $\kappa \leq |w'(\alpha_\kappa)|$ . This contradiction proves the theorem.  $\square$

The next theorem is a modified analog to Proposition 3.2 in [1] and provides a  $p$ -point like characterization for quasi-normal extensions of  $SCF_{\kappa\lambda}$ .

**Theorem 1.9.** *An extension  $F$  of  $SCF_{\kappa\lambda}$  is quasi-normal if and only if whenever  $\{x \in P_\kappa \lambda : f(x) > \alpha\} \in F$  for every  $\alpha < \lambda$ , then there exists an  $A \in F$  such that  $A \cap f^{-1}(\{\gamma\}) \subset P_\kappa \gamma$  for every  $\gamma < \lambda$ .*

*Proof.*  $\Rightarrow$  Let  $x_\alpha = \{x \in P_\kappa \lambda : f(x) > \alpha\} \in F$ . Use the fact that  $\Delta x_\alpha \in F$  to establish that  $\Delta x_\alpha \cap f^{-1}(\{\gamma\}) \subset P_\kappa \gamma$ .

$\Leftarrow$  The method of proof used for Proposition 3.2(ii) in [1] works here as well.  $\square$

Next, a large class of filters lying between  $FSF_{\kappa\lambda}$  and  $SCF_{\kappa\lambda}$  will be defined. Like  $FSF_{\kappa\lambda}$  and  $SCF_{\kappa\lambda}$ , whether or not these filters are quasi-normal depends upon the cofinality of  $\lambda$ .

*Definition 1.10.* For  $\delta$  a cardinal such that  $\kappa \leq \delta < \lambda$ , let

$$D_\delta = \{w \in {}^\lambda P_\kappa \lambda : |\cup \{w(\beta) : \beta < \lambda\}| \leq \delta\}; \text{ and}$$

$$F_\delta = \{A : A \subseteq P_\kappa \lambda \text{ and } C(\{w\}) \subseteq A \text{ for some } w \in D_\delta\}.$$

$F_\delta$  is a  $\kappa$ -complete filter on  $P_\kappa \lambda$  extending  $FSF_{\kappa\lambda}$ .

**Theorem 1.11.** *For  $\kappa < \delta_1 < \delta_2 < \lambda$ , the following strict inclusion holds:*

$$FSF_{\kappa\lambda} \subset F_\kappa \subset F_{\delta_1} \subset F_{\delta_2} \subset SCF_{\kappa\lambda}$$

and  $F_\kappa$  or  $F_{\delta_i}$  can replace  $SCF_{\kappa\lambda}$  in Theorem 1.8.

*Proof.* First consider  $F_\kappa$ . Define  $w : \lambda \rightarrow P_\kappa \lambda$  by

$$w(\beta) = \begin{cases} \beta^{\text{th}} \text{ interval of length } \beta, & \text{if } \beta < \kappa; \\ \emptyset, & \text{if } \kappa \leq \beta. \end{cases}$$

For any  $x \in P_\kappa \lambda$ , if  $\kappa > \alpha > \sup(x \cap \kappa)^+$ , then  $x \cup \{\alpha\} \in x$ , but  $x \cup \{\alpha\} \notin C(\{w\})$ . Hence,  $C(\{w\}) \notin FSF_{\kappa\lambda}$ , giving  $FSF_{\kappa\lambda} \neq F_\kappa$ .

Next, given cardinals  $\delta_1$  and  $\delta_2$  such that  $\kappa < \delta_1 < \delta_2 < \lambda$ , partition  $\delta_2$  into  $\delta_2$ -many disjoint consecutive intervals of length  $\delta_1$ ,  $\{H_\beta : \beta < \delta_2\}$ , and partition each  $H_\beta$  into  $\delta_1$ -many consecutive intervals of length  $\kappa$ ,  $\{H_{\beta,\eta} : \eta < \delta_1\}$ . Now, for  $\alpha < \delta_2$ , let  $H(\alpha)$  be the unique interval  $H_{\beta,\eta}$  such that  $\alpha \in H_{\beta,\eta}$ .

