

## POLYNOMIALS ON SCHREIER'S SPACE

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**ABSTRACT.** We introduce a weakened version of the Dunford-Pettis property and give examples of Banach spaces with this property. In particular, we show that every closed subspace of Schreier's space  $S$  enjoys it. As an application we characterize the weak polynomial convergence of sequences, show that every closed subspace of  $S$  has the polynomial Dunford-Pettis property of Biström et al. and give other polynomial properties of  $S$ .

A subset  $A = \{n_1 < \dots < n_k\}$  of the natural numbers  $\mathbf{N}$  is said to be *admissible* if  $k \leq n_1$ . Schreier's space  $S$  [22], [4] is the completion of the space  $c_{00}$  of all scalar sequences of finite support with respect to the norm:

$$\|x\|_S := \sup \left\{ \sum_{j \in A} |x_j| : A \subset \mathbf{N} \text{ is admissible} \right\}, \quad \text{for } x = (x_j)_{j=1}^{\infty}.$$

Some basic properties of  $S$  may be seen in [6]. Schreier's space has been used to provide counterexamples in Banach space theory [2], [6], [7], [20], [21].

In this paper we introduce a weakened version of the Dunford-Pettis property and give examples of Banach spaces with this property. In particular, we show that every closed subspace of  $S$  enjoys it. It is well known that a reflexive Banach space with the Dunford-Pettis property must be finite dimensional. The same is true for a Banach space with the Banach-Saks property and the weak Dunford-Pettis property. As an application we investigate polynomial properties of  $S$ , characterizing the sequences which converge in the weak polynomial topology that we

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shall call the  $\mathcal{P}$ -topology. As far as we know, this is the first time that  $\mathcal{P}$ -convergent sequences are characterized for a space where  $\mathcal{P}$ -convergence does not coincide with either norm or weak convergence of sequences. From this we obtain that every closed subspace of  $S$  has the polynomial Dunford-Pettis property [3].

We also show that the relatively compact sets for the  $\mathcal{P}$ -topology coincide with the Banach-Saks sets, that the absolutely convex closed hull of a Banach-Saks set in  $S$  is a Banach-Saks set, and that the tensor product of two Banach-Saks sets is a Banach-Saks set in the projective tensor product  $S \otimes_{\pi} S$ . It is unknown if the Banach-Saks sets in an arbitrary Banach space are stable under convex hulls. An example of a Banach space so that the relatively  $\mathcal{P}$ -compact sets are not stable under convex hulls was given in [5]. Moreover, given two  $\mathcal{P}$ -null (i.e.,  $\mathcal{P}$ -convergent to zero) sequences  $(x_n), (y_n) \subset S$ , we prove that  $\{x_n \otimes y_n\}$  is a Banach-Saks set in  $S \otimes_{\pi} S$ . The polynomial Dunford-Pettis property of  $S$  implies that the sequence  $(x_n \otimes y_n)$  is  $\mathcal{P}$ -null in  $S \otimes_{\pi} S$ , and that  $(x_n + y_n)$  is  $\mathcal{P}$ -null in  $S$ . These properties have interesting consequences in infinite dimensional holomorphy, as shown in [15, Remark 4.7].

We shall use the facts that the unit vector basis of  $S$  is unconditional, and that every closed subspace of  $S$  contains an isomorphic copy of  $c_0$  (so  $S$  contains no copy of  $l_1$ ).

Throughout the paper,  $E$  will denote a Banach space and  $E^*$  its dual. The space of all scalar valued  $k$ -homogeneous (continuous) polynomials on  $E$  is represented by  $\mathcal{P}^k(E)$ . General references for polynomials on Banach spaces are [11], [19]. Given a subset  $A \subset \mathbf{N}$ ,  $\text{card } A$  stands for the cardinality of  $A$ .

A sequence  $(x_n) \subset E$  is  $\mathcal{P}$ -convergent to  $x$  if  $P(x_n) \rightarrow P(x)$  for every  $P \in \mathcal{P}^k(E)$  and all  $k \in \mathbf{N}$ . A set  $A \subset E$  is relatively  $\mathcal{P}$ -compact if every sequence in  $A$  has a  $\mathcal{P}$ -convergent subsequence.

