

NON-HOMEOMORPHIC DISJOINT SPACES WHOSE UNION IS ω^*

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ABSTRACT. For certain pairs $\langle \alpha, \beta \rangle$ of cardinals we show that the Stone-Čech remainder $\omega^* = \beta(\omega) \setminus \omega$ can be written in the form $\omega^* = \cup_{\xi < \alpha} C_\xi$ where the spaces C_ξ are pairwise disjoint, pairwise non-homeomorphic, countably compact, and dense in ω^* , with each $|C_\xi| = \beta$. In specific cases the condition that the spaces $\{C_\xi : \xi < \alpha\}$ are non-homeomorphic may be strengthened, as follows:

- (i) $\alpha = 2^c$, $c \leq \beta = \beta^\omega < 2^{2^c}$: for $\xi < \alpha$ there is no one-to-one continuous function from C_ξ into $\cup_{\eta < \xi} C_\eta$.
- (ii) $\omega \leq \alpha \leq 2^c$, $\beta = 2^c$: for $\eta < \xi < \alpha$ there is no continuous function from C_η onto C_ξ .
- (iii) $1 \leq \alpha \leq 2^c$, $\beta = 2^c$: for $\xi < \alpha$ there is no one-to-one continuous function from C_ξ into $\omega^* \setminus C_\xi$.

1. Preliminaries. The symbol ω denotes the least infinite cardinal number and the countably infinite discrete topological space, and ω^* is the Stone-Čech remainder $\beta(\omega) \setminus \omega$. We consider only Tychonoff spaces, and we write $X \approx Y$ if X and Y are homeomorphic. The expression $X \subseteq_h Y$ means that X embeds into Y , i.e., there is $X' \subseteq Y$ such that $X \approx X'$.

For spaces X, Y and K with K compact and continuous $f : X \rightarrow Y \subseteq K$, the symbol \bar{f} denotes the continuous function $\bar{f} : \beta X \rightarrow K$ such that $f \subseteq \bar{f}$. In this context we will consider repeatedly the question whether or not a point $p \in \beta X \setminus X$ satisfies $\bar{f}(p) \in Y$. We note in this connection that the choice of the enveloping compact space K is irrelevant. That is, if K and L are compact spaces containing Y and if f is continuous from X into Y , then for each $p \in \beta X$ the function $f_K = f : X \rightarrow Y \subseteq K$ satisfies $\bar{f}_K(p) \in Y$ if and only if the function $f_L = f : X \rightarrow Y \subseteq L$ satisfies $\bar{f}_L(p) \in Y$.

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The set of embeddings (that is, homeomorphisms) of ω into the space X is denoted $\mathbf{H}(X)$, and

$$E(X) = \{p \in \omega^* : \text{there is } h \in \mathbf{H}(X) \text{ such that } \bar{h}(p) \in X\}.$$

For $p, q \in \omega^*$, we write $p \sim q$ if there is a permutation h of ω (equivalently, $h \in \mathbf{H}(\omega)$) such that $\bar{h}(p) = q$. We write

$$T(p) = \{q \in \omega^* : p \sim q\} \quad \text{for } p \in \omega^*,$$

and

$$T(\omega^*) = \{T(p) : p \in \omega^*\}.$$

The set $T(p)$ is called the (Frolík) *type* of p , and $T(\omega^*)$ is the set of all types of ω^* . A subset S of ω^* is said to be *T-saturated* if $T(p) \subseteq S$ whenever $p \in S$; that is, if $S = \cup\{T(p) : p \in S\}$.

