

## CHARACTERISTIC AND MINIMAL POLYNOMIALS OF LINEAR CELLULAR AUTOMATA

DIANA M. THOMAS, JOHN G. STEVENS  
AND STEVEN LETTIERI

**ABSTRACT.** The 1984 article by Martin, Odlyzko and Wolfram on Wolfram's Rule 90 heightened interest in the area of linear cellular automata defined on a vector space over a finite field [8]. In this article we find the closed form expression for the minimal polynomial of Rule 90, which is then used to completely characterize the dynamics of the map. In addition, we show that the standard basis vectors in  $\mathbf{Z}_2^n$  lead to the maximal cycle for any linear finite dimensional cellular automata with periodic boundary conditions. Finally, we address questions posed by Tadaki on the connection between Rule 90 and Rule 150.

**1. Introduction.** A finite dimensional cellular automaton (CA) is a discrete time dynamical system defined on a finite dimensional vector space for which the next state is updated by a local deterministic rule. Each component in the vector space is considered as a cell typically taking on only two values, 0 and 1. If the vector space is defined over a finite field, all forward orbits of a CA converge to a periodic cycle in finite time. Therefore, for finite dimensional CA, the main problem under consideration is determining the transient behavior and cycle lengths of the map.

In the case of a CA defined over a finite dimensional string taking on values from  $\{0, 1\}$ , it is useful to think of system as iterates of a map acting on the vector space,  $\mathbf{Z}_2^n$ . Such a setting adds algebraic structure to the phase space since the linear map is being iterated on a vector space over a finite field.

The concept of CA as a dynamical system on a vector space over a finite field was employed by Martin, Odlyzko and Wolfram in their 1984 paper [8] on Wolfram's Rule 90 given by:

$$(1) \quad W_n \mathbf{x} = (x_n + x_2, x_1 + x_3, \dots, x_{n-1} + x_1)$$

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where  $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbf{Z}_2^n$  and the addition is modulo 2. Since 1984, many articles have appeared extending and generalizing the results in [8] to other linear rules [5, 6, 12, 13]. The focus of the research on  $W_n$  and its extensions involved characterizing and predicting the cycle lengths of the linear CA by utilizing the finite field structure.

The well-studied linear Ducci map,

$$D_n \mathbf{x} = (x_1 + x_2, x_2 + x_3, \dots, x_n + x_1)$$

was examined using algebraic techniques in [1, 2]. In fact, the Ducci map and Wolfram's Rule 90 are related. The most obvious connection is that

$$W_n = D_n^2 S_{R,n},$$

where  $S_{R,n}$  is the right shift map. Connections between period lengths and overall structure shared by both maps are investigated in [11].

The Ducci map was originally posed as a map over the ring module  $\mathbf{Z}^n$ :

$$\tilde{D}_n(\mathbf{x}) = (|x_1 - x_2|, |x_2 - x_3|, \dots, |x_n - x_1|).$$

It was proved in [10] that all forward orbits of  $\tilde{D}$  acting on  $\mathbf{Z}^n$  converge in finite time to vectors of the form  $k(x_1, x_2, \dots, x_n)$  where  $k$  is a positive integer and  $x_i \in \mathbf{Z}_2$  for each  $i = 1, \dots, n$ . Therefore, in order to study the dynamics of the Ducci map on  $\mathbf{Z}^n$ , it is enough to understand how the map acts on the vector space  $\mathbf{Z}_2^n$ . In addition to being linear on  $\mathbf{Z}_2^n$ , the vector space has much more structure than the ring module and as a result the analysis is simplified.

Wolfram's Rule 90 also can be derived from a map acting on  $\mathbf{Z}^n$ . The reduction to Wolfram's Rule 90 from a map on the ring module  $\mathbf{Z}^n$  to a linear map on the vector space  $\mathbf{Z}_2^n$  was done in [3].

Jen characterized limit cycle structure of Wolfram's Rule 90 through orders of minimal polynomials in the cylindrical case. Stevens proved that all cycle length for any finite dimensional linear CA can be obtained as orders of minimal annihilating polynomials [13]. Moreover, the transient behavior can be obtained from the number of factors of  $\lambda$  belonging to the minimal polynomial.

In two articles [14, 16], Tadaki uses a recursion equation to find the characteristic polynomial of Rule 150 and connect the cyclic behavior to Rule 90. However, as stated earlier, period lengths are not obtained through the characteristic polynomial but through the minimal polynomial. To this end, this paper restates the proof that all period lengths are equal to orders of factors of the minimal polynomial of the map. In Section 3, we find a closed form expression for the minimal polynomial of Rule 90 using a dimensional and symmetry argument. Several observations on Rule 90 are direct results of the proof of this theorem. Section 4 discusses Rule 150 and its connection to Rule 90. Data on all periods up to  $n = 40$  for Rules 90 and 150 are provided. Some general problems for further work are suggested in Section 5.