A subset  $A \subset E$  is a Banach-Saks set if every sequence in  $A$  has a subsequence whose arithmetic means converge in norm. A sequence  $(x_n) \subset E$  converges uniformly weakly to  $x$  in  $E$  [18, Definition 2.1] if, for each  $\varepsilon > 0$ , there exists  $N(\varepsilon) \in \mathbf{N}$  such that  $\text{card} \{n \in \mathbf{N} : |\phi(x_n - x)| \geq \varepsilon\} \leq N(\varepsilon)$  for every  $\phi \in E^*$  with  $\|\phi\| \leq 1$ . A subset  $A \subset E$  is a Banach-Saks set if and only if every sequence in  $A$  has a subsequence which is uniformly weakly convergent in  $E$  [18, Theorem 2.9].

Recall that a Banach space  $E$  has the *Dunford-Pettis property* (DPP for short) if, for all weakly null sequences  $(x_n) \subset E$  and  $(\phi_n) \subset E^*$ , we have  $\phi_n(x_n) \rightarrow 0$ . We say that  $E$  has the *polynomial Dunford-Pettis property* if, for every  $\mathcal{P}$ -null sequence  $(x_n) \subset E$  and every weakly null sequence  $(\phi_n) \subset E^*$ , we have  $\phi_n(x_n) \rightarrow 0$ . The DPP implies the polynomial DPP.  $E$  is said to be a  $\Lambda$ -space if  $\mathcal{P}$ -null sequences and norm null sequences coincide in  $E$ . Spaces with the Schur property are trivially  $\Lambda$ -spaces. All super-reflexive spaces are  $\Lambda$ -spaces [16]. It is proved in [13, Corollary 3.6] that every Banach space with nontrivial type is a  $\Lambda$ -space.

**1. The weak Dunford-Pettis property.** We say that a Banach space  $E$  has the *weak Dunford-Pettis property* (wDPP for short) if, given a uniformly weakly null sequence  $(x_n) \subset E$  and a weakly null sequence  $(\phi_n) \subset E^*$ , we have  $\lim \phi_n(x_n) = 0$ .

The space  $l_2$  fails the wDPP since its unit vector basis is uniformly weakly null. Clearly, if  $E$  has the DPP, then  $E$  has the wDPP.

Denote by  $T$  the dual of the original Tsirelson space  $T^*$  [4]. Then the uniformly weakly convergent sequences in  $T$  are norm convergent. Indeed, suppose  $(x_n)$  is uniformly weakly convergent to  $x \in T$  and  $\|x_n - x\| \geq \delta > 0$ . Passing to a subsequence, we may assume that the sequence  $(x_n - x)$  is basic and equivalent to a subsequence of the unit vector basis  $(t_n)$  of  $T$  [4]. If  $A \subset \mathbf{N}$  is admissible, by the definition of the norm of  $T$ , we have

$$\left\| \sum_{i \in A} t_i \right\| \geq \frac{1}{2} \text{card } A$$

and so,  $(t_n)$  has no uniformly weakly null subsequence, which yields a contradiction.

Therefore,  $T$  enjoys the wDPP, but  $T^*$  does not since the unit vector basis of  $T^*$  is a Banach-Saks set. We conclude that the wDPP of a Banach space neither implies nor is implied by the wDPP of its dual.

The following simple remark will be useful.

**Proposition 1.1.** *A Banach space  $E$  has the wDPP if and only if whenever  $(x_n) \subset E$  is uniformly weakly null and  $(\phi_n) \subset E^*$  is weak Cauchy, we have  $\lim \phi_n(x_n) = 0$ .*

*Proof.* For the nontrivial part, if  $\phi_n(x_n) \geq \delta > 0$ , we can find  $k_1 < \dots < k_n < \dots$  such that  $|\phi_n(x_{k_n})| < \delta/2$ . Then,

$$\delta \leq \phi_{k_n}(x_{k_n}) \leq |(\phi_{k_n} - \phi_n)(x_{k_n})| + |\phi_n(x_{k_n})|$$

and the righthand side is less than  $\delta$  for  $n$  large enough, since the sequence  $(\phi_{k_n} - \phi_n)$  is weakly null.  $\square$

Denoting by  $\mathcal{WCo}(E, F)$  the space of all weakly compact (linear) operators from  $E$  into the Banach space  $F$ , and by  $\mathcal{C}_w(E, F)$  the space of all operators taking uniformly weakly null sequences in  $E$  into norm null sequences in  $F$ , we have

**Proposition 1.2.** *The Banach space  $E$  satisfies the wDPP if and only if, for all Banach spaces  $F$ , we have  $\mathcal{WCo}(E, F) \subseteq \mathcal{C}_w(E, F)$ .*

