

**THE BEHAVIOR OF THE  $\nu$ -INVARIANT OF A FIELD OF CHARACTERISTIC 2 UNDER FINITE EXTENSIONS**

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**ABSTRACT.** Let  $F$  be a field of characteristic 2. We define  $\nu(F)$  as the smallest integer  $n$  such that any  $n$ -fold quadratic Pfister form over  $F$  is isotropic. If  $L/F$  is any finite extension, we prove  $\nu(F) \leq \nu(L) \leq \nu(F)+1$ . The corresponding question for fields of characteristic  $\neq 2$  is still open.

**1. Introduction.** The  $\nu$ -invariant of a field  $F$  of characteristic  $\neq 2$  was introduced in [2] as the number  $\nu(F) = \text{Min}\{n \mid I^n(F) \text{ is torsion free}\}$ , where  $I(F)$  denotes the maximal ideal of even dimensional quadratic forms over  $F$  in the Witt ring  $W(F)$ . If  $F$  is non real, then  $\nu(F)$  is the smallest integer  $n$  such that any  $n$ -fold Pfister form over  $F$  is isotropic. Similarly, if  $F$  is a field of characteristic 2, let  $W_q(F)$  be the Witt group of non singular quadratic forms over  $F$  and  $W(F)$  the Witt ring of non singular symmetric bilinear forms over  $F$ . It is well known that  $W_q(F)$  is a  $W(F)$ -module under the operation  $b \cdot q(x \otimes y) = b(x, x)q(y)$  for any  $x \in V = \text{space of the bilinear form } b, y \in W = \text{space of the quadratic form } q$ . If  $I(F) \subset W(F)$  is the maximal ideal of even-dimensional bilinear forms, then the chain of submodules  $W_q(F) \supset IW_q(F) \supset I^2W_q(F) \supset \dots$  plays an important role in the knowledge of the module structure of  $W_q(F)$ . If  $a_1, \dots, a_n \in F^*, b \in F$ , then the quadratic  $n$ -fold Pfister form  $\langle 1, a_1 \rangle \dots \langle 1, a_n \rangle [1, b]$  is a typical generator of  $I^n W_q(F)$ , where  $\langle 1, a \rangle$  denotes the symmetric bilinear form  $U^2 + aV^2$  and  $[1, b]$  denotes the quadratic form  $X^2 + XY + bY^2$ . We shall usually write  $\langle\langle a_1, \dots, a_n, b \rangle\rangle$  instead of  $\langle 1, a_1 \rangle \dots \langle 1, a_n \rangle [1, b]$ . (We refer to [1, 3] for general facts on quadratic forms in characteristic 2). We define now, as in [2], the  $\nu$ -invariant of a field  $F$  of characteristic 2 as

$$(1.1) \quad \nu(F) = \text{Min}\{n \mid I^n W_q(F) = 0\},$$

i.e.,  $\nu(F)$  is the smallest integer  $n$  such that any  $n$ -fold Pfister form over  $F$  is isotropic.

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In [2] it was conjectured that  $\nu(L) \leq \nu(F) + 1$  for any non real field  $F$  of characteristic  $\neq 2$  and any finite extension  $L/F$ . The authors proved  $\nu(L) \leq \nu(F) + [L : F] - 1$ , and recently Leep (unpublished) has shown the much better estimate  $\nu(L) \leq \nu(F) + (\log_2([L : F]/3)) + 1$ , which still depends on the degree  $[L : F]$ . In this paper we consider the same question for fields of characteristic 2 and prove that the above conjecture is true. Our main result is

**THEOREM 1.2.** *Let  $F$  be a field of characteristic 2. Then, for any finite extension  $L/F$ ,*

$$\nu(F) \leq \nu(L) \leq \nu(F) + 1.$$

For the rest of this paper,  $F$  will denote a field of characteristic 2.

**2. The separable case.** Let  $L/F$  be a finite separable extension. In this section we will prove Theorem 1.2 under this assumption. For  $a, b \in F$ , let  $[a, b]$  be the quadratic form  $aX^2 + XY + bY^2$ , so that if  $a \neq 0$ , we have  $[a, b] \cong \langle a \rangle [1, ab]$ . Obviously, for  $a_1, a_2, b \in F$ , we have in  $W_q(F)$  the relation  $[a_1 + a_2, b] = [a_1, b] + [a_2, b]$ .

