

## IRRATIONAL SUMS

TOM C. BROWN, D.-Y. PEI AND PETER JAU-SHYONG SHIUE

**1. Introduction.** In this note we give some sufficient conditions for the irrationality of the sum of the series  $\sum_{n=1}^{\infty} 1/H(f(n))$ , where  $(H(k))_{k \geq 0}$  is a sequence of integers, positive from some point on, satisfying a homogeneous linear recurrence relation with integer coefficients, and  $f$  is a strictly increasing function from the set of positive integers to the set of nonnegative integers.

We will refer to such a sequence  $(H(k))_{k \geq 0}$  simply as a “recurrent sequence,” and the symbol  $f$  will always denote a strictly increasing function from the set of positive integers to the set of nonnegative integers.

Let us agree that the symbol  $\sum 1/H(f(n))$  denotes the summation of all those terms  $1/H(f(n))$  for which  $H(f(n)) > 0$ .

All of our results are based on the following theorem of C. Badea [1].

**Theorem A** (Badea [1]). *If  $(a_k)_{k \geq 0}$  is a sequence of positive integers such that  $a_{k+1} > a_k^2 - a_k + 1$  for all sufficiently large  $k$ , then  $\sum 1/a_k$  is irrational.*

A simple example to show that the converse of Badea’s Theorem A is false is the series  $\sum 1/n! = e$ . Another easy example to see that the converse of Badea’s result is false is the following. Let  $\{c_n\}$ ,  $n \geq 1$ , be a nonperiodic sequence of 2s and 5s, and let  $a_n = 10^n/c_n$ ,  $n \geq 1$ . Then  $\sum 1/a_n$  is irrational, and  $a_{n+1} \leq a_n^2 - a_n + 1$ ,  $n \geq 3$ .

Thus our goal is to find simple conditions on  $H(k)$  and  $f(n)$  which ensure that  $H(f(n+1)) > H(f(n))^2 - H(f(n)) + 1$  for all sufficiently large  $n$ .

To avoid complications, *from now on we will always assume that the characteristic polynomial of the recurrent sequence  $H(k)$  has a unique*

---

Received by the editors on July 20, 1992, and in revised form on January 25, 1994.

(real) root  $\beta > 1$  of maximum modulus.

It then follows from standard properties of recurrence relations (see, for example [6]) that there exist numbers  $A > 0$  and  $c \geq 0$  such that  $\lim_{k \rightarrow \infty} H(k)/(k^c \beta^k) = A$ . (If  $\beta$  is a root of multiplicity 1, then  $c = 0$ .)

## 2. Main results.

**Theorem 1.** *If  $f(n+1) - 2f(n) \rightarrow \infty$  as  $n \rightarrow \infty$  and  $f(n+1) \geq f(n)^2$  for all sufficiently large  $n$ , then  $\sum 1/H(f(n))$  is irrational for every recurrent sequence  $H(k)$ .*

*Proof.* Assume that  $H(k)/k^c \beta^k \rightarrow A$  as  $k \rightarrow \infty$  (where  $\beta > 1$ ,  $A > 0$  and  $c \geq 0$ ). To apply Badea's result, we need to show that  $H(f(n+1))/(H(f(n))^2 - H(f(n)) + 1) > 1$  for sufficiently large  $n$ . We do this by dividing the numerator and denominator of the left hand side of this inequality by  $f(n+1)^c \beta^{f(n+1)}$ .

Since  $H(f(n+1))/f(n+1)^c \beta^{f(n+1)} \rightarrow A > 0$  as  $n \rightarrow \infty$ , then  $H(f(n+1))/f(n+1)^c \beta^{f(n+1)} > (2/3)A$  for all sufficiently large  $n$ .

Next,

$$\begin{aligned} & \frac{H(f(n))^2 - H(f(n)) + 1}{f(n+1)^c \beta^{f(n+1)}} \\ &= \frac{f(n)^{2c}}{f(n+1)^c \beta^q} \frac{1}{\beta^q} \left( \frac{H(f(n))^2}{f(n)^{2c} \beta^{2f(n)}} - \frac{H(f(n))}{f(n)^{2c} \beta^{2f(n)}} \right) \\ & \quad + \frac{1}{f(n+1)^c \beta^{f(n+1)}}, \end{aligned}$$

where  $q = f(n+1) - 2f(n)$ . Since the expression inside the large brackets converges to  $A^2$  and the other term converges to 0, for sufficiently large  $n$  (using also  $f(n)^{2c}/f(n+1)^c \leq 1$ )

$$\frac{H(f(n))^2 - H(f(n)) + 1}{f(n+1)^c \beta^{f(n+1)}} < \beta^{-q}(A^2 + 1) + (1/3)A.$$