The Rudin-Frolík pre-order \sqsubset on ω^* is defined as follows:

$$p \sqsubset q \text{ if there is } h \in \mathbf{H}(\omega^*) \text{ such that } \bar{h}(p) = q.$$

It is clear that if $p' \sim p \sqsubset q \sim q'$ then $p' \sqsubset q'$. Thus the relation \sqsubset extends to a relation (also denoted \sqsubset) on $T(\omega^*) = \omega^*/\sim$ as follows:

$$T(p) \sqsubset T(q) \quad \text{if } p \sqsubset q.$$

It is a theorem of Z. Frolík [8] and M.E. Rudin [17] (see also [5] for an expository account) that the relation \sqsubseteq defined on $T(\omega^*)$ by the rule

$$T(p) \sqsubseteq T(q) \quad \text{if } T(p) \sqsubset T(q) \quad \text{or} \quad T(p) = T(q)$$

is reflexive, anti-symmetric, and transitive. For $p \in \omega^*$, we write

$$A(p) = \{q \in \omega^* : p \sqsubset q\} \quad \text{and} \quad B(p) = \{q \in \omega^* : q \sqsubset p\}$$

(the symbols are suggested by the words “above” and “below”), and from the three sources just cited we collect the following facts.

Theorem 1.1 (Frolík [8], Rudin [17]). *Let $p \in \omega^*$. Then*

- (a) $p \sqsubset p$ is false (and hence $T(p) \cap A(p) = T(p) \cap B(p) = \emptyset$);

- (b) $|T(p)| = \mathfrak{c}$;
- (c) $|A(p)| = 2^{\mathfrak{c}}$;
- (d) $|B(p)| \leq \mathfrak{c}$;
- (e) $\{T(q) : q \in B(p)\}$ is linearly ordered under \sqsubset ; and
- (f) $T(p)$ is \sqsubseteq -minimal in $T(\omega^*)$ if and only if there is no countable discrete subset D of ω^* such that $p \in \overline{D} \setminus D$.

For $X \subseteq \omega^*$, we write

$$A(X) = \bigcup_{p \in X} A(p) \quad \text{and} \quad B(X) = \bigcup_{p \in X} B(p),$$

and we note that the sets $A(X)$ and $B(X)$ are T -saturated. The symbol $E(X)$ is defined for every (Tychonoff) space X , while $A(X)$ and $B(X)$ are defined only for $X \subseteq \omega^*$. We will use the following simple result.

Theorem 1.2. *If $X \subseteq \omega^*$, then $E(X) \subseteq B(X)$.*

Proof. For $p \in E(X)$, there is an $h \in \mathbf{H}(X)$ such that $\bar{h}(p) = x \in X$. From $h \in \mathbf{H}(\omega^*)$ follows $p \sqsubset x \in X$ and hence $p \in B(X)$. \square

Remark 1.3. It is well known (see, for example, [9, 5]) that the space ω^* contains both (i) a family of \mathfrak{c} -many pairwise disjoint nonempty open subsets, and (ii) a family of $2^{\mathfrak{c}}$ -many pairwise disjoint homeomorphs of $\beta(\omega)$; further, every nonempty open subset of ω^* contains an open-and-closed copy of ω^* (and hence a copy of $\beta(\omega)$). From these facts the following statements are nearly immediate. (Here, as in [18, 7], we say that a subspace C of ω^* is *extra countably compact* in ω^* if and only if every infinite subset of ω^* has an accumulation point in C .)

Theorem 1.4. (a) *Every set of the form $T(p)$ (and a fortiori, every nonempty T -saturated subset of ω^*) is dense in ω^* .*

- (b) *Every dense subset C of ω^* satisfies $|C| \geq \mathfrak{c}$.*
- (c) *Every extra countably compact subset C of ω^* satisfies $|C| = 2^{\mathfrak{c}}$.*
- (d) *Every extra countably compact subset of ω^* is dense in ω^* .*

(e) *Every set of the form $A(p)$ (with $p \in \omega^*$) is extra countably compact in ω^* .*

(To prove (e) it is enough to note that if $h \in \mathbf{H}(\omega^*)$ then $p \sqsubset \bar{h}(p)$ (so $\bar{h}(p) \in A(p)$) and $\bar{h}(p)$ is an accumulation point of the discrete set $h[\omega]$.)