**LEMMA 2.1.** *For any  $a, b, c \in F$  with  $a, b, a + b \neq 0$ , we have in  $W_q(F)$*

$$\langle 1, a + b \rangle [1, c] = \langle 1, a \rangle \left[ 1, \frac{ac}{a + b} \right] + \langle 1, b \rangle \left[ 1, \frac{bc}{a + b} \right].$$

**PROOF.** We have  $\langle 1, a + b \rangle [1, c] = [1, c] \perp \langle a + b \rangle [1, c] = [1, c] \perp \left[ a + b, \frac{c}{a + b} \right]$ . But in  $W_q(F)$ ,

$$\begin{aligned}
& \left[ a + b, \frac{c}{a + b} \right] \\
&= \left[ a, \frac{c}{a + b} \right] + \left[ b, \frac{c}{a + b} \right] \\
&= \langle a \rangle \left[ 1, \frac{ac}{a + b} \right] + \langle b \rangle \left[ 1, \frac{bc}{a + b} \right] \\
&= \left[ 1, \frac{ac}{a + b} + \frac{bc}{a + b} \right] + \langle 1, a \rangle \left[ 1, \frac{ac}{a + b} \right] + \langle 1, b \rangle \left[ 1, \frac{bc}{a + b} \right] \\
&= [1, c] + \langle 1, a \rangle \left[ 1, \frac{ac}{a + b} \right] + \langle 1, b \rangle \left[ 1, \frac{bc}{a + b} \right]
\end{aligned}$$

Inserting this in the first relation, the lemma follows.  $\square$

**COROLLARY 2.2.** *Let  $E/F$  be any extension,  $\alpha \in E$  and  $\beta = b_0 + b_1\alpha^2 + \cdots + b_m\alpha^{2m} \neq 0$  with  $b_0, \dots, b_m \in F$ . Then, for any  $\gamma \in E$ , we have in  $W_q(E)$*

$$\langle 1, \beta \rangle [1, \gamma] = \sum'_{i=1}^m \langle 1, b_i \rangle [1, \gamma_i]$$

with certain  $\gamma_i \in E$  ( $'$  means that the sum is taken over all  $i$  with  $b_i \neq 0$ ).

Now for the finite separable extension  $L/F$  we have  $L = F(\alpha^2)$  with some  $\alpha \in L$ , so that  $1, \alpha^2, \dots, \alpha^{2(n-1)}$  ( $n = [L : F]$ ) is a basis of  $L$  over  $F$ . Thus any element  $\beta \in L$  has the form  $\beta = b_0 + b_1\alpha^2 + \cdots + b_{n-1}\alpha^{2(n-1)}$  with  $b_0, \dots, b_{n-1} \in F$ . We conclude, from Corollary 2.2,

**PROPOSITION 2.3.** *Let  $L/F$  be a finite separable extension. For any  $\beta \in L^*$ ,  $\gamma \in L$  there exist  $b_1, \dots, b_m \in F^*$ ,  $\gamma_1, \dots, \gamma_m \in L$  ( $m \geq 1$ ) such that*

$$\langle 1, \beta \rangle [1, \gamma] = \sum_{i=1}^m \langle 1, b_i \rangle [1, \gamma_i]$$

in  $W_q(L)$ .

Iterating this result we obtain

**COROLLARY 2.4.** *Let  $L/F$  be a finite separable extension. Then, for any  $n \geq 0$ ,  $I^n W_q(L)$  is generated by the Pfister forms  $\langle\langle a_1, \dots, a_n, \gamma \rangle\rangle$  with  $a_1, \dots, a_n \in F^*$ ,  $\gamma \in L$ .*

Let  $s : L \rightarrow F$  be any trace map, i.e.,  $s$  is an  $F$ -linear map  $\neq 0$ . For any quadratic form  $q$  over  $L$ , let  $s_*(q) = s \circ q$  be the transfer of  $q$ .  $s_*$  defines a homomorphism  $s_* : W_q(L) \rightarrow W_q(F)$ , which satisfies the usual Frobenius reciprocity law. We obtain, directly from Corollary 2.4,

**COROLLARY 2.5.** *Let  $L/F$  be a finite separable extension and  $s : L \rightarrow F$  a trace map. Then, for any  $n \geq 0$ ,*

$$s_*[I^n W_q(L)] \subseteq I^n W_q(F).$$

**REMARK 2.6.** If  $\text{ch}(F) \neq 2$ , Corollary 2.5 is a well known result of Arason, but the proof in this case uses the Milnor-Scharlau exact sequence. Thus, for fields of characteristic 2, we have a completely elementary proof of this fact.

The above result can be improved. In fact we have

**THEOREM 2.7.** *Let  $L/F$  be a finite separable extension and  $s : L \rightarrow F$  a trace map. Then, for any  $n \geq 0$ , we have*

$$s_*[I^n W_q(L)] = I^n W_q(F).$$

**PROOF.** From Corollaries 2.4, 2.5 and the Frobenius reciprocity law, it follows that we only need to consider the case  $n = 0$ , i.e., we must show that  $s_* : W_q(L) \rightarrow W_q(F)$  is onto. Notice that this fact does not depend on the particular choice of the trace map  $s$ . We now consider several cases

(i)  $[L : F] = 2$ , i.e.,  $L = F(\alpha)$  with  $\alpha^2 + \alpha = a \in F$ . Using Frobenius reciprocity it suffices to show that  $[1, b] \in \text{Im}(s_*)$  for all  $b \in F$ . This

follows from the direct computation  $s_*([1, (1 + \alpha)^2b]) = [1, b]$ , where  $s = \text{Tr}_{L/F}$ .