Define  $u : \lambda \rightarrow P_\kappa \lambda$  by

$$u(\alpha) = \begin{cases} \alpha \cap H(\alpha) & \text{if } \alpha < \delta_2; \\ \emptyset & \text{otherwise.} \end{cases}$$

For any  $w \in D_{\delta_1}$ , there are at most  $\delta_1$  many intervals  $H_\beta$  such that for some  $\xi < \lambda$ ,  $w(\xi) \cap H_\beta \neq \emptyset$ . Let  $H_\beta$  be such that  $H_\beta \cap \cup \{w(\alpha) : \alpha < \lambda\} = \emptyset$ . Choose  $\gamma \in H_{\beta,\eta}$  such that cardinality of  $\gamma \cap H_{\beta,\eta}$  is greater than one. Recall the construction developed prior to Proposition 1.6:

$$y_0(\gamma) = w(\gamma) \cup \{\gamma\};$$

$$y_{n+1}(\gamma) = \cup \{w(\delta) : \delta \in y_n(\gamma)\}; \text{ and}$$

$$w'(\gamma) = \cup \{y_n(\gamma) : n < \omega\}.$$



Now  $\gamma \in w'(\gamma)$  and  $w'(\gamma) \in C(\{w\})$  by Proposition 1.6. But  $u(\gamma) = \gamma \cap H_{\beta, \eta}$ , where the cardinality of  $\gamma \cap H_{\beta, \eta}$  is greater than one. However,  $w'(\gamma) \cap H_{\beta, \eta} = \{\gamma\}$  so  $u(\gamma) \not\subseteq w'(\gamma)$ , hence  $w'(\gamma) \notin C(\{u\})$ . This shows that for any  $w \in D_{\delta_1}$ ,  $C(\{w\}) \not\subseteq C(\{u\})$ , hence  $C(\{u\}) \notin F_{\delta_1}$ . Therefore,  $F_{\delta_1} \neq F_{\delta_2}$ . This argument can be modified to handle  $\delta_1 = \kappa$ .

Finally, the proof of Theorem 1.8 works for  $F_{\delta_i}$  and  $F_\kappa$ .  $\square$

**Section 2.** In [1] an ultrafilter extending  $CF_\kappa\lambda$  is constructed which is not weakly normal, assuming  $\kappa$  is strongly compact and  $\lambda$  is regular and greater than  $\kappa$ . Since, for ultrafilters, weak normality and quasi-normality are the same, this provides an example of a nonquasi-normal ultrafilter. In fact, as the next proposition will demonstrate, a variation of the construction used in [1] and a different proof will provide an example of a nonquasi-normal filter which is not an ultrafilter on  $P_\kappa\lambda$ , when  $\lambda$  is a strongly compact cardinal greater than  $\kappa$  a regular cardinal; and a specific instance of the following construction can be used to provide an example of a nonquasi-normal extension of  $CF_\kappa\lambda$ . The proof of the next proposition can be modified for weak normality, giving an example of a nonweakly normal filter which is not an ultrafilter. First, the basic construction from [1].

Let

$$a = \{\delta < \lambda : \delta > \kappa \text{ and } cf(\delta) < \kappa\}, \text{ and} \\ v = \{x : \lambda \supset x \text{ and } a - x \text{ is not stationary}\}.$$

This makes  $v$  a  $\lambda$ -complete filter. In fact,  $v$  can be shown to be normal; since if  $\{a_\alpha : \alpha < \lambda\}$  is a subset of  $v$ , then there exists a closed unbounded subset  $c_\alpha$  of  $\lambda - (a - a_\alpha)$ , so

$$\Delta\{c_\alpha : \alpha < \lambda\} \cap (a - \Delta\{a_\alpha : \alpha < \lambda\}) = \emptyset.$$

Suppose  $\lambda$  is strongly compact; then there exists a  $\lambda$ -complete ultrafilter  $u$  extending  $v$  such that  $CF_\lambda \cup \{a\}$  is extended by  $u$ . This means that  $u$  is nonnormal, since  $a \in u$ , hence  $\{\alpha < \lambda : \alpha \text{ is inaccessible}\} \notin u$ . Therefore,  $u$  is nonnormal, hence nonquasi-normal, since  $u$  is  $\lambda$ -complete.

Let  $F_\alpha$  be a  $\kappa$ -complete filter over  $P_\kappa\alpha$  extending  $FSF_{\kappa\alpha}$  for each  $\alpha < \lambda$ . Define  $F$  on  $P_\kappa\lambda$  by

$$A \in F \text{ iff } A \subseteq P_\kappa\lambda \text{ and } \{\alpha < \lambda : A \cap P_\kappa\alpha \in F_\alpha\} \in u.$$

**Proposition 2.1.** *Let  $\lambda$  be strongly compact and let  $F$  be a filter as defined above. Then  $F$  is not quasi-normal.*