In the three remaining sections of this paper (Sections 2, 3 and 4) we achieve three decompositions of the form $\omega^* = \cup_{\xi < \alpha} C_\xi$ with C_ξ pairwise disjoint, pairwise non-homeomorphic, countably compact, dense in ω^* and of cardinality prescribed in advance. As our Abstract indicates, the sets C_ξ may be chosen to satisfy certain additional constraints. The method of Section 2 depends on what we call p -closed sets and the p -closure; Section 3 uses the Disjoint Refinement Lemma; and Section 4 is based on the existence of 2^c -many \sqsubset -minimal types in $T(\omega^*)$.

We use frequently and without explicit mention the fact that every infinite (Hausdorff) space X contains a copy of the countably infinite discrete space ω ; that is, $\mathbf{H}(X) \neq \emptyset$.

Remarks 1.5. (a) The methods and results of the present paper are considerably stronger than those of [18, 7], where it was shown nevertheless that the space ω^* contains large families of pairwise non-homeomorphic extra countably compact subspaces.

(b) In work to appear [12], the second-listed author will recapture some of the results of the present paper and other facts about the space ω^* , using the methods of elementary sub-models.

(c) The reader conversant with topological Ramsey theory will notice that our results and our methods can be used to find a number of spaces Y for which the relation $\omega^* \rightarrow (Y)_2^1$ holds, and others for which it fails. We offer several results in this connection in [4].

(d) We announced several of the results of this paper and of [4] in [3].

2. The method of p -closure. Given $p \in \omega^*$, we say that a space Y is p -closed if every $h \in \mathbf{H}(Y)$ satisfies $\bar{h}(p) \in Y$. (It is not difficult to see that there is a (Hausdorff, nonregular) topology on ω^* with respect

to which the closed sets are exactly what we here call the p -closed sets. We explore and exploit this topology in [4]; we do not describe its properties here because they are not needed for our present purposes. The terminology is chosen as a suggestive weakening of the concept of a p -compact space introduced by A. Bernstein [1]: Y is p -compact if each $h : \omega \rightarrow Y$ satisfies $\bar{h}(p) \in Y$. That not every p -closed space is p -compact is shown by a theorem of van Mill [15, (3.3), 16, (4.4.1)]; there are $q \in \omega^*$ such that $q \in \bar{A} \setminus A$ for some countable $A \subseteq \omega^*$ but for no countable discrete $A \subseteq \omega^*$.

Clearly the intersection of p -closed subspaces of a fixed space is p -closed, so for every p -closed space X and $Y \subseteq X$ there is a smallest p -closed subspace of X containing Y ; this we denote $\text{p-cl}_X Y$, or $\text{p-cl} Y$ if ambiguity is impossible. The following lemma allows us to construct $\text{p-cl} Y$ “from the inside out” and to bound its cardinality in terms of $|Y|$. The construction parallels that of a countably compact extension given by Comfort and Saks [6, (1.1)] and of a minimal p -compact extension given by Ginsburg and Saks [10, (2.12)].

Lemma 2.1. *Let X be p -closed and let Y be an infinite subset of X , and for $\xi \leq \omega^+$ define $Y_0 = Y$,*

$$Y_{\xi+1} = Y_\xi \cup \{\bar{h}(p) : h \in \mathbf{H}(Y_\xi)\},$$

and

$$Y_\xi = \bigcup_{n < \xi} Y_n \quad \text{for limit ordinals } \xi \leq \omega^+.$$

Let $C = Y_{\omega^+}$. Then

- (a) $C = \text{p-cl} Y$;
- (b) C is countably compact;
- (c) $|C| \leq |Y|^\omega$;
- (d) $p \in E(C)$; and
- (e) if $Y \subseteq \omega^*$ and Y is T -saturated, then C is T -saturated.

Proof. (a) Clearly $C \subseteq \text{p-cl} Y$, and C is p -closed.

(b) For every infinite subset A of C there is an $h \in \mathbf{H}(A)$, and then $\bar{h}(p)$ is an accumulation point of A in C .