(ii)  $[L : F]$  odd. Let  $L = F(\alpha)$  and define  $s : L \rightarrow F$  by  $s(1) = 1, s(\alpha) = \dots = s(\alpha^{n-1}) = 0, n = [L : F]$ . From Frobenius reciprocity law we get  $s_*(q \otimes L) = s_*(\langle 1 \rangle) \cdot q$  for any  $q \in W_q(F)$ . But an easy computation shows that  $s_*(\langle 1 \rangle) = \langle 1 \rangle$  in  $W(F)$ , so that  $s_*(q \otimes L) = q$ , i.e.,  $s_*$  is onto.

(iii)  $L/F$  is Galois. According to (ii) we may assume that  $[L : F]$  is even. Let  $H < G = \text{Gal}(L/F)$  be a 2-Sylow subgroup and denote by  $K$  the fixed field of  $H$ . Let  $s_1 : L \rightarrow K, s_2 : K \rightarrow F$  be trace maps, so that  $s = s_2 \circ s_1 \neq 0$ , i.e.,  $s : L \rightarrow F$  is a trace map. Since  $s_* = s_{2*} \circ s_{1*}$ , and  $s_{2*}$  is onto by (ii), it suffices to show that  $s_{1*}$  is onto. But  $H = \text{Gal}(L/K)$  is a 2-group, so that we can find a chain of fields  $K = K_0 \subset K_1 \subset \dots \subset K_r = L$  with  $[K_i : K_{i-1}] = 2$ . We choose trace maps  $t_i : K_i \rightarrow K_{i-1}$  such that  $t = t_1 \circ \dots \circ t_r \neq 0$ , i.e.,  $t : L \rightarrow K$  is a trace map. Since  $t_* = t_{1*} \circ \dots \circ t_{r*}$  and any  $t_{i*}$  is onto by (i), we conclude that  $t_*$  is onto, and hence  $s_{1*}$ , too. This shows that  $s_*$  is onto.

(iv) Let  $L/F$  be any finite separable extension. Choose a finite extension  $N/L$  such that  $N/F$  is Galois, and trace maps  $s_1 : N \rightarrow L, s : L \rightarrow F$  with  $s \circ s_1 \neq 0$ . By part (iii)  $(s \circ s_1)_* = s_* \circ s_{1*}$  is onto, and therefore  $s_*$  is also onto. This concludes the proof of Theorem 2.7.  $\square$

**COROLLARY 2.8.** *Let  $L/F$  be a finite separable extension. Then  $\nu(F) \leq \nu(L)$ .*

**PROOF OF THEOREM (1.2) FOR SEPARABLE EXTENSIONS.** Let  $L/F$  be a finite separable extension. We will show  $\nu(L) \leq \nu(F) + 1$ . Let  $L = F(\alpha^2)$ , so that  $1, \alpha^2, \dots, \alpha^{2(n-1)}$  is a basis of  $L$  over  $F$ . For any  $a \in F^*, \gamma \in L$  let us consider the quadratic form  $\langle 1, a \rangle [1, \gamma] \neq 0$ . We can write  $\langle 1, a \rangle [1, \gamma] = \langle 1, a \rangle [1, (\gamma(\alpha^2 + a) \dots (\alpha^2 + a^{2n-3})) / ((\alpha^2 + a) \dots (\alpha^2 + a^{2n-3}))]$ , where we consider only factors of the form  $\alpha^2 + a^{2i-1}, 1 \leq i \leq n - 1$ . We may assume  $a^{2i-1} \neq a^{2j-1}$  for all  $i \neq j$ , since otherwise we get  $a^{2k-1} = 1$  for some integer  $k$ , because  $\text{Ch}F = 2$ , and hence  $\langle a \rangle = \langle 1 \rangle$ , i.e.,  $\langle 1, a \rangle [1, \gamma] = 0$ . Let  $\gamma(\alpha^2 + a) \dots (\alpha^2 + a^{2n-3}) = b_0 + b_1\alpha^2 + \dots + b_{n-1}\alpha^{2(n-1)}$  with

