

## A NOTE ON FREE PRODUCTS

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**ABSTRACT.** We prove that a theorem by Stallings on finitely generated subgroups of free groups is also valid for free products of groups.

**1. Introduction.** Let  $F$  be any free group. Let  $U$  and  $V$  be finitely generated subgroups of  $F$ . Stallings [6] proved that if  $U \cap V$  is of finite index in both  $U$  and  $V$ , then it is of finite index in the subgroup  $\langle U \cup V \rangle$  of  $F$  generated by  $U$  and  $V$ . In this note we prove that the same result holds for free products.

We will say a group  $G$  has the Stallings property if the following holds for any finitely generated subgroups  $U, V$  of  $G$  with  $U \cap V$  nontrivial: if  $U \cap V$  is of finite index in  $U$  and in  $V$ , then it is of finite index in  $\langle U \cup V \rangle$ .

**Theorem 1.1.** *Let  $G = \prod_{\alpha \in J} *G_{\alpha}$  be a free product of groups. Then  $G$  has the Stallings property if and only if  $G_{\alpha}$  has the Stallings property for every  $\alpha \in J$ .*

Note that, in Theorem 1.1, we require that  $U \cap V$  be nontrivial. The theorem is not true without this condition. A simple example showing this is that  $G = G_1 * G_2$ ,  $U = G_1$  and  $V = G_2$  where both  $G_1$  and  $G_2$  are finite groups. Also, the condition on the factors are necessary only for the “degenerate” case, the case when  $U \cap V$  is contained in some free factor of  $G$ .

**Theorem 1.2.** *Let  $G$  be as in Theorem 1.1. Let  $U$  and  $V$  be finitely generated subgroups of  $G$  such that  $U \cap V$  is nontrivial and of finite index in both  $U$  and  $V$ . If  $U \cap V$  is not contained in any conjugate of any factor  $G_{\alpha}$ , then it is of finite index in  $\langle U \cup V \rangle$ .*

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After we proved the results, we came to know recently that Sykiotis [7] proved that the same property holds for graphs of groups with suitable conditions. Theorems 1.1 and 1.2 are therefore essentially special cases of Sykiotis's theorem. However, Sykiotis worked with Bass-Serre machinery which is different from our direct algebraic/combinatorial arguments.

**2. Preliminaries.** Let  $J$  be a set and  $G_\alpha$  a nontrivial group for each  $\alpha \in J$ . Let  $G = \prod_{\alpha \in J} *G_\alpha$  be the free product of  $G_\alpha$ ,  $\alpha \in J$ . Every element  $g \in G$  is uniquely represented as a reduced word of the form

$$(2.1) \quad g = g_1 g_2 \cdots g_k,$$

where  $k \geq 0$ ,  $1 \neq g_i \in G_{\alpha_i}$ ,  $i = 1, \dots, k$  and  $\alpha_i \neq \alpha_{i+1}$ ,  $i = 1, \dots, k-1$ .

If  $g$  is represented as in (2.1), we will denote the first letter  $g_1$  by  $\lambda(g)$ , the last letter  $g_k$  by  $\varepsilon(g)$ , and the length of  $g$  by  $l(g)$ . We also denote the index  $\alpha_k$  of the last letter  $\varepsilon(g)$  by  $\omega(g)$ . If  $\lambda(g) \neq \varepsilon(g)^{-1}$ , we say that  $g$  is cyclically reduced. If  $X$  is a subset of  $G$ , we define  $X_+$  to be the set of all initial segments of elements in  $X$ . In particular,  $X_+$  contains  $1 \in G$ . A set  $B$  of elements of  $G$  is a Schreier system if any initial segment of any element of  $B$  is again an element of  $B$ , that is, if  $B = B_+$ .