(c) This is the case $\xi = \omega^+$ of the statement, easily proved by induction, that $Y_\xi \leq |Y|^\omega$ for all $\xi \leq \omega^+$.

(d) There is an $h \in \mathbf{H}(Y) \subseteq \mathbf{H}(C)$, and from $\bar{h}(p) \in C$ follows $p \in E(C)$.

(e) A routine inductive argument shows that Y_ξ is T -saturated for all $\xi \leq \omega^+$. \square

Lemma 2.2. *Let X and Y be disjoint, T -saturated subspaces of ω^* with $Y \neq \emptyset$, let $p \in \omega^* \setminus B(X)$, and let $C = p\text{-cl}Y$. Then $C \cap X = \emptyset$.*

Proof. There is an $h \in \mathbf{H}(Y) \subseteq \mathbf{H}(C)$ and from $\bar{h}(p) \in C$ follows $p \in E(C)$. If there is a $q \in C \cap X$, then in the notation of 2.1 there are $\xi < \omega^+$ and $h \in \mathbf{H}(Y_\xi)$ such that $\bar{h}(p) = q \in X$, and then from $p \sqsubset q$ follows $p \in B(X)$, a contradiction. \square

Lemma 2.3. *Let $p \in \omega^*$, and let X and Y be infinite spaces such that Y is p -closed. If there is a one-to-one continuous function f from Y into X , then $p \in E(X)$.*

Proof. Choose $h \in \mathbf{H}(Y)$. Since $|f \circ h[\omega]| = \omega$, there is an $A \subseteq \omega$ such that $|A| = \omega$ and $f \circ h|A$ is a homeomorphism from A into X . Let i be a one-to-one function from ω onto A . Then $h \circ i \in \mathbf{H}(Y)$ and $f \circ h \circ i \in \mathbf{H}(X)$, and from $(h \circ i)^-(p) \in Y$ follows $f \circ (h \circ i)^-(p) \in f[Y] \subseteq X$ and hence $(f \circ h \circ i)^-(p) \in X$; thus $p \in E(X)$. \square

Theorem 2.4. *Let β be a cardinal number such that $\mathfrak{c} \leq \beta = \beta^\omega < 2^{\mathfrak{c}}$. The space ω^* can be partitioned in the form $\omega^* = \cup_{\xi < 2^{\mathfrak{c}}} C_\xi$ where the spaces C_ξ are pairwise disjoint, countably compact, dense in ω^* , and of cardinality β , and for $\xi < 2^{\mathfrak{c}}$ there is no one-to-one continuous function from C_ξ into $\cup_{\eta < \xi} C_\eta$ (in particular, the spaces C_ξ are pairwise non-homeomorphic).*

Proof. Let $\{q_\xi : \xi < 2^{\mathfrak{c}}\}$ be a faithful indexing of ω^* . For $\xi < 2^{\mathfrak{c}}$ we will define X_ξ, p_ξ, Y_ξ and C_ξ so that

- (i) $X_0 = \emptyset$;
- (ii) $p_0 \in \omega^*$;

- (iii) $|X_\xi| \leq \beta \cdot |\xi|$;
- (iv) $|Y_\xi| = \beta$ and Y_ξ is T -saturated;
- (v) $p_\xi \in \omega^* \setminus B(X_\xi)$;
- (vi) $X_\xi \cap Y_\xi = \emptyset$ and $q_\xi \in X_\xi \cup Y_\xi$; and
- (vii) $C_\xi = p_\xi\text{-cl}Y_\xi$.