$b_0, b_1, \dots, b_{n-1} \in F$ . Because of the above assumption we have the following decomposition in partial fractions

$$\frac{b_0 + b_1\alpha^2 + \dots + b_{n-1}\alpha^{2(n-1)}}{(\alpha^2 + a) \dots (\alpha^2 + a^{2n-3})} = c_0 + \frac{c_1}{\alpha^2 + a} + \dots + \frac{c_{n-1}}{\alpha^2 + a^{2n-3}}$$

with  $c_0, c_1, \dots, c_{n-1} \in F$ . (We have  $c_0 = b_{n-1}$  and the determinant of the linear system of equations defining  $c_1, \dots, c_{n-1}$  has the form  $a^r(1+a)^s$  for some  $r, s \geq 0$ , which is  $\neq 0$  since we assume  $\langle a \rangle \neq \langle 1 \rangle$ ). Inserting the above expression in the form  $\langle 1, a \rangle[1, \gamma]$  we obtain in  $W_q(L)$

$$\langle 1, a \rangle[1, \gamma] = \langle 1, a \rangle[1, c_0] + \sum_{i=1}^{n-1} \langle 1, a \rangle \left[ 1, \frac{c_i}{\alpha^2 + a^{2i-1}} \right].$$

But using lemma (2.1) we have

$$\begin{aligned} \langle 1, \alpha^2 + a^{2i-1} \rangle \left[ 1, \frac{c_i}{a^{2i-1}} \right] &= \langle 1, a^{2i-1} \rangle \left[ 1, \frac{c_i a^{2i-1}}{a^{2i-1}(\alpha^2 + a^{2i-1})} \right] \\ &\quad + \langle 1, \alpha^2 \rangle \left[ 1, \frac{c_i \alpha^2}{a^{2i-1}(\alpha^2 + a^{2i-1})} \right] \\ &= \langle 1, a \rangle \left[ 1, \frac{c_i}{\alpha^2 + a^{2i-1}} \right] \end{aligned}$$

in  $W_q(L)$ , so it follows that

$$(2.9) \quad \langle 1, a \rangle[1, \gamma] = \langle 1, a \rangle[1, c_0] + \sum_{i=1}^{n-1} \langle 1, \alpha^2 + a^{2i-1} \rangle \left[ 1, \frac{c_i}{a^{2i-1}} \right].$$

Therefore, for any  $m \geq 0$ ,  $a_1, \dots, a_{m+1} \in F^*$ ,  $\gamma \in L$ , we obtain in  $W_q(L)$  applying (2.9) to  $\langle 1, a_{m+1} \rangle[1, \gamma]$ ,

$$\begin{aligned} &\langle \langle a_1, \dots, a_{m+1}, \gamma \rangle \rangle \\ &= \langle \langle a_1, \dots, a_{m+1}, c_0 \rangle \rangle + \sum_{i=1}^{n-1} \langle 1, \alpha^2 + a_{m+1}^{2i-1} \rangle \left\langle \left\langle a_1, \dots, a_m, \frac{c_i}{a_{m+1}^{2i-1}} \right\rangle \right\rangle. \end{aligned}$$

The proof of Theorem 1.2 is now obvious. If  $I^m W_q(F) = 0$ , then, from the above formula and from the fact that  $I^{m+1} W_q(L)$  is generated

by the forms  $\langle\langle a_1, \dots, a_{m+1}, \gamma \rangle\rangle$  with  $a_1, \dots, a_{m+1} \in F^*$ ,  $\gamma \in L$  (see Corollary 2.4), it follows that  $I^{m+1}W_q(L) = 0$ , i.e.,  $\nu(L) \leq \nu(F) + 1$ .  $\square$

In fact we have shown the following general fact.

**THEOREM 2.10.** *Let  $L/F$  be a finite separable extension. Then*

$$I^{m+1}W_q(L) = I(L)i_*[I^mW_q(F)]$$

for all  $m \geq 0$ , where  $i_*[I^mW_q(F)]$  is the image of  $I^mW_q(F)$  under the natural homomorphism  $i_* : W_q(F) \rightarrow W_q(L)$ .

**REMARK 2.11.** It is easy to show that, for any quadratic separable extension  $L/F$  the equality  $\nu(L) = \nu(F)$  holds. We just need to prove  $\nu(L) \leq \nu(F)$ . Assume  $I^mW_q(F) = 0$ . Let  $L = F(\alpha)$ ,  $\alpha^2 + \alpha = a \in F$  and define  $s : L \rightarrow F$  the trace map given by  $s(1) = 0$ ,  $s(\alpha) = 1$ , i.e.,  $s = \text{Tr } L/F$ . For any  $m$ -fold Pfister form over  $L$ , we have  $s_*(q) \in I^mW_q(F) = 0$ , i.e.,  $q \in \text{Ker}(i_*)$ . Hence  $q \cong q_0 \otimes L$  with some form  $q_0$  defined over  $F$  (see [1, V (4.10)]), and hence, using [1, (V, 4.14)], we conclude  $q \cong q_1 \otimes L$  with an  $m$ -fold Pfister form defined over  $F$ , which by assumption is 0 in  $W_q(F)$ . This shows  $I^mW_q(L) = 0$ , i.e.,  $\nu(L) \leq \nu(F)$ .