**Lemma 2.1.** *Let  $G = \prod_{\alpha \in J} *G_\alpha$  be a free product, and let  $w \in G$  be a cyclically reduced element of  $G$ . If  $w$  is a torsion element, then  $w$  is a torsion element of some free factor  $G_\alpha$  of  $G$ .*

*Proof.* Since  $w$  is cyclically reduced, it is easy to see that if  $l(w) \geq 2$ , then  $w^n \neq 1$  for any  $n > 0$ . Thus,  $l(w) = 1$  which means  $w$  is in some factor  $G_\alpha$  of  $G$ .  $\square$

**Lemma 2.2.** *Let  $G$  be as above and  $h \in G$  be a nontrivial element. The following statements are equivalent:*

- (a) *There exists  $N > 0$  such that  $\lambda(h) \neq \lambda(h^N)$  or  $\varepsilon(h) \neq \varepsilon(h^N)$ ;*
- (b)  *$h$  is a torsion element;*
- (c)  *$h$  is a conjugate of a torsion element of a factor  $G_\alpha$  of  $G$ .*

*Proof.* We show (a)  $\Rightarrow$  (b)  $\Rightarrow$  (c)  $\Rightarrow$  (a).

Suppose (a) is true. Write  $h = u w u^{-1}$  where  $u$  is reduced and  $w$  is cyclically reduced and  $u w u^{-1}$  is reduced as written.  $u = 1$  if and only if  $h$  is cyclically reduced. For any  $n > 0$ ,

$$h^n = u w^n u^{-1}$$

which is reduced as written if  $w^n$  is reduced first and  $w^n \neq 1$ . So  $\lambda(h) = \lambda(h^n)$  and  $\varepsilon(h) = \varepsilon(h^n)$  unless  $w^n = 1$ . It follows that  $w^N = 1$ . By Lemma 2.1,  $w$  is a torsion element of some free factor  $G_\alpha$  of  $G$ . Hence,  $h$  is also a torsion element and is a conjugate of a torsion element of a factor  $G_\alpha$  of  $G$ . Thus (b) is true.

Suppose now that (b) is true. So  $h^{N_1} = 1$  for some  $N_1 > 0$ . To prove (c), write  $h = u w u^{-1}$  as above. Then  $h^{N_1} = u w^{N_1} u^{-1}$ . It follows that  $w^{N_1} = 1$ . Again, by Lemma 2.1,  $w$  is a torsion element of some free factor  $G_\alpha$  of  $G$ . Then (c) follows.

Finally, (c) clearly implies (a). This completes the proof of Lemma 2.2.  $\square$

*Remark.* It follows from Lemma 2.2 that if  $h$  is not a torsion element, then  $\lambda(h) = \lambda(h^n)$  and  $\varepsilon(h) = \varepsilon(h^n)$  for any  $n > 0$ .

Let  $H$  be a subgroup of  $G$ . By a (right) coset of  $H$  in  $G$ , we mean a subset of  $G$  of the form  $Hc$ ,  $c \in G$ . The set of all (right) cosets of  $H$  will be denoted by  $\mathcal{C}_H$  or simply  $\mathcal{C}$ . For any subgroup  $K$  of  $G$ , the set of all  $(H, K)$  double cosets will be denoted by  $\mathcal{D}_{(H, K)}$ . A set of coset representatives for  $H$  is a subset of  $G$  which contains exactly one element of each coset of  $H$ . Equivalently, it is a map  $R : \mathcal{C}_H \rightarrow G$  such that  $R(C) \in C$ ,  $C \in \mathcal{C}_H$ . Also recall that a *uniform Schreier system of coset representatives* [5], or simply a uniform Schreier system, for  $H$  a subgroup of  $G = \prod_{\alpha \in J} *G_\alpha$  is a collection of sets  $R_\alpha$ , one for each  $\alpha \in J$ , of coset representatives for  $H$  such that:

- (1)  $R_\alpha(H) = 1$  for all  $\alpha \in J$ ;
- (2)  $R_\alpha(Ca) \in R_\alpha(C)G_\alpha$ , for any  $C \in \mathcal{C}_H$ ,  $\alpha \in J$ ,  $a \in G_\alpha$ ;
- (3) For any  $R_\alpha(C) = sa$  such that  $s \neq 1$ ,  $\omega(s) \neq \alpha$ , and  $a \in G_\alpha$ , we have  $R_\alpha(Hs) = s = R_{\omega(s)}(Hs)$ .

