

## STABILITY OF DIFFEOMORPHISMS ALONG ONE PARAMETER

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**ABSTRACT.** The structural stability theorem, proved by Robbin [6] and Robinson [7], says that for an Axiom A diffeomorphism  $f$  with the strong transversality condition, there exists a sufficiently small neighborhood  $U$  of  $f$  in the set of  $C^1$  diffeomorphisms such that if  $g \in U$  then there is a homeomorphism  $h$  near the identity map such that  $f$  is conjugate to  $g$ , i.e.,  $hf = gh$ .

In this paper we further investigate the size of the neighborhood  $U$  and the distance of the homeomorphism  $h$  with the identity map. We show that if  $\{f_\varepsilon\}$  is a one-parameter family of  $C^3$  diffeomorphisms,  $f_0$  satisfies Axiom A and the strong transversality condition, and  $f_\varepsilon$  is  $C^0$   $O(\varepsilon^3)$ -close and  $C^1$   $O(\varepsilon^2)$ -close to  $f_0$ , then for all small  $|\varepsilon|$ , there is a homeomorphism  $h_\varepsilon$  with  $C^0$   $O(\varepsilon^2)$  near the identity map, such that  $h_\varepsilon f_0 = f_\varepsilon h_\varepsilon$ .

**1. Definitions and the main theorem.** First of all, we introduce notations and basic definitions.

Throughout this paper, let  $M$  denote a smooth compact manifold with a distance  $d$  induced from the Riemannian metric,  $d_{C^0}$  denote a distance in the set of continuous maps on  $M$  with the standard  $C^0$ -topology, and  $d_{C^1}$  denote a distance in the set of  $C^1$  diffeomorphisms on  $M$  with the strong  $C^1$ -topology. For  $r = 0$  or  $1$ ,  $p \in \mathbf{N}$ , we say that  $f$  is  $C^r$   $O(\varepsilon^p)$  to  $g$  if the ratio  $|d_{C^r}(f, g)/\varepsilon^p|$  is bounded as  $\varepsilon \rightarrow 0$ .

A compact invariant set  $\Lambda$  for a diffeomorphism  $f$  on  $M$  has a *hyperbolic structure* if  $TM|_\Lambda$ , the restriction of the tangent bundle  $TM$  of  $M$  to  $\Lambda$  has two subbundles  $\mathbf{E}^s$  and  $\mathbf{E}^u$  such that  $TM|_\Lambda = (\mathbf{E}^s \oplus \mathbf{E}^u)|_\Lambda$  where  $\oplus$  is the Whitney sum of two subbundles, and if there exist  $C > 0$  and  $0 < \mu < 1$  such that, for any  $x \in M$  and for all

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$n \geq 0$ ,

$$\begin{aligned} Df_x^n \mathbf{E}^\sigma(x) &= \mathbf{E}^\sigma(f^n(x)) \quad \text{for } \sigma = s, u, \\ |Df_x^n v^s| &\leq C\mu^n |v^s| \quad \text{for } v^s \in \mathbf{E}^s(x), \quad \text{and} \\ |Df_x^{-n} v^u| &\leq C\mu^n |v^u| \quad \text{for } v^u \in \mathbf{E}^u(x). \end{aligned}$$

A point  $x$  is *nonwandering* for  $f$  if for every neighborhood  $U$  of  $x$  there is an integer  $n > 0$  such that  $U \cap f^n(U) \neq \emptyset$ . A point  $x$  is *periodic* for  $f$  if  $f^n(x) = x$  for some  $n > 0$ . The *stable manifold* of  $x$  for  $f$  is the set  $W^s(x) = \{y \in M : d(f^n(x), f^n(y)) \rightarrow 0 \text{ as } n \rightarrow \infty\}$ . The *unstable manifold* of  $x$  for  $f$  is the set  $W^u(x) = \{y \in M : d(f^n(x), f^n(y)) \rightarrow 0 \text{ as } n \rightarrow -\infty\}$ .