**3. The purely inseparable case.** The main result of this section is

**THEOREM 3.1.** *Let  $L/F$  be a finite purely inseparable extension. Then  $\nu(F) = \nu(L)$ .*

Since any finite purely inseparable extension  $L/F$  admits a chain of subfields  $F = F_0 \subset F_1 \subset \dots \subset F_m = L$  with  $F_i = F_{i-1}(\sqrt[a_i]{a_i})$ ,  $a_i \in F_{i-1}^*$ , to prove Theorem 3.1 it suffices to consider the case  $L = F(\sqrt[l]{l})$ ,  $l \in F^*$ . Let us write  $L = F(\alpha)$ ,  $\alpha^2 = l$ . Then we have

**LEMMA 3.2.** *Any  $n$ -fold Pfister form  $q = \langle\langle \alpha_1, \dots, \alpha_n, \beta \rangle\rangle$  over  $L$  is a linear combination in  $W_q(L)$  of  $n$ -fold Pfister forms of the type*

(i)  $\langle\langle a_1, \dots, a_n, b \rangle\rangle$  with  $a_1, \dots, a_n b \in F^*$

(ii)  $\langle\langle \alpha, a_1, \dots, a_{n-1}, b \rangle\rangle$  with  $a_1, \dots, a_{n-1}, b \in F^*$ .

PROOF. Let us consider first a 1-fold Pfister form  $q = \langle 1, \beta \rangle [1, \gamma]$  over  $L$ . Since  $\gamma \equiv \gamma^2 \pmod{\rho L}$ , where  $\rho L = \{x^2 + x \mid x \in L\}$  and  $\gamma^2 \in F$  for all  $\gamma \in L$ , we may assume  $\gamma = c \in F$ . Let  $\beta = a + b\alpha$ ,  $a, b \in F$ . From Lemma 2.1 we get  $q = \langle 1, a + b\alpha \rangle [1, c] = \langle 1, a \rangle [1, ac/\beta] + \langle 1, b\alpha \rangle [1, cb/\beta] = \langle 1, a \rangle [1, c_1] + \langle 1, b\alpha \rangle [1, c_2]$  with some  $c_1, c_2 \in F$ . Hence  $q = \langle 1, a \rangle [1, c_1] + \langle b \rangle \langle 1, b \rangle [1, c_2] + \langle b \rangle \langle 1, \alpha \rangle [1, c_2]$  in  $W_q(L)$ . Since  $\langle 1, \alpha \rangle^2 = 0$  in  $W(F)$ , the lemma follows easily by induction.  $\square$

We proceed now to prove the theorem. As noticed above, we may assume  $L = F(\alpha)$ ,  $\alpha^2 = l \in F^*$ . Suppose first  $I^n W_q(F) = 0$ . We will show  $I^n W_q(L) = 0$ . According to Lemma 3.2 we just need to consider forms of type (i), (ii), but since  $I^n W_q(F) = 0$ , then all forms of type (i) are 0.

Take a form  $q = \langle\langle \alpha, a_1, \dots, a_{n-1}, b \rangle\rangle$  of type (ii). Since  $q = \langle\langle a_1, \dots, a_{n-1}, b \rangle\rangle \perp \langle \alpha \rangle \langle\langle a_1, \dots, a_{n-1}, b \rangle\rangle$ , it suffices to show that any form  $\langle\langle a_1, \dots, a_{n-1}, b \rangle\rangle$  does represent  $\alpha$  over  $L$ , because then  $q$  is isotropic and hence also hyperbolic over  $L$ . Notice that  $I^n W_q(F) = 0$  implies that  $p = \langle\langle a_1, \dots, a_{n-1}, b \rangle\rangle$  represents any element of  $F^*$ . We set  $\varphi = \langle\langle a_1, \dots, a_{n-1} \rangle\rangle = \langle 1, a_1 \rangle \cdots \langle 1, a_{n-1} \rangle$ , and  $[1, b] = Fe + Ff$  with  $p(e) = 1$ ,  $p(f) = b$ ,  $b_p(e, f) = 1$ , so that  $p = \varphi \cdot [1, b] = \varphi \otimes e \oplus \varphi \otimes f$ . Any vector of  $p$  has the form  $z = x \otimes e + y \otimes f$  with  $x, y \in \varphi$  and  $p(z) = \varphi(x) + \varphi(x, y) + \varphi(y)b$ . Over  $L$ , for  $x, y \in \varphi \otimes L$  we write  $x = x_0 + x_1\alpha$ ,  $y = y_0 + y_1\alpha$  with  $x_0, x_1, y_0, y_1 \in \varphi$  defined over  $F$ . Since  $\varphi$  is written in diagonal form we have  $\varphi(x) = \varphi(x_0) + l\varphi(x_1)$ ,  $\varphi(y) = \varphi(y_0) + l\varphi(y_1)$  so that, for  $z = x \otimes e + y \otimes f \in p \otimes L$ , we get

$$\begin{aligned}
 p(z) &= \varphi(x_0) + \varphi(x_0, y_0) + \varphi(y_0)b + l[\varphi(x_1) + \varphi(x_1, y_1) + \varphi(y_1)b] \\
 &\quad + \alpha[\varphi(x_0, y_1) + \varphi(x_1, y_0)] \\
 p(z) &= p(x_0 \otimes e + y_0 \otimes f) + p(x_1 \otimes e + y_1 \otimes f)l \\
 &\quad + \alpha[\varphi(x_0, y_1) + \varphi(x_1, y_0)].
 \end{aligned}$$