Indeed, define X_0 and p_0 by (i) and (ii), choose $Y_0 \subseteq \omega^*$ such that $q_0 \in Y_0$, $|Y_0| = \beta$ and Y_0 is T -saturated, and set $C_0 = p_0\text{-cl}Y_0$. Now let $\zeta < 2^c$ and suppose that X_ξ, p_ξ, Y_ξ and C_ξ have been defined for all $\xi < \zeta$ so that (iii)–(vii) hold for $\xi < \zeta$. Let $X_\zeta = \cup_{\xi < \zeta} C_\xi$, and note from (iv), (vii) and 2.1(c) that X_ζ is T -saturated. From (iv) and (vii) it follows that $|X_\zeta| < 2^c$, so $|B(X_\zeta)| < 2^c$ by 1.1(d). Hence there is $p_\zeta \in \omega^* \setminus B(X_\zeta)$ and there is $Y_\zeta \subseteq \omega^* \setminus X_\zeta$ such that $|Y_\zeta| = \beta$ and Y_ζ is T -saturated; if $q_\zeta \notin X_\zeta$ we choose Y_ζ so that $q_\zeta \in Y_\zeta$. Finally, we set $C_\zeta = p_\zeta\text{-cl}Y_\zeta$.

The definition of X_ξ, p_ξ, Y_ξ and C_ξ is complete for all $\xi < 2^c$. The relation $\omega^* = \cup_{\xi < 2^c} C_\xi$ is immediate from (vi). That $C_\xi \cap C_\eta = \emptyset$ for $\eta < \xi < 2^c$ follows from 2.2 and the relation $C_\eta \subseteq X_\xi$. From (vii) and 2.2(b) it follows that each of the spaces C_ξ is countably compact. That each C_ξ satisfies $|C_\xi| = \beta$ follows from (iv), 2.2(c) and the hypothesis $\beta = \beta^\omega$; the sets C_ξ are dense in ω^* by 1.4(a) and 2.1(e). The fact that for $\xi < 2^c$ there is no one-to-one continuous function from C_ξ into $X_\xi = \cup_{\eta < \xi} C_\eta$ follows from (v), (vii) and 2.3. \square

Remarks 2.5. (a) Since every dense subset D of ω^* satisfies $|D| \geq \mathfrak{c}$, the condition $\beta \geq \mathfrak{c}$ in the statement of Theorem 2.4 cannot be relaxed. We do not know whether 2.4 remains true for $\mathfrak{c} \leq \beta < 2^c$ if the condition $\beta = \beta^\omega$ is omitted; we note that for $\mathfrak{c} \leq \beta < 2^c$ the condition $\beta = \beta^\omega$ is satisfied if GCH is assumed (for then $\beta = \mathfrak{c}$) or if there is a positive integer n such that β is the n th successor of \mathfrak{c} .

(b) The condition in 2.4 that for $\xi < 2^c$ there is no one-to-one continuous function from C_ξ into $\cup_{\eta < \xi} C_\eta$ cannot be strengthened to assert that C_ξ admits no one-to-one continuous function into $\omega^* \setminus C_\xi$: we have noted above that the space ω^* contains 2^c -many pairwise disjoint homeomorphs of ω^* , and clearly no set of cardinality less than 2^c can meet each of these. This simple reasoning proves the following general result.

Theorem. *Every subspace C of ω^* such that $|C| < 2^c$ satisfies $C \subseteq_h \omega^* \setminus C$.*

3. The disjoint refinement lemma. The disjoint refinement lemma asserts that if κ is an infinite cardinal and $\{S_\eta : \eta < \kappa\}$ is a (not necessarily faithfully indexed) family of sets with each $|S_\xi| = \kappa$, then there is a family $\{T_\eta : \eta < \kappa\}$ such that $|T_\eta| = \kappa$ and $T_\eta \subseteq S_\eta$ for all $\eta < \kappa$, and $T_\xi \cap T_\eta = \emptyset$ for $\xi < \eta < \kappa$. (For a proof of this result and for references to the literature, the reader might consult [5, (7.5)].) In the following theorem we let $\{D_\eta : \eta < 2^c\}$ be a listing of all countably infinite subsets of ω^* , for $\eta < 2^c$ we set $S_\eta = \overline{D_\eta} \setminus D_\eta$, and we choose $T_\eta \subseteq S_\eta$ as given by the disjoint refinement lemma.