A diffeomorphism  $f$  satisfies Axiom A if the nonwandering set has a hyperbolic structure and the periodic points of  $f$  are dense in the nonwandering set. If  $f$  satisfies Axiom A, then  $W^s(x)$  and  $W^u(x)$  are injectively immersed submanifolds for all points  $x \in M$  (see [1]). Such a diffeomorphism satisfies the *strong transversality condition* if  $T_x W^s(x) + T_x W^u(x) = T_x M$  for all  $x \in M$ .

We are now in a position to state the result.

**Main theorem.** *Let  $M$  be a smooth compact manifold,  $\{f_\varepsilon\}$  a one-parameter family of  $C^3$  diffeomorphisms on  $M$ , and  $f_0$  satisfies Axiom A and the strong transversality condition. Let  $f_\varepsilon$  be  $C^0$   $O(\varepsilon^3)$ -close and  $C^1$   $O(\varepsilon^2)$ -close to  $f_0$ . Then for all small  $|\varepsilon|$ , there is a homeomorphism  $h_\varepsilon$  on  $M$ , with  $C^0$   $O(\varepsilon^2)$  near the identity map, such that  $h_\varepsilon f_0 = f_\varepsilon h_\varepsilon$ .*

In [5], Murdock considered a one-parameter of vector fields  $\{X_\varepsilon\}$  on  $M$  with a gradient-like Morse-Smale vector field  $X_0$  (when  $\varepsilon = 0$ ) and showed that a constant  $c > 0$  exists such that, for all small  $\varepsilon$ , every solution  $p(t)$  of  $X_0$  is shadowed by a solution  $q_\varepsilon(t)$  of  $X_\varepsilon$  in the sense that  $d(p(t), q_\varepsilon(t)) \leq c\varepsilon$  for all  $t \in \mathbf{R}$ . Avoiding the difficulty of establishing a homeomorphism carrying one to the other, he proved the result by constructing shadowing orbits directly.

In the proof of the main theorem, we shall construct the homeomorphism  $h_\varepsilon$ . The way of the construction is based on the proof of Robbin [6] and Robinson [7] for the structural stability theorem. Some crucial estimates are summarized in the key lemma.

In order to prove that the function  $h_\varepsilon$  is one-to-one, we need the definitions of  $d_{f_0}$ -Lipschitz vector fields and subbundles, due to Robbin [6]. For  $x, y \in M$ , define  $d_{f_0}(x, y) = \sup\{d(f_0^n(x), f_0^n(y)) : n \in \mathbf{Z}\}$ . Then  $d_{f_0}$  is a metric on the manifold  $M$ . Let  $\mathcal{X}^0(M)$  be the set of continuous vector fields on  $M$  with a norm  $\|\cdot\|_0$ . A vector field  $v \in \mathcal{X}^0(M)$  is  $d_{f_0}$ -Lipschitz if there is a least positive constant  $\Lambda(v)$  such that  $|v(x) - v(y)| \leq \Lambda(v)d_{f_0}(x, y)$  for all  $x, y \in M$ . Here, in order to subtract  $v(x)$  and  $v(y)$ , we think of  $TM \subset M \times \mathbf{R}^{2m}$  for some Euclidean space. Let  $\mathcal{X}^{f_0}(M)$  be the set of  $d_{f_0}$ -Lipschitz vector fields on  $M$  and  $\|v\|_{f_0} = \max\{\|v\|_0, \Lambda(v)\}$ . Then  $\|\cdot\|_{f_0}$  is a norm as shown in [6]. A subbundle  $E \subset TM$  is  $d_{f_0}$ -Lipschitz if there is a least positive constant  $\Lambda(E)$  such that  $|E(x) - E(y)| \leq \Lambda(E)d_{f_0}(x, y)$ , where  $|E(x) - E(y)|$  is an appropriate distance function between Euclidean spaces.