Choose  $x_1 = (1, 0, \dots, 0)$ ,  $y_1 = 0$ , i.e.,  $p(x_1 \otimes e + y_1 \otimes f) = 1$ . Since  $p$  represents all elements of  $F^*$ , we can find  $x_0, y_0 \in \varphi$  such that  $p(x_0 \otimes e + y_0 \otimes f) = l$ . Setting  $x = x_0 + x_1\alpha$ ,  $y = y_0 + y_1\alpha = y_0$ , we obtain, for  $z = x \otimes e + y \otimes f \in p \otimes L$ ,  $z \neq 0$ ,

(3.3) 
$$p(z) = \alpha y_{0,1},$$

where  $y_{0,1}$  is the first coordinate of  $y_0$ . If  $y_{0,1} = 0$ , it follows that  $p$  is isotropic over  $L$ , and hence it represents  $\alpha$  over  $L$ . If  $y_{0,1} \neq 0$ , then  $y_{0,1}$  is represented by  $p$  over  $F$ , and since  $p$  is a Pfister form, it follows from (3.3), that  $p$  represents  $\alpha$  over  $L$ . This proves  $I^n W_q(L) = 0$ . Thus we have  $\nu(L) \leq \nu(F)$ .

We now prove the converse, i.e.,  $\nu(F) \leq \nu(L)$ . To this end we use

LEMMA 3.4. *Let  $L = F(\alpha), \alpha^2 = l \in F^*$ . Assume  $I^n W_q(L) = 0$ . Then*

- (i) *Any  $n$ -fold Pfister form over  $F$  is of the type  $\langle\langle l, a_1, \dots, a_{n-1}, b \rangle\rangle$  with  $a_1, \dots, a_{n-1}, b \in F^*$*
- (ii) *Any  $(n-1)$ -fold Pfister form over  $F$  is of the type  $\langle\langle b_1, \dots, b_{n-1}, lc^2 \rangle\rangle$  with  $b_1, \dots, b_{n-1}, c \in F^*$ .*

Let us proceed with the proof of  $\nu(F) \leq \nu(L)$ . Assume  $I^n W_q(L) = 0$ . Then any  $n$ -fold Pfister form over  $F$  has the form  $q = \langle\langle l, a_1, \dots, a_{n-1}, b \rangle\rangle$  (see Lemma 3.4(i)). Now using Lemma 3.4(ii) we can write  $\langle\langle a_1, \dots, a_{n-1}, b \rangle\rangle \cong \langle\langle b_1, \dots, b_{n-1}, lc^2 \rangle\rangle$  with some  $c \in F^*$ , i.e.,  $q = \langle\langle b_1, \dots, b_{n-1} \rangle\rangle \cdot \langle 1, l \rangle [1, lc^2]$ . But obviously  $\langle 1, l \rangle [1, lc^2]$  is isotropic, so that  $q = 0$  in  $W_q(F)$ . This proves  $I^n W_q(F) = 0$ , i.e.,  $\nu(F) \leq \nu(L)$ , and Theorem 3.1 follows.  $\square$

For the proof of Lemma 3.4 we need the following general fact about Pfister forms over fields of characteristic 2.

PROPOSITION 3.5. *Let  $q$  be an  $n$ -fold Pfister form over  $F$ .*

- (i) *If  $q$  contains a subform  $[1, a], a \in F$ , then  $q \cong \langle\langle a_1, \dots, a_n, a \rangle\rangle$  for some  $a_1, \dots, a_n \in F^*$ .*
- (ii) *Write  $q = \varphi \otimes [1, b]$  with  $\varphi = \langle\langle b_1, \dots, b_n \rangle\rangle = \langle 1 \rangle \perp \varphi'$ , i.e.,  $q = [1, b] \perp \varphi' \cdot [1, b]$ . If  $l \in F^*$  is represented by  $\varphi' \cdot [1, b]$ , then  $q \cong \langle\langle l, a_1, \dots, a_{n-1}, c \rangle\rangle$  with some  $a_1, \dots, a_{n-1} \in F^*$ .*