Theorem 3.1. *Let $\omega \leq \alpha \leq 2^c$. The space ω^* can be partitioned in the form $\omega^* = \cup_{\xi < \alpha} C_\xi$ where the spaces C_ξ are pairwise disjoint, extra countably compact in ω^* (hence dense in ω^* and of cardinality 2^c), and for $\xi < \alpha$ there is no continuous function from $\cup_{\eta < \xi} C_\eta$, nor from any of the spaces C_η with $\eta < \xi$, onto C_ξ (in particular, the spaces C_ξ are pairwise non-homeomorphic).*

Proof. Choose $\{T_\eta : \eta < 2^c\}$ as above; use the inequality $2^c \geq |\alpha \times 2|$ to find a subset $\{p(\eta, \xi, \varepsilon) : \xi < \alpha, \varepsilon \in \{0, 1\}\}$ of T_η faithfully indexed by $\alpha \times 2$, and for $\xi < \alpha$, set

$$\begin{aligned} E_\xi^0 &= \{p(\eta, \xi, 0) : \eta < 2^c\}, \\ E_\xi^1 &= \{p(\eta, \xi, 1) : \eta < 2^c\}, \quad \text{and} \\ E_\xi &= E_\xi^0 \cup E_\xi^1. \end{aligned}$$

We note that each of the sets E_ξ^0 (with $\xi < \alpha$) is extra countably compact in ω^* ; indeed, for $\eta < 2^c$ the point $p(\eta, \xi, 0) \in E_\xi^0$ is an accumulation point of D_η .

We will define the sets C_ξ by recursion so that

- (i) $C_0 = E_0^0 \cup (\omega^* \setminus \cup_{\xi < \alpha} E_\xi)$,
- (ii) $E_\xi^0 \subseteq C_\xi \subseteq E_\xi$ for non-zero limit ordinals $\xi < \alpha$, and
- (iii) $E_\xi^0 \cup (E_\eta \setminus C_\eta) \subseteq C_\xi \subseteq E_\xi \cup (E_\eta \setminus C_\eta)$ for $\xi = \eta + 1 < \alpha$.

We proceed by recursion. Use (i) to define C_0 . Now let $\zeta < \alpha$ and suppose that C_ξ has been defined for all $\xi < \zeta$. If ζ is a limit ordinal set $H_\zeta = E_\zeta^0$, and if ζ is the successor ordinal $\zeta = \eta + 1$ set $H_\zeta = E_\zeta^0 \cup (E_\eta^1 \setminus C_\eta)$. Since $H_\zeta \cap E_\zeta^1 = \emptyset$ and $|E_\zeta^1| = 2^c$, the family

$$\mathbf{A} = \{H_\zeta \cup A : A \subseteq E_\zeta^1\}$$

is a family of subsets of ω^* such that $|\mathbf{A}| = 2^{2^c} > 2^c$.

Now for $\xi < \zeta$, we have

$$d(C_\xi) \leq w(C_\xi) \leq w(\omega^*) = \mathfrak{c},$$

so the number of continuous functions from C_ξ into ω^* does not exceed $|\omega^*|^c = (2^c)^c = 2^c$. Thus since $|\mathbf{A}| > 2^c$ there is a set in \mathbf{A} (call it C_ζ) such that none of the spaces C_ξ , $\xi < \zeta$, maps continuously onto C_ζ and $\cup_{\xi < \zeta} C_\xi$ does not map continuously onto C_ζ .

The definition of C_ξ for all $\xi < \alpha$ is complete.

We show that the family $\{C_\xi : \xi < \alpha\}$ is as required.

It is clear from the construction that for $\xi < \alpha$ there is no continuous function from $\cup_{n < \xi} C_n$, nor from any of the spaces C_η with $\eta < \xi$, onto C_ξ .