*Proof.* Suppose  $E$  has the wDPP and  $(x_n) \subset E$  is uniformly weakly null. Take  $L \in \mathcal{WCo}(E, F)$  with adjoint  $L^*$ . Choose  $(\phi_n)$  in the unit ball of  $F^*$  such that  $\phi_n(Lx_n) = \|Lx_n\|$ . There is a subsequence  $(\phi_{n_k})$  such that  $(L^*\phi_{n_k})$  is weakly convergent. Hence,  $\phi_n(Lx_n) = (L^*\phi_n)x_n \rightarrow 0$ . Conversely, if  $E$  fails the wDPP, we can find  $(x_n)$  uniformly weakly null in  $E$  and  $(\phi_n)$  weakly null in  $E^*$  such that  $\phi_n(x_n) \geq \delta > 0$ . We define an operator  $L : E \rightarrow c_0$  by  $Lx := (\phi_n(x))$ . Then  $L$  is weakly compact but  $\|Lx_n\| \geq |\phi_n(x_n)| \geq \delta > 0$  for all  $n$ .  $\square$

The following easy fact characterizes the reflexive Banach spaces with the wDPP.

**Proposition 1.3.** *Let  $E$  be a reflexive Banach space. Then  $E$  has the wDPP if and only if every uniformly weakly null sequence in  $E$  is norm null.*

*Proof.* Suppose that there is a uniformly weakly null sequence  $(x_n) \subset E$  with  $\|x_n\| = 1$ . We can assume that  $(x_n)$  is basic and the sequence of coefficient functionals  $(\phi_n)$  is weakly null in  $E^*$ . Since  $\phi_n(x_n) = 1$ , we conclude that  $E$  does not have the wDPP. The converse is clear.  $\square$

Recall that a Banach space  $E$  has the *Banach-Saks property* if every bounded subset in  $E$  is a Banach-Saks set. We then have

**Corollary 1.1.** *If  $E$  has the Banach-Saks property and the wDPP, then  $E$  is finite dimensional.*

A space  $E$  has the *weak Banach-Saks property* if every weakly null sequence in  $E$  contains a subsequence whose arithmetic means converge. Equivalently [18], every weakly null sequence has a subsequence which converges to zero uniformly weakly in  $E$ . The space  $L^1[0,1]$  has the weak Banach-Saks property. The following result is clear.

**Proposition 1.4.** *Assume  $E$  has the weak Banach-Saks property. Then  $E$  has the DPP if and only if  $E$  has the wDPP.*

We say that  $E$  has the *hereditary weak Dunford-Pettis property* if every closed subspace of  $E$  has the wDPP.

**Proposition 1.5.** *A Banach space  $E$  has the hereditary wDPP if and only if every normalized uniformly weakly null sequence in  $E$  contains a subsequence equivalent to the  $c_0$ -basis.*

*Proof.* Suppose that the uniformly weakly null sequence  $(x_n) \subset E$ ,  $\|x_n\| = 1$ , has no subsequence equivalent to the  $c_0$ -basis. We can assume that  $(x_n)$  is basic. Let  $(\phi_n) \subset [x_n]^*$  be the sequence of coefficient functionals where  $[x_n]$  denotes the closed linear span of the set  $\{x_n\}$  in  $E$ . After taking a subsequence, we can assume that either  $(\phi_n)$  is equivalent to the  $l_1$ -basis or  $(\phi_n)$  is weak Cauchy [10]. In the first case we define an operator  $L : [x_n] \rightarrow c_0$  by  $L(x) := (\phi_n(x))$ . Clearly  $L$  is injective and has dense range. The adjoint  $L^* : l_1 \rightarrow [x_n]^*$  takes the unit vector basis of  $l_1$  into the sequence  $(\phi_n)$  and therefore has closed range. Hence,  $L$  is a surjective isomorphism, which contradicts our assumption. So  $(\phi_n)$  must be weak Cauchy. Since  $\phi_n(x_n) = 1$ , the subspace  $[x_n]$  fails to have the wDPP.

