

## CONFORMAL IMAGES OF TANGENTIAL AND NONTANGENTIAL ARCS

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If  $f$  is bounded and analytic in  $\mathbf{D} := \{z : |z| < 1\}$  and  $\lim_{r \rightarrow 1^-} f(re^{i\theta})$  exists for some  $\theta$ , then, by a normal families argument,  $f(z)$  approaches that radial limit as  $z$  in  $\mathbf{D}$  approaches  $e^{i\theta}$  along any nontangential path; see [1, Theorem 1.3, p. 6]. In this note we give an analogous result for functions that are analytic and univalent in  $\mathbf{D}$ ; with no loss of generality, we let  $e^{i\theta} = 1$  throughout. We first observe that, for any function  $f$  that is both analytic and univalent in  $\mathbf{D}$ ,  $f([0, 1])$  is rectifiable if and only if  $f(\gamma \setminus \{1\})$  is rectifiable for each rectifiable Jordan arc  $\gamma$  contained in  $\mathbf{D} \cup \{1\}$  that has a nontangential approach in  $\mathbf{D}$  to 1 and that satisfies a certain restriction on its “oscillations” near 1 (Theorem 1). We also show that if  $\gamma$  has a tangential approach in  $\mathbf{D}$  to 1, then there is a Jordan region  $\Omega$  and a conformal mapping  $\varphi$  from  $\mathbf{D}$  to  $\Omega$  such that  $\varphi([0, 1]) = [0, 1]$  and yet  $\varphi(\gamma)$  is not rectifiable (Theorem 2); for a related result, see [5].

To establish the terms of our discussion, let  $\gamma$  be a Jordan arc from  $[0, 1]$  to the complex plane  $\mathbf{C}$  such that  $\gamma([0, 1])$  is contained in  $\mathbf{D}$  and  $\gamma(1) = 1$ . If the limit as  $t$  approaches 1 of  $(1 - |\gamma(t)|)/(|1 - \gamma(t)|)$  exists and is zero, then we say that  $\gamma$  has a *tangential approach* in  $\mathbf{D}$  to 1. And, if there exists  $\varepsilon > 0$  such that  $\varepsilon \leq (1 - |\gamma(t)|)/(|1 - \gamma(t)|)$  whenever  $0 \leq t < 1$ , then we say that  $\gamma$  has a *nontangential approach* in  $\mathbf{D}$  to 1. Throughout this paper we let  $\gamma$  denote both the Jordan arc and its *trace*  $\gamma([0, 1])$ . Let  $T(z) = (1 - z)/(1 + z)$  be the Möbius transformation that maps  $\{z : \operatorname{Re}(z) > 0\}$  onto  $\mathbf{D}$ , 0 to 1 and 1 to 0. For each nonnegative integer  $n$ , let  $a_n = T(2^{-n}) = (2^n - 1)/(2^n + 1)$ ; notice that  $\rho(a_n, a_{n+1}) = (1/3)$  for all  $n$ , where  $\rho(z, w) := |(z - w)/(1 - \bar{w}z)|$  is the *pseudohyperbolic* distance between the points  $z$  and  $w$  in  $\mathbf{D}$ . If  $\gamma$  is a rectifiable Jordan arc in  $\mathbf{D} \cup \{1\}$ , then, for  $n = 0, 1, 2, \dots$ , let  $\gamma_n = \{z \in \gamma : a_n \leq |z| < a_{n+1}\}$  and (with the reference to  $\gamma$  understood), let  $M_n = \text{length}(\gamma_n)/(a_{n+1} - a_n)$ ;  $\text{length}(\gamma_n) := \Lambda_1(\gamma_n)$ —the one-dimensional Hausdorff measure of  $\gamma_n$ . For  $0 < \varepsilon < 1$  and any