**2. Proof of the main theorem.** We briefly sketch the proof as follows.

First, for  $v \in \mathcal{X}^0(M)$ , let

$$\begin{aligned} Q_\varepsilon(v) &= \exp^{-1} \circ f_\varepsilon^{-1} \circ \exp \circ v \circ f_0 - T f_0^{-1} \circ v \circ f_0, \\ L(v) &= v - T f_0^{-1} \circ v \circ f_0. \end{aligned}$$

We shall construct a right inverse  $J$  of  $L$ , i.e.,  $LJ(v) = v$ . Second, define  $\Theta_\varepsilon : \mathcal{X}^0(M) \rightarrow \mathcal{X}^0(M)$  by

$$\Theta_\varepsilon(v) = JQ_\varepsilon(v).$$

We will prove that  $\Theta_\varepsilon$  is a contraction and apply the contraction mapping theorem to  $\Theta_\varepsilon$  so that it has a fixed point  $\tilde{v}_\varepsilon$ . We show that this fixed point  $\tilde{v}_\varepsilon$  is a solution of the equation  $Q_\varepsilon(\tilde{v}_\varepsilon) = L(\tilde{v}_\varepsilon)$  as follows:

$$L(\tilde{v}_\varepsilon) = L\Theta_\varepsilon(\tilde{v}_\varepsilon) = LJQ_\varepsilon(\tilde{v}_\varepsilon) = Q_\varepsilon(\tilde{v}_\varepsilon).$$

From the definitions of  $L$  and  $Q_\varepsilon$ , we get that  $\exp_x^{-1} \circ f_\varepsilon^{-1} \circ \exp_{f_0(x)} \circ \tilde{v}_\varepsilon \circ f_0(x) = \tilde{v}_\varepsilon(x)$ , and so  $\exp \tilde{v}_\varepsilon \circ f_0(x) = f_\varepsilon \circ \exp \tilde{v}_\varepsilon(x)$ . Define  $h_\varepsilon(x) = \exp \tilde{v}_\varepsilon(x)$  for  $x \in M$ . Therefore,  $h_\varepsilon \circ f_0(x) = f_\varepsilon \circ h_\varepsilon(x)$ . Finally we will prove that  $\tilde{v}_\varepsilon$  is  $d_{f_0}$ -Lipschitz small and conclude that  $h_\varepsilon$  is one-to-one.

To start with, we recall some classical properties in [8]. Because  $f_0$  satisfies Axiom A, there is a *spectral decomposition* of the nonwandering

set  $\Omega(f_0) = \Omega_1 \cup \dots \cup \Omega_m$  where the  $\Omega_i$  are pairwise disjoint and each  $\Omega_i$  is closed, invariant and topologically transitive. Since  $f_0$  satisfies the strong transversality condition, there is a partial ordering among these sets  $\Omega_i$  defined by  $\Omega_i \leq \Omega_j$  if and only if  $W^u(\Omega_i) \cap W^s(\Omega_j) \neq \emptyset$ . We can extend this partial ordering to a total ordering and reindex the sets such that if  $W^u(\Omega_i) \cap W^s(\Omega_j) \neq \emptyset$ , then  $i \leq j$ . Let  $TM|_{\Omega(f_0)} = (\mathbf{E}^u \oplus \mathbf{E}^s)|_{\Omega(f_0)}$  be the hyperbolic invariant splitting for the diffeomorphism  $f_0$  on  $\Omega(f_0)$ .

As in [6] and [7], there are neighborhoods  $U_i$  of  $\Omega_i$ ,  $i = 1, \dots, m$ , and compatible families of stable and unstable subbundles  $\{E_i^\sigma(x) \subset T_x M : x \in O(U_i)\}$ ,  $\sigma = s, u$ , where  $O(U_i) = \{f^n(x) \in M : x \in U_i, n \in \mathbf{Z}\}$ . That is, for  $i, j = 1, \dots, m$ ,

1.  $U_i \cap U_j = \emptyset$  for  $i \neq j$ .
2.  $E_i^u|_{\Omega_i} = \mathbf{E}^u|_{\Omega_i}$  and  $E_i^s|_{\Omega_i} = \mathbf{E}^s|_{\Omega_i}$ .
3.  $TM|_{O(U_i)} = (E_i^u + E_i^s)|_{O(U_i)}$ .
4.  $E_i^u$  and  $E_i^s$  are  $T f_0$ -invariant.
5.  $E_i^u(x) \supset E_j^u(x)$  and  $E_i^s(x) \subset E_j^s(x)$  if  $1 \leq i < j$  and  $x \in O^+(U_i) \cap O^-(U_j)$ . Here  $O^+(U_i) = \{f^n(x) \in M : x \in U_i, n \geq 0\}$  and  $O^-(U_i) = \{f^n(x) \in M : x \in U_i, n \leq 0\}$ .
6. (Hyperbolic estimate) There is a Riemannian metric and a constant  $0 < \mu < 1$  such that  $\|T f_0^{-1} \circ v^u\|_0 \leq \mu \|v^u\|_0$  and  $\|T f_0 \circ v^s\|_0 \leq \mu \|v^s\|_0$  for  $v^u \in E_i^u|_{U_i}$ ,  $v^s \in E_i^s|_{U_i}$ .
7.  $E_i^u$  and  $E_i^s$  are  $d_{f_0}$ -Lipschitz.