**2. Characterization of cycle lengths as orders of minimal annihilating polynomials.** This section provides an overview of how to characterize cycle length and transient behavior of a linear map defined on a vector space over a finite field through orders of minimal annihilating polynomials. The purpose of such a characterization is to provide an alternative to iterating every possible vector in order to understand the global dynamics of a map. In addition, because algorithms for computing orders of polynomials are included in computer algebra software such as Maple, it is possible to compute the state diagram of the map in a reasonable amount of time.

The present discussion is posed over  $\mathbf{Z}_2^n$  due to the applications considered in this paper. However, all the statements in this section hold over any finite field of characteristic  $p$ . The next few definitions are standard and appear in [4].

**Definition 2.1.** The *minimal annihilating polynomial* of a vector  $\mathbf{v} \in \mathbf{Z}_2^n$  is the monic polynomial  $\mu_v(\lambda)$  of least degree such that  $\mu_v(A)\mathbf{v} = 0$ .

The existence of a minimal annihilating polynomial is guaranteed by the Cayley-Hamilton theorem which states that the characteristic polynomial of  $A$  will annihilate the matrix itself. We now define the order of a polynomial [4].

**Definition 2.2.** Suppose that  $\mu_v(0) \neq 0$ . Then the *order of  $\mu_v(\lambda)$* ,  $\text{ord}(\mu_v(\lambda))$ , is defined to be the smallest natural number,  $c$ , such that  $\mu_v(\lambda) | \lambda^c - 1$ . If  $\mu_v(0) = 0$ , then  $\mu_v(\lambda)$  can be written as  $\lambda^k \tilde{\mu}_v(\lambda)$ , for some positive integer  $k$ , where the polynomial,  $\tilde{\mu}_v(\lambda)$ , has the property,  $\tilde{\mu}_v(0) \neq 0$ . In this case, the order of  $\mu_v(\lambda)$  is defined to be the order of  $\tilde{\mu}_v(\lambda)$ .

For any  $n \times n$  matrix  $A$  acting on  $\mathbf{Z}_2^n$ , the cycle length of a vector under forward iteration of  $A$  is equal to the order of its minimal annihilating polynomial. Furthermore, the number of forward iterates of the map not in a cycle can be found by factoring the minimal annihilating polynomial. The formal statement and proof of this result follows.

**Theorem 2.1.** *Let  $\mathbf{v} \in \mathbf{Z}_2^n$ . Let  $\mu_v(\lambda)$  be the minimal annihilating polynomial of  $\mathbf{v}$ . Assume that  $\mu_v(\lambda) = \lambda^k \tilde{\mu}_v(\lambda)$  where  $k \geq 0$  and  $\tilde{\mu}_v(\lambda)$  is a monic polynomial with  $\tilde{\mu}_v(0) \neq 0$ . Then the  $k$ th iterate of  $\mathbf{v}$  belongs to a periodic cycle with period length  $c = \text{ord}(\mu_v)$ .*

A variation of this characterization result first appeared in [6]. We restate the proof of this theorem that can be found in [13].

*Proof.* Let  $A^j \mathbf{v}$  be the first iterate that belongs to the periodic cycle. Denote the minimal length of the cycle by  $c$ . Then  $A^c(A^j \mathbf{v}) = A^j \mathbf{v}$ , so  $A^j(A^c - I)\mathbf{v} = 0$ . Because the minimal annihilating polynomial divides any other annihilating polynomial of  $\mathbf{v}$ , we know that  $\mu_v(\lambda) | \lambda^j(\lambda^c - 1)$ .

This result implies that  $\lambda^k | \lambda^j$  and  $\tilde{\mu}_v(\lambda) | \lambda^c - 1$ . Therefore,  $\text{ord}(\tilde{\mu}_v(\lambda)) \leq c$ .

Now we will show that  $\text{ord}(\tilde{\mu}_v(\lambda)) = c$ . Assume on the contrary that  $\text{ord}(\tilde{\mu}_v(\lambda)) = l < c$ . Then  $\tilde{\mu}_v(\lambda) | \lambda^l - 1$ ,  $\lambda^k(\lambda^l - 1) = \mu_v(\lambda)q(\lambda)$  for some  $q$ . Therefore  $A^k(A^l - I)\mathbf{v} = \mu_v(A)q(A)\mathbf{v} = q(A)\mu_v(A)(\mathbf{v}) = 0$ , which means that  $A^l A^k \mathbf{v} = A^k \mathbf{v}$ . Therefore,  $A^k \mathbf{v}$  is in a periodic cycle of length  $l < c$ . This contradicts the minimality of  $c$ .