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positive integer  $m$ , let  $\varphi_{m,\varepsilon}(z) = [\log(\log(\cdots(\log(1/z))\cdots))]^{-\varepsilon}$ ; here, the branch of the logarithm (given by  $-\pi < \arg(z) < \pi$ ) is composed with itself  $m$ -times. Notice that for sufficiently small  $r > 0$ ,  $\varphi_{m,\varepsilon}$  is a conformal mapping from  $\{z : |z| < r \text{ and } \operatorname{Re}(z) > 0\}$  onto a bounded subregion of  $\{\xi : \operatorname{Re}(\xi) > 0\}$  that is symmetric with respect to  $\mathbf{R}$  and, for such  $z$ ,

$$\varphi'_{m,\varepsilon}(z) = \frac{\varepsilon}{(z \log(1/z) \log(\log(1/z)) \cdots [\log(\log(\cdots(\log(1/z))\cdots))]^{1+\varepsilon})}.$$

For sufficiently large  $n$ , let  $\alpha_{m,\varepsilon}(n) = (1/(\varepsilon n^2)) \cdot \varphi'_{m,\varepsilon}(1/n)$ . Later in this paper we shall concern ourselves with the series  $\sum_{n=N}^{\infty} \alpha_{m,\varepsilon}(n)$ ; a study of this series is made in [4, Sections 14 and 37–41].

**Theorem 1.** *Let  $\gamma$  be a rectifiable Jordan arc in  $\mathbf{D} \cup \{1\}$  that has a nontangential approach in  $\mathbf{D}$  to  $\{1\}$ .*

(i) *If the sequence  $\{M_n\}_{n=0}^{\infty}$  is bounded, then, for any conformal mapping  $\varphi$  defined on  $\mathbf{D}$ ,  $\varphi(\gamma \setminus \{1\})$  is rectifiable if and only if  $\varphi([0, 1))$  is rectifiable.*

(ii) *If the sequence  $\{M_n\}_{n=0}^{\infty}$  is not bounded and there exist  $m, \varepsilon$  and a subsequence  $\{M_{n_k}\}$  of  $\{M_n\}$  such that  $\{(n_{k+1} - n_k)\}$  is bounded and, for sufficiently large  $n_k$ ,*

$$M_{n_k} \geq \varepsilon / \left( \sum_{n=n_k}^{\infty} \alpha_{m,\varepsilon}(n) \right),$$

*then there is a conformal mapping  $\varphi$  on  $\mathbf{D}$  such that  $\varphi([0, 1))$  is rectifiable and yet  $\varphi(\gamma \setminus \{1\})$  is not rectifiable.*

*Remark.* The condition in Theorem 1(i), that  $\{M_n\}_{n=0}^{\infty}$  is bounded, is almost certainly not “sharp.” However, the slowness of the growth that is permitted of  $\{M_{n_k}\}$  in (ii) indicates that the condition in (i) is nearly sharp in certain settings. The guiding principle is that a given rate of growth of  $\{(n_{k+1} - n_k)\}$  requires a commensurate rate of growth of  $\{M_{n_k}\}$  in order to insure the result of Theorem 1(ii). A precise understanding of this interplay between the rates of growth of  $\{(n_{k+1} - n_k)\}$  and  $\{M_{n_k}\}$  seems inaccessible since it requires a thorough understanding of what  $|\varphi'|_{|[0, 1)}$  can look like, where  $\varphi$  is a conformal mapping on  $\mathbf{D}$  such that  $\varphi([0, 1))$  is rectifiable.