Choose a partition of unity  $\theta_1, \dots, \theta_m$  subordinate to the cover  $O(U_1), \dots, O(U_m)$  of  $M$ , i.e., for every  $i$ ,  $\theta_i : M \rightarrow [0, \infty)$  is a smooth function such that  $\text{supp}(\theta_i) \subset O(U_i)$  and  $\sum_{i=1}^m \theta_i(x) = 1$  for all  $x \in M$ . For  $v \in \mathcal{X}^0(M)$ , we write  $\theta_i v = v_i^u + v_i^s$  with  $v_i^\sigma(x) \in E_i^\sigma(x)$  for  $x \in O(U_i)$  and  $\sigma = s, u$ . Hence  $\text{supp}(v_i^\sigma) \subseteq \text{supp}(\theta_i) \subset O(U_i)$  for  $\sigma = s, u$ . Define  $J : \mathcal{X}^0(M) \rightarrow \mathcal{X}^0(M)$  by

$$J(v) = \sum_{i=1}^m \left( \sum_{n=1}^{\infty} T f_0^n \circ v_i^s \circ f_0^{-n} - \sum_{n=0}^{\infty} T f_0^{-n} \circ v_i^u \circ f_0^n \right).$$

Then  $J$  is a well-defined continuous linear map on  $\mathcal{X}^0(M)$ , see [6], and clearly  $LJ(v) = v$ .

The following lemma gives all estimates on  $J$  and  $Q_\varepsilon$  which we shall need to show that  $\Theta_\varepsilon = JQ_\varepsilon$  is a contraction. Refer to [2] and [3] for similar estimates.

**Key lemma.**  $K_1 > 0$  exists such that

$$\begin{aligned} (1) \quad & \|J\|_0 \leq K_1(1 - \mu)^{-1}, \\ (2) \quad & \Lambda(J(v)) \leq K_1(1 - \mu)^{-1}(\Lambda(v) + \|v\|_0), \end{aligned}$$

and, moreover,  $\delta > 0$  exists such that, for all  $\|v\|_0, \|w\|_0 < \delta$ ,

$$\begin{aligned} (3) \quad & \|Q_\varepsilon(0)\|_0 \leq d_{C^0}(f_\varepsilon, f_0), \\ (4) \quad & \|Q_\varepsilon(v) - Q_\varepsilon(w)\|_0 \leq (K_1 \max\{\|v\|_0, \|w\|_0\} + d_{C^1}(f_\varepsilon, f_0))\|v - w\|_0, \\ (5) \quad & \|Q_\varepsilon(v)\|_0 \leq K_1\|v\|_0\|v\|_0 + d_{C^1}(f_\varepsilon, f_0)\|v\|_0 + d_{C^0}(f_\varepsilon, f_0), \\ (6) \quad & \Lambda(Q_\varepsilon(v)) \leq (K_1\|v\|_0 + d_{C^1}(f_\varepsilon, f_0))(1 + \Lambda(v)). \end{aligned}$$

We defer the proof of the key lemma to the end of this section.