Now we will show that  $k = j$ . Recall that  $A^j \mathbf{v}$  is the first iterate belonging to the cycle and  $\mu_v(\lambda) = \lambda^k \tilde{\mu}_v(\lambda)$ .

Because  $\lambda^k | \lambda^j$  it follows that  $k \leq j$ . We will show that  $k \not< j$ . Assume on the contrary that  $k < j$ .

Given that  $\tilde{\mu}_v(\lambda)|\lambda^c - 1$ , we have  $\mu_v(\lambda)|\lambda^k(\lambda^c - 1)$ . This implies  $\lambda^k(\lambda^c - 1) = \mu_v(\lambda)p(\lambda)$ , for some polynomial  $p$ . Therefore,  $A^k(A^c - 1)\mathbf{v} = p(A)\mu_v(A)\mathbf{v} = 0$ . Hence,  $A^c(A^k\mathbf{v}) = A^k\mathbf{v}$ , which implies that  $A^k\mathbf{v}$  is in the cycle. Recalling that  $A^j(\mathbf{v})$  is the *first* iterate belonging to the cycle results in a contradiction.  $\square$

It is a well-known result that minimal annihilating polynomials are factors of the minimal polynomial [4]. Therefore, all possible period lengths can be obtained from the minimal polynomial of  $A$ . Moreover, the maximal period length is equal to the order of the minimal polynomial because there exists a vector whose minimal annihilating polynomial is equal to the minimal polynomial [4].

It is important from a dynamical systems perspective to connect the iterates of a vector  $\mathbf{v}$  under  $A$  to the minimal annihilating polynomial of  $\mathbf{v}$ . If  $\mathbf{v} \in \mathbf{Z}_2^n$  is a vector contained in a cycle under  $A$ , then the *algebraic period* of  $v$  under  $A$  is the minimal positive integer value  $a$  such that  $A^a v$  is a linear combination of  $v, Av, A^2v, \dots, A^{a-1}v$ . If  $a$  is the algebraic period of  $v$ , then we may write

$$A^a v = b_1 v + b_2 Av + \dots + b_{a-1} A^{a-1} v.$$

Then the minimal annihilating polynomial of  $A$  is given by

$$\lambda^a - b_{a-1} \lambda^{a-1} - \dots - b_2 \lambda - b_1.$$

Thus,  $a$  equals the degree of  $\mu_v(\lambda)$ . The concept of an algebraic period was discussed in [5] although not named as such.

We now use the characterization result to analyze the CA of interest.

**3. Wolfram’s Rule 90.** Wolfram’s Rule 90, defined by the map (1) was first studied in the finite field setting in [8]. The map originally appeared in [9] as a model predicting stunted tree growth in a forest. We now discuss  $W_n$  using the language of minimal polynomials.

The matrix representation of  $W_n$  in the standard basis is

$$(2) \quad A_{W,n} = \begin{pmatrix} 0 & 1 & 0 & \dots & & 0 & 1 \\ 1 & 0 & 1 & 0 & \dots & & 0 \\ & & & \ddots & & & \\ 0 & & & \dots & 1 & 0 & 1 \\ 1 & 0 & \dots & & 0 & 1 & 0 \end{pmatrix}.$$

An algorithm based on Theorem 2.1 was developed in [13] to generate all cycle lengths of a linear CA. Based on this algorithm applied to  $A_{W,n}$  cycle lengths up to  $n = 40$  were computed and appear in the table.

### 3.1 The characteristic polynomial for Wolfram's Rule 90.

3.1.1 *The null boundary map.* Wolfram's Rule 90 can be thought of as having periodic boundary conditions or as an infinite sequence on a cylinder. Maps on cylinders have been extensively studied in the literature as in [2, 5, 6, 8, 12, 13, 17]. In an effort to generalize the minimal polynomial result to maps without periodic boundary conditions, Stevens et al. [12, 13] examined the null boundary map, defined by:

$$N_n(\mathbf{x}) = (x_2, x_1 + x_3, x_2 + x_4 + \cdots, x_{n-2} + x_n, x_{n-1})$$

where  $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbf{Z}_2^n$ , with standard matrix representation,

$$A_{N,n} = \begin{pmatrix} 0 & 1 & 0 & \cdots & & 0 & 0 \\ 1 & 0 & 1 & 0 & \cdots & & 0 \\ & & & \ddots & & & \\ 0 & & & \cdots & 1 & 0 & 1 \\ 0 & 0 & \cdots & & 0 & 1 & 0 \end{pmatrix}.$$

The purpose of looking at  $N_n$  in this paper is to utilize relationships between the characteristic polynomials of  $A_{N,n}$  and  $A_{W,n}$  to obtain a closed form expression for the characteristic polynomial of  $A_{W,n}$ . The following theorem illustrates this connection.