*Proof* (of Theorem 1). (i) In this setting  $M := \sup_n M_n < \infty$ . By our choice of  $\{a_n\}_{n=0}^\infty$  and the assumption that  $\gamma$  has a nontangential approach in  $\mathbf{D}$  to 1, we can apply [3, Theorem 4, p. 52] along with the chain rule (or we can apply [6, Lemma 2.2, p. 130]) and find a positive constant  $C$ , independent of  $\varphi$  and  $n$ , such that  $|\varphi'(z)| \leq C \cdot \min\{|\varphi'(t)| : a_n \leq t \leq a_{n+1}\}$  whenever  $z \in \gamma_n$ . Therefore,

$$\begin{aligned} \text{length}(\varphi(\gamma \setminus \{1\})) &= \sum_{n=0}^\infty \text{length}(\varphi(\gamma_n)) \\ &= \sum_{n=0}^\infty \int_{\gamma_n} |\varphi'(z)| |dz| \\ &\leq CM \cdot \sum_{n=0}^\infty \min\{|\varphi'(t)| : a_n \leq t \leq a_{n+1}\} \cdot (a_{n+1} - a_n) \\ &\leq CM \cdot \sum_{n=0}^\infty \text{length}(\varphi([a_n, a_{n+1}])) \\ &= CM \cdot \text{length}(\varphi([0, 1])). \end{aligned}$$

So, if  $\varphi([0, 1])$  is rectifiable, then so is  $\varphi(\gamma \setminus \{1\})$ . The converse holds similarly.

(ii) By our hypothesis, there exist  $m$  and  $\varepsilon$  such that, for sufficiently large  $n_k$ ,

$$M_{n_k} \geq \varepsilon / \left( \sum_{n=n_k}^\infty \alpha_{m,\varepsilon}(n) \right).$$

For  $w$  in  $\mathbf{D}$ , let  $T(w) = (1 - w)/(1 + w)$  ( $= T^{-1}$ ), let  $\Gamma = T(\gamma)$  and, for  $n = 0, 1, 2, \dots$ , let  $\Gamma_n = T(\gamma_n)$  and let  $M_n^* = 2^{(n+1)} \cdot \text{length}(\Gamma_n)$ . By a routine conformal mapping argument, we need only produce a conformal mapping  $\varphi$  on  $\{z : |z| < r \text{ and } \text{Re}(z) > 0\}$ , for some  $r > 0$ , such that  $\varphi((0, r))$  is rectifiable and yet  $\varphi(\{z \in \Gamma : 0 < |z| < r\})$  is not. Now since  $|T'(w)|$  is near 1/2 when  $w$  is near 1, we can make a smaller choice of  $\varepsilon > 0$  if necessary and get (from our hypothesis) that

$$M_{n_k}^* \geq \varepsilon / \left( \sum_{n=n_k}^\infty \alpha_{m,\varepsilon}(n) \right),$$

if  $n_k$  is sufficiently large. For fixed  $r > 0$  sufficiently small, consider the conformal mapping  $\varphi_{m+1,\varepsilon}$  defined on  $\{z : |z| < r \text{ and } \text{Re}(z) > 0\}$ . By

[3, Theorem 4, p. 52] along with the chain rule, or by [6, Lemma 2.2, p. 130], there are positive constants  $C_1$  and  $C_2$  and, by the boundedness of  $\{(n_{k+1} - n_k)\}$ , there is a positive constant  $C_3$  such that, for any  $k_0$  sufficiently large,

$$\begin{aligned}
 & \sum_{k=k_0}^{\infty} \text{length}(\varphi_{m+1,\varepsilon}(\Gamma_{n_k})) \\
 &= \sum_{k=k_0}^{\infty} \int_{\Gamma_{n_k}} |\varphi'_{m+1,\varepsilon}(z)| |dz| \\
 &\geq \sum_{k=k_0}^{\infty} C_1 \cdot \max\{|\varphi'_{m+1,\varepsilon}(t)| : 2^{-(n_k+1)} \leq t \leq 2^{-n_k}\} \cdot M_{n_k}^* \cdot 2^{-(n_k+1)} \\
 &\geq \left( \varepsilon C_1 \cdot \sum_{k=k_0}^{\infty} |\varphi'_{m+1,\varepsilon}(2^{-n_k})| 2^{-(n_k+1)} \right) / \left( \sum_{n=n_{k_0}}^{\infty} \alpha_{m,\varepsilon}(n) \right) \\
 &\geq C_2 \cdot \left( \sum_{k=k_0}^{\infty} \alpha_{m,\varepsilon}(n_k) \right) / \left( \sum_{n=n_{k_0}}^{\infty} \alpha_{m,\varepsilon}(n) \right) \\
 &\geq C_3.
 \end{aligned}$$