From the key lemma, we have the following estimates on  $\Theta_\varepsilon$ .

$$\begin{aligned} \|\Theta_\varepsilon(v) - \Theta_\varepsilon(w)\|_0 &\leq \|J\|_0 \|Q_\varepsilon(v) - Q_\varepsilon(w)\|_0 \\ &\leq K_1(1 - \mu)^{-1} (K_1 \max\{\|v\|_0, \|w\|_0\} \\ &\quad + d_{C^1}(f_\varepsilon, f_0)) \|v - w\|_0 \\ \|\Theta_\varepsilon(v)\|_0 &\leq \|J\|_0 \|Q_\varepsilon(v)\|_0 \\ &\leq K_1(1 - \mu)^{-1} (K_1\|v\|_0\|v\|_0 + d_{C^1}(f_\varepsilon, f_0)\|v\|_0 \\ &\quad + d_{C^0}(f_\varepsilon, f_0)) \\ \Lambda(\Theta_\varepsilon(v)) &\leq K_1(1 - \mu)^{-1} \{ (K_1\|v\|_0 + d_{C^1}(f_\varepsilon, f_0))(1 + \Lambda(v)) \\ &\quad + K_1\|v\|_0\|v\|_0 \\ &\quad + d_{C^1}(f_\varepsilon, f_0)\|v\|_0 + d_{C^0}(f_\varepsilon, f_0) \}. \end{aligned}$$

Without loss of generality, we assume that the parameter  $\varepsilon > 0$ . From the assumptions,  $d_{C^0}(f_0, f_\varepsilon) < K_2\varepsilon^3$  and  $d_{C^1}(f_0, f_\varepsilon) < K_3\varepsilon^2$  for some constants  $K_2, K_3 > 0$ .

In order to find the subspace of  $\mathcal{X}^0(M)$  in which  $\Theta_\varepsilon$  preserves and is a contraction, we choose a suitable  $K > 0$ , such that for all sufficiently small  $\varepsilon$  with  $0 < \varepsilon < 1 - \mu$ ,

$$\begin{aligned} K\varepsilon^2 &< \delta, \\ K_1(1 - \mu)^{-1}(K_1K\varepsilon^2 + K_3\varepsilon^2) &< \frac{1}{2}, \\ K_1(1 - \mu)^{-1}(K_1K\varepsilon^2K\varepsilon^2 + K_3\varepsilon^2K\varepsilon^2 + K_2\varepsilon^3) &\leq K\varepsilon^2, \\ K_1(1 - \mu)^{-1}\{(K_1K\varepsilon^2 + K_3\varepsilon^2)(1 + K\varepsilon) \\ &\quad + K_1K\varepsilon^2K\varepsilon^2 + K_3\varepsilon^2K\varepsilon^2 + K_2\varepsilon^3\} \leq K\varepsilon. \end{aligned}$$

Thus, for all  $v, w \in \mathcal{X}^0(M)$  with  $\|v\|, \|w\| < K\varepsilon^2$  and every Lipschitz vector field  $u \in \mathcal{X}^0(M)$  with  $\Lambda(u) < K\varepsilon$ , we have that

$$\begin{aligned} \|\Theta_\varepsilon(v) - \Theta_\varepsilon(w)\|_0 &< \frac{1}{2}\|v - w\|_0, \\ \|\Theta_\varepsilon(v)\|_0 &\leq K\varepsilon^2, \\ \Lambda(\Theta_\varepsilon(u)) &\leq K\varepsilon. \end{aligned}$$

Therefore  $\Theta_\varepsilon$  preserves and is a contraction on the space  $\{v \in \mathcal{X}^0(M) : \|v\| \leq K\varepsilon^2\}$  and  $\Theta_\varepsilon$  also preserve the subspace  $\{v \in \mathcal{X}^0(M) : \|v\| \leq K\varepsilon^2, \Lambda(v) \leq K\varepsilon\}$ . So  $\Theta_\varepsilon$  has a unique fixed point  $\tilde{v}_\varepsilon$  with  $\|\tilde{v}_\varepsilon\| \leq K\varepsilon^2$  and  $\Lambda(\tilde{v}_\varepsilon) \leq K\varepsilon$ . Define  $h_\varepsilon(x) = \exp(\tilde{v}_\varepsilon(x))$  for all  $x \in M$ , then  $h_\varepsilon \circ f_0(x) = f_\varepsilon \circ h_\varepsilon(x)$ . Since  $\tilde{v}_\varepsilon$  is continuous,  $h_\varepsilon$  is continuous. Because  $h_\varepsilon$  is homotopic to the identity,  $h_\varepsilon$  is of degree one and hence onto (see [4]). Moreover,  $d_{C^0}(h_\varepsilon, id_M) = d_{C^0}(\exp(\tilde{v}_\varepsilon), id_M) = \|\tilde{v}_\varepsilon\|_0 \leq K\varepsilon^2$ . Finally, we have to prove that  $h_\varepsilon$  is one to one.