The family $\{E_\xi : \xi < \alpha\}$ is pairwise disjoint, and $C_\xi \subseteq E_\xi$ for nonzero limit ordinals ξ , and $C_\xi \subseteq E_\xi \cup (E_\eta \setminus C_\eta)$ for ordinals $\xi = \eta + 1$. It follows that the family $\{C_\xi : \xi < \alpha\}$ is pairwise disjoint.

Since $C_\xi \supseteq E_\xi^0$ and E_ξ^0 is extra countably compact in ω^* , each set C_ξ is extra countably compact in ω^* .

Since

$$C_0 \supseteq \omega^* \setminus \cup_{\xi < \alpha} E_\xi$$

and

$$E_\eta = E_\eta^0 \cup E_\eta^1 \subseteq C_\eta \cup C_{\eta+1} \quad \text{for all } \eta < \alpha,$$

we have

$$\omega^* \supseteq \cup_{\xi < \alpha} C_\xi \supseteq (\omega^* \setminus \cup_{\xi < \alpha} E_\xi) \cup (\cup_{\eta < \alpha} E_\eta) = \omega^*,$$

as required. \square

Remarks 3.2. (a) The technique of counting the number of continuous functions from subspaces of ω^* into ω^* , and of using these estimates to select $C_\zeta \in \mathbf{A}$ with the appropriate properties, came to our attention through the work of Hodel [11, (3.3)].

(b) In the recursive construction of C_ζ , with C_ξ having been defined for all $\xi < \zeta$, we chose a family \mathbf{F} of subsets of $\cup_{\xi < \zeta} C_\xi$ such that $|\mathbf{F}| = |\zeta| + 1$, namely, $\mathbf{F} = \{C_\xi : \xi < \zeta\} \cup \{\cup_{\xi < \zeta} C_\xi\}$, and we chose C_ζ so that no $F \in \mathbf{F}$ maps continuously onto C_ζ . It should be clear to the reader that the argument used allows for a much larger family \mathbf{F} . Indeed, if at stage ζ any family $\mathbf{F} = \mathbf{F}(\zeta)$ of subsets of ω^* is chosen with $|\mathbf{F}| < 2^{2^c}$, then C_ζ may be chosen so that no $F \in \mathbf{F}$ maps continuously onto C_ζ .

4. The method of \sqsubset -minimal ultrafilters. The method of this section furnishes a decomposition of ω^* which has features in common with that of Section 2 (the absence of one-to-one continuous functions) and with that of Section 3 (the constituent sets C_ξ are extra countably compact in ω^*).

Theorem 4.1. *Let $1 \leq \alpha \leq 2^c$. The space ω^* can be partitioned in the form $\omega^* = \cup_{\xi < \alpha} C_\xi$ where the spaces C_ξ are pairwise disjoint, extra countably compact in ω^* (hence dense in ω^* and of cardinality 2^c), and for $\xi < \alpha$ there is no one-to-one continuous function from C_ξ into $\omega^* \setminus C_\xi$ (in particular, the spaces C_ξ are pairwise non-homeomorphic).*

Proof. We consider first the case $\alpha = 2^c$.

It is a theorem of Kunen, first proved assuming Martin's Axiom [13] and later in ZFC alone without additional assumptions [14], that there are $p \in \omega^*$ such that $T(p)$ is \sqsubset -minimal in $T(\omega^*)$; indeed, the number of such minimal types is 2^c [14]. Let $\{T(p_\xi) : 1 \leq \xi < 2^c\}$ be a faithful enumeration of these minimal types, and define

$$C_\xi = T(p_\xi) \cup A(p_\xi) \quad \text{for } 1 \leq \xi < 2^c$$

and

$$C_0 = \omega^* \setminus \bigcup_{1 \leq \xi < 2^c} C_\xi.$$

(It is known that $C_0 \neq \emptyset$. For example, Bukovský and Butkovičová [2] have shown the existence of $p \in \omega^*$ such that $\{T(q) : q \sqsubset p\}$ is order-isomorphic to the negative integers. Clearly, $p_\xi \sqsubseteq p$ fails for all $\xi < 2^c$ for such p , so $p \in C_0$.)