Finally,

$$\frac{H(f(n+1))}{H(f(n))^2 - H(f(n)) + 1} > \frac{(2/3)A}{\beta^{-q}(A^2 + 1) + (1/3)A} > 1,$$

as required.  $\square$

**Corollary 1.** *For every recurrent sequence  $H(k)$ ,  $\sum 1/H(2^{2^n})$  is irrational.*

For the next result, we weaken the condition on  $f$  and strengthen the condition on  $H(k)$ .

**Theorem 2.** *If  $f(n+1) - 2f(n) \rightarrow \infty$  as  $n \rightarrow \infty$ , then  $\sum 1/H(f(n))$  is irrational for every recurrent sequence  $H(k)$  for which  $\beta$  has multiplicity 1. (Recall that  $\beta > 1$  is the unique root of maximum modulus of the characteristic polynomial of  $H(k)$ .)*

*Proof.* The proof of Theorem 1, with  $c$  set equal to 0 throughout, gives a proof of Theorem 2.  $\square$

**Corollary 2.** *Let  $H(k)$  be a recurrent sequence for which  $\beta$  has multiplicity 1. Then for every  $\varepsilon > 0$ ,  $\sum 1/H([(2 + \varepsilon)^n])$  is irrational. For every  $0 < \varepsilon < 1$ ,  $\sum 1/H(2^n - [(2 - \varepsilon)^n])$  is irrational.*

**Theorem 3.** *Let  $H(k)$  be a recurrent sequence for which  $\beta$  has multiplicity 1. Then there exists an integer  $P$  such that for every pair of fixed integers  $s, p$  with  $s > 0$ ,  $-\infty < p \leq P$ ,  $\sum 1/H(s2^n + p)$  is irrational.*

*Proof.* Assume that  $H(k)/\beta^k \rightarrow A$  as  $k \rightarrow \infty$ , where  $\beta > 1$  and  $A > 0$ . Let  $s, p$  be given with  $s > 0$  and  $p < -\log A/\log \beta$ . Let  $f(n) = s2^n + p$ ,  $n \geq 1$ . Since  $f(n+1) - 2f(n) = -p$ ,

$$\begin{aligned} & \frac{H(f(n))^2 - H(f(n)) + 1}{\beta^{f(n+1)}} \\ &= \frac{1}{\beta^{-p}} \left( \frac{H(f(n))^2}{\beta^{2f(n)}} - \frac{H(f(n))}{\beta^{2f(n)}} \right) + \frac{1}{\beta^{f(n+1)}} \rightarrow \beta^p A^2. \end{aligned}$$

Thus, since  $H(f(n+1))/\beta^{f(n+1)} \rightarrow A$ ,

$$\frac{H(f(n+1))}{H(f(n))^2 - H(f(n)) + 1} \rightarrow \frac{1}{\beta^p A}.$$

Since  $\beta^p A < 1$  by the choice of  $p$ ,

$$\frac{H(f(n+1))}{H(f(n))^2 - H(f(n)) + 1} > 1$$

for sufficiently large  $n$ , and therefore  $\sum 1/H(f(n)) = \sum 1/H(s2^n + p)$  is irrational, by Badea's theorem.  $\square$

**3. Remarks.** For the Fibonacci sequence  $F(k)$ , where

$$\begin{aligned} F(0) &= 0, & F(1) &= 1, & F(k+2) &= F(k+1) + F(k), & k &\geq 0, \\ F(k) &= (1/\sqrt{5})(((1+\sqrt{5})/2)^k - ((1-\sqrt{5})/2)^k), \\ \beta &= (1+\sqrt{5})/2, & A &= 1/\sqrt{5}, \end{aligned}$$

$-\log A/\log \beta = 1.67\dots$ . Thus, according to the proof of Theorem 3,  $\sum 1/F(s2^n + p)$  is irrational for every fixed pair of integers  $s > 0$  and  $p \leq 1$ . This is a generalization of a result of C. Badea [1], who showed, answering a question of Erdős and Graham [2], that  $\sum 1/F(2^n + 1)$  is irrational.