Let  $\{R_\alpha \mid \alpha \in J\}$  be a uniform Schreier system for  $H$ . Let  $T = \bigcup_{\alpha \in J} R_\alpha$ .  $T$  is a Schreier system. An element of  $T$  is usually called

a transversal element. For each  $\alpha \in J$ , let  $D_\alpha = \{s \mid s \in R_\alpha, \omega(s) \neq \alpha\}$ .  $D_\alpha$  is then a set of  $(H, G_\alpha)$  double coset representatives.

By MacLane's proof of the Kurosh subgroup theorem [5], we can always choose a uniform Schreier system  $\{R_\alpha \mid \alpha \in J\}$  for  $H$ . The subgroup  $H$  is then a free product itself,

$$(2.2) \quad H = F * \prod_{\alpha \in J} * \left( \prod_{s \in D_\alpha} *(H \cap sG_\alpha s^{-1}) \right).$$

Here  $F$  is a free group generated (not freely in general) by all the non-trivial elements  $\{R_\alpha(C)R_\beta(C)^{-1} \mid R_\alpha(C)R_\beta(C)^{-1} \neq 1, C \in \mathcal{C}, \alpha, \beta \in J\}$ . Letting  $T_f = \{R_\alpha(C) \mid \alpha \in J, C \in \mathcal{C}, \text{there exists } \beta \in J \text{ such that } R_\beta(C) \neq R_\alpha(C)\}$  and  $T_p = \{s \in D_\alpha \mid \alpha \in J, H \cap sG_\alpha s^{-1} \neq \{1\}\}$ . Let  $T_s = T_f \cup T_p$ .  $T_f, T_p$  and  $T_s$  are all subsets of  $T$ . Finally, let  $T_{s+} = (T_s)_+$  be the set of all initial segments of elements in  $T_s$ .

**Lemma 2.3.** *Let  $G = \prod_{\alpha \in J} *G_\alpha$  be a free product and  $H$  a nontrivial subgroup of  $G$ . If  $H$  is torsion, then  $H$  is contained in a conjugate of a free factor of  $G$ .*

*Proof.* If  $H$  is not contained in any conjugate of a free factor, the Kurosh subgroup theorem implies that  $H$  is either a free group or a nontrivial free product. Then  $H$  is not torsion.  $\square$

**Lemma 2.4** (Baumslag [1]). *An element  $h \in H$  either ends with some*

$$xs^{-1}$$

*such that  $x \in G_\alpha, s \in T_p \cap D_\alpha$  and  $sx^{-1} \notin T$ , or ends with some*

$$xt^{-1}$$

*such that  $x \in G_\beta, \beta \neq \alpha, t \in R_\alpha \cap T_f$  and  $tx^{-1} \notin T$ , for some  $\alpha, \beta \in J$ .*

*Proof.* This is [1, Lemma 2] with a general number of factors.  $\square$

**Lemma 2.5** [1]. *If  $H$  is finitely generated, then  $T_s$  and  $T_{s+}$  are finite sets.*

*Proof.* By the proof of Lemma 3 in [1],  $T_s$  is a finite set. Therefore  $T_{s+}$  is also a finite set.  $\square$

**3. Proof of the theorems.** Stallings [6] first proved the result for free groups using graph theory. He attributes the origin of his theorem to Greenberg [3]. An algebraic proof of the result using Marshall Hall's theorem is given in [2] by Burns et al. Another proof is given by Kapovich and Myasnikov in [4]. Their argument involves graphs but is algebraic in nature. Our proofs of Theorem 1.1 and Theorem 1.2 follow along the lines of Kapovich and Myasnikov.

Let  $G$  be any group and  $H$  be a subgroup of  $G$ . The commensurator  $\text{Comm}_G(H)$  of  $H$  in  $G$  is defined by

$$\text{Comm}_G(H) = \{g \in G \mid |H : H \cap gHg^{-1}| < \infty \text{ and } |H : H \cap g^{-1}Hg| < \infty\}.$$

See [4]. It is probably well known to the expert that  $\text{Comm}_G(H)$  is a subgroup of  $G$  containing  $H$ . For completeness, we include a proof here.