Suppose  $h_\varepsilon(x) = h_\varepsilon(y)$ . By the conjugacy, we have  $h_\varepsilon(f_0^k(x)) = f_\varepsilon^k(h_\varepsilon(x)) = f_\varepsilon^k(h_\varepsilon(y)) = h_\varepsilon(f_0^k(y))$  for all  $k \in \mathbf{Z}$ . There exists  $n \in \mathbf{R}$  such that  $d_{f_0}(x, y) \leq 2d(f_0^n(x), f_0^n(y))$ . Let  $p = f_0^n(x)$  and  $q = f_0^n(y)$ , then  $h_\varepsilon(p) = h_\varepsilon(q)$  and  $d_{f_0}(p, q) = d_{f_0}(x, y) \leq 2d(f_0^n(x), f_0^n(y)) = 2d(p, q)$ . As in Lemma 2.3 of [6],  $\alpha > 0$  exists such that  $\alpha d(p, q) - d(h_\varepsilon(p), h_\varepsilon(q)) \leq |\tilde{v}_\varepsilon(p) - \tilde{v}_\varepsilon(q)|$ . Because  $h_\varepsilon(p) = h_\varepsilon(q)$  and  $\Lambda(\tilde{v}_\varepsilon) \leq K\varepsilon$ ,

$$\alpha d(p, q) \leq |\tilde{v}_\varepsilon(p) - \tilde{v}_\varepsilon(q)| \leq K\varepsilon d_{f_0}(p, q) \leq 2K\varepsilon d(p, q).$$

Consider  $\varepsilon$  small enough such that  $\alpha - 2K\varepsilon > 0$ , then  $d(p, q) = 0$  and  $p = q$ . Thus,  $x = f_0^{-n}(p) = f_0^{-n}(q) = y$ , and hence  $h_\varepsilon$  is one to one.

We now turn to prove the key lemma and so complete the proof of the main theorem.

*Proof of the key lemma.* The six inequalities are proved in (1)–(6)'s order.

(1) By using hyperbolic estimates, it can be shown that  $C > 1$  and  $0 < \mu < 1$  exist such that  $\|Tf_0^n \circ v_i^s \circ f_0^{-n}\|_0 \leq C\mu^n \|v_i^s\|_0$  and  $\|Tf_0^{-n} \circ v_i^u \circ f_0^n\|_0 \leq C\mu^n \|v_i^u\|_0$  for all  $n \geq 0$  and all  $i$ . Thus

$$\|J\|_0 \leq \sum_{i=1}^m 2 \sum_{n=0}^{\infty} C\mu^n = \sum_{i=1}^m 2C(1-\mu)^{-1} \leq K_1(1-\mu)^{-1}$$

for some  $K_1 > 0$ .

(2) In [6] (see also [7]) Robbin showed that for  $\sigma = u, s$ ,  $\Lambda(Tf_0^{-n} \circ v_i^\sigma \circ f_0^n) \leq C\mu^n \Lambda(v_i^\sigma) + bCn\mu^{n-1} \|v_i^\sigma\|_0$ , here  $b$  is a bound on the second derivatives of  $f_0$  in local coordinates. Therefore,

$$\begin{aligned} \sum_{n=0}^{\infty} \Lambda(Tf_0^{-n} \circ v_i^\sigma \circ f_0^n) &\leq \sum_{n=0}^{\infty} (C\mu^n \Lambda(v_i^\sigma) + bCn\mu^{n-1} \|v_i^\sigma\|_0) \\ &\leq C(1-\mu)^{-1} \Lambda(v_i^\sigma) + bC(1-\mu)^{-2} \|v_i^\sigma\|_0, \end{aligned}$$

and

$$\begin{aligned} \Lambda(J(v)) &\leq \sum_{i=0}^m \left( \sum_{n=1}^{\infty} \Lambda(Tf_0^n \circ v_i^s \circ f_0^{-n}) + \sum_{n=0}^{\infty} \Lambda(Tf_0^{-n} \circ v_i^u \circ f_0^n) \right) \\ &\leq K_1(1-\mu)^{-1} (\Lambda(v) + \|v\|_0), \quad \text{for some } K_1 > 0. \end{aligned}$$