**Theorem 3.1.** *Let  $p_{W,n}(\lambda)$  be the characteristic polynomial for the  $n \times n$  matrix  $A_{W,n}$  and  $p_{N,n-1}(\lambda)$  the characteristic polynomial for the  $(n-1) \times (n-1)$  matrix  $A_{N,n-1}$ . Then  $p_{W,n}(\lambda) = \lambda p_{N,n-1}(\lambda)$ .*

*Proof.* The calculations over the finite field  $\mathbf{Z}_2$  imply that  $+1 = -1$  and therefore the determinant  $|A_{W,n} - \lambda I| = |A_{W,n} + \lambda I|$ . Thus in order to compute the characteristic polynomial of  $A_{W,n}$  we need to calculate

the determinant,

$$(3) \quad |A_{W,n} + \lambda I| = \begin{vmatrix} \lambda & 1 & 0 & \cdots & & 0 & 1 \\ 1 & \lambda & 1 & 0 & \cdots & & 0 \\ & & & \ddots & & & \\ 0 & & & \cdots & 1 & \lambda & 1 \\ 1 & 0 & \cdots & & 0 & 1 & \lambda \end{vmatrix}.$$

As before, we may ignore sign changes since  $+1 = -1$ . Therefore, the determinant expands as

$$M = \lambda|M_{1,1}| + |M_{1,2}| + |M_{1,n}|$$

where  $M_{i,j}$  is the  $(n - 1) \times (n - 1)$  minor obtained by eliminating row  $i$  and column  $j$  from the matrix  $A_{W,n} + \lambda I$ .

Direct inspection reveals  $|M_{1,1}| = \lambda p_{N,n-1}(\lambda)$ .

The transpose of  $M_{1,2}$  is the matrix

$$M_{1,2}^T = \begin{pmatrix} \lambda & 1 & 0 & \cdots & & 0 & 1 \\ 1 & 0 & & & \cdots & 0 & 1 \\ 1 & \lambda & 1 & 0 \cdots & & 0 & \\ & & & \ddots & & & \\ 0 & & & \cdots & 1 & \lambda & 1 \\ 0 & 0 & \cdots & & 0 & 1 & \lambda \end{pmatrix}.$$

Each row of  $M_{1,2}^T$  appears as a row of  $M_{1,n}$ . Because the determinant of a matrix equals the determinant of its transpose and interchanging rows changes the sign of the determinant,  $|M_{1,2}| = \pm|M_{1,n}|$ . Because the computations are over  $\mathbf{Z}_2$ ,  $|M_{1,2}|$  equals  $|M_{1,n}|$ . Thus,  $|M_{1,2}| + |M_{1,n}| = 0$ .  $\square$

The characteristic polynomial for  $A_{N,n}$  was obtained in [12] by applying the method of generating functions to the recursion formula:

$$p_{N,n+1}(\lambda) = \lambda p_{N,n}(\lambda) - p_{N,n-1}(\lambda), \quad n \geq 2$$

with initial conditions,  $p_{N,1}(\lambda) = \lambda$  and  $p_{N,2}(\lambda) = \lambda^2 - 1$ . The

closed form expression for the characteristic polynomial is given by

$$(4) \quad p_{N,n}(\lambda) = \sum_{\substack{n \\ \lceil n/2 \rceil}}^n \binom{i}{n-i} \lambda^{2i-n}$$

where all the binomial coefficients are to be interpreted modulo 2.

**Theorem 3.2.** *Let  $n = 2k$ . Then the characteristic polynomial for  $A_{W,n}$  is given by*

$$(5) \quad p_{W,n}(\lambda) = \left( \lambda \left( \binom{k}{k-1} + \binom{k+1}{k-2} \lambda + \binom{k+2}{k-3} \lambda^2 + \dots + \binom{2k-1}{0} \lambda^{k-1} \right) \right)^2.$$

If  $n = 2k + 1$ , then

$$(6) \quad p_{W,n}(\lambda) = \lambda \left( 1 + \binom{k+1}{k-1} \lambda + \binom{k+2}{k-2} \lambda^2 + \dots + \binom{2k}{0} \lambda^k \right)^2.$$

*Proof.* The closed form for  $p_{W,n}$  is found by obtaining the characteristic polynomial through the relationship formulated in Theorem 3.1 and substituting  $n = 2k, 2k + 1$  into (4).