For the converse, it is enough to show that  $E$  has the wDPP. Suppose it does not. Then we can find a uniformly weakly null sequence

$(x_n) \subset E$  and a weakly null sequence  $(\phi_n) \subset E^*$  such that  $\phi_n(x_n) \geq 1$  for all  $n$ . Passing to a subsequence, we can assume that  $(x_n)$  is equivalent to the  $c_0$ -basis. Since the dual of  $c_0$  has the Schur property, the restriction of  $(\phi_n)$  to the subspace  $[x_k]$  is norm null, and we get a contradiction.  $\square$

*Remark 1.1.* This simple proof also shows that a Banach space  $E$  has the hereditary DPP if and only if every normalized weakly null sequence in  $E$  has a subsequence equivalent to the  $c_0$ -basis [8, Proposition 2]. From this we get that every infinite-dimensional Banach space without a copy of either  $c_0$  or  $l_1$  contains a subspace without the DPP [10, p. 254]. The original proofs of these two results were based on a characterization of  $c_0$ 's unit vector basis that Elton [12] obtained by using Ramsey's theorem.

Our aim now is to show that Schreier's space enjoys the hereditary wDPP.

**Proposition 1.6.** *If  $(x_n)$  is a uniformly weakly null sequence in  $S$ , then  $\|x_n\|_\infty \rightarrow 0$ .*

*Proof.* Let  $x_n = (x_n^i)_{i=1}^\infty$ . Since a set of  $\pm 1$ 's on an admissible set is a norm-one functional on  $S$ , given  $\varepsilon > 0$ , there is  $N(\varepsilon) \in \mathbf{N}$  such that

$$\text{card} \left\{ n \in \mathbf{N} : \sum_{i \in A} |x_n^i| \geq \varepsilon \right\} \leq N(\varepsilon)$$

for each admissible  $A$ . Suppose our statement fails; then we can find  $\delta > 0$  and two increasing sequences of indices  $(n_k), (l_k)$  such that

$$|x_{n_k}^{l_k}| \geq \delta \quad \text{for all } k.$$

The set  $A_m := \{l_{m+1}, \dots, l_{2m}\}$  is admissible for each  $m \in \mathbf{N}$  and

$$\text{card} \left\{ n \in \mathbf{N} : \sum_{i \in A_m} |x_n^i| \geq \delta \right\} \geq m,$$

a contradiction which finishes the proof.  $\square$

The converse is not true. Indeed, take  $x_n := (e_1 + \dots + e_n)/n$ . The set  $A_k := \{2^{k-1}, \dots, 2^k - 1\}$  is admissible for each  $k \in \mathbf{N}$ . Denoting by  $(e_i^*)$  the unit vector basis of  $S^*$ , the functional

$$\phi_k := \sum_{i=2^{k-1}}^{2^k-1} e_i^* \in S^*$$

has norm one. Choosing  $n$  so that  $2^{k-2} + 2^{k-1} \leq n \leq 2^k - 1$ , we have

$$\phi_k(x_n) \geq \frac{2^{k-2}}{n} > \frac{2^{k-2}}{2^k} = \frac{1}{4}.$$

Therefore,  $\|x_n\|_\infty \rightarrow 0$ , but  $(x_n)$  does not converge to zero uniformly weakly. The proof of the following result is essentially contained in [7]. We give it for completeness.

**Proposition 1.7.** *Let  $(x_n)$  be a normalized sequence in  $S$  such that  $\|x_n\|_\infty \rightarrow 0$ . Then  $(x_n)$  contains a subsequence equivalent to the  $c_0$ -basis.*

*Proof.* Let us denote by  $\text{supp}(x)$  the support of  $x$ . Passing to a subsequence and perturbing it with a null sequence, we can assume that  $\max \text{supp}(x_n) < \min \text{supp}(x_{n+1})$ , and

$$(1.1) \quad \|x_n\|_\infty \leq \frac{1}{2^n \max \text{supp}(x_{n-1})}.$$

Given  $x_{n_1}, \dots, x_{n_m}$  and an admissible set  $A$ , we take  $k_0$  to be the minimum value of  $k$  such that  $A \cap \text{supp}(x_{n_k}) \neq \emptyset$ . In particular, this implies that  $\text{card } A \leq \max \text{supp}(x_{n_{k_0}})$ . Denoting  $x_n(i) := x_n^i$ , we have