(3) Clearly,

$$\|Q_\varepsilon(0)\|_0 = \|\exp_x^{-1} \circ f_\varepsilon^{-1} \circ f_0(x)\|_0 = d_{C^0}(f_\varepsilon, f_0).$$

(4) Let  $G_\varepsilon(v_{f_0(x)}) = Tf_0^{-1}(v_{f_0(x)}) - \exp_x^{-1}(f_\varepsilon^{-1}(\exp_{f_0(x)}(v_{f_0(x)})))$ . Since  $f_0$  and  $f_\varepsilon$  are  $C^3$ ,  $G_\varepsilon$  is  $C^2$  and so  $K_1 > 0$  and  $\delta > 0$  exist such that  $\|D^2 G_\varepsilon(v)\|_0 \leq K_1$  for all  $\|v\|_0 < \delta$ . By the mean value

theorem, we have for all  $\|v\|_0, \|w\|_0 < \delta$ ,

$$\begin{aligned}
 \|Q_\varepsilon(v) - Q_\varepsilon(w)\|_0 &= \sup_{x \in M} |G_\varepsilon(v_{f_0(x)}) - G_\varepsilon(w_{f_0(x)})| \\
 &= \sup_{y \in M} |G_\varepsilon(v_y) - G_\varepsilon(w_y)| \\
 &= \sup_{y \in M} \left| \int_0^1 DG_\varepsilon(w_y + s(v_y - w_y))(v_y - w_y) ds \right| \\
 &\leq \sup_{\substack{y \in M \\ |v_y^*| \leq \|v\|_0, \|w\|_0}} |DG_\varepsilon(v_y^*)| \cdot |v_y - w_y| \\
 &= \sup_{\substack{y \in M \\ |v_y^*| \leq \|v\|_0, \|w\|_0}} \left\{ \left| \int_0^1 D^2G_\varepsilon(sv_y^*)v_y^* ds \right| \right. \\
 &\quad \left. + \|DG_\varepsilon(0)\|_0 \right\} \|v - w\|_0 \\
 &\leq (K_1 \max\{\|v\|_0, \|w\|_0\} + d_{C^1}(f_\varepsilon, f_0)) \|v - w\|_0.
 \end{aligned}$$

(5) Taking  $w = 0$  in the inequality (4), we get

$$\|Q_\varepsilon(v)\|_0 \leq K_1 \|v\|_0 \|v\|_0 + d_{C^1}(f_\varepsilon, f_0) \|v\|_0 + d_{C^0}(f_\varepsilon, f_0)$$

(6) Using the mean value theorem again, we have

$$\begin{aligned}
 |Q_\varepsilon(v_x) - Q_\varepsilon(v_y)| &\leq \|DG_\varepsilon(v^*)\|_0 d(v \circ f_0^{-1}(x), v \circ f_0^{-1}(y)) \\
 &\leq (K_1 \|v\|_0 + d_{C^1}(f_\varepsilon, f_0)) (d(f_0^{-1}(x), f_0^{-1}(y)) \\
 &\quad + |v \circ f_0^{-1}(x) - v \circ f_0^{-1}(y)|) \\
 &\leq (K_1 \|v\|_0 + d_{C^1}(f_\varepsilon, f_0)) (d_{f_0}(x, y) + \Lambda(v) d_{f_0}(x, y)) \\
 &= (K_1 \|v\|_0 + d_{C^1}(f_\varepsilon, f_0)) (1 + \Lambda(v)) d_{f_0}(x, y).
 \end{aligned}$$

So  $\Lambda(Q_\varepsilon(v)) \leq (K_1 \|v\|_0 + d_{C^1}(f_\varepsilon, f_0)) (1 + \Lambda(v))$ .  $\square$

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