**Lemma 3.1.**  *$\text{Comm}_G(H)$  is a subgroup of  $G$  containing  $H$ .*

*Proof.* It suffices to show that if  $g_1, g_2 \in \text{Comm}_G(H)$ , then  $g_1g_2 \in \text{Comm}_G(H)$ .

Suppose  $g_1, g_2 \in \text{Comm}_G(H)$ . By definition,

$$\begin{aligned} |H : H \cap g_1Hg_1^{-1}| &< \infty, \\ |H : H \cap g_1^{-1}Hg_1| &< \infty, \end{aligned}$$

and

$$|H : H \cap g_2Hg_2^{-1}| < \infty.$$

It follows that

$$\begin{aligned} |g_1^{-1}Hg_1 \cap H : (g_1^{-1}Hg_1 \cap H) \cap (g_2Hg_2^{-1} \cap H)| \\ \leq |H : (g_1^{-1}Hg_1 \cap H) \cap (g_2Hg_2^{-1} \cap H)| < \infty. \end{aligned}$$

Hence,

$$\begin{aligned}
|g_1^{-1}Hg_1 : g_2Hg_2^{-1} \cap g_1^{-1}Hg_1| & \\
& \leq |(g_1^{-1}Hg_1 : (g_1^{-1}Hg_1 \cap H) \cap (g_2Hg_2^{-1} \cap H))| \\
& = |g_1^{-1}Hg_1 : g_1^{-1}Hg_1 \cap H| |g_1^{-1}Hg_1 \cap H : \\
& \quad (g_1^{-1}Hg_1 \cap H) \cap (g_2Hg_2^{-1} \cap H)| \\
& = |H : H \cap g_1Hg_1^{-1}| |g_1^{-1}Hg_1 \cap H : \\
& \quad (g_1^{-1}Hg_1 \cap H) \cap (g_2Hg_2^{-1} \cap H)| < \infty.
\end{aligned}$$

Therefore,

$$|H : g_1g_2Hg_2^{-1}g_1^{-1} \cap H| = |g_1^{-1}Hg_1 : g_2Hg_2^{-1} \cap g_1Hg_1^{-1}| < \infty.$$

Similarly,

$$|H : (g_1g_2)^{-1}H(g_1g_2) \cap H| < \infty.$$

Thus,  $g_1g_2 \in \text{Comm}_G(H)$ , and Lemma 3.1 is proved.  $\square$

If  $H_1$  is a subgroup of  $G$  containing  $H$  such that  $H$  is of finite index in  $H_1$ , then  $H_1$  is contained in  $\text{Comm}_G(H)$ . If  $G$  is a free group and  $H$  is a nontrivial finitely generated subgroup of  $G$ , the results of Kapovich and Myasnikov [4] show that  $H$  is also of finite index in  $\text{Comm}_G(H)$ . Thus, in this case,  $\text{Comm}_G(H)$  is the largest subgroup of  $G$  containing  $H$  as a subgroup of finite index. We will see that this is also true if  $G = \prod_{\alpha \in J} *G_\alpha$  is a free product and  $H$  is a nontrivial finitely generated subgroup of  $G$  which is not contained in any conjugate of any factor  $G_\alpha$  of  $G$ . See Lemma 3.4 below.

**Lemma 3.2.** *Let  $G = \prod_{\alpha \in J} *G_\alpha$  be a free product. Let  $H$  be an infinite subgroup of  $G$ . If  $H$  is contained in a conjugate of a factor  $G_\alpha$  of  $G$ , then  $\text{Comm}_G(H)$  is contained in the same conjugate.*

*Proof.* If  $1 \neq h \in G$  is in some free factor  $G_\alpha$  and  $g \in G$  such that  $ghg^{-1}$  is also in  $G_\alpha$ , then it is easy to see that  $g \in G_\alpha$ . It follows that if  $H$  is contained in some  $G_\alpha$ , and  $g \in G$  such that  $H \cap gHg^{-1}$  is nontrivial, then  $g \in G_\alpha$ .