$$\begin{aligned} \sum_{i \in A} \left| \left( \sum_{k=1}^m x_{n_k} \right) (i) \right| &= \sum_{i \in A} \left| \left( \sum_{k=k_0}^m x_{n_k} \right) (i) \right| \\ &= \sum_{k=k_0}^m \sum_{i \in A \cap \text{supp}(x_{n_k})} |x_{n_k}(i)| \\ &\leq \|x_{n_{k_0}}\| + \sum_{k=k_0+1}^m \|x_{n_k}\|_\infty \cdot \text{card } A \\ &\leq \|x_{n_{k_0}}\| + \sum_{k=k_0+1}^m 2^{-n_k} \leq 2, \end{aligned}$$

where we have used (1.1). Thus we have proved that

$$\left\| \sum_{k=1}^m x_{n_k} \right\| \leq 2$$

and hence the series  $\sum x_n$  is weakly unconditionally Cauchy. Therefore,  $(x_n)$  has a subsequence equivalent to the  $c_0$ -basis [10].  $\square$

Combining the last two results with Proposition 1.5 yields

**Theorem 1.1.** *Schreier's space  $S$  has the hereditary wDPP.*

We now show that the dual  $S^*$  of Schreier's space fails the wDPP. The next result follows the lines of [17].

**Proposition 1.8.** *Let  $(\phi_n)$  be a normalized block basis of the unit basis of  $S^*$  such that  $\|\phi_n\|_\infty \rightarrow 0$ . Then  $(\phi_n)$  contains a subsequence equivalent to the  $l_1$ -basis.*

*Proof.* Let  $(x_n)$  be a sequence in  $S$  such that  $\|x_n\| < 2$ ,  $\text{supp}(x_n) = \text{supp}(\phi_n)$  and  $\phi_n(x_n) = 1$  for every  $n$ .

First we select  $n_1$  such that  $\min \text{supp}(\phi_{n_1}) > 2^2$  and  $\|\phi_{n_1}\|_\infty < 2^{-4}$ . Since  $\|x_{n_1}\| < 2$ , the set

$$A_1 = \{i \in \mathbf{N} : |x_{n_1}(i)| \geq 2^{-1}\}$$

has fewer than  $2^2$  elements. We define  $y_{n_1}(i) = 0$  if  $i \in A_1$  and  $y_{n_1}(i) = x_{n_1}(i)$  otherwise, and obtain  $y_{n_1} \in S$  such that  $\|y_{n_1}\| < 2$ ,  $\|y_{n_1}\|_\infty < 2^{-1}$  and

$$|\phi_{n_1}(y_{n_1})| \geq \phi_{n_1}(x_{n_1}) - |\phi_{n_1}(y_{n_1} - x_{n_1})| > 1 - 2(2^2)2^{-4} = 2^{-1}.$$

Next we select  $n_2 > n_1$  such that  $\min \text{supp}(\phi_{n_2}) > 2^3$  and  $\|\phi_{n_2}\|_\infty < 2^{-5}$ . Since  $\|x_{n_2}\| < 2$ , the set

$$A_2 = \{i \in \mathbf{N} : |x_{n_2}(i)| \geq 2^{-2}\}$$

has fewer than  $2^3$  elements. We define  $y_{n_2}(i) = 0$  if  $i \in A_2$  and  $y_{n_2}(i) = x_{n_1}(i)$  otherwise, and obtain  $y_{n_2} \in S$  such that  $\|y_{n_2}\| < 2$ ,  $\|y_{n_2}\|_\infty < 2^{-2}$  and

$$|\phi_{n_2}(y_{n_2})| \geq \phi_{n_2}(x_{n_2}) - |\phi_{n_2}(y_{n_2} - x_{n_2})| > 1 - 2(2^3)2^{-5} = 2^{-1}.$$

In this way we get a subsequence  $(\phi_{n_j})$  and a sequence  $(y_{n_j}) \subset S$  such that  $|\phi_{n_j}(y_{n_j})| > 2^{-1}$ ,  $\|y_{n_j}\| < 2$  and  $\|y_{n_j}\|_\infty < 2^{-j}$ . Passing to a subsequence we can assume by Proposition 1.7 that  $(y_{n_j})$  is equivalent to the  $c_0$ -basis, from which it easily follows that  $(\phi_{n_j})$  is equivalent to the  $l_1$ -basis.  $\square$