More generally, let  $H(0) = 0$ ,  $H(1) = 1$ ,  $H(k+2) = aH(k+1) + bH(k)$ ,  $k \geq 0$ , where  $a \geq 1$ ,  $b \geq 1$ . Then  $H(k) = (1/\sqrt{a^2 + 4b})(((a + \sqrt{a^2 + 4b})/2)^k - ((a - \sqrt{a^2 + 4b})/2)^k)$ ,  $\beta = (a + \sqrt{a^2 + 4b})/2$ ,  $A = 1/\sqrt{a^2 + 4b}$ , and  $\beta^p A < 1$  for  $p \leq 1$ , so again  $\sum 1/H(s2^n + p)$  is irrational for every fixed pair of integers  $s > 0$  and  $p \leq 1$ . This extends a result of Kuipers [4], who showed this in the case  $b = 1$  and  $p = 0$ . (One can relax the requirement  $a \geq 1$ ,  $b \geq 1$  to  $a = 1$ ,  $b \geq 1$  or  $a \geq 2$ ,  $a^2 + 4b > 0$ . In these cases  $A < 1 < \beta$ , so that  $\beta^p A < 1$  holds for  $p \leq 0$  and  $\sum 1/H(s2^n + p)$  is irrational for  $s > 0$  and  $p \leq 0$ .)

If  $a^2 + 4b < 0$ , so that the characteristic polynomial  $x^2 - ax - b$  of the sequence  $H(k)$  no longer has a unique root of maximum modulus, it is easy to verify that the sequence  $H(k)$  has infinitely many negative terms, for any nontrivial initial values  $H(0), H(1)$ . For such a sequence the present methods give no information about the irrationality of  $\sum 1/H(f(n))$  for any function  $f$ .

Some examples of polynomials for which  $\beta > 1$  and  $b$  has multiplicity 1 ( $\beta$  is the unique root of maximum modulus of the given polynomial) are discussed in Hua and Wang [3], including the polynomials  $x^d - x^{d-1} - \dots - x - 1$ ,  $d \geq 2$ , (which come from the generalized Fibonacci sequences  $F(0) = F(1) = \dots = F(d-2) = 0$ ,  $F(d-1) = 1$ ,  $F(k+d) = F(k+d-1) + F(k+d-2) + \dots + F(k+1) + F(k)$ ,  $k \geq 0$ ),  $x^d - Lx^{d-1} - 1$ ,  $d \geq 2$ ,  $L \geq 2$ , and  $x^t - t^2 r^{t-1} x^{t-1} + (-1)^{t-2} A_{t-2} r^{t-2} x^{t-2} + \dots - A_1 r x - 1 = 0$ ,  $t \geq 2$ , where

$$A_1 = \binom{2t}{1}, \quad A_k = \binom{2t}{k} - A_1 \binom{2t-2}{k-1} - \dots - A_{k-1} \binom{2t-2k+2}{1},$$

$t-2 \geq k > 1$ , and the positive integer  $r$  satisfies  $t^2 > 2/r^{t-1} + |A_1|/r^{t-2} + \dots + |A_{t-2}|/r$ .

**Acknowledgment.** We are grateful to the referee for several helpful remarks.

#### REFERENCES

1. C. Badea, *The irrationality of certain infinite series*, Glasgow Math. J. **29** (1987), 221–228.
2. P. Erdős and R.L. Graham, *Old and new problems and results in combinatorial number theory*, *L'Enseignement Mathématique*, Université de Genève, Geneva, 1980.
3. L.K. Hua and Y. Wang, *Applications of number theory to numerical analysis*, Springer, New York, 1981.
4. L. Kuipers, *An irrational sum*, Southeast Asian Bull. Math. **1** (1977), 20–21.
5. V. Laohakosol and N. Roenrom, *A remark on a result of L. Kuipers*, Southeast Asian Bull. Math. **8** (1984), 22–23.
6. C.L. Liu, *Elements of discrete mathematics*, McGraw-Hill, New York, 1985.

SIMON FRASER UNIVERSITY, BURNABY, B.C., CANADA V5A 1S6

GRADUATE SCHOOL, ACADEMIA SINICA, BEIJING, PEOPLE'S REPUBLIC OF CHINA

UNIVERSITY OF NEVADA, LAS VEGAS, NEVADA, 89154-4020