Now suppose  $H$  is in some conjugate  $fG_\alpha f^{-1}$  of a free factor  $G_\alpha$ . Let  $g \in \text{Comm}_G(H)$ . Then  $H \cap gHg^{-1}$  is of finite index in  $H$ ,

hence nontrivial. It follows that  $f^{-1}Hf \cap f^{-1}gf(f^{-1}Hf)f^{-1}g^{-1}f$  is nontrivial. Since  $f^{-1}Hf$  is contained in  $G_\alpha$ , the above shows that  $f^{-1}gf$  is also in  $G_\alpha$ , hence  $g$  is in  $fG_\alpha f^{-1}$ . Thus,  $\text{Comm}_G(H)$  is contained in  $fG_\alpha f^{-1}$ . This completes the proof of Lemma 3.2.  $\square$

**Lemma 3.3.** *Let  $G = Z_2 * Z_2$ , where  $Z_2$  is the cyclic group of order 2. Any subgroup of  $G$  either*

- (i) *contains an infinite cyclic subgroup of  $G$  and is of finite index in  $G$ , or*
- (ii) *is a cyclic subgroup of order 2.*

*Proof.*  $G = Z_2 * Z_2$  is the infinite dihedral group and the result is standard.  $\square$

**Lemma 3.4.** *Let  $G$  be as in Lemma 3.2 and  $H$  be a nontrivial finitely generated subgroup of  $G$ . If  $H$  is not contained in any conjugate of any factor  $G_\alpha$  of  $G$ , then  $H$  is of finite index in  $\text{Comm}_G(H)$ .*

*Proof.* Let  $G_1 = \text{Comm}_G(H)$ . Clearly  $G_1 = \text{Comm}_{G_1}(H)$ .  $G_1$  contains  $H$ , so  $G_1$  is not contained in any conjugate of any factor  $G_\alpha$  of  $G$ . By the subgroup theorem,  $H$  and  $G_1$  are both nontrivial free products. In particular,  $H$  is not a torsion group.

Replacing  $G$  by  $G_1$ , if necessary, we may assume that  $G = \text{Comm}_G(H)$  and  $H$  is a nontrivial finitely generated subgroup of  $G$  that is not torsion. We want to prove that  $H$  is of finite index in  $G$ . We consider two cases.

**Case 1.**  $G = Z_2 * Z_2$ . By Lemma 3.3,  $H$  is either an infinite subgroup of  $G$  of finite index or a cyclic group of order 2. Since  $H$  is not torsion, it must be infinite and of finite index in  $G$ .

**Case 2.**  $G \neq Z_2 * Z_2$ . Seeking a contradiction we suppose that  $H$  is of infinite index in  $G$ .

Let  $h \in H$  be any element with infinite order. Let  $x = \lambda(h)$  and  $y = \varepsilon(h)$ . Since  $G \neq Z_2 * Z_2$ , we can choose  $z \neq 1$  in some factor  $G_\alpha$  such that  $zx \neq 1$  and  $yz^{-1} \neq 1$ . This is trivial if there are at least three factors. If there are only two factors, then at least one of the factors

is different from  $Z_2$  and  $z$  can be chosen as follows. If  $x$  and  $y$  are in the same factor, we choose  $z \neq 1$  from the other factor. If  $x$  and  $y$  are in different factors, without loss of generality, assume that the factor containing  $x$  is different from  $Z_2$ . Then there is at least one nontrivial element in the factor that is different from  $x^{-1}$  and we choose  $z$  to be such an element.

Now let  $h_1 = zhz^{-1}$  be in reduced form, and let  $x_1 = \lambda(h_1)$  and  $y_1 = \varepsilon(h_1)$ . The choice of  $z$  implies that  $x_1, y_1$  and  $z$  are in the same factor. Let  $h_n = h_1^n = zh^n z^{-1}$  be in reduced form. By the remark following Lemma 2.2,  $\lambda(h_n) = \lambda(h_1) = x_1$  and  $\varepsilon(h_n) = \varepsilon(h_1) = y_1$  for any  $n > 0$ .