PROOF. Part (i) has been proved in [1, Chapter V] in a much more general setting, so that we omit the proof here. Let us prove (ii). Assume  $n = 1, q = \langle 1, b_1 \rangle [1, b] = [1, b] \perp \langle b_1 \rangle [1, b]$ . If  $l$  is represented by  $\langle b_1 \rangle [1, b], l = b_1(x^2 + xy + by^2)$ , and since  $\langle x^2 + xy + by^2 \rangle [1, b] \cong [1, b]$ , we

get  $q \cong [1, b] \perp \langle b_1(x^2 + xy + by^2) \rangle [1, b] = [1, b] \perp \langle l \rangle [1, b] = \langle 1, l \rangle [1, b]$ . Assume now  $n > 1$ . We use induction with respect to  $n$ . Write  $\varphi = \langle 1, b_1 \rangle \cdot \psi$ ,  $\psi = \langle \langle b_2, \dots, b_n \rangle \rangle$ . Hence  $\varphi' = \psi' \perp \langle b_1 \rangle \psi$ ,  $q = [1, b] \perp \psi' \cdot [1, b] \perp \langle b_1 \rangle \psi [1, b]$ , i.e.,  $\varphi' [1, b] = \psi' [1, b] \perp \langle b_1 \rangle \psi [1, b]$ . If  $l \in F^*$  is represented by  $\varphi' [1, b]$ , we can write  $l = c + b_1 d$  with  $c$  represented by  $\psi' [1, b]$  and  $d$  represented by  $\psi [1, b]$  (if  $\neq 0$ ). (We may assume  $c, d \neq 0$ ). By induction we have  $\psi [1, b] \cong \langle 1, c \rangle \tau [1, b']$  with some  $(n - 2)$ -fold bilinear Pfister form  $\tau$ ,  $b' \in F$ . Moreover  $\langle d \rangle \cdot \psi [1, b] \cong \psi [1, b]$ . Therefore

$$\begin{aligned} q &= \langle 1, b_1 \rangle \psi [1, b] = \psi [1, b] \perp \langle b_1 \rangle \psi [1, b], \\ q &\cong \langle 1, c \rangle \tau [1, b'] \perp \langle b_1 d \rangle \langle 1, c \rangle \tau [1, b'], \\ q &\cong \langle 1, c \rangle \langle 1, b_1 d \rangle \tau [1, b'], \end{aligned}$$

But  $\langle 1, c \rangle \langle 1, b_1 d \rangle = \langle 1, c, b_1 d, cb_1 d \rangle \cong \langle 1, c + b_1 d, x, x(c + b, d) \rangle \cong \langle 1, l \rangle \langle 1, x \rangle$ , i.e.,  $q = \langle 1, l \rangle \langle 1, x \rangle \tau [1, b']$ . This proves (ii).  $\square$

Now we prove Lemma 3.4. Let us assume  $I^n W_q(L) = 0$ . Let  $q = \langle \langle a_1, \dots, a_n, b \rangle \rangle = \varphi \cdot [1, b]$  be any  $n$ -fold Pfister form over  $F$ . Since  $q \otimes L = 0$ , we can find nonzero vectors  $x = x_0 + x_1 \alpha, y = y_0 + y_1 \alpha \in \varphi \otimes L$  (see notation above) such that

$$q(x \otimes e + y \otimes f) = 0,$$

i.e.,

$$\begin{aligned} q(x_0 \otimes e + y_0 \otimes f) + lq(x_1 \otimes e + y_1 \otimes f) &= 0, \\ b_q(x_0 \otimes e + y_0 \otimes f, x_1 \otimes e + y_1 \otimes f) &= 0. \end{aligned}$$

Let  $u = x_0 \otimes e + y_1 \otimes f, v = x_1 \otimes e + y_1 \otimes f \in q$ . Then  $q(u) + lq(v) = 0$ ,  $b_q(u, v) = 0$ . Of course we may assume  $q(u), q(v) \neq 0$ , because otherwise  $q$  would be isotropic over  $F$ , and hence  $q = 0$ . Since  $2 = 0$ , we can find vectors  $u_1, v_1 \in q$  with  $b_q(u, u_1) = 1, b_q(v, v_1) = 1$ , and  $\langle u, u_1 \rangle \perp \langle v, v_1 \rangle$ . Thus we have  $\langle u, u_1 \rangle \perp \langle v, v_1 \rangle \subseteq q$ . Let  $a = q(v), a' = q(v_1), a'' = q(u_1)$ . Then  $[a, a'] \perp [a, a''] \subseteq q$ , i.e.,  $\langle a \rangle [1, a_1] \perp \langle a \rangle [1, a_2] \subseteq q$  for some  $a_1, a_2 \in F$ . But  $a = q(v)$  is represented by  $q$ , so that  $\langle a \rangle q \cong q$ , and therefore  $[1, a_1] \perp \langle l \rangle [1, a_2] \subseteq q$ . In particular  $[1, a_1] \subset q$ , so that, by Proposition 3.5(i), we have  $q = \psi \cdot [1, a_1]$  with some  $n$ -fold bilinear Pfister form  $\psi$ . Since  $q = [1, a_1] \perp \psi' [1, a_1]$ , it follows by cancellation that  $\langle l \rangle [1, a_2] \subseteq \psi' [1, a_1]$ , and hence  $l$  is represented by  $\psi' [1, a_1]$ . Using Proposition 3.5(ii) we

conclude  $q \cong \langle\langle l, b_1, \dots, b_{n-1}, b' \rangle\rangle$  for some  $b_1, \dots, b_{n-1}, b' \in F^*$ . This proves Lemma 3.4 (i).