The substitution and repeated applications of the modulo two expansion  $(a + b)^2 = a^2 + b^2$  yields

$$p_{W,n}(\lambda) = \begin{cases} \lambda \left( 1 + \binom{k+1}{k-1} \lambda + \binom{k+2}{k-2} \lambda^2 + \dots + \binom{2k}{0} \lambda^k \right)^2 & \text{if } n = 2k+1 \\ \left( \lambda \left( \binom{k}{k-1} + \binom{k+1}{k-2} \lambda + \binom{k+2}{k-3} \lambda^2 + \dots + \binom{2k-1}{0} \lambda^{k-1} \right) \right)^2 & \text{if } n \text{ is even. } \quad \square \end{cases}$$

Because the minimal polynomial is a factor of the characteristic polynomial, we will use the characteristic polynomial to obtain the closed form expression for the minimal polynomial.

**3.2 The minimal polynomial for Wolfram’s Rule 90.** The following theorem gives the minimal polynomial for  $A_{W,n}$ .

**Theorem 3.3.** *Let  $\mu_{W,n}(\lambda)$  be the minimal polynomial for the  $n \times n$  matrix  $A_{W,n}$ . Let  $p_{W,n}$  be the characteristic polynomial for the  $n \times n$  matrix  $A_{W,n}$ . Then*

$$(7) \quad \mu_{W,n}(\lambda) = \begin{cases} p_{W,k}(\lambda) & \text{if } n = 2k; \\ \lambda(p_{N,k}(\lambda) - p_{N,k-1}(\lambda)) & \text{if } n = 2k + 1. \end{cases}$$

*Proof.*

*Case 1:  $n = 2k$ .* Step 1. Obtain the relationship between  $n = 2k$  and  $n = k$ . As stated in Section 3 we know that  $p_{W,n}(\lambda) = \lambda p_{N,n-1}(\lambda)$ . Furthermore, it was proved in [12] that  $p_{N,2k-1} = \lambda p_{N,k-1}^2$ . Substituting yields

$$p_{W,2k}(\lambda) = \lambda^2 p_{N,k-1}^2(\lambda) = p_{W,k}^2(\lambda).$$

Step 2. Verify the structure of the Smith normal form for  $A_{W,n}$ . Because  $A_{W,n} - \lambda I$  has an  $n - 2 \times n - 2$  minor given by the submatrix,

$$\begin{pmatrix} 1 & 0 & 0 & \cdots & & 0 & 0 \\ 1 & 0 & 0 & \cdots & & & 0 \\ -\lambda & 1 & 0 & \cdots & & & 0 \\ 1 & -\lambda & 1 & 0 & \cdots & & 0 \\ & & & \ddots & & & \\ 0 & & \cdots & 1 & -\lambda & 1 \end{pmatrix}$$

with determinant one, we know that the Smith normal form has the structure

$$(8) \quad S_n = \begin{pmatrix} 1 & 0 & 0 & \cdots & & 0 & \\ 0 & 1 & 0 & 0 & \cdots & & 0 \\ & & & \ddots & & & \\ & & & \cdots & 1 & 0 & 0 \\ 0 & & & \cdots & 0 & r_n(\lambda) & 0 \\ 0 & 0 & \cdots & & 0 & 0 & s_n(\lambda) \end{pmatrix}$$

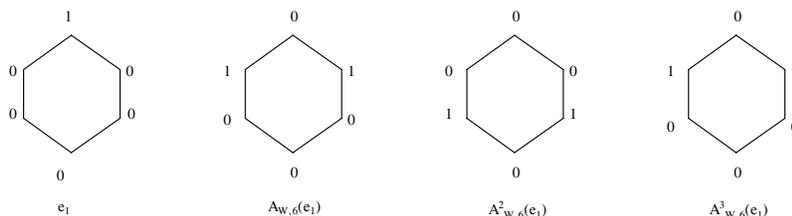


FIGURE 1. Iterates of  $e_1 = (1, 0, 0, 0, 0, 0)$  under  $W_6$ .

where  $r_n(\lambda)s_n(\lambda) = p_{W,n}(\lambda)$ ,  $r_n(\lambda)|s_n(\lambda)$  and  $s_n(\lambda) = \mu_{W,n}(\lambda)$ .

Step 3. Show that  $\deg(r_n(\lambda)) = \deg(s_n(\lambda)) \leq k$ . We illustrate the concept of our argument by discussing the problem on  $\mathbf{Z}_2^6$  first. Consider the basis vector  $e_1 = (1, 0, 0, 0, 0, 0)$ , which may be thought of as assigned to vertices on a symmetric polygon. The iterates under  $A_{W_6}$  are depicted in Figure 1. Because  $A_{W,n}$  is symmetric, all iterates are symmetric as seen in Figure 1. Thus, in general, the minimum number of linearly independent iterates of  $e_1$  is  $k$  and hence the algebraic period of  $e_1$  is less than or equal to  $k$ . Thus, the degree is less than or equal to  $k$ .