*Proof.* Let  $f : \lambda \rightarrow \lambda$  witness that  $u$  is not quasi-normal. It is a straightforward exercise to verify that for  $\alpha \in a$ ,  $\{x \in P_\kappa \lambda : \sup x = \alpha\} \in F_\alpha$ . Set  $b = a \cap \{\alpha \in \kappa : f(\alpha) < \alpha\}$ . Hence,  $b \in u$ . For  $\alpha \in b$ , set

$$B_\alpha = \{x \in P_\kappa \lambda : \sup x = \alpha\} \cap \{x \in P_\kappa \alpha : f(\alpha) \in x\}.$$

Hence,  $B_\alpha \in F_\alpha$  for each  $\alpha \in b$ ; and  $B_\alpha \cap B_\beta = \emptyset$  when  $\alpha \neq \beta$ . Next, set  $B = \bigcup \{B_\alpha : \alpha \in b\}$ . For  $\alpha \in b$ ,  $B_\alpha \subseteq B \cap P_\kappa \alpha$ . Hence,  $B \in F$ . Finally, define  $h : P_\kappa \lambda \rightarrow \lambda$  by  $h(x) = f(\alpha)$ , if  $x \in B_\alpha$  for some  $\alpha \in b$ ; and  $\emptyset$  otherwise. Hence,  $h(x) \in x$  for each  $x \in B$ . Since  $B \in F^+$ , suppose  $F$  is quasi-normal. Then there would exist  $C \in F^+$  and  $\gamma < \lambda$  such that  $B \supset C$  and  $h(x) \leq \gamma$  for  $x \in C$ . Now,  $\{\alpha \in b : C \cap P_\kappa \alpha \in F_\alpha^+\} \in u$ . But, for  $\alpha \in \{\alpha \in b : C \cap P_\kappa \alpha \in F_\alpha^+\}$ , let  $x \in C \cap P_\kappa \alpha \cap B_\alpha$ . Then,  $f(\alpha) = h(x) \leq \gamma$ . Hence,  $\{\alpha < \lambda : f(\alpha) \leq \gamma\} \in u$ . But this contradicts the choice of  $f$ .  $\square$

*Remark .* Since  $CF_\lambda \subset u$ , if  $F_\alpha = CF_{\kappa\alpha}$  for  $\alpha < \lambda$ , then  $F$  is a nonquasi-normal filter extending  $CF_{\kappa\lambda}$ .

**Section 3.** This concluding section investigates the existence of some quasi-normal ultrafilters (hence weakly normal ultrafilters) on  $P_\kappa \lambda$  when  $\kappa$  is  $\lambda$ -strongly compact.

Let  $U$  be an ultrafilter on  $P_\kappa \lambda$ . As usual, assume that  $U$  extends  $FSF_{\kappa\lambda}$ . If  $q : P_\kappa \lambda \rightarrow P_\kappa \lambda$  and  $q_*(U) = \{A \subseteq P_\kappa \lambda : q^{-1}(A) \in U\}$ , then  $q_*(U)$  is an ultrafilter on  $P_\kappa \lambda$ . And  $q_*(U)$  extends  $FSF_{\kappa\lambda}$ , whenever  $\{x \in P_\kappa \lambda : \alpha \in q(x)\} \in U$  for each  $\alpha < \lambda$ . This notion is derived from the Rudin-Keisler ordering on measures. Furthermore, if for all such  $q$ , there is a measure one set  $A_q$  in  $U$  such that  $q$  is one-to-one on  $A_q$ , then  $U$  is said to be minimal.

Given  $U$  an ultrafilter on  $P_\kappa \lambda$  and  $j : V \rightarrow M \cong V^{P_\kappa \lambda}/U$ ; if  $f : P_\kappa \lambda \rightarrow V$ , let  $[f]_U$  denote the member of  $M$  corresponding to the equivalence class of  $f$  modulo  $U$ . Next, let  $s : P_\kappa \lambda \rightarrow \lambda$  be such that  $[s]_U = \sup \{j(\alpha) : \alpha < \lambda\}$ . Now,  $\{x \in P_\kappa \lambda : \gamma < s(x)\} \in U$  for each  $\gamma < \lambda$ . And if  $\{x \in P_\kappa \lambda : g(x) < s(x)\} \in U$ , then there exists a  $\gamma < \lambda$  such that  $\{x \in P_\kappa \lambda : g(x) < \gamma\} \in U$ .

A consequence of this property is that  $U$  is weakly normal, hence quasi-normal, if and only if  $\{x \in P_\kappa\lambda : s(x) = \sup x\} \in U$  (this fact is mentioned in [1]).