Consider an  $(n - 1)$ -fold Pfister form over  $F, q = \langle\langle a_1, \dots, a_{n-1}, b \rangle\rangle = \varphi \cdot [1, b], \varphi = \langle\langle a_1, \dots, a_{n-1} \rangle\rangle$ . Since  $I^n W_q(L) = 0$ , it follows that  $\langle 1, \alpha \rangle q = 0$  over  $L$ , i.e.,  $q$  represents  $\alpha$  over  $L$ . Therefore there exist  $x = x_0 + x_1\alpha, y = y_0 + y_1\alpha \in \varphi \otimes L$  such that  $q(x \otimes e + y \otimes f) = \alpha$ .

This means  $q(x_0 \otimes e + y_0 \otimes f) + lq(x_1 \otimes e + y_1 \otimes f) = 0, b_q(x_0 \otimes e + y_0 \otimes f, x_1 \otimes e + y_1 \otimes f) = 1$ . Thus we have  $u, v \in q$  with  $q(u) + lq(v) = 0, b_q(u, v) = 1$ . Hence  $\langle u, v \rangle \subseteq q$  and  $\langle u, v \rangle = [q(v), lq(v)] = \langle q(v) \rangle [1, lq(v)^2]$ . But  $\langle q(v) \rangle \cdot q \cong q$ , so that  $[1, lc^2] \subseteq q$ , where  $c = q(v)$ . Now we apply Proposition 3.5(i) to conclude  $q \cong \langle\langle b_1, \dots, b_{n-1}, lc^2 \rangle\rangle$ , i.e., Lemma 3.4(ii).  $\square$

**4. Proof of Theorem 1.2.** Let  $L/F$  be a finite extension. Let  $F_s$  be the separable closure of  $F$  in  $L, F \subset F_s \subset L$ . Hence  $L/F_s$  is purely inseparable, and therefore (see Theorem 3.1)  $\nu(L) = \nu(F_s)$ . According to the results of §2 we have  $\nu(F) \leq \nu(F_s) \leq \nu(F) + 1$ , i.e., we have  $\nu(F) \leq \nu(L) \leq \nu(F) + 1$ .

**5. An example.** We will now construct a field  $F$  and a separable extension  $L/F$  with  $[L : F] = 3$  and  $\nu(L) = \nu(F) + 1$ . In fact, for any  $n$ , it is possible to find a field  $F$  and a separable finite extension  $L/F$  with  $\nu(F) = n, \nu(L) = n + 1$ , but we will just consider the simplest case  $n = 0$ . Let  $F$  be the quadratic separable closure of  $\mathbf{F}_2(X)$ . Obviously  $\nu(F) = 0$ . Since  $W^3 + W + 1 \in F[W]$  is irreducible, let  $L = F(\beta)$  with  $\beta^3 = \beta + 1$ . We want to show  $\nu(L) = 1$ , which is equivalent with  $L \neq \rho L$ . We assert  $X\beta^2 \notin \rho L$ . Otherwise there exist  $y_0, y_1, y_2 \in F$  with  $\rho(y_0 + y_1\beta + y_2\beta^2) = x\beta^2$ , i.e.,  $y_0 + y_0^2 = 0, y_1 + y_1^2 = 0, y_1^2 + y_2 + y_2^2 = X$ . Hence  $Y^4 + Y^2 + Y = X$  has a solution in  $F$ . Let us show that this is impossible. Obviously there is no solution in  $\mathbf{F}_2(X)$ . Assume that  $\mathbf{F}_2(X) \subset E \subset F$  is a subfield such that  $Y^4 + Y^2 + Y = X$  has no solution in  $E$ . We will show that there is no solution in any quadratic separable extension  $E(\alpha), \alpha^2 + \alpha = t \in E$  of  $E$ . Otherwise let  $u + v\alpha(u, v \in E)$  be a solution. It follows that  $u^4 + u^2 + u + v^4t^2 + v^4t + v^2t = X, v^4 + v^2 + v = 0$ . But  $v^3 + v + 1 = 0$  has no solution in  $F$ , and hence  $v = 0$ . Then  $u^4 + u^2 + u = X$  in  $E$ , which is a contradiction. We conclude by induction, that there is no solution of  $Y^4 + Y^2 + Y = X$  in  $F$ , and

therefore we have  $\nu(L) = 1$ .

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