We claim that  $\mu_{e_1}(\lambda)$  is the minimal polynomial of  $A_{W,n}$ . To see this first observe that  $\mu_{e_1}(\lambda) = \mu_{e_2}(\lambda) = \dots = \mu_{e_n}(\lambda)$ . This is true because  $A_{W_n}$  commutes with the shift map:

$$\mu_{e_1}(A_{W,n})e_i = \mu_{e_1}(A_{W,n})S_L^i e_1 = S_L^i \mu_{e_1}(A_{W,n})e_1 = 0.$$

Moreover, if  $v \in \mathbf{Z}_2^n$ , then  $v = \sum_{i=1}^n c_i e_i$  where  $c_i \in \mathbf{Z}_2^n$  and  $\mu_{e_1}(A_{W,n})v = \sum_{i=1}^n c_i \mu_{e_1}(A_{W,n})e_i = 0$ . Therefore  $\deg(\mu_{W,n}) \leq k$ . It follows that  $\deg(s_n) \leq k$ . The divisibility condition,  $r_n|s_n$ , implies the degree of  $r_n$  is also less than or equal to  $k$ .

Step 4. Show that  $r_n(\lambda) = s_n(\lambda)$ , which proves that  $p_{W,n}(\lambda) = \mu_{A_{W,n}}^2(\lambda)$ . Because  $r_n(\lambda)s_n(\lambda) = p_{W,n}(\lambda)$ , we know that  $\deg(r_n) + \deg(s_n) = 2k$ . It follows from  $\deg(r_n) \leq \deg(s_n) \leq k$ , that  $\deg(r_n) = \deg(s_n) = k$ . Since  $r_n|s_n$ , we have that  $r_n(\lambda) = s_n(\lambda)$ . Hence,  $p_{W,n}(\lambda) = \mu_{A_{W,n}}^2(\lambda) = (p_{W,k}(\lambda))^2$  and thus  $\mu_{W,n}(\lambda) = p_{W,k}(\lambda)$ .

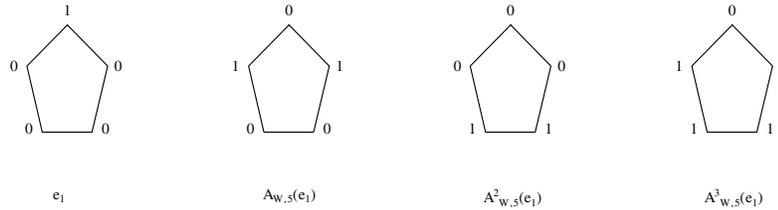


FIGURE 2. Iterates of  $e_1 = (1, 0, 0, 0, 0)$  under  $W_5$ .

*Case 2:*  $n=2k+1$ . As before, the proof uses a symmetry argument to show that the  $\deg(\mu_{e_1}(\lambda)) \leq k + 1$ . If  $n = 2k + 1$ , then from a result in [12],

$$p_{W,2k+1} = \lambda p_{N,2k}(\lambda) = \lambda(p_{N,k}(\lambda) + p_{N,k-1}(\lambda))^2.$$

Let  $q_n(\lambda) = p_{N,k}(\lambda) + p_{N,k-1}(\lambda)$ .

Step 1: Show that  $\mu_{W,n}(\lambda)$  has only one factor of  $\lambda$ . By the closed form expression, (4),  $p_{N,2k}(0) = 1$  and  $p_{N,2k+1}(0) = 0$ . Thus,  $q_n(0) = 1$ . Therefore,  $\lambda | p_{W,n}(\lambda)$ , but  $\lambda^2 \nmid p_{W,n}(\lambda)$ . It follows that  $\lambda | s_n(\lambda)$  but  $\lambda^2 \nmid s_n(\lambda)$ , where  $s_n(\lambda)$  is the  $(n - 1) \times (n - 1)$  entry in the Smith normal form, (8). Write  $s_n(\lambda) = \lambda \tilde{s}_n(\lambda)$ .

Step 2: Show  $\deg(\mu_{A_{W,n}}(\lambda)) \leq k + 1$ . The proof as before uses symmetry arguments to show that  $\deg(\mu_{e_1}(\lambda)) \leq k + 1$ . To see this we need to think of  $e_1$  on a symmetric polygon as illustrated for the case  $n = 5$ , Figure 2.

Due to the symmetry, there can be only at most  $k+1$  linearly independent iterates of  $e_1$ . Thus the dimension of  $\text{span}\{e_1, A_{W,n}e_1, \dots\}$  must be less than or equal to  $k + 1$ . Therefore, the  $\deg(\mu_{e_1}(\lambda)) \leq k + 1$ . As in Case 1,  $\mu_{e_1}$  is the minimal polynomial of  $A_{W,n}$  and therefore  $\deg(\mu_{A_{W,2k+1}}) \leq k + 1$ .