**Proposition 1.9.** *The dual  $S^*$  of Schreier's space  $S$  has the weak Banach-Saks property.*

*Proof.* Let  $(\phi_n)$  be a normalized weakly null sequence in  $S^*$ . Passing to a subsequence we can assume that  $(\phi_n)$  is equivalent to a block basis of the unit basis. We have that  $((\phi_1 + \cdots + \phi_n)/n)$  is a weakly null sequence and  $\|(\phi_1 + \cdots + \phi_n)/n\|_\infty \rightarrow 0$ . If  $\|(\phi_1 + \cdots + \phi_n)/n\|$  does not converge to zero, passing to a subsequence, it follows from Proposition 1.8 that  $((\phi_1 + \cdots + \phi_n)/n)$  contains a subsequence equivalent to the  $l_1$ -basis, a contradiction.  $\square$

**Corollary 1.2.** *The dual  $S^*$  of Schreier's space does not have the wDPP.*

**2. Applications to polynomials.** In this section we describe the  $\mathcal{P}$ -convergence of sequences in  $S$ , thereby obtaining some polynomial properties of this space, and characterize the Banach-Saks sets in it.

We shall use the fact that  $S$  may be algebraically embedded in  $l_2$  and that the natural inclusion  $j : S \rightarrow l_2$  is continuous. To see this, take  $x := (x_i) \in S$ ,  $\|x\|_S = 1$  and call  $y := (y_i)$  the sequence  $(|x_i|)$ , reordered in a nonincreasing way. Then  $\|y\|_2 = \|x\|_2$  and  $\|y\|_S \leq 1$ . This implies  $y_{2k-1} \leq k^{-1}$  for each  $k$ . Therefore,

$$\|y\|_2^2 = \sum_{i=1}^{\infty} y_i^2 \leq 1 + 1 + \frac{1}{2^2} + \frac{1}{2^2} + \frac{1}{3^2} + \frac{1}{3^2} + \cdots = \frac{\pi^2}{3},$$

from which  $\|j\| \leq \pi/\sqrt{3}$ .

As a consequence,  $P(x) := \|x\|_2^2$  defines a 2-homogeneous polynomial on  $S$ .

**Proposition 2.1.** *Let  $(x_n)$  be a sequence in  $S$ . The following assertions are equivalent*

- (a)  $(x_n)$  is  $\mathcal{P}$ -null;
- (b)  $(x_n)$  is bounded in  $S$  and  $\|x_n\|_2 \rightarrow 0$ ;
- (c)  $(x_n)$  is bounded in  $S$  and  $\|x_n\|_\infty \rightarrow 0$ .

*Proof.* (a)  $\Rightarrow$  (b) since  $P(x) := \|x\|_2^2$  is a polynomial on  $S$ .

(b)  $\Rightarrow$  (c) is clear.

(c)  $\Rightarrow$  (a). It is enough to show that  $(x_n)$  has a  $\mathcal{P}$ -null subsequence. If  $\inf \|x_n\| > 0$ , then there is a subsequence of  $(x_n)$  equivalent to the  $c_0$ -basis (Proposition 1.7) and so  $\mathcal{P}$ -null, since the  $c_0$ -basis is  $\mathcal{P}$ -null. If  $\inf \|x_n\| = 0$ , then there is a norm null subsequence, which is  $\mathcal{P}$ -null a fortiori.  $\square$

A Banach space has the *hereditary polynomial DPP* if every closed subspace has the polynomial DPP.

**Theorem 2.1.** *The space  $S$  has the hereditary polynomial DPP.*

*Proof.* By Propositions 2.1 and 1.7 every normalized  $\mathcal{P}$ -null sequence in  $S$  contains a subsequence equivalent to the  $c_0$ -basis. Obvious modifications in the “if” part of the proof of Proposition 1.4 yield the result.  $\square$

It is shown in [3] that, given two  $\mathcal{P}$ -null sequences  $(x_n), (y_n)$  in a space with the polynomial DPP, the sequence  $(x_n + y_n)$  is  $\mathcal{P}$ -null. A Banach space where this is not true was recently found by Castillo et al. [5].

**Proposition 2.2.** *Let  $A$  be a subset of  $S$ . The following assertions are equivalent:*

- (a)  $A$  is a Banach-Saks set;
- (b)  $A$  is relatively  $\mathcal{P}$ -compact;
- (c)  $A$  is relatively weakly compact in  $S$  and relatively compact as a subset of  $l_\infty$ .

*Proof.* (a)  $\Rightarrow$  (b). Let  $A$  be a Banach-Saks set. Given a sequence  $(x_n) \subset A$ , passing to a subsequence, we may assume that  $(x_n)$  converges to some  $x$  uniformly weakly in  $S$ . Then  $(x_n - x)$  has a subsequence which is either norm null or equivalent to the  $c_0$ -basis. In both cases  $(x_n)$  is  $\mathcal{P}$ -convergent to  $x$ .