So,  $\varphi_{m+1,\varepsilon}(\{z \in \Gamma : 0 < |z| < r\})$  is not rectifiable, though  $\varphi_{m+1,\varepsilon}((0, r))$  is.  $\square$

**Theorem 2.** *Let  $\gamma$  be a Jordan arc in  $\mathbf{D} \cup \{1\}$  that has a tangential approach in  $\mathbf{D}$  to 1. Then there is a Jordan region  $\Omega$  and a conformal mapping  $\varphi$  from  $\mathbf{D}$  onto  $\Omega$  such that  $\phi([0, 1]) = [0, 1]$  and yet  $\varphi(\gamma)$  is not rectifiable.*

*Proof.* Let  $\{a_n\}_{n=2}^{\infty}$  be a decreasing sequence of positive real numbers such that  $a_n < (1/n^2)$ . For  $n = 2, 3, 4, \dots$ , let

$$\begin{aligned}
 A_n = \left\{ z : \frac{1}{n} - \frac{1}{n^3} \leq |z - 1| \leq \frac{1}{n} \right\} \setminus \{z = x + iy : 0 < x < 1 \\
 \text{and } -a_n < y < a_n\}.
 \end{aligned}$$

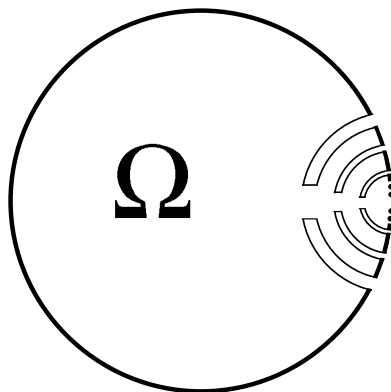


FIGURE 1.

**Claim.** For an appropriate choice of  $\{a_n\}_{n=2}^\infty$ , the Jordan region

$$\Omega := \mathbf{D} \setminus \left( \bigcup_{n=2}^\infty A_n \right),$$

(see Figure 1) satisfies Theorem 2.

Since  $\Omega$  is symmetric with respect to the real line and is a Jordan region, there is a conformal mapping  $\phi$  from  $\mathbf{D}$  onto  $\Omega$ , that extends to a homeomorphism between  $\overline{\mathbf{D}}$  and  $\overline{\Omega}$  such that  $\phi([0, 1]) = [0, 1]$ .

If  $0 < s < 1$ , then  $\gamma([0, s])$  is a compact subset of  $\mathbf{D}$  and so we can apply Harnack's Inequality and find a constant  $c > 1$  such that

$$\frac{1}{c} \cdot \omega(\cdot, \mathbf{D}, z) \leq \omega(\cdot, \mathbf{D}, 0) \leq c \cdot \omega(\cdot, \mathbf{D}, z)$$

whenever  $z \in \gamma([0, s])$ ; if  $E$  is a bounded Dirichlet region and  $z_0 \in E$ , then  $\omega(\cdot, E, z_0)$  denotes harmonic measure on  $\partial E$  evaluated  $z_0$ . By elementary methods involving the Maximum Principle or by standard estimates derived from the theory of extremal length, see [2, Proposition 7.2, p. 102], we have that, for  $\varepsilon > 0$ ,

$$\omega(\{w : |w| = 1 \text{ and } \operatorname{Re}(w) \leq 0\}, \Omega, \zeta) < \varepsilon$$