Step 3: Show  $p_{W,n}(\lambda) = \lambda(\tilde{s}_n(\lambda))^2$ . We know that  $\deg(r_n(\lambda)) \leq \deg(\tilde{s}_n(\lambda)) \leq k$ . We also know that  $\deg(r_n(\lambda)) + \deg(s_n(\lambda)) + 1 = 2k + 1$ . This implies  $\deg(r_n(\lambda)) = \deg(\tilde{s}_n(\lambda)) = k$ . So we can conclude that  $r_n(\lambda) = \tilde{s}_n(\lambda)$  and hence  $p_{W,n}(\lambda) = \lambda(s_n^2(\lambda))$  where  $s_n(\lambda) = q_n(\lambda)$ .

□

A nice result of the above method of proof is that the standard basis vectors in  $\mathbf{Z}_2^n$  lead to the maximum cycle for any  $n$ . In fact, the basis vectors in  $\mathbf{Z}_2^n$  lead to the maximal cycle for any finite dimensional linear CA with periodic boundary conditions. To see this consider the general finite dimensional linear map with periodic boundary conditions:

(9)

$$L_n = (a_1x_1 + a_2x_2 + \cdots + a_nx_n, a_1x_n + a_2x_1 + \cdots + a_nx_{n-1}, \dots, a_1x_2 + \cdots + a_{n-1}x_n + a_nx_1)$$

where  $a_i \in \mathbf{Z}_2$  for  $i = 1, \dots, n$ . The matrix representation of  $L_n$  in the standard basis vectors is the right circulant matrix,

$$A_{L,n} = \begin{pmatrix} a_1 & a_2 & a_3 & \cdots & & a_n \\ a_n & a_1 & a_2 & a_3 & \cdots & a_{n-1} \\ & & & \ddots & & \\ a_2 & a_3 & \cdots & & a_n & a_1 \end{pmatrix}$$

Multiplying  $A_{L,n}$  and  $A_{S_L}$  where  $A_{S_L}$  is the matrix representation of the left shift map verifies that  $A_{L,n}$  commutes with  $A_{S_L}$ . Commutation with the shift map was the only criterion required to show that  $e_i$ ,  $i = 1, \dots, n$ , led to the maximal cycle under  $W_n$ . Thus, the same argument can be used to prove the following theorem.

**Theorem 3.4.** *Let  $L_n$  be the general linear CA defined in (9). Then the standard basis vectors,  $e_i$ ,  $i = 1, \dots, n$ , lead to the maximal cycle under  $L_n$ .*

We now examine Rule 150 and its connection to  $W_n$ .

**4. Wolfram's Rule 150 and the banded map.** Wolfram's Rule 150,

$$(10) \quad T_n(\mathbf{x}) = (x_n + x_1 + x_2, x_1 + x_2 + x_3, \dots, x_{n-1} + x_n + x_1)$$

where  $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbf{Z}_2^n$  was studied by Tadaki in [14, 16]. In [14], Tadaki obtained a recursion formula for the characteristic

polynomial of  $A_{T,n}$ . In actuality, Tadaki was working with the null boundary condition map

$$B_n(\mathbf{x}) = (x_1 + x_2, x_1 + x_2 + x_3, \dots, x_{n-3} + x_{n-2} + x_{n-1}, x_{n-1} + x_n),$$

although not formally stated.

Tadaki's goal was to predict cyclic behavior of Rule 150 by using the closed form expression for the characteristic equation to find the relationship between the cycle lengths of Rule 90 and Rule 150. As proved in Theorem 2.1, cyclic behavior is characterized through the minimal polynomial and thus a closed form for the minimal polynomial of  $A_{T,n}$  is desired. Because  $A_{T,n} = A_{W,n} + I$ , the characteristic and minimal polynomials of Rule 150 can be obtained from  $p_{W,n}(\lambda)$  and  $\mu_{W,n}(\lambda)$ . In fact, we have that  $p_{T,n}(\lambda) = |A_{W,n} + I - \lambda I| = |A_{W,n} - (\lambda - 1)I| = p_{W,n}(\lambda + 1)$ . This observation yields  $\mu_{T,n}(\lambda) = \mu_{W,n}(\lambda + 1)$ . This relationship leads to the following result connecting the behavior of both maps.