In [8], a *minimal cover* for an ultrafilter  $U$  over  $P_\kappa\lambda$ , where  $\lambda$  is regular, is defined as follows: Using a result of Solovay [9],  $\{\alpha < \lambda : cf(\alpha) = \omega\}$  can be partitioned into  $\lambda$  many stationary subsets of  $\lambda$ ,  $\{A_\alpha : \alpha < \lambda\}$ . The *minimal cover* for  $U$  is  $q_*(U)$ , where the function  $q : P_\kappa\lambda \rightarrow P_\kappa\lambda$  is defined by

$$q(x) = \{\alpha < s(x) : A_\alpha \cap s(x) \text{ is a stationary subset of } s(x)\},$$

for all  $x \in P_\kappa\lambda$ .

This makes  $q_*(U)$  an ultrafilter on  $P_\kappa\lambda$  extending  $FSF_{\kappa\lambda}$ .

Let  $j_0 : V \rightarrow M_0 \cong V^{P_\kappa\lambda}/U$ ;  $j_1 : V \rightarrow M_1 \cong V^{P_\kappa\lambda}/q_*(U)$ ; and  $[s_1]_{q_*(U)} = \sup\{j_1(\alpha) : \alpha < \lambda\}$ .

In the proof of Theorem 2.14 in [8], where Menas proves that the *minimal cover*  $q_*(U)$  is a minimal fine measure on  $P_\kappa\lambda$ , it is shown that  $\{x \in P_\kappa\lambda : s_1(x) = \sup x\} \in q_*(U)$ . This, combined with the comment made in the fourth paragraph of this section, gives:

**Theorem 3.1.** *Let  $\lambda > \kappa$  be regular and  $\kappa$  be  $\lambda$ -strongly compact. If  $U$  is any ultrafilter on  $P_\kappa\lambda$ , then the minimal cover for  $U$  is a minimal, quasi-normal (weakly normal) ultrafilter on  $P_\kappa\lambda$ .*

*Remark .* In [1], under the same hypothesis, Abe produces a weakly normal (quasi-normal) ultrafilter which does not extend  $SCF_{\kappa\lambda}$ .

It would be interesting to determine what conditions yield  $CF_{\kappa\lambda} \subset q_*(U)$ . (Note: By a result of Solovay (see [8]), if  $\sup\{\beta : M \supset M^\beta\} > \lambda$ , then  $q_*(U)$ , the *minimal cover* of  $U$ , is normal; hence an extension of  $CF_{\kappa\lambda}$ .)

By another result in [1], for any  $\lambda > \kappa$ , a weakly normal (quasi-normal) ultrafilter can be constructed from any ultrafilter on  $P_\kappa\lambda$ .

Results such as these demonstrate that a cardinal  $\kappa$  gets no more large cardinal strength from the existence of a quasi-normal ultrafilter on  $P_\kappa\lambda$  than that of  $\kappa$  being  $\lambda$ -strongly compact. However, it may be

that the consequences of  $\kappa$  being  $\lambda$ -strongly compact can be facilitated knowing that quasi-normal ultrafilters also must exist on  $P_\kappa\lambda$ .

**Acknowledgment.** The author wishes to express his appreciation to the Mathematical Sciences Research Institute where a portion of the work on this paper was completed.

## REFERENCES

1. Yoshihiro Abe, *Weakly normal filters and the closed unbounded filter on  $P_\kappa\lambda$* , to appear in Proc. Amer. Math. Soc.
2. Donna Carr, *The minimal normal filter on  $P_\kappa\lambda$* , Proc. Amer. Math. Soc. **86** (1982), 316–320.
3. Geza Fodor, *Eine Bemerkung zur Theorie der regressiven Funktionen*, Acta Sci. Math. (Szeged) **17** (1956), 139–142.
4. Thomas Jech, *Some combinatorial problems concerning uncountable cardinals*, Ann. Math. Logic **5** (1973), 165–198.
5. ———, *Set theory*, Academic Press, New York, 1978.
6. Akihiro Kanamori, *Weakly normal filters and irregular ultrafilters*, Trans. Amer. Math. Soc. **220** (1976), 393–399.
7. Jussi Ketonen, *Strong compactness and other cardinal sins*, Ann. Math. Logic **5** (1972), 47–76.
8. Telis K. Menas, *On strong compactness and super-compactness*, Ann. Math. Logic **7** (1975), 327–359.
9. Robert M. Solovay, *Real-valued measurable cardinals*, in *Axiomatic Set Theory*, Proc. Sympos. Pure Math. **13**, I., (1971), 397–428.

DEPARTMENT OF MATHEMATICS, THE COLLEGE OF CHARLESTON, CHARLES-TON,  
SOUTH CAROLINA 29424