Choose a uniform Schreier system  $\{R_\alpha | \alpha \in J\}$  for  $H$  in  $G$  as in Section 2. Since  $H$  is of infinite index in  $G$ ,  $T$  is an infinite set. Let  $T_s$  and  $T_{s+}$  be the subsets of  $T$  as in Section 2. Since  $H$  is finitely generated, by Lemma 2.5,  $T_{s+}$  is a finite subset of  $T$ . Let  $g_0$  be a transversal element that is not in  $T_{s+}$ . By Lemma 2.4,  $g_0^{-1}$  is not a terminal segment of any element of  $H$ .

Now choose a  $w$  in some factor of  $G$  as follows. If  $\varepsilon(g_0)$  and  $z$  (hence also  $x_1$  and  $y_1$ ) are in the same factor, choose  $w \neq 1$  in any other factor. Otherwise, choose  $w = 1$ . Then  $g_0wz, g_0wx_1$  and  $y_1w^{-1}g_0^{-1}$  are all reduced as written. It follows that, for any  $n > 0$ ,  $g_0wh_nw^{-1}g_0^{-1}$  is reduced as written and is the reduced form of  $g_0wzh^n z^{-1}w^{-1}g_0^{-1}$  and, in particular,  $g_0^{-1}$  is a terminal segment of it. Therefore,  $g_0wzh^n z^{-1}w^{-1}g_0^{-1}$  cannot be an element of  $H$ .

On the other hand, for any  $g \in G$ , since  $H \cap gHg^{-1}$  is of finite index in  $gHg^{-1}$ , there is a number  $n > 0$  such that  $(gHg^{-1})^n$  is in  $H \cap gHg^{-1}$ , hence also in  $H$ . In particular,  $gh^n g^{-1} \in H$ . Let  $g = g_0wz$ . We get a contradiction. Therefore,  $H$  is of finite index in  $G$ . This completes the proof of Lemma 3.4.  $\square$

*Proof of Theorem 1.1.* The “only if” part of Theorem 1.1 is obvious. We prove the other part.

Let  $G = \prod_{j \in J} *G_j$  be a free product, and let  $U$  and  $V$  be finitely generated subgroups of  $G$  such that  $U \cap V$  is nontrivial and is of finite index in both  $U$  and  $V$ .

First note that  $U$  and  $V$  are contained in  $\text{Comm}_G(U \cap V)$ . It follows that  $\langle U \cup V \rangle$  is also contained in  $\text{Comm}_G(U \cap V)$ . Also, as a subgroup of finite index of a finitely generated group,  $U \cap V$  is also finitely generated. We consider two cases.

**Case 1.**  $U \cap V$  is contained in some conjugate of a free factor of  $G$ . We consider two subcases.

**Subcase 1.1.**  $U \cap V$  is a finite subgroup. Then  $U$  and  $V$  are both finite. And, by Lemma 2.3, each is in some conjugate of a free factor of  $G$ . They must be in the same conjugate, since they both contain the nontrivial subgroup  $U \cap V$ . It follows that  $\langle U \cup V \rangle$  is also in the conjugate. By the assumption of Theorem 1.1 on the factors of  $G$ ,  $U \cap V$  is of finite index in  $\langle U \cup V \rangle$  in this subcase.

**Subcase 1.2.**  $U \cap V$  is an infinite subgroup. By Lemma 3.2,  $\text{Comm}_G(U \cap V)$  is contained in the same conjugate. Thus, again, all the subgroups  $U \cap V$ ,  $U$ ,  $V$ , and  $\langle U \cup V \rangle$  are contained in the same conjugate, hence  $U \cap V$  is of finite index in  $\langle U \cup V \rangle$ .

**Case 2.**  $U \cap V$  is not contained in any conjugate of any factor  $G_\alpha$  of  $G$ . By Lemma 3.4,  $U \cap V$  is of finite index in  $\text{Comm}_G(U \cap V)$ , hence also in  $\langle U \cup V \rangle$ . This completes the proof of Theorem 1.1.  $\square$

*Proof of Theorem 1.2.* It is simply Case 2 above.  $\square$

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