**Theorem 4.1.** *If  $n = 2^k$ , the order of  $\mu_{A_{T,n}}(\lambda)$  is  $2^{k-1}$ . Furthermore, the order of any minimal annihilating polynomial is  $2^j$  where  $j \leq k - 1$ .*

*Proof.* It is shown in [12] that

$$p_{N,2^k-1}(\lambda) = \lambda^{2^k-1}.$$

Therefore,

$$p_{W,2^k} = \lambda^{2^k}.$$

By the closed form expression for the minimal polynomial of  $A_{W,n}$  given by (7)

$$\mu_{A_{W,2^k}} = \lambda^{2^{k-1}}.$$

Thus  $\text{ord}(\mu_{W,n}(\lambda)) = 1$ . From this formulation we have that  $\mu_{T,n}(\lambda) = (\lambda + 1)^{2^{k-1}}$ . This proves that  $\text{ord}(\mu_{T,n}(\lambda)) = 2^{k-1}$ . From the closed form expression, we also can see that  $(\lambda + 1)^{2^j}$  is a factor of  $\mu_{T,n}(\lambda)$  for  $j \leq k - 1$ . This proves that all minimal annihilating polynomials for  $n = 2^k$  must have order  $2^j$  where  $j \leq k - 1$ .  $\square$

TABLE 1. Period lengths under iterations of the Wolfram's Rule 150 and 90.

Vector Length	Cycle Lengths Rule 150	Cycle Lengths Rule 90
$n = 3$	1	1
$n = 4$	1, 2	1
$n = 5$	1, 3	1, 3
$n = 6$	1, 4	1, 2
$n = 7$	1, 7	1, 7
$n = 8$	1, 2, 4	1
$n = 9$	1, 7	1, 7
$n = 10$	1, 3, 6	1, 3, 6
$n = 11$	1, 31	1, 31
$n = 12$	1, 2	1, 2, 4
$n = 13$	1, 21	1, 819
$n = 14$	1, 7, 14	1, 7, 14
$n = 15$	1, 3, 5, 15	1, 3, 15
$n = 16$	1, 2, 4, 8	1
$n = 17$	1, 15	1, 5, 15
$n = 18$	1, 7, 14	1, 2, 7, 14
$n = 19$	1, 511	1, 511
$n = 20$	1, 2, 3, 6, 12	1, 3, 6, 12
$n = 21$	1, 7, 63	1, 7, 63
$n = 22$	1, 31, 62	1, 31, 62
$n = 23$	1, 2047	1, 2047
$n = 24$	1, 2, 4	1, 2, 4, 8
$n = 25$	1, 3, 1023	1, 13, 1023
$n = 26$	1, 21, 42	1, 63, 126
$n = 27$	1, 7, 511	1, 7, 511
$n = 28$	1, 2, 7, 14, 28	1, 7, 14, 28
$n = 29$	1, 16383	1, 16383

TABLE 1 (Continued).

Vector Length	Cycle Lengths Rule 150	Cycle Lengths Rule 90
$n = 30$	1, 3, 5, 6, 10, 15, 30	1, 2, 3, 6, 15, 30
$n = 31$	1, 31	1, 31
$n = 32$	1, 2, 4, 8, 16	1
$n = 33$	1, 31	1, 31
$n = 34$	1, 15, 30	1, 5, 10, 15, 30
$n = 35$	1, 3, 7, 21, 4095	1, 3, 7, 21, 4095
$n = 36$	1, 2, 7, 14, 28	1, 2, 4, 7, 14, 28
$n = 37$	1, 29127	1, 87381
$n = 38$	1, 511, 1022	1, 511, 1022
$n = 39$	1, 21, 4095	1, 63, 1365, 4095
$n = 40$	1, 2, 3, 4, 6, 12, 24	1, 3, 6, 12, 24

**5. Conclusion.** In this paper we have obtained closed form expressions for the minimal polynomials of Rule 90 and Rule 150. Because cycle lengths and the transient dynamics can be obtained from the minimal polynomials, such an expressions are valuable. Through the computation of the minimal polynomial of Rule 90, we find that the standard basis vectors always lead to the maximal cycle for any finite dimensional linear CA with periodic boundary conditions.

Using the relationship that  $\mu_{W,n}(\lambda + 1) = \mu_{T,n}(\lambda)$  we were able to obtain connections between the period lengths for both maps in the case where  $n$  is a power of two. It would be interesting to investigate further the connection between the order of an arbitrary polynomial,  $q(\lambda)$ , and the order of  $q(\lambda + 1)$ .

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DEPARTMENT OF MATHEMATICAL SCIENCES, MONTCLAIR STATE UNIVERSITY,  
UPPER MONTCLAIR, NJ 07043  
*E-mail address:* thomasdia@mail.montclair.edu

DEPARTMENT OF MATHEMATICAL SCIENCES, MONTCLAIR STATE UNIVERSITY,  
UPPER MONTCLAIR, NJ 07043

DEPARTMENT OF MATHEMATICAL SCIENCES, MONTCLAIR STATE UNIVERSITY,  
UPPER MONTCLAIR, NJ 07043