whenever  $\zeta \in \Omega$  and  $|\zeta - 1| \leq 1/2$ , provided  $a_2$  is sufficiently small. However, since  $G := \mathbf{D} \setminus \{z : |z - 1| \leq 1/2\}$  is contained in  $\Omega$  independent of  $a_2$ , the Maximum Principle tells us that

$$\begin{aligned} \omega(\{w : |w| = 1 \text{ and } \operatorname{Re}(w) \leq 0\}, \Omega, 0) \\ \geq \omega(\{w : |w| = 1 \text{ and } \operatorname{Re}(w) \leq 0\}, G, 0) > 0 \end{aligned}$$

independent of  $a_2$ . Since  $\omega(\cdot, \Omega, \zeta) = \omega(\phi^{-1}(\cdot), \mathbf{D}, \phi^{-1}(\zeta))$ , and  $\phi(0) = 0$ , we can now conclude that  $|1 - \phi(z)| > 1/2$  for all  $z$  in  $\gamma([0, s])$ , provided  $a_2$  is sufficiently small.

For  $z$  in  $\mathbf{D}$ , let  $\rho(z) = \inf\{\rho(z, r) : 0 \leq r < 1\}$ —the *pseudohyperbolic* distance from  $z$  to  $[0, 1]$ —and let  $\varphi_z(w) = (w - z)/(1 - \bar{z}w)$ . Since  $\gamma$  has a tangential approach in  $\mathbf{D}$  to 1,  $\rho(z)$  approaches 1 as  $z$  in  $\gamma \setminus \{1\}$  approaches 1. Let  $K_2 = \{z : |z - 1| = 17/48 \text{ and } |\arg(1 - z)| \leq \pi/4\}$ , and let  $r_2 = \phi^{-1}(17/48)$ . Notice that  $K_2 \subseteq \Omega$  and  $\operatorname{dist}(K_2, \partial\Omega) = 1/48$  independent of  $a_n$ ,  $n = 2, 3, 4, \dots$ . So, by Harnack's Inequality, there is a constant  $d > 1$  independent of  $a_n$ ,  $n = 2, 3, 4, \dots$ , such that

$$\frac{1}{d} \cdot \omega(\cdot, \Omega, \zeta) \leq \omega(\cdot, \Omega, \zeta') \leq d \cdot \omega(\cdot, \Omega, \zeta)$$

for any  $\zeta$  and  $\zeta'$  in  $K_2$ . So there exists  $R$ ,  $0 < R < 1$ , such that  $(\phi_{r_2} \circ \phi^{-1})(K_2) \subseteq \{w : |w| \leq R\}$  independent of  $a_n$ ,  $n = 2, 3, 4, \dots$ . Consequently,  $\rho(z) \leq R$  for all  $z$  in  $\phi^{-1}(K_2)$  independent of  $a_n$ ,  $n = 2, 3, 4, \dots$ . So there exists  $s$ ,  $0 < s < 1$ , such that  $\phi^{-1}(K_2) \cap \gamma([s, 1]) = \emptyset$  independent of  $a_n$ ,  $n = 2, 3, 4, \dots$ . Moreover, by our earlier work,  $K_2 \cap \phi(\gamma([0, s])) = \emptyset$  provided  $a_2$  is sufficiently small. Consequently,  $K_2 \cap \phi(\gamma([0, 1])) = \emptyset$ , provided  $a_2$  is sufficiently small. In the same way we can choose  $a_n$ , for  $n = 3, 4, 5, \dots$ , sufficiently small so that  $K_n \cap \phi(\gamma([0, 1])) = \emptyset$ , where  $K_n = \{z : |z - 1| = ((1/n) + (1/(n+1)) - (1/n^3))/2 \text{ and } |\arg(1 - z)| \leq \pi/4\}$ , and our choice of  $a_n$  is not affected by our choice of  $a_k$  for  $k > n$ . Since  $a_n < 1/n^2$ , this forces the length of  $\phi(\gamma)$  to be infinite.  $\square$

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