(b)  $\Rightarrow$  (c). If  $A$  is relatively  $\mathcal{P}$ -compact, it is relatively weakly compact. Moreover, given a sequence  $(x_n) \subset A$ , we can assume that  $(x_n - x)$  is  $\mathcal{P}$ -null for some  $x$ . By Proposition 2.1,  $\|x_n - x\|_\infty \rightarrow 0$  and so  $A$  is relatively compact as a subset of  $l_\infty$ .

(c)  $\Rightarrow$  (a). Choose a sequence  $(x_n) \subset A$ . We may assume that  $(x_n)$  is weakly convergent to some  $x$  and  $\|x_n - x\|_\infty \rightarrow 0$ . Passing to a subsequence, we have either  $\|x_n - x\| \rightarrow 0$  or, by Proposition 1.7,  $(x_n - x)$  is equivalent to the  $c_0$ -basis and is therefore uniformly weakly null.  $\square$

**Corollary 2.1.** *If  $A$  is a Banach-Saks set in  $S$ , then the absolutely convex closed hull of  $A$  is a Banach-Saks set.*

The following two properties were introduced in [1] and studied by various authors (see, e.g., [3], [9]).

(a) A Banach space  $E$  has property (P) if, given two bounded sequences  $(u_n), (v_n)$  in  $E$  such that  $P(u_n) - P(v_n) \rightarrow 0$  for every  $P \in \mathcal{P}^k(E)$  and all  $k$ , it follows that the sequence  $(u_n - v_n)$  is  $\mathcal{P}$ -null. Every superreflexive space and every space with the DPP have property (P). A Banach space failing to have property (P) has been found by Castillo et al. [5].

(b) A Banach space  $E$  has property (RP) if, given two bounded sequences  $(u_n), (v_n)$  in  $E$  such that the sequence  $(u_n - v_n)$  is  $\mathcal{P}$ -null, it

follows that  $P(u_n) - P(v_n) \rightarrow 0$  for every  $P \in \mathcal{P}^k(E)$  and all  $k$ . Every  $\Lambda$ -space and every predual of a Banach space with the Schur property have property (RP). The spaces  $L_1[0, 1]$ ,  $C[0, 1]$  and  $L_\infty[0, 1]$  fail to have property (RP) [1].

We now show that  $S$  has property (P) and fails property (RP).

**Proposition 2.3.** *The space  $S$  fails property (RP).*

*Proof.* Consider the vectors

$$v_n := e_n; \quad u_n := e_n + 2^{1-n}(e_{2^{n-1}} + \cdots + e_{2^n-1}).$$

Then  $\|u_n - v_n\|_\infty \rightarrow 0$  and so  $(u_n - v_n)$  is  $\mathcal{P}$ -null in  $S$ . Define

$$P(x) := \sum_{n=1}^{\infty} x_n^2 \left( \sum_{k=2^{n-1}}^{2^n-1} x_k \right), \quad \text{for } x = (x_n) \in S.$$

Since

$$|P(x)| \leq \|x\|_S \cdot \|x\|_2^2 \leq \frac{\pi^2}{3} \cdot \|x\|_S^3,$$

we get that  $P \in \mathcal{P}^3(S)$ . We have  $P(v_n) = 0$  and  $P(u_n) = 1$  for all  $n > 1$ .  $\square$

In the above proof, we need a polynomial of degree greater than or equal to three. Indeed, if  $P \in \mathcal{P}^2(S)$  and  $(u_n), (v_n) \subset S$  are bounded with  $(u_n - v_n)$   $\mathcal{P}$ -null, denoting  $w_n := u_n - v_n$ , we have

$$P(u_n) - P(v_n) = P(w_n + v_n) - P(v_n) = 2\hat{P}(w_n, v_n) + P(w_n),$$

where  $\hat{P}$  is the symmetric bilinear form associated to  $P$ . Let  $\bar{P} : S \rightarrow S^*$  be the operator defined by  $\bar{P}(x)(y) := \hat{P}(x, y)$ . Since  $S$  has an unconditional basis and contains no copy of  $l_1$ , the space  $S^*$  has an unconditional basis and is weakly sequentially complete. Therefore every operator from  $S$  into  $S^*$  is weakly compact. Passing to a subsequence we can assume that  $(w_n)$  is uniformly weakly null. Since  $S$  has the wDPP,  $\|\bar{P}(w_n)\| \rightarrow 0$ . Hence,  $\hat{P}(w_n, v_n) = \bar{P}(w_n)(v_n) \rightarrow 0$ . Clearly,  $P(w_n) \rightarrow 0$  and so  $P(u_n) - P(v_n) \rightarrow 0$ .