The key to the verification that the relation $\omega^* = \cup_{\xi < 2^c} C_\xi$ expresses ω^* in the required form is Theorem 1.1 (e): For each $p \in \omega^*$ the set $\{T(q) : q \sqsubset p\}$ is linearly ordered under \sqsubset . This shows that if $1 \leq \eta < \xi < 2^c$ then $C_\eta \cap C_\xi = \emptyset$. Indeed, if some $q \in \omega^*$ satisfies $q \in C_\eta \cap C_\xi$ then from $T(p_\xi) \sqsubseteq T(q)$ and $T(p_\eta) \sqsubseteq T(q)$ will follow $T(p_\xi) \sqsubseteq T(p_\eta)$ or $T(p_\eta) \sqsubseteq T(p_\xi)$, contrary to the definition of the family $\{T(p_\xi) : 1 \leq \xi < 2^c\}$. That $C_0 \cap C_\xi = \emptyset$ for $1 \leq \xi < 2^c$ is clear, since $T(p_\xi) \sqsubseteq T(q)$ holds for every $q \in C_\xi$ and is false for every $q \in C_0$.

We note next for $\xi < 2^c$ that $A(C_\xi) \subseteq C_\xi$. (For $\xi > 0$ this is immediate from the definition, and for $\xi = 0$ it follows from 1.1 (e).) This observation has two consequences: Each of the sets C_ξ is extra countably compact in ω^* (use (1.4 (e))) and each C_ξ is \bar{p} -closed for every $p \in C_\xi$ (since for every $h \in \mathbf{H}(C_\xi)$ from $p \sqsubset \bar{h}(p)$ follows $\bar{h}(p) \in A(C_\xi) \subseteq C_\xi$).

A straightforward appeal to 1.1 (e) shows also that $B(q) \subseteq C_\xi$ whenever $q \in C_\xi$.

It remains to show that if $\xi < 2^c$ then there is no one-to-one continuous function from C_ξ into $\omega^* \setminus C_\xi$. It is enough to choose $p \in C_\xi$ and to apply 2.3 with $Y = C_\xi$, $X = \omega^* \setminus C_\xi$; the space C_ξ is \bar{p} -closed, so if such a function exists then

$$p \in E(\omega^* \setminus C_\xi) \subseteq B(\omega^* \setminus C_\xi) = \cup \{B(q) : q \in \omega^* \setminus C_\xi\} \subseteq \omega^* \setminus C_\xi,$$

a contradiction.

The proof for the case $\alpha = 2^c$ is complete. In case $\alpha < 2^c$ it is enough to write

$$C'_\xi = C_\xi \quad \text{for } 1 \leq \xi < \alpha \quad \text{and} \quad C'_0 = \omega^* \setminus \bigcup_{1 \leq \xi < \alpha} C'_\xi.$$

The family $\{C'_\xi : \xi < \alpha\}$ is then as required. \square

Remark 4.2. The reasoning given in the last paragraph of the foregoing proof concerning the decomposition $\omega^* = \cup_{\xi < 2^c} C_\xi$ shows

this: if $C(A)$ is defined for $A \subseteq 2^c$ by the relation

$$C(A) = \bigcup_{\xi \in A} C_\xi,$$

then for subsets A and B of 2^c there is a one-to-one continuous function from $C(A)$ into $C(B)$ if and only if $A \subseteq B$. Indeed, if $A \subseteq B$, the inclusion function from $C(A)$ into $C(B)$ is as required, and if there is $\xi \in A \setminus B$ then there is no one-to-one continuous function from C_ξ into $\omega^* \setminus C_\xi$, hence none from $C(A)$ into $C(B)$. Thus the family $\{C(A) : \emptyset \neq A \subseteq 2^c\}$ is a family of 2^{2^c} -many dense, extra countably compact, pairwise non-homeomorphic subspaces of ω^* .

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