**Proposition 2.4.** *The space  $S$  enjoys property (P).*

*Proof.* Let  $(u_n), (v_n) \subset S$  be bounded sequences such that  $(u_n - v_n)$  is not  $\mathcal{P}$ -null. We wish to find  $Q \in \mathcal{P}({}^k S)$  for some  $k$  so that  $(Q(u_n) - Q(v_n))$  does not tend to zero. By  $u_n^i$  and  $v_n^i$  we shall denote the  $i$ th coordinate of  $u_n$  and  $v_n$ , respectively.

If  $(u_n - v_n)$  is not weakly null, then  $\phi(u_n) - \phi(v_n) \not\rightarrow 0$  for some  $\phi \in S^*$ . It is enough to take  $Q := \phi$ .

If  $(u_n - v_n)$  is weakly null, passing to a subsequence and perturbing it by a norm null sequence, we can assume that  $(u_n - v_n)$  is a block basis

$$u_n - v_n = \sum_{i=k_n}^{l_n} a_i e_i.$$

Take  $p_n$  with  $k_n \leq p_n \leq l_n$  and  $|a_{p_n}| = \|u_n - v_n\|_\infty$ . We know that  $\|u_n - v_n\|_\infty$  does not go to zero. Passing to a subsequence, we may assume

$$v_n^{p_n} \rightarrow v; \quad u_n^{p_n} \rightarrow u; \quad u \neq v.$$

Let  $P \in \mathcal{P}({}^2 S)$  be given by

$$P(x) := \sum_{i=1}^{\infty} (x_{p_i})^2.$$

If  $P(u_n) - P(v_n) \not\rightarrow 0$  we are done. If

$$\begin{aligned} 0 &= \lim [P(u_n) - P(v_n)] = \lim [(u_n^{p_n})^2 - (v_n^{p_n})^2] \\ &= u^2 - v^2 = (u - v)(u + v), \end{aligned}$$

we have  $u = -v = \alpha$  for some  $\alpha \neq 0$ . Defining  $Q \in \mathcal{P}({}^3 S)$  by

$$Q(x) := \sum_{i=1}^{\infty} (x_{p_i})^3,$$

we have  $Q(u_n) - Q(v_n) \rightarrow 2\alpha^3 \neq 0$ , and the proof is finished.  $\square$

**Proposition 2.5.** *Let  $(x_n), (y_n) \subset S$  be  $\mathcal{P}$ -null sequences. Then*

- (a) *the set  $\{x_n \otimes y_n\}$  is a Banach-Saks set in  $S \otimes_\pi S$ ;*
- (b) *the sequence  $(x_n \otimes y_n)$  is  $\mathcal{P}$ -null in  $S \otimes_\pi S$ .*

*Proof.* (a) Since  $(x_n)$  and  $(y_n)$  have subsequences equivalent to the  $c_0$ -basis, it is enough to show that  $(e_n \otimes e_n)$  is uniformly weakly null in  $c_0 \otimes_\pi c_0$ . Take  $L \in (c_0 \otimes_\pi c_0)^*$ , which may be viewed as an operator from  $c_0$  into  $l_1$ . Since the series  $\sum e_n$  is weakly unconditionally Cauchy, using [14, Theorem 2] we can find  $C > 0$  such that  $\sum |\langle Le_n, e_n \rangle| \leq C$  whenever  $\|L\| \leq 1$ . Therefore, given  $\varepsilon > 0$ , choosing  $N \in \mathbf{N}$  with  $N \geq C/\varepsilon$ , we have

$$\text{card} \{n \in \mathbf{N} : |\langle Le_n, e_n \rangle| \geq \varepsilon\} \leq N$$

if  $\|L\| \leq 1$ , and the result is proved.

(b) Since  $S$  has the polynomial DPP, part (b) follows from [3, Theorem 2.1].  $\square$

As a consequence, if  $A, B \subset S$  are Banach-Saks sets, then  $A \otimes B$  is a Banach-Saks set in  $S \otimes_\pi S$ .

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